

Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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begin		

0.1 CDCL Extensions

A counter-example for the original version from the book has been found (see below). There is no simple fix, except taking complete models.

Based on Dominik Zimmer's thesis, we later reduced the problem of finding partial models to finding total models. We later switched to the more elegant dual rail encoding (thanks to the reviewer).

0.1.1 Optimisations

notation *image-mset* (**infixr** $\langle \# \rangle$ 90)

The initial version was supposed to work on partial models directly. I found a counterexample while writing the proof:

Nitpicking 0.1.

Christoph's book draft 0.1. $(M; N; U; k; \top; O) \Rightarrow^{Propagate}$

$(ML^{C \vee L}; N; U; k; \top; O)$

provided $C \vee L \in (N \cup U)$, $M \models \neg C$, L is undefined in M .

$(M; N; U; k; \top; O) \Rightarrow^{Decide} (ML^{k+1}; N; U; k+1; \top; O)$

provided L is undefined in M , contained in N .

$(M; N; U; k; \top; O) \Rightarrow^{ConflSat} (M; N; U; k; D; O)$

provided $D \in (N \cup U)$ and $M \models \neg D$.

$(M; N; U; k; \top; O) \Rightarrow^{ConflOpt} (M; N; U; k; \neg M; O)$

provided $O \neq \epsilon$ and $\text{cost}(M) \geq \text{cost}(O)$.

$(ML^{C \vee L}; N; U; k; D; O) \Rightarrow^{Skip} (M; N; U; k; D; O)$

provided $D \notin \{\top, \perp\}$ and $\neg L$ does not occur in D .

$(ML^{C \vee L}; N; U; k; D \vee \neg(L); O) \Rightarrow^{Resolve} (M; N; U; k; D \vee C; O)$

provided D is of level k .

$(M_1 K^{i+1} M_2; N; U; k; D \vee L; O) \Rightarrow^{Backtrack} (M_1 L^{D \vee L}; N; U \cup \{D \vee L\}; i; \top; O)$

provided L is of level k and D is of level i .

$(M; N; U; k; \top; O) \Rightarrow^{Improve} (M; N; U; k; \top; M)$

provided $M \models N$ and $O = \epsilon$ or $\text{cost}(M) < \text{cost}(O)$.

This calculus does not always find the model with minimum cost. Take for example the following cost function:

$$\text{cost} : \begin{cases} P \rightarrow 3 \\ \neg P \rightarrow 1 \\ Q \rightarrow 1 \\ \neg Q \rightarrow 1 \end{cases}$$

and the clauses $N = \{P \vee Q\}$. We can then do the following transitions:

$(\epsilon, N, \emptyset, \top, \infty)$

$\Rightarrow^{Decide} (P^1, N, \emptyset, \top, \infty)$

$\Rightarrow^{Improve} (P^1, N, \emptyset, \top, (P, 3))$

$\Rightarrow^{conflOpt} (P^1, N, \emptyset, \neg P, (P, 3))$

$\Rightarrow^{backtrack} (\neg P^{-P}, N, \{\neg P\}, \top, (P, 3))$

$\Rightarrow^{propagate} (\neg P^{-P} Q^{P \vee Q}, N, \{\neg P\}, \top, (P, 3))$

$\Rightarrow^{improve} (\neg P^{-P} Q^{P \vee Q}, N, \{\neg P\}, \top, (\neg P Q, 2))$

$\Rightarrow^{conflOpt} (\neg P^{-P} Q^{P \vee Q}, N, \{\neg P\}, P \vee \neg Q, (\neg P Q, 2))$

$\Rightarrow^{resolve} (\neg P^{-P}, N, \{\neg P\}, P, (\neg P Q, 2))$

$\Rightarrow^{resolve} (\epsilon, N, \{\neg P\}, \perp, (\neg P Q, 3))$

However, the optimal model is Q .

The idea of the proof (explained of the example of the optimising CDCL) is the following:

1. We start with a calculus OCDCL on (M, N, U, D, Op) .

2. This extended to a state $(M, N + \text{all-models-of-higher-cost}, U, D, Op)$.
3. Each transition step of OCDCL is mapped to a step in CDCL over the abstract state. The abstract set of clauses might be unsatisfiable, but we only use it to prove the invariants on the state. Only adding clause cannot be mapped to a transition over the abstract state, but adding clauses does not break the invariants (as long as the additional clauses do not contain duplicate literals).
4. The last proofs are done over CDCLopt.

We abstract about how the optimisation is done in the locale below: We define a calculus *cdcl-bnb* (for branch-and-bounds). It is parametrised by how the conflicting clauses are generated and the improvement criterion.

We later instantiate it with the optimisation calculus from Weidenbach's book.

Helper libraries

definition *model-on* :: $\langle 'v \text{ partial-interp} \Rightarrow 'v \text{ clauses} \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{model-on } I \ N \longleftrightarrow \text{consistent-interp } I \wedge \text{atm-of } 'I \subseteq \text{atms-of-mm } N \rangle$

CDCL BNB

locale *conflict-driven-clause-learning-with-adding-init-clause-bnb_W-no-state* =

state_W-no-state

state-eq state

— functions for the state:

— access functions:

trail init-clss learned-clss conflicting

— changing state:

cons-trail tl-trail add-learned-cls remove-cls

update-conflicting

— get state:

init-state

for

state-eq :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ (**infix** $\langle \sim \rangle$ 50) **and**

state :: $\langle 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times 'a \times 'b \rangle$ **and**

trail :: $\langle 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \rangle$ **and**

init-clss :: $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$ **and**

learned-clss :: $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$ **and**

conflicting :: $\langle 'st \Rightarrow 'v \text{ clause option} \rangle$ **and**

cons-trail :: $\langle ('v, 'v \text{ clause}) \text{ ann-lit} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

tl-trail :: $\langle 'st \Rightarrow 'st \rangle$ **and**

add-learned-cls :: $\langle 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

remove-cls :: $\langle 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

update-conflicting :: $\langle 'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

init-state :: $\langle 'v \text{ clauses} \Rightarrow 'st \rangle$ +

fixes

update-weight-information :: $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

is-improving-int :: $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'v \text{ clauses} \Rightarrow 'a \Rightarrow \text{bool} \rangle$ **and**

conflicting-clauses :: $\langle 'v \text{ clauses} \Rightarrow 'a \Rightarrow 'v \text{ clauses} \rangle$ **and**

weight :: $\langle 'st \Rightarrow 'a \rangle$

begin

abbreviation *is-improving where*

$\langle is-improving\ M\ M'\ S \equiv is-improving-int\ M\ M'\ (init-clss\ S)\ (weight\ S) \rangle$

definition *additional-info'* :: $\langle 'st \Rightarrow 'b \rangle$ **where**

$\langle additional-info'\ S = (\lambda(-, -, -, -, -, D). D)\ (state\ S) \rangle$

definition *conflicting-clss* :: $\langle 'st \Rightarrow 'v\ literal\ multiset\ multiset \rangle$ **where**

$\langle conflicting-clss\ S = conflicting-clauses\ (init-clss\ S)\ (weight\ S) \rangle$

While it would more be natural to add an sublocale with the extended version clause set, this actually causes a loop in the hierarchy structure (although with different parameters). Therefore, adding theorems (e.g. defining an inductive predicate) causes a loop.

definition *abs-state*

:: $\langle 'st \Rightarrow ('v, 'v\ clause)\ ann-lit\ list \times 'v\ clauses \times 'v\ clauses \times 'v\ clause\ option \rangle$

where

$\langle abs-state\ S = (trail\ S, init-clss\ S + conflicting-clss\ S, learned-clss\ S, conflicting\ S) \rangle$

end

locale *conflict-driven-clause-learning-with-adding-init-clause-bnb_W-ops =*

conflict-driven-clause-learning-with-adding-init-clause-bnb_W-no-state
state-eq state

— functions for the state:

— access functions:

trail init-clss learned-clss conflicting

— changing state:

cons-trail tl-trail add-learned-cls remove-cls

update-conflicting

— get state:

init-state

— Adding a clause:

update-weight-information is-improving-int conflicting-clauses weight

for

state-eq :: $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$ (**infix** $\langle \sim \rangle$ 50) **and**

state :: $\langle 'st \Rightarrow ('v, 'v\ clause)\ ann-lits \times 'v\ clauses \times 'v\ clauses \times 'v\ clause\ option \times 'a \times 'b \rangle$ **and**

trail :: $\langle 'st \Rightarrow ('v, 'v\ clause)\ ann-lits \rangle$ **and**

init-clss :: $\langle 'st \Rightarrow 'v\ clauses \rangle$ **and**

learned-clss :: $\langle 'st \Rightarrow 'v\ clauses \rangle$ **and**

conflicting :: $\langle 'st \Rightarrow 'v\ clause\ option \rangle$ **and**

cons-trail :: $\langle ('v, 'v\ clause)\ ann-lit \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

tl-trail :: $\langle 'st \Rightarrow 'st \rangle$ **and**

add-learned-cls :: $\langle 'v\ clause \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

remove-cls :: $\langle 'v\ clause \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

update-conflicting :: $\langle 'v\ clause\ option \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

init-state :: $\langle 'v\ clauses \Rightarrow 'st \rangle$ **and**

update-weight-information :: $\langle ('v, 'v\ clause)\ ann-lits \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

is-improving-int :: $\langle ('v, 'v\ clause)\ ann-lits \Rightarrow ('v, 'v\ clause)\ ann-lits \Rightarrow 'v\ clauses \Rightarrow 'a \Rightarrow bool \rangle$ **and**

conflicting-clauses :: $\langle 'v \text{ clauses} \Rightarrow 'a \Rightarrow 'v \text{ clauses} \rangle$ **and**
weight :: $\langle 'st \Rightarrow 'a \rangle +$
assumes
state-prop':
 $\langle \text{state } S = (\text{trail } S, \text{init-clss } S, \text{learned-clss } S, \text{conflicting } S, \text{weight } S, \text{additional-info}' S) \rangle$
and
update-weight-information:
 $\langle \text{state } S = (M, N, U, C, w, \text{other}) \Longrightarrow$
 $\exists w'. \text{state } (\text{update-weight-information } T S) = (M, N, U, C, w', \text{other}) \rangle$ **and**
atms-of-conflicting-clss:
 $\langle \text{atms-of-mm } (\text{conflicting-clss } S) \subseteq \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**
distinct-mset-mset-conflicting-clss:
 $\langle \text{distinct-mset-mset } (\text{conflicting-clss } S) \rangle$ **and**
conflicting-clss-update-weight-information-mono:
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \Longrightarrow \text{is-improving } M M' S \Longrightarrow$
 $\text{conflicting-clss } S \subseteq \# \text{conflicting-clss } (\text{update-weight-information } M' S) \rangle$
and
conflicting-clss-update-weight-information-in:
 $\langle \text{is-improving } M M' S \Longrightarrow$
 $\text{negate-ann-lits } M' \in \# \text{conflicting-clss } (\text{update-weight-information } M' S) \rangle$
begin

Conversion to CDCL *sublocale conflict-driven-clause-learning_W where*

state-eq = *state-eq* **and**
state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-clss = *add-learned-clss* **and**
remove-clss = *remove-clss* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*
 $\langle \text{proof} \rangle$

Overall simplification on states *declare reduce-trail-to-skip-beginning[simp]*

lemma *state-eq-weight*[*state-simp*, *simp*]: $\langle S \sim T \Longrightarrow \text{weight } S = \text{weight } T \rangle$
 $\langle \text{proof} \rangle$

lemma *conflicting-clause-state-eq*[*state-simp*, *simp*]:
 $\langle S \sim T \Longrightarrow \text{conflicting-clss } S = \text{conflicting-clss } T \rangle$
 $\langle \text{proof} \rangle$

lemma

weight-cons-trail[*simp*]:
 $\langle \text{weight } (\text{cons-trail } L S) = \text{weight } S \rangle$ **and**
weight-update-conflicting[*simp*]:
 $\langle \text{weight } (\text{update-conflicting } C S) = \text{weight } S \rangle$ **and**
weight-tl-trail[*simp*]:
 $\langle \text{weight } (\text{tl-trail } S) = \text{weight } S \rangle$ **and**
weight-add-learned-clss[*simp*]:

$\langle \text{weight } (\text{add-learned-cls } D \ S) = \text{weight } S \rangle$
 $\langle \text{proof} \rangle$

lemma *update-weight-information-simp*[simp]:

$\langle \text{trail } (\text{update-weight-information } C \ S) = \text{trail } S \rangle$
 $\langle \text{init-clss } (\text{update-weight-information } C \ S) = \text{init-clss } S \rangle$
 $\langle \text{learned-clss } (\text{update-weight-information } C \ S) = \text{learned-clss } S \rangle$
 $\langle \text{clauses } (\text{update-weight-information } C \ S) = \text{clauses } S \rangle$
 $\langle \text{backtrack-lvl } (\text{update-weight-information } C \ S) = \text{backtrack-lvl } S \rangle$
 $\langle \text{conflicting } (\text{update-weight-information } C \ S) = \text{conflicting } S \rangle$
 $\langle \text{proof} \rangle$

lemma

conflicting-clss-cons-trail[simp]: $\langle \text{conflicting-clss } (\text{cons-trail } K \ S) = \text{conflicting-clss } S \rangle$ **and**
conflicting-clss-tl-trail[simp]: $\langle \text{conflicting-clss } (\text{tl-trail } S) = \text{conflicting-clss } S \rangle$ **and**
conflicting-clss-add-learned-cls[simp]:
 $\langle \text{conflicting-clss } (\text{add-learned-cls } D \ S) = \text{conflicting-clss } S \rangle$ **and**
conflicting-clss-update-conflicting[simp]:
 $\langle \text{conflicting-clss } (\text{update-conflicting } E \ S) = \text{conflicting-clss } S \rangle$
 $\langle \text{proof} \rangle$

lemma *conflicting-abs-state-conflicting*[simp]:

$\langle \text{CDCL-}W\text{-Abstract-State.conflicting } (\text{abs-state } S) = \text{conflicting } S \rangle$ **and**
clauses-abs-state[simp]:
 $\langle \text{cdcl}_W\text{-restart-mset.clauses } (\text{abs-state } S) = \text{clauses } S + \text{conflicting-clss } S \rangle$ **and**
abs-state-tl-trail[simp]:
 $\langle \text{abs-state } (\text{tl-trail } S) = \text{CDCL-}W\text{-Abstract-State.tl-trail } (\text{abs-state } S) \rangle$ **and**
abs-state-add-learned-cls[simp]:
 $\langle \text{abs-state } (\text{add-learned-cls } C \ S) = \text{CDCL-}W\text{-Abstract-State.add-learned-cls } C \ (\text{abs-state } S) \rangle$ **and**
abs-state-update-conflicting[simp]:
 $\langle \text{abs-state } (\text{update-conflicting } D \ S) = \text{CDCL-}W\text{-Abstract-State.update-conflicting } D \ (\text{abs-state } S) \rangle$
 $\langle \text{proof} \rangle$

lemma *sim-abs-state-simp*: $\langle S \sim T \implies \text{abs-state } S = \text{abs-state } T \rangle$

$\langle \text{proof} \rangle$

lemma *reduce-trail-to-update-weight-information*[simp]:

$\langle \text{trail } (\text{reduce-trail-to } M \ (\text{update-weight-information } M' \ S)) = \text{trail } (\text{reduce-trail-to } M \ S) \rangle$
 $\langle \text{proof} \rangle$

lemma *additional-info-weight-additional-info'*: $\langle \text{additional-info } S = (\text{weight } S, \text{additional-info}' \ S) \rangle$

$\langle \text{proof} \rangle$

lemma

weight-reduce-trail-to[simp]: $\langle \text{weight } (\text{reduce-trail-to } M \ S) = \text{weight } S \rangle$ **and**
additional-info'-reduce-trail-to[simp]: $\langle \text{additional-info}' (\text{reduce-trail-to } M \ S) = \text{additional-info}' \ S \rangle$
 $\langle \text{proof} \rangle$

lemma *conflicting-clss-reduce-trail-to*[simp]:

$\langle \text{conflicting-clss } (\text{reduce-trail-to } M \ S) = \text{conflicting-clss } S \rangle$
 $\langle \text{proof} \rangle$

lemma *trail-trail* [simp]:

$\langle \text{CDCL-}W\text{-Abstract-State.trail } (\text{abs-state } S) = \text{trail } S \rangle$
 $\langle \text{proof} \rangle$

lemma $[simp]$:

$\langle CDCL-W-Abstract-State.trail (cdcl_W-restart-mset.reduce-trail-to M (abs-state S)) =$
 $trail (reduce-trail-to M S) \rangle$
 $\langle proof \rangle$

lemma $abs-state-cons-trail[simp]$:

$\langle abs-state (cons-trail K S) = CDCL-W-Abstract-State.cons-trail K (abs-state S) \rangle$ **and**
 $abs-state-reduce-trail-to[simp]$:
 $\langle abs-state (reduce-trail-to M S) = cdcl_W-restart-mset.reduce-trail-to M (abs-state S) \rangle$
 $\langle proof \rangle$

lemma $learned-clss-learned-clss[simp]$:

$\langle CDCL-W-Abstract-State.learned-clss (abs-state S) = learned-clss S \rangle$
 $\langle proof \rangle$

lemma $state-eq-init-clss-abs-state[state-simp, simp]$:

$\langle S \sim T \implies CDCL-W-Abstract-State.init-clss (abs-state S) = CDCL-W-Abstract-State.init-clss (abs-state$
 $T) \rangle$
 $\langle proof \rangle$

lemma

$init-clss-abs-state-update-conflicting[simp]$:

$\langle CDCL-W-Abstract-State.init-clss (abs-state (update-conflicting (Some D) S)) =$
 $CDCL-W-Abstract-State.init-clss (abs-state S) \rangle$ **and**

$init-clss-abs-state-cons-trail[simp]$:

$\langle CDCL-W-Abstract-State.init-clss (abs-state (cons-trail K S)) =$
 $CDCL-W-Abstract-State.init-clss (abs-state S) \rangle$

$\langle proof \rangle$

CDCL with branch-and-bound inductive $conflict-opt :: \langle 'st \Rightarrow 'st \Rightarrow bool \rangle$ **for** $S T :: 'st$
where

$conflict-opt-rule$:

$\langle conflict-opt S T \rangle$

if

$\langle negate-ann-lits (trail S) \in \# conflicting-clss S \rangle$

$\langle conflicting S = None \rangle$

$\langle T \sim update-conflicting (Some (negate-ann-lits (trail S))) S \rangle$

inductive-cases $conflict-optE$: $\langle conflict-opt S T \rangle$

inductive $improvep :: \langle 'st \Rightarrow 'st \Rightarrow bool \rangle$ **for** $S :: 'st$ **where**

$improve-rule$:

$\langle improvep S T \rangle$

if

$\langle is-improving (trail S) M' S \rangle$ **and**

$\langle conflicting S = None \rangle$ **and**

$\langle T \sim update-weight-information M' S \rangle$

inductive-cases $improveE$: $\langle improvep S T \rangle$

lemma $invs-update-weight-information[simp]$:

$\langle no-strange-atm (update-weight-information C S) = (no-strange-atm S) \rangle$

$\langle cdcl_W-M-level-inv (update-weight-information C S) = cdcl_W-M-level-inv S \rangle$

$\langle distinct-cdcl_W-state (update-weight-information C S) = distinct-cdcl_W-state S \rangle$

$\langle cdcl_W-conflicting (update-weight-information C S) = cdcl_W-conflicting S \rangle$

$\langle \text{cdcl}_W\text{-learned-clause (update-weight-information } C S) = \text{cdcl}_W\text{-learned-clause } S \rangle$
 $\langle \text{proof} \rangle$

lemma *conflict-opt-cdcl_W-all-struct-inv:*

assumes $\langle \text{conflict-opt } S T \rangle$ **and**
 $\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$
shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *improve-cdcl_W-all-struct-inv:*

assumes $\langle \text{improved } S T \rangle$ **and**
 $\text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$
shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

cdcl_W-restart-mset.cdcl_W-stgy-invariant is too restrictive: *cdcl_W-restart-mset.no-smaller-conflict* is needed but does not hold (at least, if cannot ensure that conflicts are found as soon as possible).

lemma *improve-no-smaller-conflict:*

assumes $\langle \text{improved } S T \rangle$ **and**
 $\langle \text{no-smaller-conflict } S \rangle$
shows $\langle \text{no-smaller-conflict } T \rangle$ **and** $\langle \text{conflict-is-false-with-level } T \rangle$
 $\langle \text{proof} \rangle$

lemma *conflict-opt-no-smaller-conflict:*

assumes $\langle \text{conflict-opt } S T \rangle$ **and**
 $\langle \text{no-smaller-conflict } S \rangle$
shows $\langle \text{no-smaller-conflict } T \rangle$ **and** $\langle \text{conflict-is-false-with-level } T \rangle$
 $\langle \text{proof} \rangle$

fun *no-conflict-prop-impr* **where**

$\langle \text{no-conflict-prop-impr } S \longleftrightarrow$
 $\text{no-step propagate } S \wedge \text{no-step conflict } S \rangle$

We use a slightly generalised form of backtrack to make conflict clause minimisation possible.

inductive *obacktrack* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S :: 'st$ **where**

obacktrack-rule: \langle

$\text{conflicting } S = \text{Some (add-mset } L D) \Longrightarrow$
 $(\text{Decided } K \# M1, M2) \in \text{set (get-all-ann-decomposition (trail } S)) \Longrightarrow$
 $\text{get-level (trail } S) L = \text{backtrack-lvl } S \Longrightarrow$
 $\text{get-level (trail } S) L = \text{get-maximum-level (trail } S) (\text{add-mset } L D') \Longrightarrow$
 $\text{get-maximum-level (trail } S) D' \equiv i \Longrightarrow$
 $\text{get-level (trail } S) K = i + 1 \Longrightarrow$
 $D' \subseteq \# D \Longrightarrow$
 $\text{clauses } S + \text{conflicting-clss } S \models \text{pm add-mset } L D' \Longrightarrow$
 $T \sim \text{cons-trail (Propagated } L (\text{add-mset } L D'))$
 $(\text{reduce-trail-to } M1$
 $(\text{add-learned-cls (add-mset } L D')$
 $(\text{update-conflicting None } S))) \Longrightarrow$
 $\text{obacktrack } S T \rangle$

inductive-cases *obacktrackE:* $\langle \text{obacktrack } S T \rangle$

inductive *cdcl-bnb-bj* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

skip: $\langle \text{skip } S S' \Longrightarrow \text{cdcl-bnb-bj } S S' \rangle$ |
resolve: $\langle \text{resolve } S S' \Longrightarrow \text{cdcl-bnb-bj } S S' \rangle$ |

backtrack: $\langle \text{obacktrack } S \ S' \implies \text{cdcl-bnb-bj } S \ S' \rangle$

inductive-cases *cdcl-bnb-bjE*: $\langle \text{cdcl-bnb-bj } S \ T \rangle$

inductive *ocdcl_{W-o}* :: $\langle 'st \implies 'st \implies \text{bool} \rangle$ **for** $S :: 'st$ **where**

decide: $\langle \text{decide } S \ S' \implies \text{ocdcl}_{W-o} \ S \ S' \rangle \mid$

bj: $\langle \text{cdcl-bnb-bj } S \ S' \implies \text{ocdcl}_{W-o} \ S \ S' \rangle$

inductive *cdcl-bnb* :: $\langle 'st \implies 'st \implies \text{bool} \rangle$ **for** $S :: 'st$ **where**

cdcl-conflict: $\langle \text{conflict } S \ S' \implies \text{cdcl-bnb } S \ S' \rangle \mid$

cdcl-propagate: $\langle \text{propagate } S \ S' \implies \text{cdcl-bnb } S \ S' \rangle \mid$

cdcl-improve: $\langle \text{improvep } S \ S' \implies \text{cdcl-bnb } S \ S' \rangle \mid$

cdcl-conflict-opt: $\langle \text{conflict-opt } S \ S' \implies \text{cdcl-bnb } S \ S' \rangle \mid$

cdcl-other': $\langle \text{ocdcl}_{W-o} \ S \ S' \implies \text{cdcl-bnb } S \ S' \rangle$

inductive *cdcl-bnb-stgy* :: $\langle 'st \implies 'st \implies \text{bool} \rangle$ **for** $S :: 'st$ **where**

cdcl-bnb-conflict: $\langle \text{conflict } S \ S' \implies \text{cdcl-bnb-stgy } S \ S' \rangle \mid$

cdcl-bnb-propagate: $\langle \text{propagate } S \ S' \implies \text{cdcl-bnb-stgy } S \ S' \rangle \mid$

cdcl-bnb-improve: $\langle \text{improvep } S \ S' \implies \text{cdcl-bnb-stgy } S \ S' \rangle \mid$

cdcl-bnb-conflict-opt: $\langle \text{conflict-opt } S \ S' \implies \text{cdcl-bnb-stgy } S \ S' \rangle \mid$

cdcl-bnb-other': $\langle \text{ocdcl}_{W-o} \ S \ S' \implies \text{no-conflict-prop-impr } S \implies \text{cdcl-bnb-stgy } S \ S' \rangle$

lemma *ocdcl_{W-o}-induct*[consumes 1, case-names *decide skip resolve backtrack*]:

fixes $S :: 'st$

assumes *cdcl_{W-o}-restart*: $\langle \text{ocdcl}_{W-o} \ S \ T \rangle$ **and**

decideH: $\bigwedge L \ T. \text{conflicting } S = \text{None} \implies \text{undefined-lit } (\text{trail } S) \ L \implies$

$\text{atm-of } L \in \text{atms-of-mm } (\text{init-cls } S) \implies$

$T \sim \text{cons-trail } (\text{Decided } L) \ S \implies$

$P \ S \ T$ **and**

skipH: $\bigwedge L \ C' \ M \ E \ T.$

$\text{trail } S = \text{Propagated } L \ C' \ \# \ M \implies$

$\text{conflicting } S = \text{Some } E \implies$

$-L \notin \# \ E \implies E \neq \{\#\} \implies$

$T \sim \text{tl-trail } S \implies$

$P \ S \ T$ **and**

resolveH: $\bigwedge L \ E \ M \ D \ T.$

$\text{trail } S = \text{Propagated } L \ E \ \# \ M \implies$

$L \in \# \ E \implies$

$\text{hd-trail } S = \text{Propagated } L \ E \implies$

$\text{conflicting } S = \text{Some } D \implies$

$-L \in \# \ D \implies$

$\text{get-maximum-level } (\text{trail } S) \ ((\text{remove1-mset } (-L) \ D)) = \text{backtrack-lvl } S \implies$

