

# Ordered Resolution

## Resolution Strategies as Decision Procedures

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## Resolution Decision Procedures

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# Contents

- ◆ Definitions
- ◆ Resolution
- ◆ Solvable classes – Examples
- ◆ Resolution decision procedure
- ◆ Restrictions on resolution
- ◆ Ordered Resolution
- ◆ Ordered Resolution on one solvable class

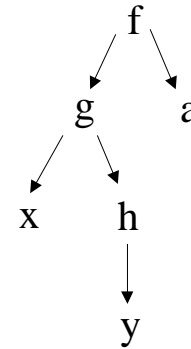
# Definitions

- ◆ Term  
Variable, constant and function
- ◆ Atom  
If  $t_1, \dots, t_n$  are terms and  $P$  is  $n$ -place predicate  
then  $P(t_1, \dots, t_n)$
- ◆ Literal  
An atom or an atom preceded by a negation sign
- ◆ Clause  
Finite set of literals. Empty clause:  $\perp$

## Definitions – contd...

- ◆ Term depth

- ◆ Example:  $f(a, g(x, h(y))) \rightarrow 3$



- ◆ Substitution

- ◆  $V$ =set of variables,  $T$ =set of terms
- ◆ Mapping  $\sigma: V \rightarrow T$
- ◆  $\sigma$  is ground substitution if there are no variables in  $T$

## Definitions – contd...

- ◆ Instance
  - ◆  $E_1$  is an instance of  $E_2$  if  $E_1 = E_2\sigma$
  - ◆ Ground instance: if  $E_2\sigma$  has no variables
- ◆ More General Than (mgt)
  - ◆  $E_1$  is mgt  $E_2$  if there exists a substitution  $\sigma$ , such that  $E_1\sigma = E_2$
  - ◆ Substitution  $\rho$  is mgt  $\theta$ , if for some  $\sigma$ ,  $\rho\sigma = \theta$

## Definitions – contd...

- ◆ Unification
  - ◆ A set of expressions  $M$  is unifiable by  $\sigma$  if  $E_i\sigma = E_j\sigma$  for all  $E_i, E_j \in M$ .
  - ◆  $\sigma$  is called most general unifier (mgu) if for every other unifier  $\rho$  of  $M$ :  $\sigma$  mgt  $\rho$

## Definitions – contd...

- ◆ Condensation/Condensed

$$\begin{array}{ccc}
 p(x,a) \vee p(x,y) & & \\
 \downarrow \sigma & & \\
 U \uparrow & & p(x,a) \vee p(x,a) \\
 & \nearrow & \\
 & p(x,a) & 
 \end{array}$$

- ◆  $C'$  is a condensation of  $C$ , if
  - ◆  $C\sigma = C'$  (up to elimination of duplicates) and
  - ◆  $C' \subset C$
- ◆ If no such condition then  $C$  is condensed

# Converting to clause form

- ◆ Why do this?
  - ◆ Because resolution works on clauses
- ◆ An arbitrary class of formulae is converted to set of clauses by
  - ◆ Moving all Negations inside
  - ◆ Moving all ORs inside and ANDs outside (conjunctive normal form)
  - ◆ Skolemization (Removing  $\exists$  quantifier)
- ◆  $A$ =formulae,  $c(A)$  is clause form of  $A$



## Example

- ◆  $\neg(p \wedge / \vee q) \rightarrow \neg p \vee / \wedge \neg q$
- ◆  $\neg \exists x, y, \dots p \dots \rightarrow \forall x, y, \dots \neg p \dots$
- ◆  $\neg \forall x, y, \dots p \dots \rightarrow \exists x, y, \dots \neg p \dots$
- ◆  $(p \wedge q) \vee r \rightarrow (p \vee r) \wedge (q \vee r)$
- ◆ Skolemization:
  - ◆  $\exists x, \forall y, \exists z p(x, y) \vee q(y, z) \rightarrow \forall y p(a, y) \vee q(y, f(y))$

# Resolution

- ◆ Resolution R operates on set of clauses S by forming new clauses (resolvents)
- ◆ Resolution inference rule
  - ◆ If A, A', C & D are clauses then:

$$\frac{C \vee A \quad D \vee \neg A}{C \vee D}$$

Ground clauses

$$\frac{C \vee A \quad D \vee \neg A'}{(C \vee D)\sigma}$$

$\sigma = \text{mgu}(A, A')$

# Resolution

- ◆  $R^0(S)$  is the condensation of  $S$  (sometimes  $=S$ ).
- ◆  $R(S)$  is  $S \cup$  some resolvents of  $S$
- ◆  $R^{n+1}(S)$  is defined as  $R(R^n(S))$ .
- ◆ Goal: generate an empty clause if  $S$  unsatisfiable.

