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## Computer Algebra

<https://www.mpi-inf.mpg.de/departments/algorithms-complexity/teaching/winter17/comp-alg/>

Assignment sheet 1

due: Monday, October 30

### Exercise 1: Polynomial Evaluation (4 points)

Let  $f = a_0 + \dots + a_d \cdot x^d \in \mathbb{Z}[x]$  be a polynomial of degree  $d$  with integer coefficients of length at most  $L$ , and let  $m \in \mathbb{Z}$  be an  $\ell$ -digit number. Show that

- (a)  $f(m)$  is an  $O(d\ell + L)$ -digit number.
- (b) computing  $f(m)$  using the *Horner's method*

$$f(m) = a_0 + m \cdot (a_1 + m \cdot (a_2 + \dots m \cdot (a_{d-1} + m \cdot a_d)))$$

and the school method for multiplication takes  $O(d \cdot \ell \cdot (d\ell + L))$  primitive operations.

### Exercise 2: Karatsuba / Toom-Cook multiplication (4 points)

For multiplying two  $n$ -digit integers  $a$  and  $b$  (wrt. to some base  $B$ ), Karatsuba's method uses the fact that

$$\begin{aligned} a \cdot b = & a^{(0)} \cdot b^{(0)} \\ & + ((a^{(0)} + a^{(1)}) \cdot (b^{(0)} + b^{(1)}) - a^{(0)} \cdot b^{(0)} - a^{(1)} \cdot b^{(1)}) \cdot B^{\lceil n/2 \rceil} \\ & + a^{(1)} \cdot b^{(1)} \cdot B^{2\lceil n/2 \rceil}, \end{aligned} \quad (1)$$

where  $a = a^{(0)} + a^{(1)} \cdot B^{\lceil n/2 \rceil}$  and  $b = b^{(0)} + b^{(1)} \cdot B^{\lceil n/2 \rceil}$  with non-negative integers  $a^{(i)}$  and  $b^{(i)}$  of length at most  $\lceil n/2 \rceil$ .

- (a) In each recursion step of Toom-Cook- $k$  multiplication, a similar relation as in (1) is used. Choose any interpolation points  $x_0, \dots, x_4$  and provide a corresponding relation for Toom-Cook-3.
- (b) How do we have to choose the interpolation points  $x_0, x_1, x_2$  in Toom-Cook-2 to obtain exactly the same relation as in (1)?

(Hint: You may also choose interpolation points  $x_i = \infty$  for some  $i$ , where we define  $f(\infty) = a_d$  for an arbitrary polynomial  $f(x) = a_0 + a_1x + \dots + a_dx^d$ .)

### Exercise 3: Gaussian elimination (4 points + 4 bonus points for ★)

Let  $A = (a_{ij})_{1 \leq i, j \leq n}$  be an  $n \times n$ -matrix with integer entries  $a_{ij}$  of length  $L$ .

- (a) Give an upper bound  $B$  for the length of the det  $A$  that is polynomial in  $n$  and  $L$ .

(b) We now consider Gaussian elimination with pivoting in order to compute  $\det A$ .

Let  $A^{(0)} := A$  and  $A^{(k)}$  be the matrix obtained after  $k$  elimination steps.  $A^{(k)}$  has rational entries  $a_{ij}^{(k)}$ , and it holds that  $a_{ii}^{(k)} \neq 0$  for all  $i = 1, \dots, k$  and  $a_{ij}^{(k)} = 0$  for all  $i > j$  and  $j = 1, \dots, k$ .

The pivoting rule is as follows: Let  $r$  be the smallest row index with  $r \geq k + 1$  such that  $a_{r,k+1}^{(k)} \neq 0$ . If no such  $r$  exists, then  $\det A = 0$  and we finish immediately. Otherwise, we exchange the row  $r$  with row  $k + 1$ . This yields the matrix  $\bar{A}^{(k)} = (\bar{a}_{ij}^{(k)})$ . We then define

$$a_{ij}^{(k+1)} := \begin{cases} \bar{a}_{ij}^{(k)} & \text{for } i \leq k \text{ and} \\ \bar{a}_{ij}^{(k)} - \frac{\bar{a}_{i,k+1}^{(k)}}{\bar{a}_{k+1,k+1}^{(k)}} \cdot \bar{a}_{k+1,j}^{(k)} & \text{for } i \geq k + 1. \end{cases}$$

- ★ Prove that, for any  $k$  and any  $a_{ij}^{(k)}$  with  $k + 1 \leq i, j \leq n$ , it holds that  $a_{11}^{(k)} a_{22}^{(k)} \cdots a_{kk}^{(k)}$  as well as  $a_{11}^{(k)} a_{22}^{(k)} \cdots a_{kk}^{(k)} \cdot a_{ij}^{(k)}$  can be written as the determinant of a submatrix of  $A$ , up to some unit factor ( $\pm 1$ ).
- Conclude that all intermediate values created by the Gaussian elimination algorithm can be represented with a number of digits that is polynomial in  $L$  and  $n$ .
- Show  $A^{-1}$  has entries that can be represented with a number of digits that is polynomial in  $L$  and  $n$ .

#### Exercise 4: Box functions and root finding (4 points)

Let  $f(x) = a_0 + a_1x + \cdots + a_dx^d \in \mathbb{Z}[x]$  be an arbitrary polynomial with integer coefficients. Our goal is to count all real roots of  $f$ , provided that  $f$  has only simple roots.

- (a) Show that all real roots of  $f$  have absolute value bounded by  $M := 1 + \max_{0 \leq i < d} |\frac{a_i}{a_n}|$ .
- (b) Use box functions for  $f$  and its derivative  $f'$  to derive a method that allows you to decide whether a certain interval  $I$  contains no root or exactly one root. Your method may fail (with the output “I don’t know”); however, it should succeed for sufficiently small intervals  $I$ .
- (c) Formulate an algorithm to determine the number of real roots of  $f$ .

(Hint: By Rolle’s theorem, any interval  $I$  which contains more than one root of  $f$  also contains a root of its derivative  $f'$ .)