$T \sim \text{update-conflicting}$

$(\text{Some } (\text{resolve-cls } L \ D \ E)) \ (\text{tl-trail } S) \implies$

$P \ S \ T$ **and**

backtrackH: $\bigwedge L \ D \ K \ i \ M1 \ M2 \ T \ D'.$

$\text{conflicting } S = \text{Some } (\text{add-mset } L \ D) \implies$

$(\text{Decided } K \ \# \ M1, \ M2) \in \text{set } (\text{get-all-ann-decomposition } (\text{trail } S)) \implies$

$\text{get-level } (\text{trail } S) \ L = \text{backtrack-lvl } S \implies$

$\text{get-level } (\text{trail } S) \ L = \text{get-maximum-level } (\text{trail } S) \ (\text{add-mset } L \ D') \implies$

$\text{get-maximum-level } (\text{trail } S) \ D' \equiv i \implies$

$\text{get-level } (\text{trail } S) \ K = i+1 \implies$

$D' \subseteq \# \ D \implies$

$\text{clauses } S + \text{conflicting-cls } S \models_{\text{pm}} \text{add-mset } L \ D' \implies$

$T \sim \text{cons-trail } (\text{Propagated } L \ (\text{add-mset } L \ D'))$

$(\text{reduce-trail-to } M1)$

$(\text{add-learned-cls } (\text{add-mset } L \ D') \ (\text{update-conflicting } \text{None } S)) \implies$
 $P \ S \ T$
shows $\langle P \ S \ T \rangle$
 $\langle \text{proof} \rangle$

lemma *obacktrack-backtrackg*: $\langle \text{obacktrack } S \ T \implies \text{backtrackg } S \ T \rangle$
 $\langle \text{proof} \rangle$

Plugging into normal CDCL

lemma *cdcl-bnb-no-more-init-clss*:
 $\langle \text{cdcl-bnb } S \ S' \implies \text{init-clss } S = \text{init-clss } S' \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-no-more-init-clss*:
 $\langle \text{cdcl-bnb}^{**} \ S \ S' \implies \text{init-clss } S = \text{init-clss } S' \rangle$
 $\langle \text{proof} \rangle$

lemma *conflict-opt-conflict*:
 $\langle \text{conflict-opt } S \ T \implies \text{cdcl}_W\text{-restart-mset.conflict } (\text{abs-state } S) \ (\text{abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *conflict-conflict*:
 $\langle \text{conflict } S \ T \implies \text{cdcl}_W\text{-restart-mset.conflict } (\text{abs-state } S) \ (\text{abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *propagate-propagate*:
 $\langle \text{propagate } S \ T \implies \text{cdcl}_W\text{-restart-mset.propagate } (\text{abs-state } S) \ (\text{abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *decide-decide*:
 $\langle \text{decide } S \ T \implies \text{cdcl}_W\text{-restart-mset.decide } (\text{abs-state } S) \ (\text{abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *skip-skip*:
 $\langle \text{skip } S \ T \implies \text{cdcl}_W\text{-restart-mset.skip } (\text{abs-state } S) \ (\text{abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *resolve-resolve*:
 $\langle \text{resolve } S \ T \implies \text{cdcl}_W\text{-restart-mset.resolve } (\text{abs-state } S) \ (\text{abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *backtrack-backtrack*:
 $\langle \text{obacktrack } S \ T \implies \text{cdcl}_W\text{-restart-mset.backtrack } (\text{abs-state } S) \ (\text{abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *ocdcl_W-o-all-rules-induct*[*consumes 1, case-names decide backtrack skip resolve*]:
fixes $S \ T :: 'st$
assumes
 $\langle \text{ocdcl}_W\text{-o } S \ T \rangle$ **and**
 $\langle \bigwedge T. \text{decide } S \ T \implies P \ S \ T \rangle$ **and**
 $\langle \bigwedge T. \text{obacktrack } S \ T \implies P \ S \ T \rangle$ **and**
 $\langle \bigwedge T. \text{skip } S \ T \implies P \ S \ T \rangle$ **and**
 $\langle \bigwedge T. \text{resolve } S \ T \implies P \ S \ T \rangle$

shows $\langle P S T \rangle$
 $\langle \text{proof} \rangle$

lemma $\text{cdcl}_W\text{-o-cdcl}_W\text{-o}$:
 $\langle \text{ocdcl}_W\text{-o } S S' \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-o } (\text{abs-state } S) (\text{abs-state } S') \rangle$
 $\langle \text{proof} \rangle$

lemma $\text{cdcl-bnb-stgy-all-struct-inv}$:
assumes $\langle \text{cdcl-bnb } S T \rangle$ **and** $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$
shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma $\text{rtranclp-cdcl-bnb-stgy-all-struct-inv}$:
assumes $\langle \text{cdcl-bnb}^{**} S T \rangle$ **and** $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$
shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma $\text{cdcl-bnb-stgy-cdcl}_W\text{-or-improve}$:
assumes $\langle \text{cdcl-bnb } S T \rangle$ **and** $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$
shows $\langle (\lambda S T. \text{cdcl}_W\text{-restart-mset.cdcl}_W (\text{abs-state } S) (\text{abs-state } T) \vee \text{improvep } S T) S T \rangle$
 $\langle \text{proof} \rangle$

lemma $\text{rtranclp-cdcl-bnb-stgy-cdcl}_W\text{-or-improve}$:
assumes $\langle \text{rtranclp cdcl-bnb } S T \rangle$ **and** $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$
shows $\langle (\lambda S T. \text{cdcl}_W\text{-restart-mset.cdcl}_W (\text{abs-state } S) (\text{abs-state } T) \vee \text{improvep } S T)^{**} S T \rangle$
 $\langle \text{proof} \rangle$

lemma $\text{eq-diff-subset-iff}$: $\langle A = B + (A - B) \longleftrightarrow B \subseteq\# A \rangle$
 $\langle \text{proof} \rangle$

lemma $\text{cdcl-bnb-conflicting-cls-mono}$:
 $\langle \text{cdcl-bnb } S T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \implies$
 $\text{conflicting-cls } S \subseteq\# \text{conflicting-cls } T \rangle$
 $\langle \text{proof} \rangle$

lemma $\text{cdcl-or-improve-cdclD}$:
assumes $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
 $\langle \text{cdcl-bnb } S T \rangle$
shows $\langle \exists N.$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W^{**} (\text{trail } S, \text{init-cls } S + N, \text{learned-cls } S, \text{conflicting } S) (\text{abs-state } T) \wedge$
 $\text{CDCL-W-Abstract-State.init-cls } (\text{abs-state } T) = \text{init-cls } S + N \rangle$
 $\langle \text{proof} \rangle$

lemma $\text{rtranclp-cdcl-or-improve-cdclD}$:
assumes $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
 $\langle \text{cdcl-bnb}^{**} S T \rangle$
shows $\langle \exists N.$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W^{**} (\text{trail } S, \text{init-cls } S + N, \text{learned-cls } S, \text{conflicting } S) (\text{abs-state } T) \wedge$
 $\text{CDCL-W-Abstract-State.init-cls } (\text{abs-state } T) = \text{init-cls } S + N \rangle$
 $\langle \text{proof} \rangle$

definition $\text{cdcl-bnb-struct-invs}$:: $\langle 'st \Rightarrow \text{bool} \rangle$ **where**
 $\langle \text{cdcl-bnb-struct-invs } S \longleftrightarrow$

$atms\text{-of}\text{-mm} (\text{conflicting}\text{-class } S) \subseteq atms\text{-of}\text{-mm} (\text{init}\text{-class } S)$

lemma *cdcl-bnb-cdcl-bnb-struct-invs*:

$\langle cdcl\text{-bnb } S T \implies cdcl\text{-bnb}\text{-struct}\text{-invs } S \implies cdcl\text{-bnb}\text{-struct}\text{-invs } T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-cdcl-bnb-struct-invs*:

$\langle cdcl\text{-bnb}^{**} S T \implies cdcl\text{-bnb}\text{-struct}\text{-invs } S \implies cdcl\text{-bnb}\text{-struct}\text{-invs } T \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl-bnb-stgy-cdcl-bnb*: $\langle cdcl\text{-bnb}\text{-stgy } S T \implies cdcl\text{-bnb } S T \rangle$

$\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-stgy-cdcl-bnb*: $\langle cdcl\text{-bnb}\text{-stgy}^{**} S T \implies cdcl\text{-bnb}^{**} S T \rangle$

$\langle \text{proof} \rangle$

The following does *not* hold, because we cannot guarantee the absence of conflict of smaller level after *improve* and *conflict-opt*.

lemma *cdcl-bnb-all-stgy-inv*:

assumes $\langle cdcl\text{-bnb } S T \rangle$ **and** $\langle cdcl_W\text{-restart}\text{-mset}.cdcl_W\text{-all}\text{-struct}\text{-inv} (\text{abs}\text{-state } S) \rangle$ **and**
 $\langle cdcl_W\text{-restart}\text{-mset}.cdcl_W\text{-stgy}\text{-invariant} (\text{abs}\text{-state } S) \rangle$
shows $\langle cdcl_W\text{-restart}\text{-mset}.cdcl_W\text{-stgy}\text{-invariant} (\text{abs}\text{-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *skip-conflict-is-false-with-level*:

assumes $\langle \text{skip } S T \rangle$ **and**
 $\text{struct}\text{-inv}: \langle cdcl_W\text{-restart}\text{-mset}.cdcl_W\text{-all}\text{-struct}\text{-inv} (\text{abs}\text{-state } S) \rangle$ **and**
 $\text{confl}\text{-inv}: \langle \text{conflict}\text{-is}\text{-false}\text{-with}\text{-level } S \rangle$
shows $\langle \text{conflict}\text{-is}\text{-false}\text{-with}\text{-level } T \rangle$
 $\langle \text{proof} \rangle$

lemma *propagate-conflict-is-false-with-level*:

assumes $\langle \text{propagate } S T \rangle$ **and**
 $\text{struct}\text{-inv}: \langle cdcl_W\text{-restart}\text{-mset}.cdcl_W\text{-all}\text{-struct}\text{-inv} (\text{abs}\text{-state } S) \rangle$ **and**
 $\text{confl}\text{-inv}: \langle \text{conflict}\text{-is}\text{-false}\text{-with}\text{-level } S \rangle$
shows $\langle \text{conflict}\text{-is}\text{-false}\text{-with}\text{-level } T \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl_W-o-conflict-is-false-with-level*:

assumes $\langle cdcl_W\text{-o } S T \rangle$ **and**
 $\text{struct}\text{-inv}: \langle cdcl_W\text{-restart}\text{-mset}.cdcl_W\text{-all}\text{-struct}\text{-inv} (\text{abs}\text{-state } S) \rangle$ **and**
 $\text{confl}\text{-inv}: \langle \text{conflict}\text{-is}\text{-false}\text{-with}\text{-level } S \rangle$
shows $\langle \text{conflict}\text{-is}\text{-false}\text{-with}\text{-level } T \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl_W-o-no-smaller-confl*:

assumes $\langle cdcl_W\text{-o } S T \rangle$ **and**
 $\text{struct}\text{-inv}: \langle cdcl_W\text{-restart}\text{-mset}.cdcl_W\text{-all}\text{-struct}\text{-inv} (\text{abs}\text{-state } S) \rangle$ **and**
 $\text{confl}\text{-inv}: \langle \text{no}\text{-smaller}\text{-confl } S \rangle$ **and**
 $\text{lev}: \langle \text{conflict}\text{-is}\text{-false}\text{-with}\text{-level } S \rangle$ **and**
 $n\text{-s}: \langle \text{no}\text{-confl}\text{-prop}\text{-impr } S \rangle$
shows $\langle \text{no}\text{-smaller}\text{-confl } T \rangle$
 $\langle \text{proof} \rangle$

declare $cdcl_W\text{-restart}\text{-mset}.conflict\text{-is}\text{-false}\text{-with}\text{-level}\text{-def}$ [simp del]

lemma *improve-conflict-is-false-with-level*:

assumes $\langle \text{improved } S \ T \rangle$ **and** $\langle \text{conflict-is-false-with-level } S \rangle$
shows $\langle \text{conflict-is-false-with-level } T \rangle$
 $\langle \text{proof} \rangle$

declare *conflict-is-false-with-level-def*[*simp del*]

lemma *cdcl_W-M-level-inv-cdcl_W-M-level-inv*[*iff*]:

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-M-level-inv (abs-state } S) = \text{cdcl}_W\text{-M-level-inv } S \rangle$
 $\langle \text{proof} \rangle$

lemma *obacktrack-state-eq-compatible*:

assumes
 $bt: \langle \text{obacktrack } S \ T \rangle$ **and**
 $SS': \langle S \sim S' \rangle$ **and**
 $TT': \langle T \sim T' \rangle$
shows $\langle \text{obacktrack } S' \ T' \rangle$

$\langle \text{proof} \rangle$

lemma *ocdcl_W-o-no-smaller-conflict-inv*:

fixes $S \ S' :: \langle 'st \rangle$
assumes
 $\langle \text{ocdcl}_W\text{-o } S \ S' \rangle$ **and**
 $n\text{-s}: \langle \text{no-step conflict } S \rangle$ **and**
 $lev: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**
 $max\text{-lev}: \langle \text{conflict-is-false-with-level } S \rangle$ **and**
 $smaller: \langle \text{no-smaller-conflict } S \rangle$
shows $\langle \text{no-smaller-conflict } S' \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl-bnb-stgy-no-smaller-conflict*:

assumes $\langle \text{cdcl-bnb-stgy } S \ T \rangle$ **and**
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**
 $\langle \text{no-smaller-conflict } S \rangle$ **and**
 $\langle \text{conflict-is-false-with-level } S \rangle$
shows $\langle \text{no-smaller-conflict } T \rangle$
 $\langle \text{proof} \rangle$

lemma *ocdcl_W-o-conflict-is-false-with-level-inv*:

assumes
 $\langle \text{ocdcl}_W\text{-o } S \ S' \rangle$ **and**
 $lev: \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**
 $\text{conflict-inv}: \langle \text{conflict-is-false-with-level } S \rangle$
shows $\langle \text{conflict-is-false-with-level } S' \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl-bnb-stgy-conflict-is-false-with-level*:

assumes $\langle \text{cdcl-bnb-stgy } S \ T \rangle$ **and**
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**
 $\langle \text{no-smaller-conflict } S \rangle$ **and**
 $\langle \text{conflict-is-false-with-level } S \rangle$
shows $\langle \text{conflict-is-false-with-level } T \rangle$
 $\langle \text{proof} \rangle$

lemma *decided-cons-eq-append-decide-cons*: $\langle \text{Decided } L \ \# \ MM = M' \ @ \ \text{Decided } K \ \# \ M \longleftrightarrow \rangle$

$(M' \neq [] \wedge hd M' = Decided L \wedge MM = tl M' @ Decided K \# M) \vee$
 $(M' = [] \wedge L = K \wedge MM = M)$
 <proof>

lemma *either-all-false-or-earliest-decomposition:*

shows $\langle (\forall K K'. L = K' @ K \longrightarrow \neg P K) \vee$
 $(\exists L' L''. L = L'' @ L' \wedge P L' \wedge (\forall K K'. L' = K' @ K \longrightarrow K' \neq [] \longrightarrow \neg P K)) \rangle$
 <proof>

lemma *trail-is-improving-Ex-improve:*

assumes *conf*: $\langle conflicting S = None \rangle$ **and**
imp: $\langle is-improving (trail S) M' S \rangle$
shows $\langle Ex (improvep S) \rangle$
 <proof>

definition *cdcl-bnb-stgy-inv* :: $\langle 'st \Rightarrow bool \rangle$ **where**

$\langle cdcl-bnb-stgy-inv S \longleftrightarrow conflict-is-false-with-level S \wedge no-smaller-confl S \rangle$

lemma *cdcl-bnb-stgy-invD:*

shows $\langle cdcl-bnb-stgy-inv S \longleftrightarrow cdcl_W-stgy-invariant S \rangle$
 <proof>

lemma *cdcl-bnb-stgy-stgy-inv:*

$\langle cdcl-bnb-stgy S T \Longrightarrow cdcl_W-restart-mset.cdcl_W-all-struct-inv (abs-state S) \Longrightarrow$
 $cdcl-bnb-stgy-inv S \Longrightarrow cdcl-bnb-stgy-inv T \rangle$
 <proof>

lemma *rtranclp-cdcl-bnb-stgy-stgy-inv:*

$\langle cdcl-bnb-stgy^{**} S T \Longrightarrow cdcl_W-restart-mset.cdcl_W-all-struct-inv (abs-state S) \Longrightarrow$
 $cdcl-bnb-stgy-inv S \Longrightarrow cdcl-bnb-stgy-inv T \rangle$
 <proof>

lemma *cdcl-bnb-cdcl_W-learned-clauses-entailed-by-init:*

assumes
 $\langle cdcl-bnb S T \rangle$ **and**
entailed: $\langle cdcl_W-restart-mset.cdcl_W-learned-clauses-entailed-by-init (abs-state S) \rangle$ **and**
all-struct: $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv (abs-state S) \rangle$
shows $\langle cdcl_W-restart-mset.cdcl_W-learned-clauses-entailed-by-init (abs-state T) \rangle$
 <proof>

lemma *rtranclp-cdcl-bnb-cdcl_W-learned-clauses-entailed-by-init:*

assumes
 $\langle cdcl-bnb^{**} S T \rangle$ **and**
entailed: $\langle cdcl_W-restart-mset.cdcl_W-learned-clauses-entailed-by-init (abs-state S) \rangle$ **and**
all-struct: $\langle cdcl_W-restart-mset.cdcl_W-all-struct-inv (abs-state S) \rangle$
shows $\langle cdcl_W-restart-mset.cdcl_W-learned-clauses-entailed-by-init (abs-state T) \rangle$
 <proof>

lemma *atms-of-init-clss-conflicting-clss2[simp]:*

$\langle atms-of-mm (init-clss S) \cup atms-of-mm (conflicting-clss S) = atms-of-mm (init-clss S) \rangle$
 <proof>

lemma *no-strange-atm-no-strange-atm[simp]:*

$\langle cdcl_W-restart-mset.no-strange-atm (abs-state S) = no-strange-atm S \rangle$
 <proof>

lemma *cdcl_W-conflicting-cdcl_W-conflicting[simp]*:
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-conflicting (abs-state } S) = \text{cdcl}_W\text{-conflicting } S \rangle$
 $\langle \text{proof} \rangle$

lemma *distinct-cdcl_W-state-distinct-cdcl_W-state*:
 $\langle \text{cdcl}_W\text{-restart-mset.distinct-cdcl}_W\text{-state (abs-state } S) \implies \text{distinct-cdcl}_W\text{-state } S \rangle$
 $\langle \text{proof} \rangle$

lemma *obacktrack-imp-backtrack*:
 $\langle \text{obacktrack } S \ T \implies \text{cdcl}_W\text{-restart-mset.backtrack (abs-state } S) \text{ (abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *backtrack-imp-obacktrack*:
 $\langle \text{cdcl}_W\text{-restart-mset.backtrack (abs-state } S) \ T \implies \text{Ex (obacktrack } S) \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl_W-same-weight*: $\langle \text{cdcl}_W \ S \ U \implies \text{weight } S = \text{weight } U \rangle$
 $\langle \text{proof} \rangle$

lemma *ocdcl_W-o-same-weight*: $\langle \text{ocdcl}_W\text{-o } S \ U \implies \text{weight } S = \text{weight } U \rangle$
 $\langle \text{proof} \rangle$

This is a proof artefact: it is easier to reason on *improvep* when the set of initial clauses is fixed (here by N). The next theorem shows that the conclusion is equivalent to not fixing the set of clauses.

lemma *wf-cdcl-bnb*:
assumes *improve*: $\langle \bigwedge S \ T. \text{improvep } S \ T \implies \text{init-clss } S = N \implies (\nu (\text{weight } T), \nu (\text{weight } S)) \in R \rangle$
and
wf-R: $\langle \text{wf } R \rangle$
shows $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \wedge \text{cdcl-bnb } S \ T \wedge \text{init-clss } S = N\} \rangle$
(is $\langle \text{wf } ?A \rangle$
 $\langle \text{proof} \rangle$

corollary *wf-cdcl-bnb-fixed-iff*:
shows $\langle (\forall N. \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \wedge \text{cdcl-bnb } S \ T \wedge \text{init-clss } S = N\}) \longleftrightarrow \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \wedge \text{cdcl-bnb } S \ T\} \rangle$
(is $\langle (\forall N. \text{wf } (?A \ N)) \longleftrightarrow \text{wf } ?B \rangle$
 $\langle \text{proof} \rangle$

The following is a slightly more restricted version of the theorem, because it makes it possible to add some specific invariant, which can be useful when the proof of the decreasing is complicated.

lemma *wf-cdcl-bnb-with-additional-inv*:
assumes *improve*: $\langle \bigwedge S \ T. \text{improvep } S \ T \implies P \ S \implies \text{init-clss } S = N \implies (\nu (\text{weight } T), \nu (\text{weight } S)) \in R \rangle$ **and**
wf-R: $\langle \text{wf } R \rangle$ **and**
 $\langle \bigwedge S \ T. \text{cdcl-bnb } S \ T \implies P \ S \implies \text{init-clss } S = N \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \implies P \ T \rangle$
shows $\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \wedge \text{cdcl-bnb } S \ T \wedge P \ S \wedge \text{init-clss } S = N\} \rangle$
(is $\langle \text{wf } ?A \rangle$
 $\langle \text{proof} \rangle$

lemma *conflict-is-false-with-level-abs-iff*:

$\langle \text{cdcl}_W\text{-restart-mset.conflict-is-false-with-level } (abs\text{-state } S) \longleftrightarrow$
 $\text{conflict-is-false-with-level } S \rangle$
 $\langle \text{proof} \rangle$

lemma *decide-abs-state-decide*:

$\langle \text{cdcl}_W\text{-restart-mset.decide } (abs\text{-state } S) T \implies \text{cdcl-bnb-struct-invs } S \implies \text{Ex}(\text{decide } S) \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl-bnb-no-conflicting-cls-cdcl_W*:

assumes $\langle \text{cdcl-bnb } S T \rangle$ **and** $\langle \text{conflicting-cls } T = \{\#\} \rangle$
shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W (abs\text{-state } S) (abs\text{-state } T) \wedge \text{conflicting-cls } S = \{\#\} \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-no-conflicting-cls-cdcl_W*:

assumes $\langle \text{cdcl-bnb}^{**} S T \rangle$ **and** $\langle \text{conflicting-cls } T = \{\#\} \rangle$
shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W^{**} (abs\text{-state } S) (abs\text{-state } T) \wedge \text{conflicting-cls } S = \{\#\} \rangle$
 $\langle \text{proof} \rangle$

lemma *conflict-abs-ex-conflict-no-conflicting*:

assumes $\langle \text{cdcl}_W\text{-restart-mset.conflict } (abs\text{-state } S) T \rangle$ **and** $\langle \text{conflicting-cls } S = \{\#\} \rangle$
shows $\langle \exists T. \text{conflict } S T \rangle$
 $\langle \text{proof} \rangle$

lemma *propagate-abs-ex-propagate-no-conflicting*:

assumes $\langle \text{cdcl}_W\text{-restart-mset.propagate } (abs\text{-state } S) T \rangle$ **and** $\langle \text{conflicting-cls } S = \{\#\} \rangle$
shows $\langle \exists T. \text{propagate } S T \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl-bnb-stgy-no-conflicting-cls-cdcl_W-stgy*:

assumes $\langle \text{cdcl-bnb-stgy } S T \rangle$ **and** $\langle \text{conflicting-cls } T = \{\#\} \rangle$
shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy } (abs\text{-state } S) (abs\text{-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-stgy-no-conflicting-cls-cdcl_W-stgy*:

assumes $\langle \text{cdcl-bnb-stgy}^{**} S T \rangle$ **and** $\langle \text{conflicting-cls } T = \{\#\} \rangle$
shows $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-stgy}^{**} (abs\text{-state } S) (abs\text{-state } T) \rangle$
 $\langle \text{proof} \rangle$

context

assumes *can-always-improve*:

$\langle \bigwedge S. \text{trail } S \models_{asm} \text{clauses } S \implies \text{no-step conflict-opt } S \implies$
 $\text{conflicting } S = \text{None} \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (abs\text{-state } S) \implies$
 $\text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{clauses } S)) \implies \text{Ex } (\text{improvep } S) \rangle$

begin

The following theorems states a non-obvious (and slightly subtle) property: The fact that there is no conflicting cannot be shown without additional assumption. However, the assumption that every model leads to an improvements implies that we end up with a conflict.

lemma *no-step-cdcl-bnb-cdcl_W*:

assumes
 $ns: \langle \text{no-step cdcl-bnb } S \rangle$ **and**
 $\text{struct-invs: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (abs\text{-state } S) \rangle$

shows $\langle \text{no-step } \text{cdcl}_W\text{-restart-mset.cdcl}_W \text{ (abs-state } S) \rangle$
 $\langle \text{proof} \rangle$

lemma *no-step-cdcl-bnb-stgy*:

assumes

n-s: $\langle \text{no-step cdcl-bnb } S \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**

stgy-inv: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows $\langle \text{conflicting } S = \text{None} \vee \text{conflicting } S = \text{Some } \{\#\} \rangle$

$\langle \text{proof} \rangle$

lemma *no-step-cdcl-bnb-stgy-empty-conflict*:

assumes

n-s: $\langle \text{no-step cdcl-bnb } S \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**

stgy-inv: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows $\langle \text{conflicting } S = \text{Some } \{\#\} \rangle$

$\langle \text{proof} \rangle$

lemma *full-cdcl-bnb-stgy-no-conflicting-cls-unsat*:

assumes

full: $\langle \text{full cdcl-bnb-stgy } S T \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**

stgy-inv: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$ **and**

ent-init: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-learned-clauses-entailed-by-init (abs-state } S) \rangle$ **and**

