

Least and greatest solutions of equations over sets of integers

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Resolved systems of language equations

$$\begin{cases} X_1 = \varphi_1(X_1, \dots, X_n) \\ \vdots \\ X_n = \varphi_n(X_1, \dots, X_n) \end{cases}$$

- X_i : subset of Ω^* .
- φ_i : variables, constants, operations on languages.

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- extended by Okhotin to (\cap, \cup and \cdot), defines **conjunctive grammars**

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- studied by Ginsburg and Rice (\cup, \cdot), semantics of **CFG**
- extended by Okhotin to (\cap, \cup and \cdot), defines **conjunctive grammars**
- interested in (S_1, \dots, S_n) which are
 - ▶ least: $S_i \subseteq S'_i$ for every other solution (S'_1, \dots, S'_n)
 - ▶ greatest: $S_i \supseteq S'_i$ for every other solution (S'_1, \dots, S'_n)
- guaranteed to exist (Tarski's fixpoint theorem).

Example

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$$X = XX \cup \{a\}X\{b\} \cup \{\epsilon\}$$

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Least solution: the Dyck language.

Greatest solution: $\{a, b\}^*$.

Language equations—results

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Theorem (Okhotin, ICALP 2003)

$L \subseteq \Omega^$ is given by unique (least, greatest) solution of a system with $\{\cup, \cap, \sim, \cdot\}$ and equations of the form $\varphi(X_1, \dots, X_n) = \psi(X_1, \dots, X_n)$ if and only if L is recursive (r.e., co-r.e.)*

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Theorem (Kunc, STACS 2005)

There exists a finite L such that the greatest solution of

$$LX = XL$$

for $X \subseteq \{a, b\}^*$ is co-r.e.-hard.

Simple case and equations over sets of numbers

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-
- only length matters: $a^n \longleftrightarrow$ number n

Simple case and equations over sets of numbers

- simple case: \mathbb{N}
- $\{\cup, \cdot\}$: **periodic**
- $\{\cdot, ^c\}$: **non-periodic** [Leiss 1994]
- $\{\cup, \cap, \cdot\}$: ?

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- X_i : subset of $\mathbb{N}_0 = \{0, 1, 2, \dots\}$.
- φ_i : variables, singleton constants, operations on sets

$$X + Y = \{x + y \mid x \in X, y \in Y\}$$

Using positional notation

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Example (Jež, DLT 2007)

$$X_1 = (X_2 + X_2 \cap X_1 + X_3) \cup \{1\}$$

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- $(X_2 + X_2) \cap (X_1 + X_3) = (10^+)_4$

Equations over sets of natural numbers—results

Theorem (Jež, Okhotin, CSR 2007)

Let M be a one-way real-time cellular automaton. Then $(L(M))_k$ is the unique solution of a resolved system of equations over \mathbb{N} with $\{\cup, \cap, +\}$:

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$S \subseteq \mathbb{N}$ is given by **unique** (*least*, *greatest*) solution of a system with $\{\cup, +\}$ and equations of the form

$$\varphi(X_1, \dots, X_n) = \psi(X_1, \dots, X_n)$$

if and only if S is **recursive** (*r.e.*, *co-r.e.*).

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Set equation translates into formulas:

$$X_i = X_j + X_k \iff (\forall n)[n \in X_i \leftrightarrow (\exists n', n'')n = n' + n'' \wedge n' \in X_j \wedge n'' \in X_k]$$

system is turned into arithmetical formula $Eq(X_1, \dots, X_n)$

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Solution (S_1, \dots, S_n) :

- greatest: $\varphi(x) = (\exists X_1) \dots (\exists X_n) Eq(X_1, \dots, X_n) \wedge x \in X_1$ (Σ_1^1)
- least: $\varphi'(x) = (\forall X_1) \dots (\forall X_n) Eq(X_1, \dots, X_n) \rightarrow x \in X_1$ (Π_1^1)
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Theorem (Jež, Okhotin, STACS 2010)

$S \subseteq \mathbb{Z}$ is given by unique solution of a system with $\{U, +\}$
if and only if

S is a Δ_1^1 -set.

New results

$$\begin{cases} X_1 = \varphi_1(X_1, \dots, X_n) \\ \vdots \\ X_n = \varphi_n(X_1, \dots, X_n) \end{cases} \quad (*)$$

Theorem

$S \subseteq \mathbb{Z}$ is given by the **greatest** solution of a system (*) with $\{\cup, \cap, +\}$
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Theorem

$S \subseteq \mathbb{Z}$ is given by the **least** solution of a system (*) with $\{\cup, \cap, +\}$
if and only if

S is a **r.e.**-set.