# Completeness

- ◆ Completeness of R:  
produces  $\perp$  if S is unsatisfiable  
 $\perp \in R^n(S)$  for some  $n \geq 0 \leftrightarrow S$  is unsatisfiable
- ◆ Halting conditions: if R produces
  - ◆  $\perp$ , S is unsatisfiable
  - ◆ no new clause, S is satisfiable  
[[ $(R^n(S) \approx R^{n+1}(S))$  and  $\perp \notin R^n(S)$ ]] for some  $n \geq 0 \rightarrow$   
S is satisfiable

# Decision Procedures

- ◆ Decision problem for a class  $C$  of formulae is the problem of finding an algorithm, called a decision procedure, which determines for each formula  $F$  in  $C$  whether  $F$  is satisfiable.
- ◆  $C$  is solvable if it has a decision procedure
- ◆ Example of solvable classes

## Solvable classes – Examples

- ◆ Monadic
  - ◆ Only unary predicates
  - ◆ Example:  $\forall x, \exists y, \forall z p(x) \vee p(y) \rightarrow p(z), p(x) \rightarrow q(y)$
- ◆ Herbrand
  - ◆ Conjunction of one literal
- ◆ Bernays–Schoenfinkel
  - ◆ Prefix  $\exists^* \forall^*$
  - ◆ Example:  $\exists x_1 x_2 y_1 y_2 \forall z_1 z_2 \dots$

# Solvable classes – Examples

- ◆ Ackermann
  - ◆ Prefix  $\exists^* \forall \exists^*$
- ◆ Gödel
  - ◆ Prefix  $\exists^* \forall \forall \exists^*$
- ◆ All solvable classes have either
  - ◆ restriction on prefixes (quantifiers) or
  - ◆ restriction on what comes after the quantifiers

## Till now...

- ◆ Definitions
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# Resolution Decision Procedure

- ◆ A resolution decision procedure  $R$  on class  $C$  of first order formula is complete if
$$[F \in C \ \& \ F \text{ satisfiable}] \rightarrow [R^p(c(F)) = R^{p+1}(c(F)) \text{ for some } p \geq 0]$$
- ◆  $R$  will always halt if
  - ◆ it produces empty clause or
  - ◆ fails to generate new clauses

# Examples

- ◆ Are all resolution strategies decision procedure?
- ◆ Example 1:

$$F = \forall x \exists y (P(x) \vee P(y)) \wedge (\neg P(x) \vee \neg P(y))$$

After skolemization

$$c(F) = \{P(x), P(f(x))\}, \{\neg P(x), \neg P(f(x))\}$$

## Example 1

$$c(F) = \{P(x), P(f(x))\}, \{\neg P(x), \neg P(f(x))\}$$

- ◆ Applying R to  $c(F)$  produces following resolvents:  
 $\{P(x), \neg P(f(f(x)))\}, \{P(x), P(f(f(f(x))))\}, \dots$
- ◆ R will never halt and therefore it is not a decision procedure

# Examples

- ◆ Example 2:

$$E = \forall x_1, x_2, x_3 ((P(x_1, x_2) \vee Q(x_2, x_3) \vee R(x_1, x_3)) \wedge (\neg R(x_1, x_2) \vee Q(x_2, x_3) \vee \neg P(x_1, x_3)))$$

- ◆ After Skolemization

$$c(E) = \{P(x_1, x_2), Q(x_2, x_3), R(x_1, x_3)\}, \{\neg R(x_1, x_2), Q(x_2, x_3), \neg P(x_1, x_3)\}$$

## Example 2

$$c(E) = \{P(x_1, x_2), Q(x_2, x_3), R(x_1, x_3)\}, \{-\neg R(x_1, x_2), \\ Q(x_2, x_3), \neg P(x_1, x_3)\}$$

- ◆ Applying R to  $c(E)$  produces following resolvents:

$$\{P(x_1, x_2), Q(x_2, x_3), Q(x_3, x_4), Q(x_4, x_5), R(x_1, x_5)\}, \dots,$$

$$\{P(x_1, x_2), Q(x_2, x_3), Q(x_3, x_4), \dots, Q(x_{n-1}, x_n), R(x_1, x_n)\}$$