[*simp*]: $\langle \text{conflicting-cls } T = \{\#\} \rangle$

shows $\langle \text{unsatisfiable (set-mset (init-cls } S)) \rangle$

$\langle \text{proof} \rangle$

lemma *ocdcl_W-o-no-smaller-propa*:

assumes $\langle \text{ocdcl}_W\text{-o } S T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**

smaller-propa: $\langle \text{no-smaller-propa } S \rangle$ **and**

n-s: $\langle \text{no-confl-prop-impr } S \rangle$

shows $\langle \text{no-smaller-propa } T \rangle$

$\langle \text{proof} \rangle$

lemma *ocdcl_W-no-smaller-propa*:

assumes $\langle \text{cdcl-bnb-stgy } S T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**

smaller-propa: $\langle \text{no-smaller-propa } S \rangle$ **and**

n-s: $\langle \text{no-confl-prop-impr } S \rangle$

shows $\langle \text{no-smaller-propa } T \rangle$

$\langle \text{proof} \rangle$

Unfortunately, we cannot reuse the proof we have already done.

lemma *ocdcl_W-no-relearning*:

assumes $\langle \text{cdcl-bnb-stgy } S T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**

smaller-propa: $\langle \text{no-smaller-propa } S \rangle$ **and**

n-s: $\langle \text{no-confl-prop-impr } S \rangle$ **and**

dist: $\langle \text{distinct-mset (clauses } S) \rangle$

shows $\langle \text{distinct-mset (clauses } T) \rangle$

$\langle \text{proof} \rangle$

```

lemma full-cdcl-bnb-stgy-unsat:
  assumes
    st:  $\langle \text{full-cdcl-bnb-stgy } S \ T \rangle$  and
    all-struct:  $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$  and
    opt-struct:  $\langle \text{cdcl-bnb-struct-invs } S \rangle$  and
    stgy-inv:  $\langle \text{cdcl-bnb-stgy-inv } S \rangle$ 
  shows
     $\langle \text{unsatisfiable (set-mset (clauses } T + \text{conflicting-cls } T)) \rangle$ 
 $\langle \text{proof} \rangle$ 

end

```

```

lemma cdcl-bnb-reasons-in-clauses:
   $\langle \text{cdcl-bnb } S \ T \implies \text{reasons-in-clauses } S \implies \text{reasons-in-clauses } T \rangle$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma cdcl-bnb-pow2-n-learned-clauses:
  assumes  $\langle \text{distinct-mset-mset } N \rangle$ 
   $\langle \text{cdcl-bnb}^{**} (\text{init-state } N) \ T \rangle$ 
  shows  $\langle \text{size (learned-cls } T) \leq 2^{\wedge} (\text{card (atms-of-mm } N)) \rangle$ 
 $\langle \text{proof} \rangle$ 
end

```

```

end
theory CDCL-W-Optimal-Model
  imports CDCL-W-BnB HOL-Library.Extended-Nat
begin

```

OCDCL

The following datatype is equivalent to *'a option*. However, it has the opposite ordering. Therefore, I decided to use a different type instead of have a second order which conflicts with `~/src/HOL/Library/Option_ord.thy`.

```

datatype 'a optimal-model = Not-Found | is-found: Found (the-optimal: 'a)

```

```

instantiation optimal-model :: (ord) ord
begin
  fun less-optimal-model ::  $\langle 'a :: \text{ord } \text{optimal-model} \implies 'a \text{ optimal-model} \implies \text{bool} \rangle$  where
     $\langle \text{less-optimal-model } \text{Not-Found } - = \text{False} \rangle$ 
  |  $\langle \text{less-optimal-model } (\text{Found } -) \ \text{Not-Found} \longleftrightarrow \text{True} \rangle$ 
  |  $\langle \text{less-optimal-model } (\text{Found } a) \ (\text{Found } b) \longleftrightarrow a < b \rangle$ 

  fun less-eq-optimal-model ::  $\langle 'a :: \text{ord } \text{optimal-model} \implies 'a \text{ optimal-model} \implies \text{bool} \rangle$  where
     $\langle \text{less-eq-optimal-model } \text{Not-Found } \text{Not-Found} = \text{True} \rangle$ 
  |  $\langle \text{less-eq-optimal-model } \text{Not-Found } (\text{Found } -) = \text{False} \rangle$ 
  |  $\langle \text{less-eq-optimal-model } (\text{Found } -) \ \text{Not-Found} \longleftrightarrow \text{True} \rangle$ 
  |  $\langle \text{less-eq-optimal-model } (\text{Found } a) \ (\text{Found } b) \longleftrightarrow a \leq b \rangle$ 

```

```

instance
   $\langle \text{proof} \rangle$ 

```

```

end

```

instance *optimal-model* :: (*preorder*) *preorder*
 ⟨*proof*⟩

instance *optimal-model* :: (*order*) *order*
 ⟨*proof*⟩

instance *optimal-model* :: (*linorder*) *linorder*
 ⟨*proof*⟩

instantiation *optimal-model* :: (*wellorder*) *wellorder*
begin

lemma *wf-less-optimal-model*: ⟨*wf* {(*M* :: 'a *optimal-model*, *N*). *M* < *N*}⟩
 ⟨*proof*⟩

instance ⟨*proof*⟩

end

This locale includes only the assumption we make on the weight function.

locale *ocdcl-weight* =

fixes

$\varrho :: \langle 'v \text{ clause} \Rightarrow 'a :: \{\text{linorder}\} \rangle$

assumes

$\varrho\text{-mono}: \langle \text{distinct-mset } B \Longrightarrow A \subseteq\# B \Longrightarrow \varrho A \leq \varrho B \rangle$

begin

lemma $\varrho\text{-empty-simp}$ [*simp*]:

assumes ⟨*consistent-interp* (*set-mset* *A*)⟩ ⟨*distinct-mset* *A*⟩

shows ⟨ $\varrho A \geq \varrho \{\#\}$ ⟩ ⟨ $\neg \varrho A < \varrho \{\#\}$ ⟩ ⟨ $\varrho A \leq \varrho \{\#\} \longleftrightarrow \varrho A = \varrho \{\#\}$ ⟩

⟨*proof*⟩

abbreviation $\varrho' :: \langle 'v \text{ clause option} \Rightarrow 'a \text{ optimal-model} \rangle$ **where**

⟨ $\varrho' w \equiv (\text{case } w \text{ of } \text{None} \Rightarrow \text{Not-Found} \mid \text{Some } w \Rightarrow \text{Found } (\varrho w)) \rangle$

definition *is-improving-int*

:: ⟨('v *literal*, 'v *literal*, 'b) *annotated-lits* \Rightarrow ('v *literal*, 'v *literal*, 'b) *annotated-lits* \Rightarrow 'v *clauses* \Rightarrow 'v *clause option* \Rightarrow bool⟩

where

⟨*is-improving-int* *M* *M'* *N* *w* \longleftrightarrow *Found* (ϱ (*lit-of* '# *mset* *M'*)) < $\varrho' w \wedge$ ⟩

$M' \models \text{asm } N \wedge \text{no-dup } M' \wedge$

lit-of '# *mset* *M'* \in *simple-cls* (*atms-of-mm* *N*) \wedge

total-over-m (*lits-of-l* *M'*) (*set-mset* *N*) \wedge

($\forall M'. \text{total-over-m}$ (*lits-of-l* *M'*) (*set-mset* *N*) \longrightarrow *mset* *M* $\subseteq\#$ *mset* *M'* \longrightarrow

lit-of '# *mset* *M'* \in *simple-cls* (*atms-of-mm* *N*) \longrightarrow

ϱ (*lit-of* '# *mset* *M'*) = ϱ (*lit-of* '# *mset* *M*))⟩

definition *too-heavy-clauses*

:: ⟨'v *clauses* \Rightarrow 'v *clause option* \Rightarrow 'v *clauses*⟩

where

⟨*too-heavy-clauses* *M* *w* =

$\{\#\text{pNeg } C \mid C \in\# \text{mset-set } (\text{simple-cls } (\text{atms-of-mm } M)). \varrho' w \leq \text{Found } (\varrho C)\#\}$ ⟩

definition *conflicting-clauses*

:: ⟨'v *clauses* \Rightarrow 'v *clause option* \Rightarrow 'v *clauses*⟩

where

$\langle \text{conflicting-clauses } N w = \{ \# C \in \# \text{ mset-set } (\text{simple-clss } (\text{atms-of-mm } N)). \text{ too-heavy-clauses } N w \models_{pm} C \# \} \rangle$

lemma *too-heavy-clauses-conflicting-clauses:*

$\langle C \in \# \text{ too-heavy-clauses } M w \implies C \in \# \text{ conflicting-clauses } M w \rangle$
 $\langle \text{proof} \rangle$

lemma *too-heavy-clauses-contains-itself:*

$\langle M \in \text{simple-clss } (\text{atms-of-mm } N) \implies pNeg M \in \# \text{ too-heavy-clauses } N (\text{Some } M) \rangle$
 $\langle \text{proof} \rangle$

lemma *too-heavy-clause-None[simp]:* $\langle \text{too-heavy-clauses } M \text{ None} = \{ \# \} \rangle$

$\langle \text{proof} \rangle$

lemma *atms-of-mm-too-heavy-clauses-le:*

$\langle \text{atms-of-mm } (\text{too-heavy-clauses } M I) \subseteq \text{atms-of-mm } M \rangle$
 $\langle \text{proof} \rangle$

lemma

atms-too-heavy-clauses-None:

$\langle \text{atms-of-mm } (\text{too-heavy-clauses } M \text{ None}) = \{ \} \rangle$ **and**

atms-too-heavy-clauses-Some:

$\langle \text{atms-of } w \subseteq \text{atms-of-mm } M \implies \text{distinct-mset } w \implies \neg \text{tautology } w \implies \text{atms-of-mm } (\text{too-heavy-clauses } M (\text{Some } w)) = \text{atms-of-mm } M \rangle$

$\langle \text{proof} \rangle$

lemma *entails-too-heavy-clauses-too-heavy-clauses:*

assumes

$\langle \text{consistent-interp } I \rangle$ **and**

$\text{tot: } \langle \text{total-over-m } I (\text{set-mset } (\text{too-heavy-clauses } M w)) \rangle$ **and**

$\langle I \models_m \text{too-heavy-clauses } M w \rangle$ **and**

$w: \langle w \neq \text{None} \implies \text{atms-of } (\text{the } w) \subseteq \text{atms-of-mm } M \rangle$

$\langle w \neq \text{None} \implies \neg \text{tautology } (\text{the } w) \rangle$

$\langle w \neq \text{None} \implies \text{distinct-mset } (\text{the } w) \rangle$

shows $\langle I \models_m \text{conflicting-clauses } M w \rangle$

$\langle \text{proof} \rangle$

lemma *not-entailed-too-heavy-clauses-ge:*

$\langle C \in \text{simple-clss } (\text{atms-of-mm } N) \implies \neg \text{too-heavy-clauses } N w \models_{pm} pNeg C \implies \neg \text{Found } (\rho C) \geq \rho' w \rangle$

$\langle \text{proof} \rangle$

lemma *conflicting-clss-incl-init-clauses:*

$\langle \text{atms-of-mm } (\text{conflicting-clauses } N w) \subseteq \text{atms-of-mm } (N) \rangle$

$\langle \text{proof} \rangle$

lemma *distinct-mset-mset-conflicting-clss2:* $\langle \text{distinct-mset-mset } (\text{conflicting-clauses } N w) \rangle$

$\langle \text{proof} \rangle$

lemma *too-heavy-clauses-mono:*

$\langle \rho a > \rho (\text{lit-of } \# \text{ mset } M) \implies \text{too-heavy-clauses } N (\text{Some } a) \subseteq \# \text{ too-heavy-clauses } N (\text{Some } (\text{lit-of } \# \text{ mset } M)) \rangle$

$\langle \text{proof} \rangle$

lemma *is-improving-conflicting-clss-update-weight-information:* $\langle \text{is-improving-int } M M' N w \implies$

conflicting-clauses $N w \subseteq \#$ *conflicting-clauses* N (Some (lit-of '# mset M'))
 ⟨proof⟩

lemma *conflicting-clss-update-weight-information-in2*:

assumes ⟨*is-improving-int* $M M' N w$ ⟩

shows ⟨*negate-ann-lits* $M' \in \#$ *conflicting-clauses* N (Some (lit-of '# mset M'))⟩

⟨proof⟩

lemma *atms-of-init-clss-conflicting-clauses'[simp]*:

⟨*atms-of-mm* $N \cup$ *atms-of-mm* (*conflicting-clauses* $N S$) = *atms-of-mm* N ⟩

⟨proof⟩

lemma *entails-too-heavy-clauses-if-le*:

assumes

dist: ⟨*distinct-mset* I ⟩ **and**

cons: ⟨*consistent-interp* (*set-mset* I)⟩ **and**

tot: ⟨*atms-of* $I =$ *atms-of-mm* N ⟩ **and**

le: ⟨*Found* (ϱI) < ϱ' (Some M')⟩

shows

⟨*set-mset* $I \models_m$ *too-heavy-clauses* N (Some M')⟩

⟨proof⟩

lemma *entails-conflicting-clauses-if-le*:

fixes M''

defines ⟨ $M' \equiv$ lit-of '# mset M'' ⟩

assumes

dist: ⟨*distinct-mset* I ⟩ **and**

cons: ⟨*consistent-interp* (*set-mset* I)⟩ **and**

tot: ⟨*atms-of* $I =$ *atms-of-mm* N ⟩ **and**

le: ⟨*Found* (ϱI) < ϱ' (Some M')⟩ **and**

⟨*is-improving-int* $M M'' N w$ ⟩

shows

⟨*set-mset* $I \models_m$ *conflicting-clauses* N (Some (lit-of '# mset M''))⟩

⟨proof⟩

end

locale *conflict-driven-clause-learning_W-optimal-weight =*

conflict-driven-clause-learning_W

state-eq

state

— functions for the state:

— access functions:

trail *init-clss* *learned-clss* *conflicting*

— changing state:

cons-trail *tl-trail* *add-learned-cls* *remove-cls*

update-conflicting

— get state:

init-state +

ocdcl-weight ϱ

for

state-eq :: ⟨ $'st \Rightarrow 'st \Rightarrow bool$ ⟩ (**infix** ⟨ \sim ⟩ 50) **and**

state :: $'st \Rightarrow ('v, 'v clause) ann-lits \times 'v clauses \times 'v clauses \times 'v clause option \times$

$'v clause option \times 'b$ **and**

trail :: ⟨ $'st \Rightarrow ('v, 'v clause) ann-lits$ ⟩ **and**

init-cls :: ⟨'st ⇒ 'v clauses⟩ **and**
learned-cls :: ⟨'st ⇒ 'v clauses⟩ **and**
conflicting :: ⟨'st ⇒ 'v clause option⟩ **and**

cons-trail :: ⟨('v, 'v clause) ann-lit ⇒ 'st ⇒ 'st⟩ **and**
tl-trail :: ⟨'st ⇒ 'st⟩ **and**
add-learned-cls :: ⟨'v clause ⇒ 'st ⇒ 'st⟩ **and**
remove-cls :: ⟨'v clause ⇒ 'st ⇒ 'st⟩ **and**
update-conflicting :: ⟨'v clause option ⇒ 'st ⇒ 'st⟩ **and**
init-state :: ⟨'v clauses ⇒ 'st⟩ **and**
q :: ⟨'v clause ⇒ 'a :: {linorder}⟩ +

fixes

update-additional-info :: ⟨'v clause option × 'b ⇒ 'st ⇒ 'st⟩

assumes

update-additional-info:

⟨state $S = (M, N, U, C, K) \implies \text{state } (\text{update-additional-info } K' S) = (M, N, U, C, K') \rangle$ **and**

weight-init-state:

⟨ $\bigwedge N :: 'v \text{ clauses. } \text{fst } (\text{additional-info } (\text{init-state } N)) = \text{None} \rangle$

begin

definition *update-weight-information* :: ⟨('v, 'v clause) ann-lits ⇒ 'st ⇒ 'st⟩ **where**

⟨*update-weight-information* $M S =$

update-additional-info (Some (lit-of '# mset M), snd (additional-info S)) S⟩

lemma

trail-update-additional-info[simp]: ⟨trail (update-additional-info w S) = trail S⟩ **and**

init-cls-update-additional-info[simp]:

⟨*init-cls* (update-additional-info w S) = *init-cls* S⟩ **and**

learned-cls-update-additional-info[simp]:

⟨*learned-cls* (update-additional-info w S) = *learned-cls* S⟩ **and**

backtrack-lvl-update-additional-info[simp]:

⟨*backtrack-lvl* (update-additional-info w S) = *backtrack-lvl* S⟩ **and**

conflicting-update-additional-info[simp]:

⟨*conflicting* (update-additional-info w S) = *conflicting* S⟩ **and**

clauses-update-additional-info[simp]:

⟨*clauses* (update-additional-info w S) = *clauses* S⟩

⟨proof⟩

lemma

trail-update-weight-information[simp]:

⟨trail (update-weight-information w S) = trail S⟩ **and**

init-cls-update-weight-information[simp]:

⟨*init-cls* (update-weight-information w S) = *init-cls* S⟩ **and**

learned-cls-update-weight-information[simp]:

⟨*learned-cls* (update-weight-information w S) = *learned-cls* S⟩ **and**

backtrack-lvl-update-weight-information[simp]:

⟨*backtrack-lvl* (update-weight-information w S) = *backtrack-lvl* S⟩ **and**

conflicting-update-weight-information[simp]:

⟨*conflicting* (update-weight-information w S) = *conflicting* S⟩ **and**

clauses-update-weight-information[simp]:

⟨*clauses* (update-weight-information w S) = *clauses* S⟩

⟨proof⟩

definition *weight* :: ⟨'st ⇒ 'v clause option⟩ **where**

⟨*weight* S = fst (additional-info S)⟩

lemma

additional-info-update-additional-info[simp]:
⟨*additional-info* (*update-additional-info* *w* *S*) = *w*⟩
⟨*proof*⟩

lemma

weight-cons-trail2[simp]: ⟨*weight* (*cons-trail* *L* *S*) = *weight* *S*⟩ **and**
clss-tl-trail2[simp]: ⟨*weight* (*tl-trail* *S*) = *weight* *S*⟩ **and**
weight-add-learned-cls-unfolded:
⟨*weight* (*add-learned-cls* *U* *S*) = *weight* *S*⟩
and
weight-update-conflicting2[simp]: ⟨*weight* (*update-conflicting* *D* *S*) = *weight* *S*⟩ **and**
weight-remove-cls2[simp]:
⟨*weight* (*remove-cls* *C* *S*) = *weight* *S*⟩ **and**
weight-add-learned-cls2[simp]:
⟨*weight* (*add-learned-cls* *C* *S*) = *weight* *S*⟩ **and**
weight-update-weight-information2[simp]:
⟨*weight* (*update-weight-information* *M* *S*) = *Some* (*lit-of* ‘# *mset* *M*)⟩
⟨*proof*⟩

sublocale *conflict-driven-clause-learning-with-adding-init-clause-bnb_W-no-state*

where

state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state* **and**
weight = *weight* **and**
update-weight-information = *update-weight-information* **and**
is-improving-int = *is-improving-int* **and**
conflicting-clauses = *conflicting-clauses*
⟨*proof*⟩

lemma *state-additional-info'*:

⟨*state* *S* = (*trail* *S*, *init-clss* *S*, *learned-clss* *S*, *conflicting* *S*, *weight* *S*, *additional-info'* *S*)⟩
⟨*proof*⟩

lemma *state-update-weight-information*:

⟨*state* *S* = (*M*, *N*, *U*, *C*, *w*, *other*) ⇒
∃ *w'*. *state* (*update-weight-information* *T* *S*) = (*M*, *N*, *U*, *C*, *w'*, *other*)⟩
⟨*proof*⟩

lemma *atms-of-init-clss-conflicting-clauses*[simp]:

⟨*atms-of-mm* (*init-clss* *S*) ∪ *atms-of-mm* (*conflicting-clss* *S*) = *atms-of-mm* (*init-clss* *S*)⟩
⟨*proof*⟩

lemma *lit-of-trail-in-simple-clss*: ⟨*cdcl_W-restart-mset.cdcl_W-all-struct-inv* (*abs-state* *S*) ⇒

lit-of ‘# *mset* (*trail* *S*) ∈ *simple-clss* (*atms-of-mm* (*init-clss* *S*))⟩
⟨*proof*⟩

lemma *pNeg-lit-of-trail-in-simple-clss*: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \implies$
 $\text{pNeg (lit-of '# mset (trail } S)) \in \text{simple-clss (atms-of-mm (init-clss } S))} \rangle$
 $\langle \text{proof} \rangle$

lemma *conflict-clss-update-weight-no-alien*:
 $\langle \text{atms-of-mm (conflicting-clss (update-weight-information } M S))$
 $\subseteq \text{atms-of-mm (init-clss } S) \rangle$
 $\langle \text{proof} \rangle$

sublocale *state_W-no-state*

where

state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cl = *add-learned-cl* **and**
remove-cl = *remove-cl* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*

$\langle \text{proof} \rangle$

sublocale *state_W-no-state*

where

state-eq = *state-eq* **and**
state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cl = *add-learned-cl* **and**
remove-cl = *remove-cl* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*

$\langle \text{proof} \rangle$

sublocale *conflict-driven-clause-learning_W*

where

state-eq = *state-eq* **and**
state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cl = *add-learned-cl* **and**
remove-cl = *remove-cl* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*

$\langle \text{proof} \rangle$

lemma *is-improving-conflicting-clss-update-weight-information'*: $\langle is-improving\ M\ M'\ S \implies$
 $conflicting-clss\ S \subseteq_{\#}\ conflicting-clss\ (update-weight-information\ M'\ S) \rangle$
 $\langle proof \rangle$

lemma *conflicting-clss-update-weight-information-in2'*:
assumes $\langle is-improving\ M\ M'\ S \rangle$
shows $\langle negate-ann-lits\ M' \in_{\#}\ conflicting-clss\ (update-weight-information\ M'\ S) \rangle$
 $\langle proof \rangle$

sublocale *conflict-driven-clause-learning-with-adding-init-clause-bnb_W-ops*

where

$state = state$ **and**
 $trail = trail$ **and**
 $init-clss = init-clss$ **and**
 $learned-clss = learned-clss$ **and**
 $conflicting = conflicting$ **and**
 $cons-trail = cons-trail$ **and**
 $tl-trail = tl-trail$ **and**
 $add-learned-cls = add-learned-cls$ **and**
 $remove-cls = remove-cls$ **and**
 $update-conflicting = update-conflicting$ **and**
 $init-state = init-state$ **and**
 $weight = weight$ **and**
 $update-weight-information = update-weight-information$ **and**
 $is-improving-int = is-improving-int$ **and**
 $conflicting-clauses = conflicting-clauses$
 $\langle proof \rangle$

lemma *wf-cdcl-bnb-fixed*:
 $\langle wf\ \{(T, S).\ cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \wedge cdcl-bnb\ S\ T$
 $\wedge\ init-clss\ S = N\} \rangle$
 $\langle proof \rangle$

lemma *wf-cdcl-bnb2*:
 $\langle wf\ \{(T, S).\ cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S)$
 $\wedge\ cdcl-bnb\ S\ T\} \rangle$
 $\langle proof \rangle$

lemma *can-always-improve*:
assumes
 $ent: \langle trail\ S \models_{asm}\ clauses\ S \rangle$ **and**
 $total: \langle total-over-m\ (lits-of-l\ (trail\ S))\ (set-mset\ (clauses\ S)) \rangle$ **and**
 $n-s: \langle no-step\ conflict-opt\ S \rangle$ **and**
 $confl[simp]: \langle conflicting\ S = None \rangle$ **and**
 $all-struct: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$
shows $\langle Ex\ (improvep\ S) \rangle$
 $\langle proof \rangle$

lemma *no-step-cdcl-bnb-stgy-empty-conflict2*:
assumes
 $n-s: \langle no-step\ cdcl-bnb\ S \rangle$ **and**
 $all-struct: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$ **and**
 $stgy-inv: \langle cdcl-bnb-stgy-inv\ S \rangle$
shows $\langle conflicting\ S = Some\ \{\#\} \rangle$
 $\langle proof \rangle$

lemma *cdcl-bnb-larger-still-larger*:

assumes

$\langle \text{cdcl-bnb } S \ T \rangle$

shows $\langle \varrho' (\text{weight } S) \geq \varrho' (\text{weight } T) \rangle$

$\langle \text{proof} \rangle$

lemma *obacktrack-model-still-model*:

assumes

$\langle \text{obacktrack } S \ T \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**

ent: $\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } S \rangle$ $\langle \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } S \rangle$ **and**

dist: $\langle \text{distinct-mset } I \rangle$ **and**

cons: $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**

tot: $\langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**

opt-struct: $\langle \text{cdcl-bnb-struct-invs } S \rangle$ **and**

le: $\langle \text{Found } (\varrho \ I) < \varrho' (\text{weight } T) \rangle$

shows

$\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } T \wedge \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } T \rangle$

$\langle \text{proof} \rangle$

lemma *entails-conflicting-clauses-if-le'*:

fixes M''

defines $\langle M' \equiv \text{lit-of } \# \text{ mset } M'' \rangle$

assumes

dist: $\langle \text{distinct-mset } I \rangle$ **and**

cons: $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**

tot: $\langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**

le: $\langle \text{Found } (\varrho \ I) < \varrho' (\text{Some } M'') \rangle$ **and**

$\langle \text{is-improving } M \ M'' \ S \rangle$ **and**

$\langle N = \text{init-clss } S \rangle$

shows

$\langle \text{set-mset } I \models_{\text{sm}} \text{conflicting-clauses } N \ (\text{weight } (\text{update-weight-information } M'' \ S)) \rangle$