Least solutions are r.e. sets

Definition (fixpoint iteration)

- $S^0 = (\emptyset, \dots, \emptyset)$
- $S^{n+1} = \varphi(S^n)$
- $S^\omega = \bigcup_{i \geq 0} S^i$

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Definition (\cup -continuous operations)

An operation φ is **\cup -continuous**, if for every ascending sequence of sets

$$\bigcup_{i \geq 0} \varphi(A_n) = \varphi\left(\bigcup_{i \geq 0} A_n\right)$$

Theorem (folklore)

For \cup -continuous and monotone φ , the S^ω is the least fixpoint of φ .

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- $\cup, \cap, +$ for sets of integers are \cup -continuous.

Algorithm for membership in the least solution

Construct S_i for consecutive i and check the membership for them.

Constructing r.e. sets

- Turing machine

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(Hartmanis, 1967; Baker, Book, 1978)

$$\text{VALC}(T) = \{C_T(w)1w \mid w \in L(T)\}$$
$$C_T(w) \in \{3, 6\}^*$$

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- deleting $C_T(w)$ to obtain $\{(1w)_7 \mid w \in L(T)\}$:

$$E(S) = \{(1w)_7 \mid \exists x \in \{3, 6\}^* : (x1w)_7 \in S\}$$

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Existential quantifier

Theorem (Jež, Okhotin, STACS 2010)

For $S \subseteq (\{3, 6\}^+ 1\Omega_7^*)_7$

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$$\begin{array}{cccccccccc} & x_1 & x_2 & \dots & x_\ell & 1 & w_1 & w_2 & \dots & w_{\ell'} \\ - & x_1 & x_2 & \dots & x_\ell & 0 & 0 & 0 & \dots & 0 \\ \hline & & & & & 1 & w_1 & w_2 & \dots & w_{\ell'} \end{array}$$

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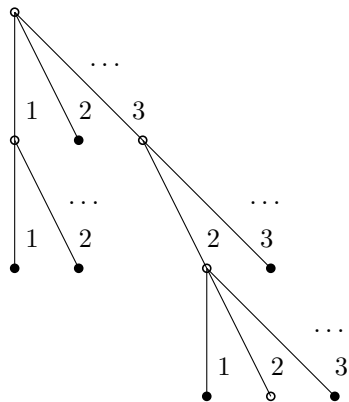
- example of a bad case

$$\begin{array}{cccccccccc} & x_1 & x_2 & \dots & 6 & x_\ell & 1 & w_1 & w_2 & \dots & w_{\ell'} \\ - & x_1 & x_2 & \dots & 3 & x_\ell & 0 & 0 & 0 & \dots & 0 \\ \hline & & & & 3 & 0 & 1 & w_1 & w_2 & \dots & w_{\ell'} \end{array}$$

Σ_1^1 -hard problem for trees

Basic Σ_1^1 -hard problem

Does a tree of a countable degree (given by a TM recognizing its prefixes) has an infinite path?

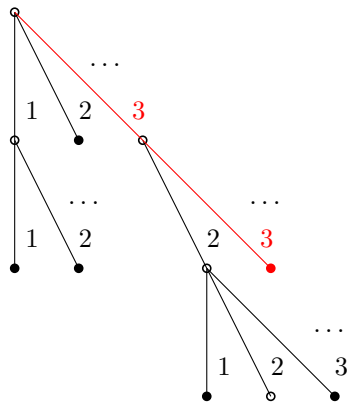


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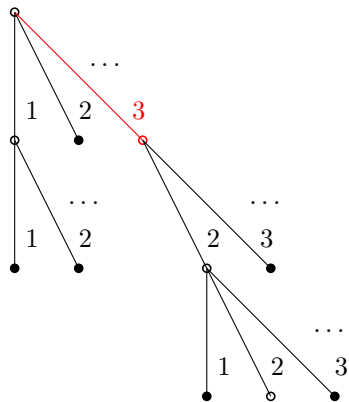


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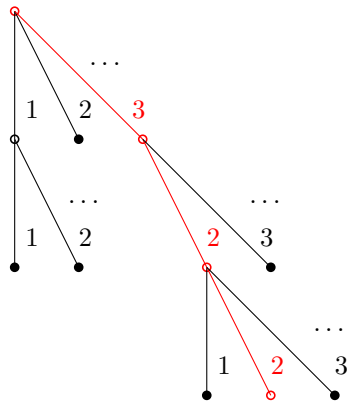