## Result from Examples

- ◆ If there is no restriction on resolution then, we have resolvents which have:
  - ◆ unbounded nesting of functions (Depth)
  - ◆ unbounded number of arguments (Span)
- ◆ Solution ?
  - ◆ Apply restriction to depth and span

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# Restrictions

- ◆ Restriction on depth – Ordering
  - ◆ Define an ordering on literals in the clause set
  - ◆ Unify those literals first which are maximal
- ◆ Properties of ordering
  - ◆ Noetherian
  - ◆ Stable under substitution



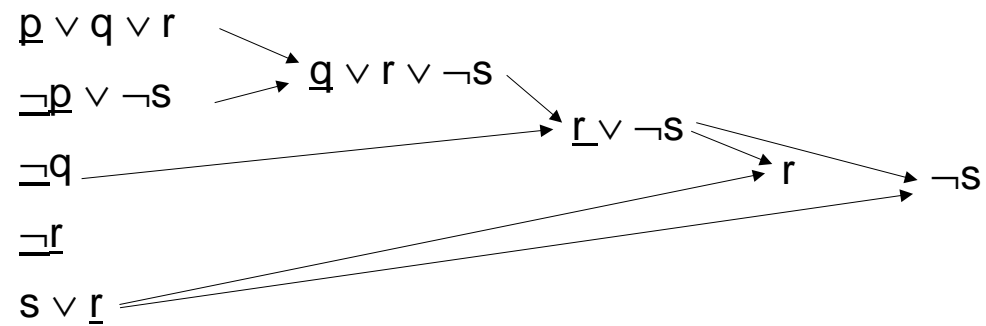
# Ordering

- ◆ Select an ordering satisfying both
- ◆ Definition
  - ◆  $p(f(x_1, \dots, x_m)) < q(g(x_1, \dots, x_n))$  if  $m < n$
  - ◆  $p(x) < q(f(x_1, \dots, x_n))$
  - ◆  $p(a) < q(g(x_1, \dots, x_n))$

# Ordering

- ◆ Example:

- ◆ Let  $p, q, r, s$  be atoms with ordering  $(\neg p > p > \neg q > q > \neg r > r > \neg s > s)$



# Condensation

- ◆ Restriction on span
  - ◆ Keep only condensed clauses
  - ◆ Example:

$$\begin{array}{l} p(c) \vee p(b) \\ \underline{p(a) \vee p(b)} \\ \underline{\neg p(a) \vee p(c) \vee p(e)} \end{array} \longrightarrow p(b) \vee p(c) \vee p(e)$$

- ◆ can drop the resolvent as its condensed form  $p(c) \vee p(b)$  is already in the clause set

# Ordered Resolution

- ◆ So what is ordered resolution?
- ◆ It is a resolution decision procedure with
  - ◆ ordering on literals and restrictions on inference rules
  - ◆ condensation
- ◆ It is proved that ordered resolution is complete

## Solvable class

- ◆ Why ordered resolution terminates for solvable classes?
- ◆ Example: Ackermann class (Prefix  $\exists^* \forall \exists^*$ )
  - ◆  $\exists x_1 x_2 \forall x_3 \exists x_4 x_5 \ p(x_1, x_2) \vee q(x_3, x_4) \wedge \neg p(x_1, x_3) \vee r(x_5) \vee \neg q(x_2, x_4)$
  - ◆ After Skolemization (at most one variable)
  - ◆  $\forall x_3 \ p(a, b) \vee q(x_3, f(x_3)) \wedge \neg p(a, x_3) \vee r(g(x_3)) \vee \neg q(b, f(x_3))$

# Ackermann Class

- ◆ Various conditions
  - ◆  $p(a,b)$              $\neg p(a,b)$             – simple
  - ◆  $p(x, f(x))$          $\neg r(y, f(y))$         – not unifiable
  - ◆  $p(x, f(x))$          $\neg p(f(y), y)$         – not unifiable
  - ◆  $p(f(x), x)$          $\neg p(f(y), y)$         –  $y \rightarrow x$
  - ◆  $\underline{p(x)} \vee \dots^x \dots \vee q(g(x)) \dots^x \dots \dots^y \dots \neg \underline{p(f(y))} \dots^y \dots$  –  
 $x \rightarrow f(y) \Rightarrow \dots^y \dots \vee q(g(f(y))) \vee \dots^y \dots$  (increase in term depth)

End