$\langle \text{proof} \rangle$

lemma *improve-model-still-model*:

assumes

$\langle \text{improvep } S \ T \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**

ent: $\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } S \rangle$ $\langle \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } S \rangle$ **and**

dist: $\langle \text{distinct-mset } I \rangle$ **and**

cons: $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**

tot: $\langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**

opt-struct: $\langle \text{cdcl-bnb-struct-invs } S \rangle$ **and**

le: $\langle \text{Found } (\varrho \ I) < \varrho' (\text{weight } T) \rangle$

shows

$\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } T \wedge \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } T \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-still-model*:

assumes

$\langle \text{cdcl-bnb } S \ T \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**

ent: $\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } S \rangle$ $\langle \text{set-mset } I \models_{\text{sm}} \text{conflicting-clss } S \rangle$ **and**

dist: $\langle \text{distinct-mset } I \rangle$ **and**
cons: $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**
tot: $\langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**
opt-struct: $\langle \text{cdcl-bnb-struct-invs } S \rangle$

shows

$\langle \text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } T \rangle \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } T)$
 $\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-still-model*:

assumes

st: $\langle \text{cdcl-bnb}^{**} S T \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**

ent: $\langle \text{set-mset } I \models_{sm} \text{clauses } S \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } S \rangle \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } S)$ **and**

dist: $\langle \text{distinct-mset } I \rangle$ **and**

cons: $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**

tot: $\langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**

opt-struct: $\langle \text{cdcl-bnb-struct-invs } S \rangle$

shows

$\langle \text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } T \rangle \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } T)$
 $\langle \text{proof} \rangle$

lemma *full-cdcl-bnb-stgy-larger-or-equal-weight*:

assumes

st: $\langle \text{full cdcl-bnb-stgy } S T \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**

ent: $\langle \text{set-mset } I \models_{sm} \text{clauses } S \wedge \text{set-mset } I \models_{sm} \text{conflicting-clss } S \rangle \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } S)$ **and**

dist: $\langle \text{distinct-mset } I \rangle$ **and**

cons: $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**

tot: $\langle \text{atms-of } I = \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**

opt-struct: $\langle \text{cdcl-bnb-struct-invs } S \rangle$ **and**

stgy-inv: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows

$\langle \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$ **and**

$\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$

$\langle \text{proof} \rangle$

lemma *full-cdcl-bnb-stgy-unsat2*:

assumes

st: $\langle \text{full cdcl-bnb-stgy } S T \rangle$ **and**

all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**

opt-struct: $\langle \text{cdcl-bnb-struct-invs } S \rangle$ **and**

stgy-inv: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows

$\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } T + \text{conflicting-clss } T)) \rangle$

$\langle \text{proof} \rangle$

lemma *weight-init-state2[simp]*: $\langle \text{weight } (\text{init-state } S) = \text{None} \rangle$ **and**

conflicting-clss-init-state[simp]:

$\langle \text{conflicting-clss } (\text{init-state } N) = \{\#\} \rangle$

$\langle \text{proof} \rangle$

First part of Theorem 2.15.6 of Weidenbach's book

lemma *full-cdcl-bnb-stgy-no-conflicting-clause-unsat*:

assumes
st: $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$ **and**
all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**
opt-struct: $\langle \text{cdcl-bnb-struct-invs } S \rangle$ **and**
stgy-inv: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$ **and**
[*simp*]: $\langle \text{weight } T = \text{None} \rangle$ **and**
ent: $\langle \text{cdcl}_W\text{-learned-clauses-entailed-by-init } S \rangle$
shows $\langle \text{unsatisfiable (set-mset (init-cls } S)) \rangle$
 $\langle \text{proof} \rangle$

definition *annotation-is-model* **where**
 $\langle \text{annotation-is-model } S \longleftrightarrow$
 $(\text{weight } S \neq \text{None} \longrightarrow (\text{set-mset (the (weight } S)) \models_{sm} \text{init-cls } S \wedge$
 $\text{consistent-interp (set-mset (the (weight } S))) \wedge$
 $\text{atms-of (the (weight } S)) \subseteq \text{atms-of-mm (init-cls } S) \wedge$
 $\text{total-over-m (set-mset (the (weight } S)) (set-mset (init-cls } S)) \wedge$
 $\text{distinct-mset (the (weight } S)))) \rangle$

lemma *cdcl-bnb-annotation-is-model*:
assumes
 $\langle \text{cdcl-bnb } S \ T \rangle$ **and**
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**
 $\langle \text{annotation-is-model } S \rangle$
shows $\langle \text{annotation-is-model } T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-annotation-is-model*:
 $\langle \text{cdcl-bnb}^{**} S \ T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \implies$
 $\text{annotation-is-model } S \implies \text{annotation-is-model } T \rangle$
 $\langle \text{proof} \rangle$

Theorem 2.15.6 of Weidenbach's book

theorem *full-cdcl-bnb-stgy-no-conflicting-clause-from-init-state*:
assumes
st: $\langle \text{full cdcl-bnb-stgy (init-state } N) \ T \rangle$ **and**
dist: $\langle \text{distinct-mset-mset } N \rangle$
shows
 $\langle \text{weight } T = \text{None} \implies \text{unsatisfiable (set-mset } N) \rangle$ (**is** $\langle ?B \implies ?A \rangle$) **and**
 $\langle \text{weight } T \neq \text{None} \implies \text{consistent-interp (set-mset (the (weight } T)) \wedge$
 $\text{atms-of (the (weight } T)) \subseteq \text{atms-of-mm } N \wedge \text{set-mset (the (weight } T)) \models_{sm} N \wedge$
 $\text{total-over-m (set-mset (the (weight } T)) (set-mset } N) \wedge$
 $\text{distinct-mset (the (weight } T))} \rangle$ **and**
 $\langle \text{distinct-mset } I \implies \text{consistent-interp (set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies$
 $\text{set-mset } I \models_{sm} N \implies \text{Found } (\varrho \ I) \geq \varrho' (\text{weight } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *pruned-clause-in-conflicting-cls*:
assumes
ge: $\langle \bigwedge M'. \text{total-over-m (set-mset (mset (M @ M'))) (set-mset (init-cls } S)) \implies$
 $\text{distinct-mset (atm-of } \# \text{ mset (M @ M'))} \implies$
 $\text{consistent-interp (set-mset (mset (M @ M'))} \implies$
 $\text{Found } (\varrho \ (\text{mset (M @ M'))) \geq \varrho' (\text{weight } S) \rangle$ **and**
atm: $\langle \text{atms-of (mset } M) \subseteq \text{atms-of-mm (init-cls } S) \rangle$ **and**
dist: $\langle \text{distinct } M \rangle$ **and**
cons: $\langle \text{consistent-interp (set } M) \rangle$
shows $\langle \text{pNeg (mset } M) \in \# \text{ conflicting-cls } S \rangle$

<proof>

end

end

theory *OCDCL*

imports *CDCL-W-Optimal-Model*

begin

Alternative versions

We instantiate our more general rules with exactly the rule from Christoph's OCDCL with either versions of improve.

Weights

This one is the version of the weight functions used by Christoph Weidenbach. However, we have decided to not instantiate the calculus with this weight function, because it only a slight restriction.

locale *ocdcl-weight-WB =*

fixes

$\nu :: \langle 'v \text{ literal} \Rightarrow \text{nat} \rangle$

begin

definition $\rho :: \langle 'v \text{ clause} \Rightarrow \text{nat} \rangle$ **where**

$\langle \rho M = (\sum A \in \# M. \nu A) \rangle$

sublocale *ocdcl-weight ρ*

<proof>

end

Calculus with simple Improve rule

context *conflict-driven-clause-learning_W-optimal-weight*

begin

To make sure that the paper version of the correct, we restrict the previous calculus to exactly the rules that are on paper.

inductive *pruning* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

pruning-rule:

$\langle \text{pruning } S T \rangle$

if

$\langle \bigwedge M'. \text{total-over-}m (\text{set-mset } (mset (\text{map lit-of } (\text{trail } S) @ M')) (\text{set-mset } (\text{init-cls } S)) \Rightarrow$

$\text{distinct-mset } (\text{atm-of } \# mset (\text{map lit-of } (\text{trail } S) @ M')) \Rightarrow$

$\text{consistent-interp } (\text{set-mset } (mset (\text{map lit-of } (\text{trail } S) @ M')) \Rightarrow$

$\rho' (\text{weight } S) \leq \text{Found } (\rho (mset (\text{map lit-of } (\text{trail } S) @ M')) \rangle$

$\langle \text{conflicting } S = \text{None} \rangle$

$\langle T \sim \text{update-conflicting } (\text{Some } (\text{negate-ann-lits } (\text{trail } S))) S \rangle$

inductive *oconflict-opt* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S T :: 'st$ **where**

oconflict-opt-rule:

$\langle \text{oconflict-opt } S T \rangle$

if

$\langle \text{Found } (\varrho \text{ (lit-of } \# \text{ mset (trail } S))) \geq \varrho' \text{ (weight } S) \rangle$
 $\langle \text{conflicting } S = \text{None} \rangle$
 $\langle T \sim \text{update-conflicting (Some (negate-ann-lits (trail } S))) S \rangle$

inductive *improve* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S T :: 'st$ **where**

improve-rule:

$\langle \text{improve } S T \rangle$

if

$\langle \text{total-over-}m \text{ (lits-of-}l \text{ (trail } S)) \text{ (set-mset (init-clss } S)) \rangle$
 $\langle \text{Found } (\varrho \text{ (lit-of } \# \text{ mset (trail } S))) < \varrho' \text{ (weight } S) \rangle$
 $\langle \text{trail } S \models_{\text{asm}} \text{init-clss } S \rangle$
 $\langle \text{conflicting } S = \text{None} \rangle$
 $\langle T \sim \text{update-weight-information (trail } S) S \rangle$

This is the basic version of the calculus:

inductive *ocdcl_w* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S :: 'st$ **where**

ocdcl-conflict: $\langle \text{conflict } S S' \Longrightarrow \text{ocdcl}_w S S' \rangle \mid$

ocdcl-propagate: $\langle \text{propagate } S S' \Longrightarrow \text{ocdcl}_w S S' \rangle \mid$

ocdcl-improve: $\langle \text{improve } S S' \Longrightarrow \text{ocdcl}_w S S' \rangle \mid$

ocdcl-conflict-opt: $\langle \text{oconflict-opt } S S' \Longrightarrow \text{ocdcl}_w S S' \rangle \mid$

ocdcl-other': $\langle \text{ocdcl}_W\text{-o } S S' \Longrightarrow \text{ocdcl}_w S S' \rangle \mid$

ocdcl-pruning: $\langle \text{pruning } S S' \Longrightarrow \text{ocdcl}_w S S' \rangle$

inductive *ocdcl_w-stgy* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S :: 'st$ **where**

ocdcl_w-conflict: $\langle \text{conflict } S S' \Longrightarrow \text{ocdcl}_w\text{-stgy } S S' \rangle \mid$

ocdcl_w-propagate: $\langle \text{propagate } S S' \Longrightarrow \text{ocdcl}_w\text{-stgy } S S' \rangle \mid$

ocdcl_w-improve: $\langle \text{improve } S S' \Longrightarrow \text{ocdcl}_w\text{-stgy } S S' \rangle \mid$

ocdcl_w-conflict-opt: $\langle \text{conflict-opt } S S' \Longrightarrow \text{ocdcl}_w\text{-stgy } S S' \rangle \mid$

ocdcl_w-other': $\langle \text{ocdcl}_W\text{-o } S S' \Longrightarrow \text{no-conflict-prop-impr } S \Longrightarrow \text{ocdcl}_w\text{-stgy } S S' \rangle$

lemma *pruning-conflict-opt*:

assumes *ocdcl-pruning*: $\langle \text{pruning } S T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$

shows $\langle \text{conflict-opt } S T \rangle$

$\langle \text{proof} \rangle$

lemma *ocdcl-conflict-opt-conflict-opt*:

assumes *ocdcl-pruning*: $\langle \text{oconflict-opt } S T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$

shows $\langle \text{conflict-opt } S T \rangle$

$\langle \text{proof} \rangle$

lemma *improve-improvep*:

assumes *imp*: $\langle \text{improve } S T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$

shows $\langle \text{improvep } S T \rangle$

$\langle \text{proof} \rangle$

lemma *ocdcl_w-cdcl-bnb*:

assumes $\langle \text{ocdcl}_w S T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$

shows $\langle \text{cdcl-bnb } S T \rangle$

$\langle \text{proof} \rangle$

lemma *ocdcl_w-stgy-cdcl-bnb-stgy*:

assumes $\langle \text{ocdcl}_w\text{-stgy } S \ T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{cdcl-bnb-stgy } S \ T \rangle$

$\langle \text{proof} \rangle$

lemma *rtrancpl-ocdcl_w-stgy-rtrancpl-cdcl-bnb-stgy*:

assumes $\langle \text{ocdcl}_w\text{-stgy}^{**} S \ T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{cdcl-bnb-stgy}^{**} S \ T \rangle$

$\langle \text{proof} \rangle$

lemma *no-step-ocdcl_w-no-step-cdcl-bnb*:

assumes $\langle \text{no-step ocdcl}_w \ S \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{no-step cdcl-bnb } S \rangle$

$\langle \text{proof} \rangle$

lemma *all-struct-init-state-distinct-iff*:

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } (\text{init-state } N)) \longleftrightarrow$
 $\text{distinct-mset-mset } N \rangle$

$\langle \text{proof} \rangle$

lemma *no-step-ocdcl_w-stgy-no-step-cdcl-bnb-stgy*:

assumes $\langle \text{no-step ocdcl}_w\text{-stgy } S \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{no-step cdcl-bnb-stgy } S \rangle$

$\langle \text{proof} \rangle$

lemma *full-ocdcl_w-stgy-full-cdcl-bnb-stgy*:

assumes $\langle \text{full ocdcl}_w\text{-stgy } S \ T \rangle$ **and**

inv: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{full cdcl-bnb-stgy } S \ T \rangle$

$\langle \text{proof} \rangle$

corollary *full-ocdcl_w-stgy-no-conflicting-clause-from-init-state*:

assumes

st: $\langle \text{full ocdcl}_w\text{-stgy } (\text{init-state } N) \ T \rangle$ **and**

dist: $\langle \text{distinct-mset-mset } N \rangle$

shows

$\langle \text{weight } T = \text{None} \implies \text{unsatisfiable } (\text{set-mset } N) \rangle$ **and**

$\langle \text{weight } T \neq \text{None} \implies \text{model-on } (\text{set-mset } (\text{the } (\text{weight } T))) \ N \wedge \text{set-mset } (\text{the } (\text{weight } T)) \models_{sm} N$

\wedge

$\langle \text{distinct-mset } (\text{the } (\text{weight } T)) \rangle$ **and**

$\langle \text{distinct-mset } I \implies \text{consistent-interp } (\text{set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies$

$\text{set-mset } I \models_{sm} N \implies \text{Found } (\varrho \ I) \geq \varrho' (\text{weight } T) \rangle$

$\langle \text{proof} \rangle$

lemma *wf-ocdcl_w*:

$\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S)$

$\wedge \text{ocdcl}_w \ S \ T\} \rangle$

$\langle \text{proof} \rangle$

Calculus with generalised Improve rule

Now a version with the more general improve rule:

inductive $ocdcl_w-p :: \langle 'st \Rightarrow 'st \Rightarrow bool \rangle$ **for** $S :: 'st$ **where**

$ocdcl-conflict: \langle conflict\ S\ S' \Longrightarrow ocdcl_w-p\ S\ S' \rangle \mid$
 $ocdcl-propagate: \langle propagate\ S\ S' \Longrightarrow ocdcl_w-p\ S\ S' \rangle \mid$
 $ocdcl-improve: \langle improve\ S\ S' \Longrightarrow ocdcl_w-p\ S\ S' \rangle \mid$
 $ocdcl-conflict-opt: \langle oconflict-opt\ S\ S' \Longrightarrow ocdcl_w-p\ S\ S' \rangle \mid$
 $ocdcl-other': \langle ocdcl_W-o\ S\ S' \Longrightarrow ocdcl_w-p\ S\ S' \rangle \mid$
 $ocdcl-pruning: \langle pruning\ S\ S' \Longrightarrow ocdcl_w-p\ S\ S' \rangle$

inductive $ocdcl_w-p-stgy :: \langle 'st \Rightarrow 'st \Rightarrow bool \rangle$ **for** $S :: 'st$ **where**

$ocdcl_w-p-conflict: \langle conflict\ S\ S' \Longrightarrow ocdcl_w-p-stgy\ S\ S' \rangle \mid$
 $ocdcl_w-p-propagate: \langle propagate\ S\ S' \Longrightarrow ocdcl_w-p-stgy\ S\ S' \rangle \mid$
 $ocdcl_w-p-improve: \langle improve\ S\ S' \Longrightarrow ocdcl_w-p-stgy\ S\ S' \rangle \mid$
 $ocdcl_w-p-conflict-opt: \langle conflict-opt\ S\ S' \Longrightarrow ocdcl_w-p-stgy\ S\ S' \rangle \mid$
 $ocdcl_w-p-pruning: \langle pruning\ S\ S' \Longrightarrow ocdcl_w-p-stgy\ S\ S' \rangle \mid$
 $ocdcl_w-p-other': \langle ocdcl_W-o\ S\ S' \Longrightarrow no-conflict-prop-impr\ S \Longrightarrow ocdcl_w-p-stgy\ S\ S' \rangle$

lemma $ocdcl_w-p-cdcl-bnb:$

assumes $\langle ocdcl_w-p\ S\ T \rangle$ **and**

$inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$

shows $\langle cdcl-bnb\ S\ T \rangle$

$\langle proof \rangle$

lemma $ocdcl_w-p-stgy-cdcl-bnb-stgy:$

assumes $\langle ocdcl_w-p-stgy\ S\ T \rangle$ **and**

$inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$

shows $\langle cdcl-bnb-stgy\ S\ T \rangle$

$\langle proof \rangle$

lemma $rtranclp-ocdcl_w-p-stgy-rtranclp-cdcl-bnb-stgy:$

assumes $\langle ocdcl_w-p-stgy^{**}\ S\ T \rangle$ **and**

$inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$

shows $\langle cdcl-bnb-stgy^{**}\ S\ T \rangle$

$\langle proof \rangle$

lemma $no-step-ocdcl_w-p-no-step-cdcl-bnb:$

assumes $\langle no-step\ ocdcl_w-p\ S \rangle$ **and**

$inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$

shows $\langle no-step\ cdcl-bnb\ S \rangle$

$\langle proof \rangle$

lemma $no-step-ocdcl_w-p-stgy-no-step-cdcl-bnb-stgy:$

assumes $\langle no-step\ ocdcl_w-p-stgy\ S \rangle$ **and**

$inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$

shows $\langle no-step\ cdcl-bnb-stgy\ S \rangle$

$\langle proof \rangle$

lemma $full-ocdcl_w-p-stgy-full-cdcl-bnb-stgy:$

assumes $\langle full\ ocdcl_w-p-stgy\ S\ T \rangle$ **and**

$inv: \langle cdcl_W-restart-mset.cdcl_W-all-struct-inv\ (abs-state\ S) \rangle$

shows $\langle full\ cdcl-bnb-stgy\ S\ T \rangle$

$\langle proof \rangle$

corollary *full-ocdcl_w-p-stgy-no-conflicting-clause-from-init-state:*

assumes

st: $\langle \text{full ocdcl}_w\text{-p-stgy (init-state } N) T \rangle$ **and**

dist: $\langle \text{distinct-mset-mset } N \rangle$

shows

$\langle \text{weight } T = \text{None} \implies \text{unsatisfiable (set-mset } N) \rangle$ **and**

$\langle \text{weight } T \neq \text{None} \implies \text{model-on (set-mset (the (weight } T))) N \wedge \text{set-mset (the (weight } T)) \models_{sm} N$

\wedge

$\langle \text{distinct-mset (the (weight } T)) \rangle$ **and**

$\langle \text{distinct-mset } I \implies \text{consistent-interp (set-mset } I) \implies \text{atms-of } I = \text{atms-of-mm } N \implies$

$\text{set-mset } I \models_{sm} N \implies \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-stgy-no-smaller-propa:*

$\langle \text{cdcl-bnb-stgy } S T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \implies$

$\text{no-smaller-propa } S \implies \text{no-smaller-propa } T \rangle$

$\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-stgy-no-smaller-propa:*

$\langle \text{cdcl-bnb-stgy}^{**} S T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \implies$

$\text{no-smaller-propa } S \implies \text{no-smaller-propa } T \rangle$

$\langle \text{proof} \rangle$

lemma *wf-ocdcl_w-p:*

$\langle \text{wf } \{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S)$

$\wedge \text{ocdcl}_w\text{-p } S T \} \rangle$

$\langle \text{proof} \rangle$

end

end

theory *CDCL-W-Partial-Encoding*

imports *CDCL-W-Optimal-Model*

begin

lemma *consistent-interp-unionI:*

$\langle \text{consistent-interp } A \implies \text{consistent-interp } B \implies (\bigwedge a. a \in A \implies -a \notin B) \implies (\bigwedge a. a \in B \implies -a \notin A) \implies$

$\text{consistent-interp (} A \cup B \text{)} \rangle$

$\langle \text{proof} \rangle$

lemma *consistent-interp-poss:* $\langle \text{consistent-interp (Pos ' } A \text{)} \rangle$ **and**

consistent-interp-negs: $\langle \text{consistent-interp (Neg ' } A \text{)} \rangle$

$\langle \text{proof} \rangle$

lemma *Neg-in-lits-of-l-definedD:*

$\langle \text{Neg } A \in \text{lits-of-l } M \implies \text{defined-lit } M (\text{Pos } A) \rangle$

$\langle \text{proof} \rangle$

0.1.2 Encoding of partial SAT into total SAT

As a way to make sure we don't reuse theorems names:

interpretation *test: conflict-driven-clause-learning_W-optimal-weight* **where**
state-eq = $\langle (=) \rangle$ **and**
state = *id* **and**
trail = $\langle \lambda(M, N, U, D, W). M \rangle$ **and**
init-clss = $\langle \lambda(M, N, U, D, W). N \rangle$ **and**
learned-clss = $\langle \lambda(M, N, U, D, W). U \rangle$ **and**
conflicting = $\langle \lambda(M, N, U, D, W). D \rangle$ **and**
cons-trail = $\langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$ **and**
tl-trail = $\langle \lambda(M, N, U, D, W). (tl\ M, N, U, D, W) \rangle$ **and**
add-learned-cl = $\langle \lambda C (M, N, U, D, W). (M, N, add\ mset\ C\ U, D, W) \rangle$ **and**
remove-cl = $\langle \lambda C (M, N, U, D, W). (M, removeAll\ mset\ C\ N, removeAll\ mset\ C\ U, D, W) \rangle$ **and**
update-conflicting = $\langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$ **and**
init-state = $\langle \lambda N. ([, N, \{\#\}, None, None, ()) \rangle$ **and**
ρ = $\langle \lambda -. 0 \rangle$ **and**
update-additional-info = $\langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$
 $\langle proof \rangle$

We here formalise the encoding from a formula to another formula from which we will use to derive the optimal partial model.

While the proofs are still inspired by Dominic Zimmer's upcoming bachelor thesis, we now use the dual rail encoding, which is more elegant than the solution found by Christoph to solve the problem.