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- infinite path: infinite sequence,

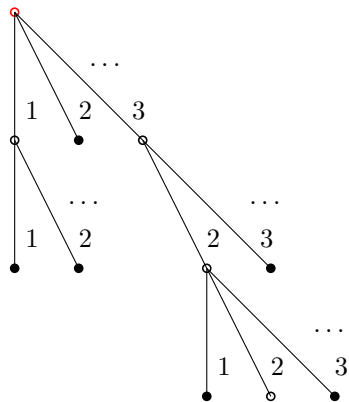


Σ_1^1 -hard problem for trees

Basic Σ_1^1 -hard problem

Does a tree of a countable degree (given by a TM recognizing its prefixes) has an infinite path?

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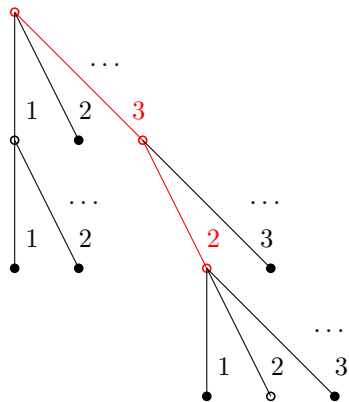


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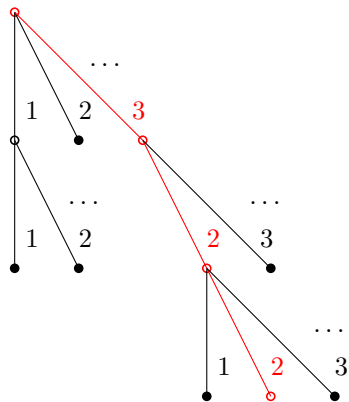


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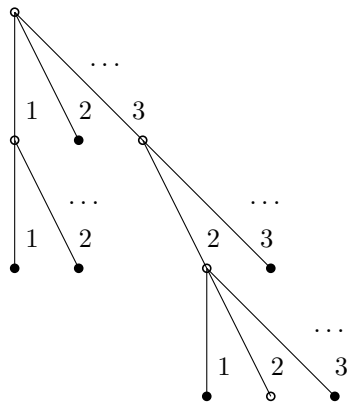
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Encoding a tree as a set of integers

Sequence (n_1, \dots, n_k) becomes

$(1x_k 1x_{k-1} 1 \dots 1x_1 1)_7$,

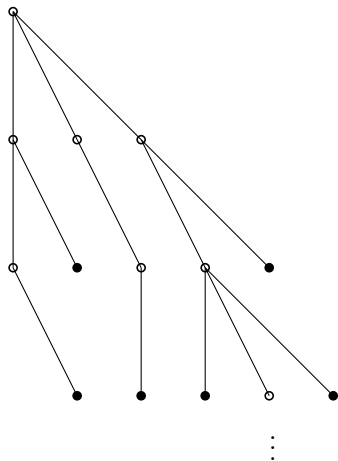
where $x_i \in \{3, 6\}^+$ represents n_i in binary.



Basic idea

Idea

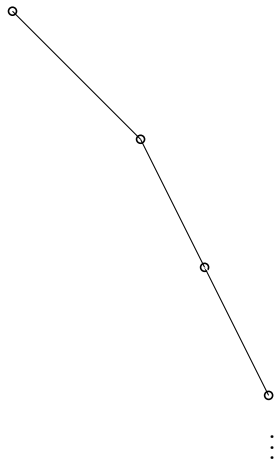
- operator which
 - ▶ preserves infinite paths
 - ▶ modifies finite paths
- cut leaves



Basic idea

Idea

- operator which
 - ▶ preserves infinite paths
 - ▶ modifies finite paths
- cut leaves
- each finite path disappears
- infinite paths survive



Formalisation

How to cut leaves?

$$\varphi(S) = \{(1w)_7 \mid \exists x \in \{3, 6\}^* (1x1w)_7 \in S\}$$

The same expression as applied previously to VALC.

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- $T^\alpha = \prod_{\gamma < \alpha} T^\gamma$, α : limit ordinal

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Correctness of the construction

The greatest solution is non-empty iff the tree has an infinite path.

Can be improved to represent all Σ_1^1 -sets.