The intended meaning is the following:

- Σ is the set of all variables
- $\Delta\Sigma$ is the set of all variables with a (possibly non-zero) weight: These are the variable that needs to be replaced during encoding, but it does not matter if the weight 0.

locale *optimal-encoding-opt-ops* =
fixes $\Sigma\ \Delta\Sigma :: \langle 'v\ set \rangle$ **and**
new-vars :: $\langle 'v \Rightarrow 'v \times 'v \rangle$

begin

abbreviation *replacement-pos* :: $\langle 'v \Rightarrow 'v \rangle (\langle (-)^{+1} \rangle\ 100)$ **where**
 $\langle replacement\ pos\ A \equiv fst\ (new\ vars\ A) \rangle$

abbreviation *replacement-neg* :: $\langle 'v \Rightarrow 'v \rangle (\langle (-)^{+0} \rangle\ 100)$ **where**
 $\langle replacement\ neg\ A \equiv snd\ (new\ vars\ A) \rangle$

fun *encode-lit* **where**

$\langle encode\ lit\ (Pos\ A) = (if\ A \in \Delta\Sigma\ then\ Pos\ (replacement\ pos\ A)\ else\ Pos\ A) \rangle$ |
 $\langle encode\ lit\ (Neg\ A) = (if\ A \in \Delta\Sigma\ then\ Pos\ (replacement\ neg\ A)\ else\ Neg\ A) \rangle$

lemma *encode-lit-alt-def*:

$\langle encode\ lit\ A = (if\ atm\ of\ A \in \Delta\Sigma$
 $\ then\ Pos\ (if\ is\ pos\ A\ then\ replacement\ pos\ (atm\ of\ A)\ else\ replacement\ neg\ (atm\ of\ A))$
 $\ else\ A) \rangle$
 $\langle proof \rangle$

definition *encode-clause* :: $\langle 'v\ clause \Rightarrow 'v\ clause \rangle$ **where**
 $\langle encode\ clause\ C = encode\ lit\ \#\ C \rangle$

lemma *encode-clause-simp*[simp]:

⟨*encode-clause* {#} = {#}⟩
 ⟨*encode-clause* (add-mset A C) = add-mset (encode-lit A) (encode-clause C)⟩
 ⟨*encode-clause* (C + D) = encode-clause C + encode-clause D⟩
 ⟨*proof*⟩

definition *encode-clauses* :: ⟨'v clauses ⇒ 'v clauses⟩ **where**

⟨*encode-clauses* C = encode-clause '# C⟩

lemma *encode-clauses-simp*[simp]:

⟨*encode-clauses* {#} = {#}⟩
 ⟨*encode-clauses* (add-mset A C) = add-mset (encode-clause A) (encode-clauses C)⟩
 ⟨*encode-clauses* (C + D) = encode-clauses C + encode-clauses D⟩
 ⟨*proof*⟩

definition *additional-constraint* :: ⟨'v ⇒ 'v clauses⟩ **where**

⟨*additional-constraint* A =
 {#{#Neg (A^{→1}), Neg (A^{→0})#}#}⟩

definition *additional-constraints* :: ⟨'v clauses⟩ **where**

⟨*additional-constraints* = ∑ # (additional-constraint '# (mset-set ΔΣ))⟩

definition *penc* :: ⟨'v clauses ⇒ 'v clauses⟩ **where**

⟨*penc* N = encode-clauses N + additional-constraints⟩

lemma *size-encode-clauses*[simp]: ⟨*size* (encode-clauses N) = *size* N⟩

⟨*proof*⟩

lemma *size-penc*:

⟨*size* (penc N) = *size* N + card ΔΣ⟩

⟨*proof*⟩

lemma *atms-of-mm-additional-constraints*: ⟨*finite* ΔΣ ⇒

atms-of-mm additional-constraints = replacement-pos ' ΔΣ ∪ replacement-neg ' ΔΣ⟩

⟨*proof*⟩

lemma *atms-of-mm-encode-clause-subset*:

⟨*atms-of-mm* (encode-clauses N) ⊆ (*atms-of-mm* N - ΔΣ) ∪ replacement-pos ' {A ∈ ΔΣ. A ∈

atms-of-mm N} ∪ replacement-neg ' {A ∈ ΔΣ. A ∈ *atms-of-mm* N}⟩

⟨*proof*⟩

In every meaningful application of the theorem below, we have ΔΣ ⊆ *atms-of-mm* N.

lemma *atms-of-mm-penc-subset*: ⟨*finite* ΔΣ ⇒

atms-of-mm (penc N) ⊆ *atms-of-mm* N ∪ replacement-pos ' ΔΣ

∪ replacement-neg ' ΔΣ ∪ ΔΣ⟩

⟨*proof*⟩

lemma *atms-of-mm-encode-clause-subset2*: ⟨*finite* ΔΣ ⇒ ΔΣ ⊆ *atms-of-mm* N ⇒

atms-of-mm N ⊆ *atms-of-mm* (encode-clauses N) ∪ ΔΣ⟩

⟨*proof*⟩

lemma *atms-of-mm-penc-subset2*: ⟨*finite* ΔΣ ⇒ ΔΣ ⊆ *atms-of-mm* N ⇒

atms-of-mm (penc N) = (*atms-of-mm* N - ΔΣ) ∪ replacement-pos ' ΔΣ ∪ replacement-neg ' ΔΣ⟩

⟨*proof*⟩

theorem *card-atms-of-mm-penc*:

assumes $\langle \text{finite } \Delta\Sigma \rangle$ **and** $\langle \Delta\Sigma \subseteq \text{atms-of-mm } N \rangle$

shows $\langle \text{card } (\text{atms-of-mm } (\text{penc } N)) \leq \text{card } (\text{atms-of-mm } N - \Delta\Sigma) + 2 * \text{card } \Delta\Sigma \rangle$ (**is** $\langle ?A \leq ?B \rangle$)

$\langle \text{proof} \rangle$

definition *postp* :: $\langle 'v \text{ partial-interp} \Rightarrow 'v \text{ partial-interp} \rangle$ **where**

$\langle \text{postp } I =$

$\{A \in I. \text{atm-of } A \notin \Delta\Sigma \wedge \text{atm-of } A \in \Sigma\} \cup \text{Pos } \langle \{A. A \in \Delta\Sigma \wedge \text{Pos } (\text{replacement-pos } A) \in I\}$
 $\cup \text{Neg } \langle \{A. A \in \Delta\Sigma \wedge \text{Pos } (\text{replacement-neg } A) \in I \wedge \text{Pos } (\text{replacement-pos } A) \notin I\} \rangle$

lemma *preprocess-cls-model-additional-variables2*:

assumes

$\langle \text{atm-of } A \in \Sigma - \Delta\Sigma \rangle$

shows

$\langle A \in \text{postp } I \longleftrightarrow A \in I \rangle$ (**is** $\langle ?A \rangle$)

$\langle \text{proof} \rangle$

lemma *encode-clause-iff*:

assumes

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Pos } A \in I \longleftrightarrow \text{Pos } (\text{replacement-pos } A) \in I \rangle$

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Neg } A \in I \longleftrightarrow \text{Pos } (\text{replacement-neg } A) \in I \rangle$

shows $\langle I \models \text{encode-clause } C \longleftrightarrow I \models C \rangle$

$\langle \text{proof} \rangle$

lemma *encode-clauses-iff*:

assumes

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Pos } A \in I \longleftrightarrow \text{Pos } (\text{replacement-pos } A) \in I \rangle$

$\langle \bigwedge A. A \in \Delta\Sigma \implies \text{Neg } A \in I \longleftrightarrow \text{Pos } (\text{replacement-neg } A) \in I \rangle$

shows $\langle I \models_m \text{encode-clauses } C \longleftrightarrow I \models_m C \rangle$

$\langle \text{proof} \rangle$

definition Σ_{add} **where**

$\langle \Sigma_{add} = \text{replacement-pos } \langle \Delta\Sigma \cup \text{replacement-neg } \langle \Delta\Sigma \rangle \rangle$

definition *upostp* :: $\langle 'v \text{ partial-interp} \Rightarrow 'v \text{ partial-interp} \rangle$ **where**

$\langle \text{upostp } I =$

$\text{Neg } \langle \{A \in \Sigma. A \notin \Delta\Sigma \wedge \text{Pos } A \notin I \wedge \text{Neg } A \notin I\}$

$\cup \{A \in I. \text{atm-of } A \in \Sigma \wedge \text{atm-of } A \notin \Delta\Sigma\}$

$\cup \text{Pos } \langle \text{replacement-pos } \langle \{A \in \Delta\Sigma. \text{Pos } A \in I\} \rangle$

$\cup \text{Neg } \langle \text{replacement-pos } \langle \{A \in \Delta\Sigma. \text{Pos } A \notin I\} \rangle$

$\cup \text{Pos } \langle \text{replacement-neg } \langle \{A \in \Delta\Sigma. \text{Neg } A \in I\} \rangle$

$\cup \text{Neg } \langle \text{replacement-neg } \langle \{A \in \Delta\Sigma. \text{Neg } A \notin I\} \rangle \rangle$

lemma *atm-of-upostp-subset*:

$\langle \text{atm-of } \langle \text{upostp } I \rangle \subseteq$

$(\text{atm-of } \langle I - \Delta\Sigma \rangle \cup \text{replacement-pos } \langle \Delta\Sigma \cup$

$\text{replacement-neg } \langle \Delta\Sigma \cup \Sigma \rangle)$

$\langle \text{proof} \rangle$

end

locale *optimal-encoding-opt* = *conflict-driven-clause-learning_W-optimal-weight*
state-eq

state
— functions for the state:
— access functions:
trail init-cls learned-cls conflicting
— changing state:
cons-trail tl-trail add-learned-cls remove-cls
update-conflicting

— get state:
init-state ϱ
update-additional-info +
optimal-encoding-opt-ops $\Sigma \Delta\Sigma$ *new-vars*
for

state-eq :: $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$ (**infix** $\langle \sim \rangle$ 50) **and**
state :: $\langle 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times 'v \text{ clause option} \times 'b \rangle$ **and**
trail :: $\langle 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \rangle$ **and**
init-cls :: $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$ **and**
learned-cls :: $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$ **and**
conflicting :: $\langle 'st \Rightarrow 'v \text{ clause option} \rangle$ **and**

cons-trail :: $\langle ('v, 'v \text{ clause}) \text{ ann-lit} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**
tl-trail :: $\langle 'st \Rightarrow 'st \rangle$ **and**
add-learned-cls :: $\langle 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**
remove-cls :: $\langle 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**
update-conflicting :: $\langle 'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

init-state :: $\langle 'v \text{ clauses} \Rightarrow 'st \rangle$ **and**
update-additional-info :: $\langle 'v \text{ clause option} \times 'b \Rightarrow 'st \Rightarrow 'st \rangle$ **and**
 $\Sigma \Delta\Sigma$:: $\langle 'v \text{ set} \rangle$ **and**
 ϱ :: $\langle 'v \text{ clause} \Rightarrow 'a :: \{ \text{linorder} \} \rangle$ **and**
new-vars :: $\langle 'v \Rightarrow 'v \times 'v \rangle$

begin

inductive *odecide* :: $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$ **where**
odecide-noweight: $\langle \text{odecide } S \ T \rangle$
if
 $\langle \text{conflicting } S = \text{None} \rangle$ **and**
 $\langle \text{undefined-lit } (\text{trail } S) \ L \rangle$ **and**
 $\langle \text{atm-of } L \in \text{atms-of-mm } (\text{init-cls } S) \rangle$ **and**
 $\langle T \sim \text{cons-trail } (\text{Decided } L) \ S \rangle$ **and**
 $\langle \text{atm-of } L \in \Sigma - \Delta\Sigma \rangle$ |
odecide-replacement-pos: $\langle \text{odecide } S \ T \rangle$
if
 $\langle \text{conflicting } S = \text{None} \rangle$ **and**
 $\langle \text{undefined-lit } (\text{trail } S) \ (\text{Pos } (\text{replacement-pos } L)) \rangle$ **and**
 $\langle T \sim \text{cons-trail } (\text{Decided } (\text{Pos } (\text{replacement-pos } L))) \ S \rangle$ **and**
 $\langle L \in \Delta\Sigma \rangle$ |
odecide-replacement-neg: $\langle \text{odecide } S \ T \rangle$
if
 $\langle \text{conflicting } S = \text{None} \rangle$ **and**
 $\langle \text{undefined-lit } (\text{trail } S) \ (\text{Pos } (\text{replacement-neg } L)) \rangle$ **and**
 $\langle T \sim \text{cons-trail } (\text{Decided } (\text{Pos } (\text{replacement-neg } L))) \ S \rangle$ **and**
 $\langle L \in \Delta\Sigma \rangle$

inductive-cases *odecideE*: $\langle \text{odecide } S \ T \rangle$

definition *no-new-lonely-clause* :: $\langle 'v \ \text{clause} \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{no-new-lonely-clause } C \longleftrightarrow$
 $(\forall L \in \Delta\Sigma. L \in \text{atms-of } C \longrightarrow$
 $\text{Neg } (\text{replacement-pos } L) \in\# C \vee \text{Neg } (\text{replacement-neg } L) \in\# C \vee C \in\# \text{additional-constraint}$
 $L) \rangle$

definition *lonely-weighted-lit-decided* **where**

$\langle \text{lonely-weighted-lit-decided } S \longleftrightarrow$
 $(\forall L \in \Delta\Sigma. \text{Decided } (\text{Pos } L) \notin \text{set } (\text{trail } S) \wedge \text{Decided } (\text{Neg } L) \notin \text{set } (\text{trail } S)) \rangle$

end

locale *optimal-encoding-ops* = *optimal-encoding-opt-ops*

$\Sigma \ \Delta\Sigma$

new-vars +

ocdcl-weight ϱ

for

$\Sigma \ \Delta\Sigma$:: $\langle 'v \ \text{set} \rangle$ **and**

new-vars :: $\langle 'v \Rightarrow 'v \times 'v \rangle$ **and**

ϱ :: $\langle 'v \ \text{clause} \Rightarrow 'a :: \{\text{linorder}\} \rangle$ +

assumes

finite- Σ :

$\langle \text{finite } \Delta\Sigma \rangle$ **and**

$\Delta\Sigma$ - Σ :

$\langle \Delta\Sigma \subseteq \Sigma \rangle$ **and**

new-vars-pos:

$\langle A \in \Delta\Sigma \Longrightarrow \text{replacement-pos } A \notin \Sigma \rangle$ **and**

new-vars-neg:

$\langle A \in \Delta\Sigma \Longrightarrow \text{replacement-neg } A \notin \Sigma \rangle$ **and**

new-vars-dist:

$\langle \text{inj-on replacement-pos } \Delta\Sigma \rangle$

$\langle \text{inj-on replacement-neg } \Delta\Sigma \rangle$

$\langle \text{replacement-pos } ' \Delta\Sigma \cap \text{replacement-neg } ' \Delta\Sigma = \{\} \rangle$ **and**

Σ -*no-weight*:

$\langle \text{atm-of } C \in \Sigma - \Delta\Sigma \Longrightarrow \varrho (\text{add-mset } C \ M) = \varrho \ M \rangle$

begin

lemma *new-vars-dist2*:

$\langle A \in \Delta\Sigma \Longrightarrow B \in \Delta\Sigma \Longrightarrow A \neq B \Longrightarrow \text{replacement-pos } A \neq \text{replacement-pos } B \rangle$

$\langle A \in \Delta\Sigma \Longrightarrow B \in \Delta\Sigma \Longrightarrow A \neq B \Longrightarrow \text{replacement-neg } A \neq \text{replacement-neg } B \rangle$

$\langle A \in \Delta\Sigma \Longrightarrow B \in \Delta\Sigma \Longrightarrow \text{replacement-neg } A \neq \text{replacement-pos } B \rangle$

$\langle \text{proof} \rangle$

lemma *consistent-interp-postp*:

$\langle \text{consistent-interp } I \Longrightarrow \text{consistent-interp } (\text{postp } I) \rangle$

$\langle \text{proof} \rangle$

The reverse of the previous theorem does not hold due to the filtering on the variables of $\Delta\Sigma$. One example of version that holds:

lemma

assumes $\langle A \in \Delta\Sigma \rangle$

shows $\langle \text{consistent-interp } (\text{postp } \{\text{Pos } A, \text{Neg } A\}) \rangle$ **and**

$\langle \neg \text{consistent-interp } \{\text{Pos } A, \text{Neg } A\} \rangle$

$\langle \text{proof} \rangle$

Some more restricted version of the reverse hold, like:

lemma *consistent-interp-postp-iff*:

$\langle \text{atm-of } ' I \subseteq \Sigma - \Delta\Sigma \implies \text{consistent-interp } I \longleftrightarrow \text{consistent-interp } (\text{postp } I) \rangle$
 $\langle \text{proof} \rangle$

lemma *new-vars-different-iff[simp]*:

$\langle A \neq x^{\mapsto 1} \rangle$
 $\langle A \neq x^{\mapsto 0} \rangle$
 $\langle x^{\mapsto 1} \neq A \rangle$
 $\langle x^{\mapsto 0} \neq A \rangle$
 $\langle A^{\mapsto 0} \neq x^{\mapsto 1} \rangle$
 $\langle A^{\mapsto 1} \neq x^{\mapsto 0} \rangle$
 $\langle A^{\mapsto 0} = x^{\mapsto 0} \longleftrightarrow A = x \rangle$
 $\langle A^{\mapsto 1} = x^{\mapsto 1} \longleftrightarrow A = x \rangle$
 $\langle (A^{\mapsto 1}) \notin \Sigma \rangle$
 $\langle (A^{\mapsto 0}) \notin \Sigma \rangle$
 $\langle (A^{\mapsto 1}) \notin \Delta\Sigma \rangle$
 $\langle (A^{\mapsto 0}) \notin \Delta\Sigma \rangle$ if $\langle A \in \Delta\Sigma \rangle$ $\langle x \in \Delta\Sigma \rangle$ for $A x$
 $\langle \text{proof} \rangle$

lemma *consistent-interp-upostp*:

$\langle \text{consistent-interp } I \implies \text{consistent-interp } (\text{upostp } I) \rangle$
 $\langle \text{proof} \rangle$

lemma *atm-of-upostp-subset2*:

$\langle \text{atm-of } ' I \subseteq \Sigma \implies \text{replacement-pos } ' \Delta\Sigma \cup$
 $\text{replacement-neg } ' \Delta\Sigma \cup (\Sigma - \Delta\Sigma) \subseteq \text{atm-of } ' (\text{upostp } I) \rangle$
 $\langle \text{proof} \rangle$

lemma $\Delta\Sigma$ -notin-upost[simp]:

$\langle y \in \Delta\Sigma \implies \text{Neg } y \notin \text{upostp } I \rangle$
 $\langle y \in \Delta\Sigma \implies \text{Pos } y \notin \text{upostp } I \rangle$
 $\langle \text{proof} \rangle$

lemma *penc-ent-upostp*:

assumes Σ : $\langle \text{atms-of-mm } N = \Sigma \rangle$ **and**
sat: $\langle I \models_{sm} N \rangle$ **and**
cons: $\langle \text{consistent-interp } I \rangle$ **and**
atm: $\langle \text{atm-of } ' I \subseteq \text{atms-of-mm } N \rangle$
shows $\langle \text{upostp } I \models_m \text{penc } N \rangle$
 $\langle \text{proof} \rangle$

lemma *penc-ent-postp*:

assumes Σ : $\langle \text{atms-of-mm } N = \Sigma \rangle$ **and**
sat: $\langle I \models_{sm} \text{penc } N \rangle$ **and**
cons: $\langle \text{consistent-interp } I \rangle$
shows $\langle \text{postp } I \models_m N \rangle$
 $\langle \text{proof} \rangle$

lemma *satisfiable-penc-satisfiable*:

assumes Σ : $\langle \text{atms-of-mm } N = \Sigma \rangle$ **and**
sat: $\langle \text{satisfiable } (\text{set-mset } (\text{penc } N)) \rangle$
shows $\langle \text{satisfiable } (\text{set-mset } N) \rangle$

⟨proof⟩

lemma *satisfiable-penc*:

assumes Σ : ⟨atms-of-mm $N = \Sigma$ ⟩ **and**
 sat: ⟨satisfiable (set-mset N)⟩
shows ⟨satisfiable (set-mset (penc N))⟩
⟨proof⟩

lemma *satisfiable-penc-iff*:

assumes Σ : ⟨atms-of-mm $N = \Sigma$ ⟩
shows ⟨satisfiable (set-mset (penc N)) \longleftrightarrow satisfiable (set-mset N)⟩
⟨proof⟩

abbreviation ϱ_e -filter :: ⟨'v literal multiset \Rightarrow 'v literal multiset⟩ **where**

⟨ ϱ_e -filter $M \equiv \{\#L \in \# \text{ poss (mset-set } \Delta\Sigma). \text{ Pos (atm-of } L^{\rightarrow 1}) \in \# M\# \} +$
 $\{\#L \in \# \text{ negs (mset-set } \Delta\Sigma). \text{ Pos (atm-of } L^{\rightarrow 0}) \in \# M\# \}$ ⟩

lemma *finite-upostp*: ⟨finite $I \Longrightarrow$ finite $\Sigma \Longrightarrow$ finite (upostp I)⟩

⟨proof⟩

declare *finite- Σ [simp]*

lemma *encode-lit-eq-iff*:

⟨atm-of $x \in \Sigma \Longrightarrow$ atm-of $y \in \Sigma \Longrightarrow$ encode-lit $x =$ encode-lit $y \longleftrightarrow x = y$ ⟩
⟨proof⟩

lemma *distinct-mset-encode-clause-iff*:

⟨atms-of $N \subseteq \Sigma \Longrightarrow$ distinct-mset (encode-clause N) \longleftrightarrow distinct-mset N ⟩
⟨proof⟩

lemma *distinct-mset-encodes-clause-iff*:

⟨atms-of-mm $N \subseteq \Sigma \Longrightarrow$ distinct-mset-mset (encode-clauses N) \longleftrightarrow distinct-mset-mset N ⟩
⟨proof⟩

lemma *distinct-additional-constraints[simp]*:

⟨distinct-mset-mset additional-constraints⟩
⟨proof⟩

lemma *distinct-mset-penc*:

⟨atms-of-mm $N \subseteq \Sigma \Longrightarrow$ distinct-mset-mset (penc N) \longleftrightarrow distinct-mset-mset N ⟩
⟨proof⟩

lemma *finite-postp*: ⟨finite $I \Longrightarrow$ finite (postp I)⟩

⟨proof⟩

lemma *total-entails-iff-no-conflict*:

assumes ⟨atms-of-mm $N \subseteq$ atm-of ' I ⟩ **and** ⟨consistent-interp I ⟩
shows ⟨ $I \models_{sm} N \longleftrightarrow (\forall C \in \# N. \neg I \models_s C \text{Not } C)$ ⟩
⟨proof⟩

definition ϱ_e :: ⟨'v literal multiset \Rightarrow 'a :: {linorder}⟩ **where**

⟨ $\varrho_e M = \varrho (\varrho_e\text{-filter } M)$ ⟩

lemma Σ -no-weight- ϱ_e : ⟨atm-of $C \in \Sigma - \Delta\Sigma \Longrightarrow \varrho_e (\text{add-mset } C M) = \varrho_e M$ ⟩

⟨proof⟩

lemma *q-cancel-notin- $\Delta\Sigma$* :

$\langle \bigwedge x. x \in \# M \implies \text{atm-of } x \in \Sigma - \Delta\Sigma \rangle \implies \varrho (M + M') = \varrho M'$
 $\langle \text{proof} \rangle$

lemma *q-mono2*:

$\langle \text{consistent-interp } (\text{set-mset } M') \implies \text{distinct-mset } M' \implies$
 $(\bigwedge A. A \in \# M \implies \text{atm-of } A \in \Sigma) \implies (\bigwedge A. A \in \# M' \implies \text{atm-of } A \in \Sigma) \implies$
 $\{ \#A \in \# M. \text{atm-of } A \in \Delta\Sigma\# \} \subseteq \# \{ \#A \in \# M'. \text{atm-of } A \in \Delta\Sigma\# \} \implies \varrho M \leq \varrho M' \rangle$
 $\langle \text{proof} \rangle$

lemma *q_e-mono*: $\langle \text{distinct-mset } B \implies A \subseteq \# B \implies \varrho_e A \leq \varrho_e B \rangle$

$\langle \text{proof} \rangle$

lemma *q_e-upostp-q*:

assumes [*simp*]: $\langle \text{finite } \Sigma \rangle$ **and**
 $\langle \text{finite } I \rangle$ **and**

cons: $\langle \text{consistent-interp } I \rangle$ **and**

I- Σ : $\langle \text{atm-of } 'I \subseteq \Sigma \rangle$

shows $\langle \varrho_e (\text{mset-set } (\text{upostp } I)) = \varrho (\text{mset-set } I) \rangle$ (**is** $\langle ?A = ?B \rangle$)

$\langle \text{proof} \rangle$

end

locale *optimal-encoding = optimal-encoding-opt*

state-eq

state

— functions for the state:

— access functions:

trail init-clss learned-clss conflicting

— changing state:

cons-trail tl-trail add-learned-clss remove-clss

update-conflicting

— get state:

init-state

update-additional-info

$\Sigma \Delta\Sigma$

ϱ

new-vars +

optimal-encoding-ops

$\Sigma \Delta\Sigma$

new-vars ϱ

for

state-eq :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ (**infix** $\langle \sim \rangle$ 50) **and**

state :: $\langle 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times$
 $'v \text{ clause option} \times 'b \rangle$ **and**

trail :: $\langle 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \rangle$ **and**

init-clss :: $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$ **and**

learned-clss :: $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$ **and**

conflicting :: $\langle 'st \Rightarrow 'v \text{ clause option} \rangle$ **and**

cons-trail :: $\langle ('v, 'v \text{ clause}) \text{ ann-lit} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

tl-trail :: $\langle 'st \Rightarrow 'st \rangle$ **and**

add-learned-clss :: $\langle 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

remove-clss :: $\langle 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**

update-conflicting :: $\langle 'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**
init-state :: $\langle 'v \text{ clauses} \Rightarrow 'st \rangle$ **and**
 ϱ :: $\langle 'v \text{ clause} \Rightarrow 'a :: \{ \text{linorder} \} \rangle$ **and**
update-additional-info :: $\langle 'v \text{ clause option} \times 'b \Rightarrow 'st \Rightarrow 'st \rangle$ **and**
 $\Sigma \Delta\Sigma$:: $\langle 'v \text{ set} \rangle$ **and**
new-vars :: $\langle 'v \Rightarrow 'v \times 'v \rangle$

begin

interpretation *enc-weight-opt: conflict-driven-clause-learning_W-optimal-weight* **where**

state-eq = *state-eq* **and**
state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cl = *add-learned-cl* **and**
remove-cl = *remove-cl* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state* **and**
 ϱ = ϱ_e **and**
update-additional-info = *update-additional-info*
 $\langle \text{proof} \rangle$

theorem *full-encoding-OCDCCL-correctness:*

assumes
st: $\langle \text{full enc-weight-opt.cdcl-bnb-stgy} (\text{init-state} (\text{penc } N)) T \rangle$ **and**
dist: $\langle \text{distinct-mset-mset } N \rangle$ **and**
atms: $\langle \text{atms-of-mm } N = \Sigma \rangle$

shows

$\langle \text{weight } T = \text{None} \implies \text{unsatisfiable} (\text{set-mset } N) \rangle$ **and**
 $\langle \text{weight } T \neq \text{None} \implies \text{postp} (\text{set-mset} (\text{the} (\text{weight } T))) \models_{\text{sm}} N \rangle$
 $\langle \text{weight } T \neq \text{None} \implies \text{distinct-mset } I \implies \text{consistent-interp} (\text{set-mset } I) \implies$
 $\text{atms-of } I \subseteq \text{atms-of-mm } N \implies \text{set-mset } I \models_{\text{sm}} N \implies$
 $\varrho I \geq \varrho (\text{mset-set} (\text{postp} (\text{set-mset} (\text{the} (\text{weight } T)))) \rangle$
 $\langle \text{weight } T \neq \text{None} \implies \varrho_e (\text{the} (\text{enc-weight-opt.weight } T)) =$
 $\varrho (\text{mset-set} (\text{postp} (\text{set-mset} (\text{the} (\text{enc-weight-opt.weight } T)))) \rangle$
 $\langle \text{proof} \rangle$

theorem *full-encoding-OCDCCL-complexity:*

assumes

st: $\langle \text{full enc-weight-opt.cdcl-bnb-stgy} (\text{init-state} (\text{penc } N)) T \rangle$ **and**
dist: $\langle \text{distinct-mset-mset } N \rangle$ **and**
atms: $\langle \text{atms-of-mm } N = \Sigma \rangle$

shows $\langle \text{size} (\text{learned-clss } T) \leq 2^{\wedge} (\text{card} (\text{atms-of-mm } N - \Delta\Sigma)) * 4^{\wedge} (\text{card } \Delta\Sigma) \rangle$

$\langle \text{proof} \rangle$

inductive *ocdcl_W-o-r* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** *S* :: *'st* **where**

decide: $\langle \text{odecide } S S' \implies \text{ocdcl}_{W\text{-o-r}} S S' \rangle$ |

bj: $\langle \text{enc-weight-opt.cdcl-bnb-bj } S S' \implies \text{ocdcl}_{W\text{-o-r}} S S' \rangle$

inductive *cdcl-bnb-r* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** *S* :: *'st* **where**

cdcl-conflict: $\langle \text{conflict } S S' \implies \text{cdcl-bnb-r } S S' \rangle$ |

cdcl-propagate: $\langle \text{propagate } S S' \implies \text{cdcl-bnb-r } S S' \rangle \mid$
cdcl-improve: $\langle \text{enc-weight-opt.improvep } S S' \implies \text{cdcl-bnb-r } S S' \rangle \mid$
cdcl-conflict-opt: $\langle \text{enc-weight-opt.conflict-opt } S S' \implies \text{cdcl-bnb-r } S S' \rangle \mid$
cdcl-o': $\langle \text{ocdcl}_W\text{-o-r } S S' \implies \text{cdcl-bnb-r } S S' \rangle$

inductive *cdcl-bnb-r-stgy* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S :: 'st$ **where**

cdcl-bnb-r-conflict: $\langle \text{conflict } S S' \implies \text{cdcl-bnb-r-stgy } S S' \rangle \mid$
cdcl-bnb-r-propagate: $\langle \text{propagate } S S' \implies \text{cdcl-bnb-r-stgy } S S' \rangle \mid$
cdcl-bnb-r-improve: $\langle \text{enc-weight-opt.improvep } S S' \implies \text{cdcl-bnb-r-stgy } S S' \rangle \mid$
cdcl-bnb-r-conflict-opt: $\langle \text{enc-weight-opt.conflict-opt } S S' \implies \text{cdcl-bnb-r-stgy } S S' \rangle \mid$
cdcl-bnb-r-other': $\langle \text{ocdcl}_W\text{-o-r } S S' \implies \text{no-conflict-prop-impr } S \implies \text{cdcl-bnb-r-stgy } S S' \rangle$

lemma *ocdcl_W-o-r-cases*[*consumes 1, case-names odecode obacktrack skip resolve*]:

assumes

$\langle \text{ocdcl}_W\text{-o-r } S T \rangle$
 $\langle \text{odecide } S T \implies P T \rangle$
 $\langle \text{enc-weight-opt.obacktrack } S T \implies P T \rangle$
 $\langle \text{skip } S T \implies P T \rangle$
 $\langle \text{resolve } S T \implies P T \rangle$

shows $\langle P T \rangle$

$\langle \text{proof} \rangle$

context

fixes $S :: 'st$

assumes $S\text{-}\Sigma$: $\langle \text{atms-of-mm } (\text{init-cls } S) = (\Sigma - \Delta\Sigma) \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \rangle$

begin

lemma *odecide-decide*:

$\langle \text{odecide } S T \implies \text{decide } S T \rangle$

$\langle \text{proof} \rangle$

lemma *ocdcl_W-o-r-ocdcl_W-o*:

$\langle \text{ocdcl}_W\text{-o-r } S T \implies \text{enc-weight-opt.ocdcl}_W\text{-o } S T \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-r-cdcl-bnb*:

$\langle \text{cdcl-bnb-r } S T \implies \text{enc-weight-opt.cdcl-bnb } S T \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-r-stgy-cdcl-bnb-stgy*:

$\langle \text{cdcl-bnb-r-stgy } S T \implies \text{enc-weight-opt.cdcl-bnb-stgy } S T \rangle$

$\langle \text{proof} \rangle$

end

context

fixes $S :: 'st$

assumes $S\text{-}\Sigma$: $\langle \text{atms-of-mm } (\text{init-cls } S) = (\Sigma - \Delta\Sigma) \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \rangle$

begin

lemma *rtranclp-cdcl-bnb-r-cdcl-bnb*:

$\langle \text{cdcl-bnb-r}^{**} S T \implies \text{enc-weight-opt.cdcl-bnb}^{**} S T \rangle$

$\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-r-stgy-cdcl-bnb-stgy*:

$\langle \text{cdcl-bnb-r-stgy}^{**} S T \implies \text{enc-weight-opt.cdcl-bnb-stgy}^{**} S T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-r-all-struct-inv*:

$\langle \text{cdcl-bnb-r}^{**} S T \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } S) \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-r-stgy-all-struct-inv*:

$\langle \text{cdcl-bnb-r-stgy}^{**} S T \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } S) \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

end

lemma *no-step-cdcl-bnb-r-stgy-no-step-cdcl-bnb-stgy*:

assumes

N : $\langle \text{init-clss } S = \text{penc } N \rangle$ **and**

Σ : $\langle \text{atms-of-mm } N = \Sigma \rangle$ **and**

$n\text{-d}$: $\langle \text{no-dup (trail } S) \rangle$ **and**

tr-alien : $\langle \text{atm-of ' lits-of-l (trail } S) \subseteq \Sigma \cup \text{replacement-pos ' } \Delta\Sigma \cup \text{replacement-neg ' } \Delta\Sigma \rangle$

shows

$\langle \text{no-step cdcl-bnb-r-stgy } S \longleftrightarrow \text{no-step enc-weight-opt.cdcl-bnb-stgy } S \rangle$ (**is** $\langle ?A \longleftrightarrow ?B \rangle$)

$\langle \text{proof} \rangle$

lemma *cdcl-bnb-r-stgy-init-clss*:

$\langle \text{cdcl-bnb-r-stgy } S T \implies \text{init-clss } S = \text{init-clss } T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-r-stgy-init-clss*:

$\langle \text{cdcl-bnb-r-stgy}^{**} S T \implies \text{init-clss } S = \text{init-clss } T \rangle$
 $\langle \text{proof} \rangle$

lemma [*simp*]:

$\langle \text{enc-weight-opt.abs-state (init-state } N) = \text{abs-state (init-state } N) \rangle$
 $\langle \text{proof} \rangle$

corollary

assumes

Σ : $\langle \text{atms-of-mm } N = \Sigma \rangle$ **and** dist : $\langle \text{distinct-mset-mset } N \rangle$ **and**

$\langle \text{full cdcl-bnb-r-stgy (init-state (penc } N)) T \rangle$

shows

$\langle \text{full enc-weight-opt.cdcl-bnb-stgy (init-state (penc } N)) T \rangle$

$\langle \text{proof} \rangle$

lemma *propagation-one-lit-of-same-lvl*:

assumes

$\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (abs-state } S) \rangle$ **and**

$\langle \text{no-smaller-propa } S \rangle$ **and**

$\langle \text{Propagated } L E \in \text{set (trail } S) \rangle$ **and**

rea: $\langle \text{reasons-in-clauses } S \rangle$ **and**
nempty: $\langle E - \{\#L\# \} \neq \{\#\} \rangle$
shows
 $\langle \exists L' \in \# E - \{\#L\# \}. \text{get-level } (\text{trail } S) L = \text{get-level } (\text{trail } S) L' \rangle$
 $\langle \text{proof} \rangle$

lemma *simple-backtrack-obacktrack*:

$\langle \text{simple-backtrack } S T \implies \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \implies$
 $\text{enc-weight-opt.obacktrack } S T \rangle$
 $\langle \text{proof} \rangle$

end

interpretation *test-real: optimal-encoding-opt* **where**

state-eq = $\langle (=) \rangle$ **and**
state = *id* **and**
trail = $\langle \lambda(M, N, U, D, W). M \rangle$ **and**
init-clss = $\langle \lambda(M, N, U, D, W). N \rangle$ **and**
learned-clss = $\langle \lambda(M, N, U, D, W). U \rangle$ **and**
conflicting = $\langle \lambda(M, N, U, D, W). D \rangle$ **and**
cons-trail = $\langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$ **and**
tl-trail = $\langle \lambda(M, N, U, D, W). (\text{tl } M, N, U, D, W) \rangle$ **and**
add-learned-clc = $\langle \lambda C (M, N, U, D, W). (M, N, \text{add-mset } C U, D, W) \rangle$ **and**
remove-clc = $\langle \lambda C (M, N, U, D, W). (M, \text{removeAll-mset } C N, \text{removeAll-mset } C U, D, W) \rangle$ **and**
update-conflicting = $\langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$ **and**
init-state = $\langle \lambda N. ([], N, \{\#\}, \text{None}, \text{None}, ()) \rangle$ **and**
ρ = $\langle \lambda-. (0::\text{real}) \rangle$ **and**
update-additional-info = $\langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$ **and**
 $\Sigma = \langle \{1..(100::\text{nat})\} \rangle$ **and**
 $\Delta\Sigma = \langle \{1..(50::\text{nat})\} \rangle$ **and**
new-vars = $\langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$
 $\langle \text{proof} \rangle$

lemma *mult3-inj*:

$\langle 2 * A = \text{Suc } (2 * Aa) \longleftrightarrow \text{False} \rangle$ **for** $A Aa::\text{nat}$
 $\langle \text{proof} \rangle$

interpretation *test-real: optimal-encoding* **where**

state-eq = $\langle (=) \rangle$ **and**
state = *id* **and**
trail = $\langle \lambda(M, N, U, D, W). M \rangle$ **and**
init-clss = $\langle \lambda(M, N, U, D, W). N \rangle$ **and**
learned-clss = $\langle \lambda(M, N, U, D, W). U \rangle$ **and**
conflicting = $\langle \lambda(M, N, U, D, W). D \rangle$ **and**
cons-trail = $\langle \lambda K (M, N, U, D, W). (K \# M, N, U, D, W) \rangle$ **and**
tl-trail = $\langle \lambda(M, N, U, D, W). (\text{tl } M, N, U, D, W) \rangle$ **and**
add-learned-clc = $\langle \lambda C (M, N, U, D, W). (M, N, \text{add-mset } C U, D, W) \rangle$ **and**
remove-clc = $\langle \lambda C (M, N, U, D, W). (M, \text{removeAll-mset } C N, \text{removeAll-mset } C U, D, W) \rangle$ **and**
update-conflicting = $\langle \lambda C (M, N, U, -, W). (M, N, U, C, W) \rangle$ **and**
init-state = $\langle \lambda N. ([], N, \{\#\}, \text{None}, \text{None}, ()) \rangle$ **and**
ρ = $\langle \lambda-. (0::\text{real}) \rangle$ **and**
update-additional-info = $\langle \lambda W (M, N, U, D, -, -). (M, N, U, D, W) \rangle$ **and**
 $\Sigma = \langle \{1..(100::\text{nat})\} \rangle$ **and**
 $\Delta\Sigma = \langle \{1..(50::\text{nat})\} \rangle$ **and**
new-vars = $\langle \lambda n. (200 + 2*n, 200 + 2*n+1) \rangle$

⟨proof⟩

interpretation *test-nat: optimal-encoding-opt* **where**

state-eq = ⟨(=)⟩ **and**

state = *id* **and**

trail = ⟨λ(*M*, *N*, *U*, *D*, *W*). *M*⟩ **and**

init-clss = ⟨λ(*M*, *N*, *U*, *D*, *W*). *N*⟩ **and**

learned-clss = ⟨λ(*M*, *N*, *U*, *D*, *W*). *U*⟩ **and**

conflicting = ⟨λ(*M*, *N*, *U*, *D*, *W*). *D*⟩ **and**

cons-trail = ⟨λ*K* (*M*, *N*, *U*, *D*, *W*). (*K* # *M*, *N*, *U*, *D*, *W*)⟩ **and**

tl-trail = ⟨λ(*M*, *N*, *U*, *D*, *W*). (*tl* *M*, *N*, *U*, *D*, *W*)⟩ **and**

add-learned-cl = ⟨λ*C* (*M*, *N*, *U*, *D*, *W*). (*M*, *N*, *add-mset* *C* *U*, *D*, *W*)⟩ **and**

remove-cl = ⟨λ*C* (*M*, *N*, *U*, *D*, *W*). (*M*, *removeAll-mset* *C* *N*, *removeAll-mset* *C* *U*, *D*, *W*)⟩ **and**

update-conflicting = ⟨λ*C* (*M*, *N*, *U*, -, *W*). (*M*, *N*, *U*, *C*, *W*)⟩ **and**

init-state = ⟨λ*N*. ([], *N*, {#}, *None*, *None*, ())⟩ **and**

ρ = ⟨λ-. (*0*::*nat*)⟩ **and**

update-additional-info = ⟨λ*W* (*M*, *N*, *U*, *D*, -, -). (*M*, *N*, *U*, *D*, *W*)⟩ **and**

Σ = ⟨{1..(*100*::*nat*)}⟩ **and**

ΔΣ = ⟨{1..(*50*::*nat*)}⟩ **and**

new-vars = ⟨λ*n*. (*200* + *2***n*, *200* + *2***n*+*1*)⟩

⟨proof⟩

interpretation *test-nat: optimal-encoding* **where**

state-eq = ⟨(=)⟩ **and**

state = *id* **and**

trail = ⟨λ(*M*, *N*, *U*, *D*, *W*). *M*⟩ **and**

init-clss = ⟨λ(*M*, *N*, *U*, *D*, *W*). *N*⟩ **and**

learned-clss = ⟨λ(*M*, *N*, *U*, *D*, *W*). *U*⟩ **and**

conflicting = ⟨λ(*M*, *N*, *U*, *D*, *W*). *D*⟩ **and**

cons-trail = ⟨λ*K* (*M*, *N*, *U*, *D*, *W*). (*K* # *M*, *N*, *U*, *D*, *W*)⟩ **and**

tl-trail = ⟨λ(*M*, *N*, *U*, *D*, *W*). (*tl* *M*, *N*, *U*, *D*, *W*)⟩ **and**

add-learned-cl = ⟨λ*C* (*M*, *N*, *U*, *D*, *W*). (*M*, *N*, *add-mset* *C* *U*, *D*, *W*)⟩ **and**

remove-cl = ⟨λ*C* (*M*, *N*, *U*, *D*, *W*). (*M*, *removeAll-mset* *C* *N*, *removeAll-mset* *C* *U*, *D*, *W*)⟩ **and**

update-conflicting = ⟨λ*C* (*M*, *N*, *U*, -, *W*). (*M*, *N*, *U*, *C*, *W*)⟩ **and**

init-state = ⟨λ*N*. ([], *N*, {#}, *None*, *None*, ())⟩ **and**

ρ = ⟨λ-. (*0*::*nat*)⟩ **and**

update-additional-info = ⟨λ*W* (*M*, *N*, *U*, *D*, -, -). (*M*, *N*, *U*, *D*, *W*)⟩ **and**

Σ = ⟨{1..(*100*::*nat*)}⟩ **and**

ΔΣ = ⟨{1..(*50*::*nat*)}⟩ **and**

new-vars = ⟨λ*n*. (*200* + *2***n*, *200* + *2***n*+*1*)⟩

⟨proof⟩

end

theory *CDCL-W-MaxSAT*

imports *CDCL-W-Optimal-Model*

begin

0.1.3 Partial MAX-SAT

definition *weight-on-clauses* **where**

⟨*weight-on-clauses* *N_S* *ρ* *I* = (∑ *C* ∈ # (*filter-mset* (λ*C*. *I* ⊨ *C*) *N_S*). *ρ* *C*)⟩

definition *atms-exactly-m* :: ⟨*v* *partial-interp* ⇒ *v* *clauses* ⇒ *bool*⟩ **where**

⟨*atms-exactly-m* *I* *N* ←→

total-over-m *I* (*set-mset* *N*) ∧

$atms\text{-of-}s\ I \subseteq atms\text{-of-}mm\ N$

Partial in the name refers to the fact that not all clauses are soft clauses, not to the fact that we consider partial models.

inductive *partial-max-sat* :: $\langle 'v\ clauses \Rightarrow 'v\ clauses \Rightarrow ('v\ clause \Rightarrow nat) \Rightarrow 'v\ partial\text{-interp}\ option \Rightarrow bool \rangle$ **where**
partial-max-sat:
 $\langle partial\text{-max-sat}\ N_H\ N_S\ \varrho\ (Some\ I) \rangle$
if
 $\langle I \models_{sm} N_H \rangle$ **and**
 $\langle atms\text{-exactly-}m\ I\ ((N_H + N_S)) \rangle$ **and**
 $\langle consistent\text{-interp}\ I \rangle$ **and**
 $\langle \bigwedge I'.\ consistent\text{-interp}\ I' \Longrightarrow atms\text{-exactly-}m\ I'\ (N_H + N_S) \Longrightarrow I' \models_{sm} N_H \Longrightarrow weight\text{-on-clauses}\ N_S\ \varrho\ I' \leq weight\text{-on-clauses}\ N_S\ \varrho\ I \mid$
partial-max-unsat:
 $\langle partial\text{-max-sat}\ N_H\ N_S\ \varrho\ None \rangle$
if
 $\langle unsatisfiable\ (set\text{-mset}\ N_H) \rangle$

inductive *partial-min-sat* :: $\langle 'v\ clauses \Rightarrow 'v\ clauses \Rightarrow ('v\ clause \Rightarrow nat) \Rightarrow 'v\ partial\text{-interp}\ option \Rightarrow bool \rangle$ **where**
partial-min-sat:
 $\langle partial\text{-min-sat}\ N_H\ N_S\ \varrho\ (Some\ I) \rangle$
if
 $\langle I \models_{sm} N_H \rangle$ **and**
 $\langle atms\text{-exactly-}m\ I\ (N_H + N_S) \rangle$ **and**
 $\langle consistent\text{-interp}\ I \rangle$ **and**
 $\langle \bigwedge I'.\ consistent\text{-interp}\ I' \Longrightarrow atms\text{-exactly-}m\ I'\ (N_H + N_S) \Longrightarrow I' \models_{sm} N_H \Longrightarrow weight\text{-on-clauses}\ N_S\ \varrho\ I' \geq weight\text{-on-clauses}\ N_S\ \varrho\ I \mid$
partial-min-unsat:
 $\langle partial\text{-min-sat}\ N_H\ N_S\ \varrho\ None \rangle$
if
 $\langle unsatisfiable\ (set\text{-mset}\ N_H) \rangle$

lemma *atms-exactly-m-finite*:
assumes $\langle atms\text{-exactly-}m\ I\ N \rangle$
shows $\langle finite\ I \rangle$
 $\langle proof \rangle$

lemma
fixes $N_H :: \langle 'v\ clauses \rangle$
assumes $\langle satisfiable\ (set\text{-mset}\ N_H) \rangle$
shows *sat-partial-max-sat*: $\langle \exists I.\ partial\text{-max-sat}\ N_H\ N_S\ \varrho\ (Some\ I) \rangle$ **and**
sat-partial-min-sat: $\langle \exists I.\ partial\text{-min-sat}\ N_H\ N_S\ \varrho\ (Some\ I) \rangle$
 $\langle proof \rangle$

inductive *weight-sat*
:: $\langle 'v\ clauses \Rightarrow ('v\ literal\ multiset \Rightarrow 'a :: linorder) \Rightarrow 'v\ literal\ multiset\ option \Rightarrow bool \rangle$
where
weight-sat:
 $\langle weight\text{-sat}\ N\ \varrho\ (Some\ I) \rangle$
if
 $\langle set\text{-mset}\ I \models_{sm} N \rangle$ **and**
 $\langle atms\text{-exactly-}m\ (set\text{-mset}\ I)\ N \rangle$ **and**

$\langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**
 $\langle \text{distinct-mset } I \rangle$
 $\langle \bigwedge I'. \text{consistent-interp } (\text{set-mset } I') \implies \text{atms-exactly-m } (\text{set-mset } I') N \implies \text{distinct-mset } I' \implies$
 $\text{set-mset } I' \models_{sm} N \implies \varrho I' \geq \varrho I \rangle$ |
partial-max-unsat:
 $\langle \text{weight-sat } N \ \varrho \ \text{None} \rangle$

if
 $\langle \text{unsatisfiable } (\text{set-mset } N) \rangle$

lemma *partial-max-sat-is-weight-sat:*

fixes *additional-atm* :: $\langle 'v \text{ clause} \Rightarrow 'v \rangle$ **and**

ϱ :: $\langle 'v \text{ clause} \Rightarrow \text{nat} \rangle$ **and**

N_S :: $\langle 'v \text{ clauses} \rangle$

defines

$\langle \varrho' \equiv (\lambda C. \text{sum-mset}$
 $((\lambda L. \text{if } L \in \text{Pos } ' \text{additional-atm } ' \text{set-mset } N_S$
 $\text{then count } N_S (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S)$
 $* \varrho (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S)$
 $\text{else } 0) \text{'\# } C)) \rangle$

assumes

$\langle \bigwedge C. C \in \# N_S \implies \text{additional-atm } C \notin \text{atms-of-mm } (N_H + N_S) \rangle$

$\langle \bigwedge C D. C \in \# N_S \implies D \in \# N_S \implies \text{additional-atm } C = \text{additional-atm } D \longleftrightarrow C = D \rangle$ **and**

w : $\langle \text{weight-sat } (N_H + (\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) C) \text{'\# } N_S) \ \varrho' (\text{Some } I) \rangle$

shows

$\langle \text{partial-max-sat } N_H \ N_S \ \varrho (\text{Some } \{L \in \text{set-mset } I. \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\}) \rangle$

$\langle \text{proof} \rangle$

lemma *sum-mset-cong:*

$\langle (\bigwedge a. a \in \# A \implies f a = g a) \implies (\sum a \in \# A. f a) = (\sum a \in \# A. g a) \rangle$

$\langle \text{proof} \rangle$

lemma *partial-max-sat-is-weight-sat-distinct:*

fixes *additional-atm* :: $\langle 'v \text{ clause} \Rightarrow 'v \rangle$ **and**

ϱ :: $\langle 'v \text{ clause} \Rightarrow \text{nat} \rangle$ **and**

N_S :: $\langle 'v \text{ clauses} \rangle$

defines

$\langle \varrho' \equiv (\lambda C. \text{sum-mset}$
 $((\lambda L. \text{if } L \in \text{Pos } ' \text{additional-atm } ' \text{set-mset } N_S$
 $\text{then } \varrho (\text{SOME } C. L = \text{Pos } (\text{additional-atm } C) \wedge C \in \# N_S)$
 $\text{else } 0) \text{'\# } C)) \rangle$

assumes

$\langle \text{distinct-mset } N_S \rangle$ **and** — This is implicit on paper

$\text{add: } \langle \bigwedge C. C \in \# N_S \implies \text{additional-atm } C \notin \text{atms-of-mm } (N_H + N_S) \rangle$

$\langle \bigwedge C D. C \in \# N_S \implies D \in \# N_S \implies \text{additional-atm } C = \text{additional-atm } D \longleftrightarrow C = D \rangle$ **and**

w : $\langle \text{weight-sat } (N_H + (\lambda C. \text{add-mset } (\text{Pos } (\text{additional-atm } C)) C) \text{'\# } N_S) \ \varrho' (\text{Some } I) \rangle$

shows

$\langle \text{partial-max-sat } N_H \ N_S \ \varrho (\text{Some } \{L \in \text{set-mset } I. \text{atm-of } L \in \text{atms-of-mm } (N_H + N_S)\}) \rangle$

$\langle \text{proof} \rangle$

lemma *atms-exactly-m-alt-def:*

$\langle \text{atms-exactly-m } (\text{set-mset } y) N \longleftrightarrow \text{atms-of } y \subseteq \text{atms-of-mm } N \wedge$
 $\text{total-over-m } (\text{set-mset } y) (\text{set-mset } N) \rangle$

$\langle \text{proof} \rangle$

lemma *atms-exactly-m-alt-def2:*

$\langle \text{atms-exactly-m } (\text{set-mset } y) N \longleftrightarrow \text{atms-of } y = \text{atms-of-mm } N \rangle$

⟨proof⟩

lemma (in *conflict-driven-clause-learning_W-optimal-weight*) *full-cdcl-bnb-stgy-weight-sat*:
⟨full cdcl-bnb-stgy (init-state N) $T \implies$ distinct-mset-mset $N \implies$ weight-sat N ρ (weight T)⟩
⟨proof⟩

end

theory *CDCL-W-Partial-Optimal-Model*

imports *CDCL-W-Partial-Encoding*

begin

lemma *isabelle-should-do-that-automatically*: ⟨Suc ($a -$ Suc 0) = $a \longleftrightarrow a \geq 1$ ⟩
⟨proof⟩

lemma (in *conflict-driven-clause-learning_W-optimal-weight*)
conflict-opt-state-eq-compatible:
⟨conflict-opt S $T \implies S \sim S' \implies T \sim T' \implies$ conflict-opt S' T' ⟩
⟨proof⟩

context *optimal-encoding*

begin

definition *base-atm* :: ⟨ $'v \Rightarrow 'v$ ⟩ **where**
⟨base-atm $L =$ (if $L \in \Sigma - \Delta\Sigma$ then L else
if $L \in$ replacement-neg $'\Delta\Sigma$ then (SOME K . ($K \in \Delta\Sigma \wedge L =$ replacement-neg K))
else (SOME K . ($K \in \Delta\Sigma \wedge L =$ replacement-pos K)))⟩

lemma *normalize-lit-Some-simp[simp]*: ⟨(SOME K . $K \in \Delta\Sigma \wedge (L^{\mapsto 0} = K^{\mapsto 0})$) = L if $\langle L \in \Delta\Sigma$ for
 K ⟩
⟨proof⟩

lemma *base-atm-simps1[simp]*:
⟨ $L \in \Sigma \implies L \notin \Delta\Sigma \implies$ base-atm $L = L$ ⟩
⟨proof⟩

lemma *base-atm-simps2[simp]*:
⟨ $L \in (\Sigma - \Delta\Sigma) \cup$ replacement-neg $'\Delta\Sigma \cup$ replacement-pos $'\Delta\Sigma \implies$
 $K \in \Sigma \implies K \notin \Delta\Sigma \implies L \in \Sigma \implies K =$ base-atm $L \longleftrightarrow L = K$ ⟩
⟨proof⟩

lemma *base-atm-simps3[simp]*:
⟨ $L \in \Sigma - \Delta\Sigma \implies$ base-atm $L \in \Sigma$ ⟩
⟨ $L \in$ replacement-neg $'\Delta\Sigma \cup$ replacement-pos $'\Delta\Sigma \implies$ base-atm $L \in \Delta\Sigma$ ⟩
⟨proof⟩

lemma *base-atm-simps4[simp]*:
⟨ $L \in \Delta\Sigma \implies$ base-atm (replacement-pos L) = L ⟩
⟨ $L \in \Delta\Sigma \implies$ base-atm (replacement-neg L) = L ⟩
⟨proof⟩

fun *normalize-lit* :: ⟨ $'v$ literal $\Rightarrow 'v$ literal⟩ **where**
⟨normalize-lit (Pos L) =
(if $L \in$ replacement-neg $'\Delta\Sigma$
then Neg (replacement-pos (SOME K . ($K \in \Delta\Sigma \wedge L =$ replacement-neg K)))
else Pos L) |
normalize-lit (Neg L) =

(if $L \in \text{replacement-neg } \Delta\Sigma$
 then $\text{Pos } (\text{replacement-pos } (\text{SOME } K. K \in \Delta\Sigma \wedge L = \text{replacement-neg } K))$
 else $\text{Neg } L$)

abbreviation $\text{normalize-clause} :: \langle 'v \text{ clause} \Rightarrow 'v \text{ clause} \rangle$ **where**
 $\langle \text{normalize-clause } C \equiv \text{normalize-lit } \# C \rangle$

lemma $\text{normalize-lit}[simp]$:

$\langle L \in \Sigma - \Delta\Sigma \Longrightarrow \text{normalize-lit } (\text{Pos } L) = (\text{Pos } L) \rangle$
 $\langle L \in \Sigma - \Delta\Sigma \Longrightarrow \text{normalize-lit } (\text{Neg } L) = (\text{Neg } L) \rangle$
 $\langle L \in \Delta\Sigma \Longrightarrow \text{normalize-lit } (\text{Pos } (\text{replacement-neg } L)) = \text{Neg } (\text{replacement-pos } L) \rangle$
 $\langle L \in \Delta\Sigma \Longrightarrow \text{normalize-lit } (\text{Neg } (\text{replacement-neg } L)) = \text{Pos } (\text{replacement-pos } L) \rangle$
 $\langle \text{proof} \rangle$

definition $\text{all-clauses-literals} :: \langle 'v \text{ list} \rangle$ **where**

$\langle \text{all-clauses-literals} =$
 $(\text{SOME } xs. \text{mset } xs = \text{mset-set } ((\Sigma - \Delta\Sigma) \cup \text{replacement-neg } \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma)) \rangle$

datatype (in $-$) $'c \text{ search-depth} =$

$\text{sd-is-zero: } \text{SD-ZERO } (\text{the-search-depth: } 'c) \mid$
 $\text{sd-is-one: } \text{SD-ONE } (\text{the-search-depth: } 'c) \mid$
 $\text{sd-is-two: } \text{SD-TWO } (\text{the-search-depth: } 'c)$

abbreviation (in $-$) $\text{un-hide-sd} :: \langle 'a \text{ search-depth list} \Rightarrow 'a \text{ list} \rangle$ **where**

$\langle \text{un-hide-sd} \equiv \text{map } \text{the-search-depth} \rangle$

fun $\text{nat-of-search-deph} :: \langle 'c \text{ search-depth} \Rightarrow \text{nat} \rangle$ **where**

$\langle \text{nat-of-search-deph } (\text{SD-ZERO } -) = 0 \rangle \mid$
 $\langle \text{nat-of-search-deph } (\text{SD-ONE } -) = 1 \rangle \mid$
 $\langle \text{nat-of-search-deph } (\text{SD-TWO } -) = 2 \rangle$

definition opposite-var **where**

$\langle \text{opposite-var } L = (\text{if } L \in \text{replacement-pos } \Delta\Sigma \text{ then } \text{replacement-neg } (\text{base-atm } L)$
 else $\text{replacement-pos } (\text{base-atm } L)) \rangle$

lemma $\text{opposite-var-replacement-if}[simp]$:

$\langle L \in (\text{replacement-neg } \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma) \Longrightarrow A \in \Delta\Sigma \Longrightarrow$
 $\text{opposite-var } L = \text{replacement-pos } A \longleftrightarrow L = \text{replacement-neg } A \rangle$
 $\langle L \in (\text{replacement-neg } \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma) \Longrightarrow A \in \Delta\Sigma \Longrightarrow$
 $\text{opposite-var } L = \text{replacement-neg } A \longleftrightarrow L = \text{replacement-pos } A \rangle$
 $\langle A \in \Delta\Sigma \Longrightarrow \text{opposite-var } (\text{replacement-pos } A) = \text{replacement-neg } A \rangle$
 $\langle A \in \Delta\Sigma \Longrightarrow \text{opposite-var } (\text{replacement-neg } A) = \text{replacement-pos } A \rangle$
 $\langle \text{proof} \rangle$

context

assumes $[simp]$: $\langle \text{finite } \Sigma \rangle$

begin

lemma $\text{all-clauses-literals}$:

$\langle \text{mset } \text{all-clauses-literals} = \text{mset-set } ((\Sigma - \Delta\Sigma) \cup \text{replacement-neg } \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma) \rangle$

‹distinct all-clauses-literals›
 ‹set all-clauses-literals = (($\Sigma - \Delta\Sigma$) \cup replacement-neg ‘ $\Delta\Sigma$ ’ \cup replacement-pos ‘ $\Delta\Sigma$ ’)›
 ‹proof›

definition *unset-literals-in- Σ* where

‹unset-literals-in- Σ $M L \longleftrightarrow$ undefined-lit $M (Pos L) \wedge L \in \Sigma - \Delta\Sigma$ ›

definition *full-unset-literals-in- $\Delta\Sigma$* where

‹full-unset-literals-in- $\Delta\Sigma$ $M L \longleftrightarrow$
 undefined-lit $M (Pos L) \wedge L \notin \Sigma - \Delta\Sigma \wedge$ undefined-lit $M (Pos (opposite-var L)) \wedge$
 $L \in$ replacement-pos ‘ $\Delta\Sigma$ ’›

definition *full-unset-literals-in- $\Delta\Sigma'$* where

‹full-unset-literals-in- $\Delta\Sigma'$ $M L \longleftrightarrow$
 undefined-lit $M (Pos L) \wedge L \notin \Sigma - \Delta\Sigma \wedge$ undefined-lit $M (Pos (opposite-var L)) \wedge$
 $L \in$ replacement-neg ‘ $\Delta\Sigma$ ’›

definition *half-unset-literals-in- $\Delta\Sigma$* where

‹half-unset-literals-in- $\Delta\Sigma$ $M L \longleftrightarrow$
 undefined-lit $M (Pos L) \wedge L \notin \Sigma - \Delta\Sigma \wedge$ defined-lit $M (Pos (opposite-var L))$ ›

definition *sorted-unadded-literals* :: ‹('v, 'v clause) ann-lits \Rightarrow 'v list› where

‹sorted-unadded-literals $M =$

(let
 $M0 =$ filter (full-unset-literals-in- $\Delta\Sigma'$ M) all-clauses-literals;
 — weight is 0
 $M1 =$ filter (unset-literals-in- Σ M) all-clauses-literals;
 — weight is 2
 $M2 =$ filter (full-unset-literals-in- $\Delta\Sigma$ M) all-clauses-literals;
 — weight is 2
 $M3 =$ filter (half-unset-literals-in- $\Delta\Sigma$ M) all-clauses-literals
 — weight is 1

in

$M0 @ M3 @ M1 @ M2$)›

definition *complete-trail* :: ‹('v, 'v clause) ann-lits \Rightarrow ('v, 'v clause) ann-lits› where

‹complete-trail $M =$

(map (Decided o Pos) (sorted-unadded-literals M) @ M)›

lemma *in-sorted-unadded-literals-undefD*:

‹atm-of (lit-of l) \in set (sorted-unadded-literals M) $\implies l \notin$ set M ›
 ‹atm-of (l') \in set (sorted-unadded-literals M) \implies undefined-lit $M l'$ ›
 ‹ $xa \in$ set (sorted-unadded-literals M) \implies lit-of $x = Neg xa \implies x \notin$ set M › and
 set-sorted-unadded-literals[simp]:
 ‹set (sorted-unadded-literals M) =
 Set.filter ($\lambda L.$ undefined-lit $M (Pos L)$) (set all-clauses-literals)›
 ‹proof›

lemma [simp]:

‹full-unset-literals-in- $\Delta\Sigma$ [] = ($\lambda L.$ $L \in$ replacement-pos ‘ $\Delta\Sigma$ ’)›
 ‹full-unset-literals-in- $\Delta\Sigma'$ [] = ($\lambda L.$ $L \in$ replacement-neg ‘ $\Delta\Sigma$ ’)›
 ‹half-unset-literals-in- $\Delta\Sigma$ [] = ($\lambda L.$ False)›
 ‹unset-literals-in- Σ [] = ($\lambda L.$ $L \in \Sigma - \Delta\Sigma$)›
 ‹proof›

lemma *filter-disjount-union*:

$\langle (\bigwedge x. x \in \text{set } xs \implies P x \implies \neg Q x) \implies$
 $\text{length } (\text{filter } P xs) + \text{length } (\text{filter } Q xs) =$
 $\text{length } (\text{filter } (\lambda x. P x \vee Q x) xs) \rangle$
 <proof>

lemma *length-sorted-unadded-literals-empty[simp]*:
 $\langle \text{length } (\text{sorted-unadded-literals } []) = \text{length all-clauses-literals} \rangle$
 <proof>

lemma *sorted-unadded-literals-Cons-notin-all-clauses-literals[simp]*:
assumes
 $\langle \text{atm-of } (\text{lit-of } K) \notin \text{set all-clauses-literals} \rangle$
shows
 $\langle \text{sorted-unadded-literals } (K \# M) = \text{sorted-unadded-literals } M \rangle$
 <proof>

lemma *sorted-unadded-literals-cong*:
assumes $\langle \bigwedge L. L \in \text{set all-clauses-literals} \implies \text{defined-lit } M (\text{Pos } L) = \text{defined-lit } M' (\text{Pos } L) \rangle$
shows $\langle \text{sorted-unadded-literals } M = \text{sorted-unadded-literals } M' \rangle$
 <proof>

lemma *sorted-unadded-literals-Cons-already-set[simp]*:
assumes
 $\langle \text{defined-lit } M (\text{lit-of } K) \rangle$
shows
 $\langle \text{sorted-unadded-literals } (K \# M) = \text{sorted-unadded-literals } M \rangle$
 <proof>

lemma *distinct-sorted-unadded-literals[simp]*:
 $\langle \text{distinct } (\text{sorted-unadded-literals } M) \rangle$
 <proof>

lemma *Collect-req-remove1*:
 $\langle \{a \in A. a \neq b \wedge P a\} = (\text{if } P b \text{ then } \text{Set.remove } b \{a \in A. P a\} \text{ else } \{a \in A. P a\}) \rangle$ **and**
Collect-req-remove2:
 $\langle \{a \in A. b \neq a \wedge P a\} = (\text{if } P b \text{ then } \text{Set.remove } b \{a \in A. P a\} \text{ else } \{a \in A. P a\}) \rangle$
 <proof>

lemma *card-remove*:
 $\langle \text{card } (\text{Set.remove } a A) = (\text{if } a \in A \text{ then } \text{card } A - 1 \text{ else } \text{card } A) \rangle$
 <proof>

lemma *sorted-unadded-literals-cons-in-undef[simp]*:
 $\langle \text{undefined-lit } M (\text{lit-of } K) \implies$
 $\text{atm-of } (\text{lit-of } K) \in \text{set all-clauses-literals} \implies$
 $\text{Suc } (\text{length } (\text{sorted-unadded-literals } (K \# M))) =$
 $\text{length } (\text{sorted-unadded-literals } M) \rangle$
 <proof>

lemma *no-dup-complete-trail[simp]*:
 $\langle \text{no-dup } (\text{complete-trail } M) \longleftrightarrow \text{no-dup } M \rangle$
 <proof>

lemma *tautology-complete-trail[simp]*:

⟨tautology (lit-of '# mset (complete-trail M)) ⟷ tautology (lit-of '# mset M)⟩
 ⟨proof⟩

lemma *atms-of-complete-trail*:

⟨atms-of (lit-of '# mset (complete-trail M)) =
 atms-of (lit-of '# mset M) ∪ (Σ - ΔΣ) ∪ replacement-neg ' ΔΣ ∪ replacement-pos ' ΔΣ⟩
 ⟨proof⟩

fun *depth-lit-of* :: ⟨('v,-) ann-lit ⇒ ('v,-) ann-lit search-depth⟩ **where**

⟨depth-lit-of (Decided L) = SD-TWO (Decided L)⟩ |
 ⟨depth-lit-of (Propagated L C) = SD-ZERO (Propagated L C)⟩

fun *depth-lit-of-additional-fst* :: ⟨('v,-) ann-lit ⇒ ('v,-) ann-lit search-depth⟩ **where**

⟨depth-lit-of-additional-fst (Decided L) = SD-ONE (Decided L)⟩ |
 ⟨depth-lit-of-additional-fst (Propagated L C) = SD-ZERO (Propagated L C)⟩

fun *depth-lit-of-additional-snd* :: ⟨('v,-) ann-lit ⇒ ('v,-) ann-lit search-depth list⟩ **where**

⟨depth-lit-of-additional-snd (Decided L) = [SD-ONE (Decided L)]⟩ |
 ⟨depth-lit-of-additional-snd (Propagated L C) = []⟩

This function is suprisingly complicated to get right. Remember that the last set element is at the beginning of the list

fun *remove-dup-information-raw* :: ⟨('v,-) ann-lits ⇒ ('v,-) ann-lit search-depth list⟩ **where**

⟨remove-dup-information-raw [] = []⟩ |
 ⟨remove-dup-information-raw (L # M) =
 (if atm-of (lit-of L) ∈ Σ - ΔΣ then depth-lit-of L # remove-dup-information-raw M
 else if defined-lit (M) (Pos (opposite-var (atm-of (lit-of L))))
 then if Decided (Pos (opposite-var (atm-of (lit-of L)))) ∈ set (M)
 then remove-dup-information-raw M
 else depth-lit-of-additional-fst L # remove-dup-information-raw M
 else depth-lit-of-additional-snd L @ remove-dup-information-raw M)⟩

definition *remove-dup-information* **where**

⟨remove-dup-information xs = un-hide-sd (remove-dup-information-raw xs)⟩

lemma [simp]: ⟨the-search-depth (depth-lit-of L) = L⟩

⟨proof⟩

lemma *length-complete-trail*[simp]: ⟨length (complete-trail []) = length all-clauses-literals⟩

⟨proof⟩

lemma *distinct-count-list-if*: ⟨distinct xs ⇒ count-list xs x = (if x ∈ set xs then 1 else 0)⟩

⟨proof⟩

lemma *length-complete-trail-Cons*:

⟨no-dup (K # M) ⇒
 length (complete-trail (K # M)) =
 (if atm-of (lit-of K) ∈ set all-clauses-literals then 0 else 1) + length (complete-trail M)⟩
 ⟨proof⟩

lemma *length-complete-trail-eq*:

⟨no-dup M ⇒ atm-of ' (lits-of-l M) ⊆ set all-clauses-literals ⇒
 length (complete-trail M) = length all-clauses-literals⟩
 ⟨proof⟩

lemma *in-set-all-clauses-literals-simp*[simp]:
 ⟨atm-of $L \in \Sigma - \Delta\Sigma \implies \text{atm-of } L \in \text{set all-clauses-literals}$ ⟩
 ⟨ $K \in \Delta\Sigma \implies \text{replacement-pos } K \in \text{set all-clauses-literals}$ ⟩
 ⟨ $K \in \Delta\Sigma \implies \text{replacement-neg } K \in \text{set all-clauses-literals}$ ⟩
 ⟨proof⟩

lemma [simp]:
 ⟨remove-dup-information [] = []⟩
 ⟨proof⟩

lemma *atm-of-remove-dup-information*:
 ⟨atm-of ‘(lits-of-l $M \subseteq \text{set all-clauses-literals} \implies$
 atm-of ‘(lits-of-l (remove-dup-information $M)) \subseteq \text{set all-clauses-literals}$ ’
 ⟨proof⟩

primrec *remove-dup-information-raw2* :: ⟨‘ $v, -$ ann-lits \Rightarrow ‘ $v, -$ ann-lits \Rightarrow
 ‘ $v, -$ ann-lit search-depth list’ where
 ⟨remove-dup-information-raw2 $M' [] = []$ |
 ⟨remove-dup-information-raw2 $M' (L \# M) =$
 (if atm-of (lit-of $L) \in \Sigma - \Delta\Sigma$ then depth-lit-of $L \# \text{remove-dup-information-raw2 } M' M$
 else if defined-lit ($M @ M'$) (Pos (opposite-var (atm-of (lit-of L))))
 then if Decided (Pos (opposite-var (atm-of (lit-of L)))) $\in \text{set } (M @ M')$
 then remove-dup-information-raw2 $M' M$
 else depth-lit-of-additional-fst $L \# \text{remove-dup-information-raw2 } M' M$
 else depth-lit-of-additional-snd $L @ \text{remove-dup-information-raw2 } M' M$)

lemma *remove-dup-information-raw2-Nil*[simp]:
 ⟨remove-dup-information-raw2 [] $M = \text{remove-dup-information-raw } M$ ⟩
 ⟨proof⟩

This can be useful as simp, but I am not certain (yet), because the RHS does not look simpler than the LHS.

lemma *remove-dup-information-raw-cons*:
 ⟨remove-dup-information-raw ($L \# M2$) =
 remove-dup-information-raw2 $M2 [L] @$
 remove-dup-information-raw $M2$ ⟩
 ⟨proof⟩

lemma *remove-dup-information-raw-append*:
 ⟨remove-dup-information-raw ($M1 @ M2$) =
 remove-dup-information-raw2 $M2 M1 @$
 remove-dup-information-raw $M2$ ⟩
 ⟨proof⟩

lemma *remove-dup-information-raw-append2*:
 ⟨remove-dup-information-raw2 $M (M1 @ M2) =$
 remove-dup-information-raw2 ($M @ M2$) $M1 @$
 remove-dup-information-raw2 $M M2$ ⟩
 ⟨proof⟩

lemma *remove-dup-information-subset*: ⟨mset (remove-dup-information $M) \subseteq\# \text{mset } M$ ⟩
 ⟨proof⟩

lemma *no-dup-subsetD*: $\langle \text{no-dup } M \implies \text{mset } M' \subseteq\# \text{mset } M \implies \text{no-dup } M' \rangle$
 $\langle \text{proof} \rangle$

lemma *no-dup-remove-dup-information*:
 $\langle \text{no-dup } M \implies \text{no-dup } (\text{remove-dup-information } M) \rangle$
 $\langle \text{proof} \rangle$

lemma *atm-of-complete-trail*:
 $\langle \text{atm-of } \text{' (lits-of-l } M) \subseteq \text{set all-clauses-literals} \implies$
 $\text{atm-of } \text{' (lits-of-l (complete-trail } M)) = \text{set all-clauses-literals} \rangle$
 $\langle \text{proof} \rangle$

lemmas [*simp del*] =
remove-dup-information-raw.simps
remove-dup-information-raw2.simps

lemmas [*simp*] =
remove-dup-information-raw-append
remove-dup-information-raw-cons
remove-dup-information-raw-append2

definition *truncate-trail* :: $\langle ('v, -) \text{ ann-lits} \Rightarrow - \rangle$ **where**
 $\langle \text{truncate-trail } M \equiv$
 $(\text{snd } (\text{backtrack-split } M)) \rangle$

definition *ocdcl-score* :: $\langle ('v, -) \text{ ann-lits} \Rightarrow - \rangle$ **where**
 $\langle \text{ocdcl-score } M =$
 $\text{rev } (\text{map nat-of-search-deph } (\text{remove-dup-information-raw } (\text{complete-trail } (\text{truncate-trail } M)))) \rangle$

interpretation *enc-weight-opt*: *conflict-driven-clause-learning_W-optimal-weight* **where**
state-eq = *state-eq* **and**
state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state* **and**
 $\varrho = \varrho_e$ **and**
update-additional-info = *update-additional-info*
 $\langle \text{proof} \rangle$

lemma
 $\langle (a, b) \in \text{learn less-than } n \implies (b, c) \in \text{learn less-than } n \vee b = c \implies (a, c) \in \text{learn less-than } n \rangle$
 $\langle (a, b) \in \text{learn less-than } n \implies (b, c) \in \text{learn less-than } n \vee b = c \implies (a, c) \in \text{learn less-than } n \rangle$
 $\langle \text{proof} \rangle$

lemma *truncate-trail-Prop[simp]*:
 $\langle \text{truncate-trail } (\text{Propagated } L E \# S) = \text{truncate-trail } (S) \rangle$
 $\langle \text{proof} \rangle$

lemma *ocdcl-score-Prop[simp]*:

$\langle \text{ocdcl-score } (\text{Propagated } L \ E \ \# \ S) = \text{ocdcl-score } (S) \rangle$
 $\langle \text{proof} \rangle$

lemma *remove-dup-information-raw2-undefined- Σ* :

$\langle \text{distinct } xs \implies$
 $(\bigwedge L. L \in \text{set } xs \implies \text{undefined-lit } M \ (\text{Pos } L) \implies L \in \Sigma \implies \text{undefined-lit } MM \ (\text{Pos } L)) \implies$
 $\text{remove-dup-information-raw2 } MM$
 $(\text{map } (\text{Decided } \circ \text{Pos})$
 $(\text{filter } (\text{unset-literals-in-}\Sigma \ M$
 $\quad xs)) =$
 $\text{map } (\text{SD-TWO } \circ \text{Decided } \circ \text{Pos})$
 $(\text{filter } (\text{unset-literals-in-}\Sigma \ M$
 $\quad xs)) \rangle$
 $\langle \text{proof} \rangle$

lemma *defined-lit-map-Decided-pos*:

$\langle \text{defined-lit } (\text{map } (\text{Decided } \circ \text{Pos}) \ M) \ L \longleftrightarrow \text{atm-of } L \in \text{set } M \rangle$
 $\langle \text{proof} \rangle$

lemma *remove-dup-information-raw2-full-undefined- Σ* :

$\langle \text{distinct } xs \implies \text{set } xs \subseteq \text{set all-clauses-literals} \implies$
 $(\bigwedge L. L \in \text{set } xs \implies \text{undefined-lit } M \ (\text{Pos } L) \implies L \notin \Sigma - \Delta\Sigma \implies$
 $\text{undefined-lit } M \ (\text{Pos } (\text{opposite-var } L)) \implies L \in \text{replacement-pos } \Delta\Sigma \implies$
 $\text{undefined-lit } MM \ (\text{Pos } (\text{opposite-var } L))) \implies$
 $\text{remove-dup-information-raw2 } MM$
 $(\text{map } (\text{Decided } \circ \text{Pos})$
 $(\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma \ M$
 $\quad xs)) =$
 $\text{map } (\text{SD-ONE } \circ \text{Decided } \circ \text{Pos})$
 $(\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma \ M$
 $\quad xs)) \rangle$
 $\langle \text{proof} \rangle$

lemma *full-unset-literals-in- $\Delta\Sigma$ -notin[simp]*:

$\langle La \in \Sigma \implies \text{full-unset-literals-in-}\Delta\Sigma \ M \ La \longleftrightarrow \text{False} \rangle$
 $\langle La \in \Sigma \implies \text{full-unset-literals-in-}\Delta\Sigma' \ M \ La \longleftrightarrow \text{False} \rangle$
 $\langle \text{proof} \rangle$

lemma *Decided-in-definedD*: $\langle \text{Decided } K \in \text{set } M \implies \text{defined-lit } M \ K \rangle$

$\langle \text{proof} \rangle$

lemma *full-unset-literals-in- $\Delta\Sigma'$ -full-unset-literals-in- $\Delta\Sigma$* :

$\langle L \in \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \implies$
 $\text{full-unset-literals-in-}\Delta\Sigma' \ M \ (\text{opposite-var } L) \longleftrightarrow \text{full-unset-literals-in-}\Delta\Sigma \ M \ L \rangle$
 $\langle \text{proof} \rangle$

lemma *remove-dup-information-raw2-full-unset-literals-in- $\Delta\Sigma'$* :

$\langle (\bigwedge L. L \in \text{set } (\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma' \ M) \ xs) \implies \text{Decided } (\text{Pos } (\text{opposite-var } L)) \in \text{set } M') \implies$
 $\text{set } xs \subseteq \text{set all-clauses-literals} \implies$
 $(\text{remove-dup-information-raw2}$
 $\quad M'$
 $\quad (\text{map } (\text{Decided } \circ \text{Pos})$
 $\quad (\text{filter } (\text{full-unset-literals-in-}\Delta\Sigma' \ (M))))$

$\langle \text{proof} \rangle$

lemma

fixes $M :: \langle ('v, -) \text{ ann-lits} \rangle$ **and** $L :: \langle ('v, -) \text{ ann-lit} \rangle$

defines $\langle n1 \equiv \text{map nat-of-search-depth (remove-dup-information-raw (complete-trail (L \# M)))} \rangle$ **and**

$\langle n2 \equiv \text{map nat-of-search-depth (remove-dup-information-raw (complete-trail M))} \rangle$

assumes

$\langle \text{lits: atm-of ' (lits-of-l (L \# M))} \subseteq \text{set all-clauses-literals} \rangle$ **and**

$\langle \text{undef: undefined-lit M (lit-of L)} \rangle$

shows

$\langle \text{rev } n1, \text{rev } n2 \rangle \in \text{lexn less-than } n \vee n1 = n2$

$\langle \text{proof} \rangle$

lemma

defines $\langle n \equiv \text{card } \Sigma \rangle$

assumes

$\langle \text{init-clss } S = \text{penc } N \rangle$ **and**

$\langle \text{enc-weight-opt.cdcl-bnb-stgy } S \ T \rangle$ **and**

$\langle \text{struct: cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } S) \rangle$ **and**

$\langle \text{smaller-propa: no-smaller-propa } S \rangle$ **and**

$\langle \text{smaller-conf: cdcl-bnb-stgy-inv } S \rangle$

shows $\langle \text{ocdcl-score (trail } T), \text{ocdcl-score (trail } S) \rangle \in \text{lexn less-than } n \vee$

$\langle \text{ocdcl-score (trail } T) = \text{ocdcl-score (trail } S) \rangle$

$\langle \text{proof} \rangle$

end

interpretation *enc-weight-opt: conflict-driven-clause-learning_W-optimal-weight* **where**

$\text{state-eq} = \text{state-eq}$ **and**

$\text{state} = \text{state}$ **and**

$\text{trail} = \text{trail}$ **and**

$\text{init-clss} = \text{init-clss}$ **and**

$\text{learned-clss} = \text{learned-clss}$ **and**

$\text{conflicting} = \text{conflicting}$ **and**

$\text{cons-trail} = \text{cons-trail}$ **and**

$\text{tl-trail} = \text{tl-trail}$ **and**

$\text{add-learned-cl} = \text{add-learned-cl}$ **and**

$\text{remove-cl} = \text{remove-cl}$ **and**

$\text{update-conflicting} = \text{update-conflicting}$ **and**

$\text{init-state} = \text{init-state}$ **and**

$\varrho = \varrho_e$ **and**

$\text{update-additional-info} = \text{update-additional-info}$

$\langle \text{proof} \rangle$

inductive *simple-backtrack-conflict-opt* $:: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{simple-backtrack-conflict-opt } S \ T \rangle$

if

$\langle \text{backtrack-split (trail } S) = (M2, \text{Decided } K \# M1) \rangle$ **and**

$\langle \text{negate-ann-lits (trail } S) \in \# \text{ enc-weight-opt.conflicting-clss } S \rangle$ **and**

$\langle \text{conflicting } S = \text{None} \rangle$ **and**

$\langle T \sim \text{cons-trail (Propagated } (-K) (\text{DECO-clause (trail } S))) \rangle$

$\langle \text{add-learned-cl (DECO-clause (trail } S)) (\text{reduce-trail-to } M1 \ S) \rangle$

inductive-cases *simple-backtrack-conflict-optE*: $\langle \text{simple-backtrack-conflict-opt } S \ T \rangle$

lemma *simple-backtrack-conflict-opt-conflict-analysis:*

assumes $\langle \text{simple-backtrack-conflict-opt } S \ U \rangle$ **and**
 $\langle \text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$
shows $\langle \exists T \ T'. \text{enc-weight-opt.conflict-opt } S \ T \wedge \text{resolve}^{**} T \ T' \wedge \text{enc-weight-opt.obacktrack } T' \ U \rangle$
 $\langle \text{proof} \rangle$

inductive *conflict-opt0* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{conflict-opt0 } S \ T \rangle$

if

$\langle \text{count-decided } (\text{trail } S) = 0 \rangle$ **and**
 $\langle \text{negate-ann-lits } (\text{trail } S) \in \# \text{ enc-weight-opt.conflicting-clss } S \rangle$ **and**
 $\langle \text{conflicting } S = \text{None} \rangle$ **and**
 $\langle T \sim \text{update-conflicting } (\text{Some } \{\#\}) (\text{reduce-trail-to } (\square :: ('v, 'v \text{ clause}) \text{ ann-lits}) S) \rangle$

inductive-cases *conflict-opt0E*: $\langle \text{conflict-opt0 } S \ T \rangle$

inductive *cdcl-dpll-bnb-r* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S :: 'st$ **where**

cdcl-conflict: $\langle \text{conflict } S \ S' \Longrightarrow \text{cdcl-dpll-bnb-r } S \ S' \rangle$ |
cdcl-propagate: $\langle \text{propagate } S \ S' \Longrightarrow \text{cdcl-dpll-bnb-r } S \ S' \rangle$ |
cdcl-improve: $\langle \text{enc-weight-opt.improvep } S \ S' \Longrightarrow \text{cdcl-dpll-bnb-r } S \ S' \rangle$ |
cdcl-conflict-opt0: $\langle \text{conflict-opt0 } S \ S' \Longrightarrow \text{cdcl-dpll-bnb-r } S \ S' \rangle$ |
cdcl-simple-backtrack-conflict-opt:
 $\langle \text{simple-backtrack-conflict-opt } S \ S' \Longrightarrow \text{cdcl-dpll-bnb-r } S \ S' \rangle$ |
cdcl-o': $\langle \text{ocdcl}_W\text{-o-r } S \ S' \Longrightarrow \text{cdcl-dpll-bnb-r } S \ S' \rangle$

inductive *cdcl-dpll-bnb-r-stgy* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S :: 'st$ **where**

cdcl-dpll-bnb-r-conflict: $\langle \text{conflict } S \ S' \Longrightarrow \text{cdcl-dpll-bnb-r-stgy } S \ S' \rangle$ |
cdcl-dpll-bnb-r-propagate: $\langle \text{propagate } S \ S' \Longrightarrow \text{cdcl-dpll-bnb-r-stgy } S \ S' \rangle$ |
cdcl-dpll-bnb-r-improve: $\langle \text{enc-weight-opt.improvep } S \ S' \Longrightarrow \text{cdcl-dpll-bnb-r-stgy } S \ S' \rangle$ |
cdcl-dpll-bnb-r-conflict-opt0: $\langle \text{conflict-opt0 } S \ S' \Longrightarrow \text{cdcl-dpll-bnb-r-stgy } S \ S' \rangle$ |
cdcl-dpll-bnb-r-simple-backtrack-conflict-opt:
 $\langle \text{simple-backtrack-conflict-opt } S \ S' \Longrightarrow \text{cdcl-dpll-bnb-r-stgy } S \ S' \rangle$ |
cdcl-dpll-bnb-r-other': $\langle \text{ocdcl}_W\text{-o-r } S \ S' \Longrightarrow \text{no-confl-prop-impr } S \Longrightarrow \text{cdcl-dpll-bnb-r-stgy } S \ S' \rangle$

lemma *no-dup-dropI*:

$\langle \text{no-dup } M \Longrightarrow \text{no-dup } (\text{drop } n \ M) \rangle$
 $\langle \text{proof} \rangle$

lemma *tranclp-resolve-state-eq-compatible*:

$\langle \text{resolve}^{++} S \ T \Longrightarrow T \sim T' \Longrightarrow \text{resolve}^{++} S \ T' \rangle$
 $\langle \text{proof} \rangle$

lemma *conflict-opt0-state-eq-compatible*:

$\langle \text{conflict-opt0 } S \ T \Longrightarrow S \sim S' \Longrightarrow T \sim T' \Longrightarrow \text{conflict-opt0 } S' \ T' \rangle$
 $\langle \text{proof} \rangle$

lemma *conflict-opt0-conflict-opt*:

assumes $\langle \text{conflict-opt0 } S \ U \rangle$ **and**
 $\langle \text{inv: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$
shows $\langle \exists T. \text{enc-weight-opt.conflict-opt } S \ T \wedge \text{resolve}^{**} T \ U \rangle$
 $\langle \text{proof} \rangle$

lemma *backtrack-split-some-is-decided-then-snd-has-hd2*:

$\langle \exists l \in \text{set } M. \text{ is-decided } l \implies \exists M' L' M''. \text{ backtrack-split } M = (M'', \text{Decided } L' \# M') \rangle$
 ⟨proof⟩

lemma *no-step-conflict-opt0-simple-backtrack-conflict-opt*:
 ⟨no-step conflict-opt0 $S \implies$ no-step simple-backtrack-conflict-opt $S \implies$
 no-step enc-weight-opt.conflict-opt $S \rangle$
 ⟨proof⟩

lemma *no-step-cdcl-dpll-bnb-r-cdcl-bnb-r*:
assumes ⟨cdcl_W-restart-mset.cdcl_W-all-struct-inv (enc-weight-opt.abs-state $S \rangle$
shows
 ⟨no-step cdcl-dpll-bnb-r $S \longleftrightarrow$ no-step cdcl-bnb-r $S \rangle$ (is ⟨?A \longleftrightarrow ?B⟩)
 ⟨proof⟩

lemma *cdcl-dpll-bnb-r-cdcl-bnb-r*:
assumes ⟨cdcl-dpll-bnb-r $S T \rangle$ **and**
 ⟨cdcl_W-restart-mset.cdcl_W-all-struct-inv (enc-weight-opt.abs-state $S \rangle$
shows ⟨cdcl-bnb-r^{**} $S T \rangle$
 ⟨proof⟩

lemma *resolve-no-prop-conf*: ⟨resolve $S T \implies$ no-step propagate $S \wedge$ no-step conflict $S \rangle$
 ⟨proof⟩

lemma *cdcl-bnb-r-stgy-res*:
 ⟨resolve $S T \implies$ cdcl-bnb-r-stgy $S T \rangle$
 ⟨proof⟩

lemma *rtranclp-cdcl-bnb-r-stgy-res*:
 ⟨resolve^{**} $S T \implies$ cdcl-bnb-r-stgy^{**} $S T \rangle$
 ⟨proof⟩

lemma *obacktrack-no-prop-conf*: ⟨enc-weight-opt.obacktrack $S T \implies$ no-step propagate $S \wedge$ no-step
 conflict $S \rangle$
 ⟨proof⟩

lemma *cdcl-bnb-r-stgy-bt*:
 ⟨enc-weight-opt.obacktrack $S T \implies$ cdcl-bnb-r-stgy $S T \rangle$
 ⟨proof⟩

lemma *cdcl-dpll-bnb-r-stgy-cdcl-bnb-r-stgy*:
assumes ⟨cdcl-dpll-bnb-r-stgy $S T \rangle$ **and**
 ⟨cdcl_W-restart-mset.cdcl_W-all-struct-inv (enc-weight-opt.abs-state $S \rangle$
shows ⟨cdcl-bnb-r-stgy^{**} $S T \rangle$
 ⟨proof⟩

lemma *cdcl-bnb-r-stgy-cdcl-bnb-r*:
 ⟨cdcl-bnb-r-stgy $S T \implies$ cdcl-bnb-r $S T \rangle$
 ⟨proof⟩

lemma *rtranclp-cdcl-bnb-r-stgy-cdcl-bnb-r*:
 ⟨cdcl-bnb-r-stgy^{**} $S T \implies$ cdcl-bnb-r^{**} $S T \rangle$
 ⟨proof⟩

context

fixes $S :: 'st$

assumes $S\text{-}\Sigma$: ⟨atms-of-mm (init-cls $S \rangle = \Sigma - \Delta\Sigma \cup \text{replacement-pos } \langle \Delta\Sigma \cup \text{replacement-neg } \langle \Delta\Sigma \rangle$

begin

lemma *cdcl-dpll-bnb-r-stgy-all-struct-inv*:

$\langle \text{cdcl-dpll-bnb-r-stgy } S \ T \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } S) \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

end

lemma *cdcl-bnb-r-stgy-cdcl-dpll-bnb-r-stgy*:

$\langle \text{cdcl-bnb-r-stgy } S \ T \implies \exists T. \text{cdcl-dpll-bnb-r-stgy } S \ T \rangle$
 $\langle \text{proof} \rangle$

context

fixes $S :: 'st$

assumes $S\text{-}\Sigma$: $\langle \text{atms-of-mm (init-cls } S) = \Sigma - \Delta\Sigma \cup \text{replacement-pos } \Delta\Sigma \cup \text{replacement-neg } \Delta\Sigma \rangle$

begin

lemma *rtranclp-cdcl-dpll-bnb-r-stgy-cdcl-bnb-r*:

assumes $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S \ T \rangle$ **and**
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } S) \rangle$
shows $\langle \text{cdcl-bnb-r-stgy}^{**} S \ T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-dpll-bnb-r-stgy-all-struct-inv*:

$\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S \ T \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } S) \implies$
 $\text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *full-cdcl-dpll-bnb-r-stgy-full-cdcl-bnb-r-stgy*:

assumes $\langle \text{full-cdcl-dpll-bnb-r-stgy } S \ T \rangle$ **and**
 $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } S) \rangle$
shows $\langle \text{full-cdcl-bnb-r-stgy } S \ T \rangle$
 $\langle \text{proof} \rangle$

end

lemma *replace-pos-neg-not-both-decided-highest-lvl*:

assumes

$\text{struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv (enc-weight-opt.abs-state } S) \rangle$ **and**

$\text{smaller-propa: } \langle \text{no-smaller-propa } S \rangle$ **and**

$\text{smaller-confl: } \langle \text{no-smaller-confl } S \rangle$ **and**

$\text{dec0: } \langle \text{Pos } (A^{\rightarrow 0}) \in \text{lits-of-l (trail } S) \rangle$ **and**

$\text{dec1: } \langle \text{Pos } (A^{\rightarrow 1}) \in \text{lits-of-l (trail } S) \rangle$ **and**

$\text{add: } \langle \text{additional-constraints } \subseteq\# \text{ init-cls } S \rangle$ **and**

$[\text{simp}]: \langle A \in \Delta\Sigma \rangle$

shows $\langle \text{get-level (trail } S) (\text{Pos } (A^{\rightarrow 0})) = \text{backtrack-lvl } S \wedge$

$\text{get-level (trail } S) (\text{Pos } (A^{\rightarrow 1})) = \text{backtrack-lvl } S \rangle$

$\langle \text{proof} \rangle$

lemma *cdcl-dpll-bnb-r-stgy-clauses-mono*:

$\langle \text{cdcl-dpll-bnb-r-stgy } S \ T \implies \text{clauses } S \subseteq\# \text{ clauses } T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-dpll-bnb-r-stgy-clauses-mono*:
 $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S T \implies \text{clauses } S \subseteq_{\#} \text{clauses } T \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl-dpll-bnb-r-stgy-init-cls-eq*:
 $\langle \text{cdcl-dpll-bnb-r-stgy } S T \implies \text{init-cls } S = \text{init-cls } T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-dpll-bnb-r-stgy-init-cls-eq*:
 $\langle \text{cdcl-dpll-bnb-r-stgy}^{**} S T \implies \text{init-cls } S = \text{init-cls } T \rangle$
 $\langle \text{proof} \rangle$

context

fixes $S :: 'st$ **and** $N :: \langle 'v \text{ clauses} \rangle$
assumes $S\Sigma: \langle \text{init-cls } S = \text{penc } N \rangle$

begin

lemma *replacement-pos-neg-defined-same-lvl*:

assumes

struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ **and**

$A: \langle A \in \Delta\Sigma \rangle$ **and**

lev: $\langle \text{get-level } (\text{trail } S) (\text{Pos } (\text{replacement-pos } A)) < \text{backtrack-lvl } S \rangle$ **and**

smaller-propa: $\langle \text{no-smaller-propa } S \rangle$ **and**

smaller-conf: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows

$\langle \text{Pos } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \implies$

$\text{Neg } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \rangle$

$\langle \text{proof} \rangle$

lemma *replacement-pos-neg-defined-same-lvl'*:

assumes

struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ **and**

$A: \langle A \in \Delta\Sigma \rangle$ **and**

lev: $\langle \text{get-level } (\text{trail } S) (\text{Pos } (\text{replacement-neg } A)) < \text{backtrack-lvl } S \rangle$ **and**

smaller-propa: $\langle \text{no-smaller-propa } S \rangle$ **and**

smaller-conf: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows

$\langle \text{Pos } (\text{replacement-neg } A) \in \text{lits-of-l } (\text{trail } S) \implies$

$\text{Neg } (\text{replacement-pos } A) \in \text{lits-of-l } (\text{trail } S) \rangle$

$\langle \text{proof} \rangle$

end

definition *all-new-literals* $:: \langle 'v \text{ list} \rangle$ **where**

$\langle \text{all-new-literals} = (\text{SOME } xs. \text{mset } xs = \text{mset-set } (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma)) \rangle$

lemma *set-all-new-literals[simp]*:

$\langle \text{set all-new-literals} = (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \rangle$

$\langle \text{proof} \rangle$

This function is basically resolving the clause with all the additional clauses $\{\# \text{Neg } (L^{\mapsto 1}), \text{Neg}$

$(L^{\mapsto 0})\#\}$.

fun *resolve-with-all-new-literals* :: $\langle 'v \text{ clause} \Rightarrow 'v \text{ list} \Rightarrow 'v \text{ clause} \rangle$ **where**

$\langle \text{resolve-with-all-new-literals } C [] = C \rangle$ |

$\langle \text{resolve-with-all-new-literals } C (L \# Ls) =$

$\text{remdups-mset } (\text{resolve-with-all-new-literals } (\text{if } \text{Pos } L \in\# C \text{ then } \text{add-mset } (\text{Neg } (\text{opposite-var } L)))$

$(\text{removeAll-mset } (\text{Pos } L) C) \text{ else } C) Ls \rangle$

abbreviation *normalize2* **where**

$\langle \text{normalize2 } C \equiv \text{resolve-with-all-new-literals } C \text{ all-new-literals} \rangle$

lemma *Neg-in-normalize2[simp]*: $\langle \text{Neg } L \in\# C \Longrightarrow \text{Neg } L \in\# \text{resolve-with-all-new-literals } C \text{ xs} \rangle$
 $\langle \text{proof} \rangle$

lemma *Pos-in-normalize2D[dest]*: $\langle \text{Pos } L \in\# \text{resolve-with-all-new-literals } C \text{ xs} \Longrightarrow \text{Pos } L \in\# C \rangle$
 $\langle \text{proof} \rangle$

lemma *opposite-var-involutive[simp]*:

$\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \Longrightarrow \text{opposite-var } (\text{opposite-var } L) = L \rangle$

$\langle \text{proof} \rangle$

lemma *Neg-in-resolve-with-all-new-literals-Pos-notin*:

$\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \Longrightarrow \text{set } xs \subseteq (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \Longrightarrow$

$\text{Pos } (\text{opposite-var } L) \notin\# C \Longrightarrow \text{Neg } L \in\# \text{resolve-with-all-new-literals } C \text{ xs} \longleftrightarrow \text{Neg } L \in\# C \rangle$

$\langle \text{proof} \rangle$

lemma *Pos-in-normalize2-Neg-notin[simp]*:

$\langle L \in (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \Longrightarrow$

$\text{Pos } (\text{opposite-var } L) \notin\# C \Longrightarrow \text{Neg } L \in\# \text{normalize2 } C \longleftrightarrow \text{Neg } L \in\# C \rangle$

$\langle \text{proof} \rangle$

lemma *all-negation-deleted*:

$\langle L \in \text{set all-new-literals} \Longrightarrow \text{Pos } L \notin\# \text{normalize2 } C \rangle$

$\langle \text{proof} \rangle$

lemma *Pos-in-resolve-with-all-new-literals-iff-already-in-or-negation-in*:

$\langle L \in \text{set all-new-literals} \Longrightarrow \text{set } xs \subseteq (\text{replacement-neg } ' \Delta\Sigma \cup \text{replacement-pos } ' \Delta\Sigma) \Longrightarrow \text{Neg } L \in\# \text{resolve-with-all-new-literals } C \text{ xs} \Longrightarrow$

$\text{Neg } L \in\# C \vee \text{Pos } (\text{opposite-var } L) \in\# C \rangle$

$\langle \text{proof} \rangle$

lemma *Pos-in-normalize2-iff-already-in-or-negation-in*:

$\langle L \in \text{set all-new-literals} \Longrightarrow \text{Neg } L \in\# \text{normalize2 } C \Longrightarrow$

$\text{Neg } L \in\# C \vee \text{Pos } (\text{opposite-var } L) \in\# C \rangle$

$\langle \text{proof} \rangle$

This proof makes it hard to measure progress because I currently do not see a way to distinguish between $\text{add-mset } (A^{\mapsto 1}) C$ and $\text{add-mset } (A^{\mapsto 1}) (\text{add-mset } (A^{\mapsto 0}) C)$.

lemma

assumes

$\langle \text{enc-weight-opt.cdcl-bnb-stgy } S \text{ T} \rangle$ **and**

$\text{struct: } \langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{enc-weight-opt.abs-state } S) \rangle$ **and**

$\text{dist: } \langle \text{distinct-mset } (\text{normalize-clause } '\# \text{ learned-cls } S) \rangle$ **and**

$\text{smaller-propa: } \langle \text{no-smaller-propa } S \rangle$ **and**

smaller-conf: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$
shows $\langle \text{distinct-mset (remdups-mset (normalize2 \# learned-clss } T)) \rangle$
 $\langle \text{proof} \rangle$
find-theorems *get-level Pos Neg*

end

end
theory *CDCL-W-Covering-Models*
imports *CDCL-W-Optimal-Model*
begin

0.2 Covering Models

I am only interested in the extension of CDCL to find covering models, not in the required subsequent extraction of the minimal covering models.

type-synonym *'v cov* = $\langle 'v \text{ literal multiset multiset} \rangle$

lemma *true-clss-cls-in-subsuming*:
 $\langle C' \subseteq\# C \implies C' \in N \implies N \models_p C \rangle$
 $\langle \text{proof} \rangle$

locale *covering-models* =
fixes
 $\varrho :: \langle 'v \implies \text{bool} \rangle$
begin

definition *model-is-dominated* :: $\langle 'v \text{ literal multiset} \implies 'v \text{ literal multiset} \implies \text{bool} \rangle$ **where**
 $\langle \text{model-is-dominated } M M' \longleftrightarrow$
 $\text{filter-mset } (\lambda L. \text{is-pos } L \wedge \varrho (\text{atm-of } L)) M \subseteq\# \text{filter-mset } (\lambda L. \text{is-pos } L \wedge \varrho (\text{atm-of } L)) M' \rangle$

lemma *model-is-dominated-refl*: $\langle \text{model-is-dominated } I I \rangle$
 $\langle \text{proof} \rangle$

lemma *model-is-dominated-trans*:
 $\langle \text{model-is-dominated } I J \implies \text{model-is-dominated } J K \implies \text{model-is-dominated } I K \rangle$
 $\langle \text{proof} \rangle$

definition *is-dominating* :: $\langle 'v \text{ literal multiset multiset} \implies 'v \text{ literal multiset} \implies \text{bool} \rangle$ **where**
 $\langle \text{is-dominating } \mathcal{M} I \longleftrightarrow (\exists M \in\# \mathcal{M}. \exists J. I \subseteq\# J \wedge \text{model-is-dominated } J M) \rangle$

lemma
is-dominating-in:
 $\langle I \in\# \mathcal{M} \implies \text{is-dominating } \mathcal{M} I \rangle$ **and**
is-dominating-mono:
 $\langle \text{is-dominating } \mathcal{M} I \implies \text{set-mset } \mathcal{M} \subseteq \text{set-mset } \mathcal{M}' \implies \text{is-dominating } \mathcal{M}' I \rangle$ **and**
is-dominating-mono-model:
 $\langle \text{is-dominating } \mathcal{M} I \implies I' \subseteq\# I \implies \text{is-dominating } \mathcal{M} I' \rangle$
 $\langle \text{proof} \rangle$

lemma *is-dominating-add-mset*:
 $\langle \text{is-dominating } (\text{add-mset } x \mathcal{M}) I \longleftrightarrow$
 $\text{is-dominating } \mathcal{M} I \vee (\exists J. I \subseteq\# J \wedge \text{model-is-dominated } J x) \rangle$

⟨proof⟩

definition *is-improving-int*

:: ⟨('v, 'v clause) ann-lits ⇒ ('v, 'v clause) ann-lits ⇒ 'v clauses ⇒ 'v cov ⇒ bool⟩

where

⟨*is-improving-int* $M M' N \mathcal{M} \longleftrightarrow$

$M = M' \wedge (\forall I \in \# \mathcal{M}. \neg \text{model-is-dominated } (\text{lit-of } \# \text{ mset } M) I) \wedge$

$\text{total-over-m } (\text{lits-of-l } M) (\text{set-mset } N) \wedge$

$\text{lit-of } \# \text{ mset } M \in \text{simple-clss } (\text{atms-of-mm } N) \wedge$

$\text{lit-of } \# \text{ mset } M \notin \# \mathcal{M} \wedge$

$M \models_{\text{asm}} N \wedge$

$\text{no-dup } M \rangle$

This criteria is a bit more general than Weidenbach's version.

abbreviation *conflicting-clauses-ent* **where**

⟨*conflicting-clauses-ent* $N \mathcal{M} \equiv$

$\{\#p\text{Neg } \{\#L \in \# x. \varrho (\text{atm-of } L)\#\}.$

$x \in \# \text{filter-mset } (\lambda x. \text{is-dominating } \mathcal{M} x \wedge \text{atms-of } x = \text{atms-of-mm } N)$

$(\text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)))\#\} + N \rangle$

definition *conflicting-clauses*

:: ⟨'v clauses ⇒ 'v cov ⇒ 'v clauses⟩

where

⟨*conflicting-clauses* $N \mathcal{M} =$

$\{\#C \in \# \text{mset-set } (\text{simple-clss } (\text{atms-of-mm } N)).$

$\text{conflicting-clauses-ent } N \mathcal{M} \models_{\text{pm}} C\#\} \rangle$

lemma *conflicting-clauses-insert*:

assumes ⟨ $M \in \text{simple-clss } (\text{atms-of-mm } N) \rangle$ **and** ⟨ $\text{atms-of } M = \text{atms-of-mm } N \rangle$

shows ⟨ $p\text{Neg } M \in \# \text{conflicting-clauses } N (\text{add-mset } M w) \rangle$

⟨proof⟩

lemma *is-dominating-in-conflicting-clauses*:

assumes ⟨*is-dominating* $\mathcal{M} I \rangle$ **and**

$\text{atm}: \langle \text{atms-of-s } (\text{set-mset } I) = \text{atms-of-mm } N \rangle$ **and**

⟨ $\text{set-mset } I \models_m N \rangle$ **and**

⟨*consistent-interp* $(\text{set-mset } I) \rangle$ **and**

⟨ $\neg \text{tautology } I \rangle$ **and**

⟨*distinct-mset* $I \rangle$

shows

⟨ $p\text{Neg } I \in \# \text{conflicting-clauses } N \mathcal{M} \rangle$

⟨proof⟩

end

locale *conflict-driven-clause-learning_W-covering-models* =

conflict-driven-clause-learning_W

state-eq

state

— functions for the state:

— access functions:

trail init-clss learned-clss conflicting

— changing state:

cons-trail tl-trail add-learned-cls remove-cls

update-conflicting

— get state:

```

  init-state +
  covering-models  $\varrho$ 
for
  state-eq ::  $\langle 'st \Rightarrow 'st \Rightarrow bool \rangle$  (infix  $\langle \sim \rangle$  50) and
  state ::  $\langle 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \times 'v \text{ clauses} \times 'v \text{ clauses} \times 'v \text{ clause option} \times$ 
     $'v \text{ cov} \times 'b \text{ and}$ 
  trail ::  $\langle 'st \Rightarrow ('v, 'v \text{ clause}) \text{ ann-lits} \rangle$  and
  init-clss ::  $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$  and
  learned-clss ::  $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$  and
  conflicting ::  $\langle 'st \Rightarrow 'v \text{ clause option} \rangle$  and

  cons-trail ::  $\langle ('v, 'v \text{ clause}) \text{ ann-lit} \Rightarrow 'st \Rightarrow 'st \rangle$  and
  tl-trail ::  $\langle 'st \Rightarrow 'st \rangle$  and
  add-learned-clss ::  $\langle 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \rangle$  and
  remove-clss ::  $\langle 'v \text{ clause} \Rightarrow 'st \Rightarrow 'st \rangle$  and
  update-conflicting ::  $\langle 'v \text{ clause option} \Rightarrow 'st \Rightarrow 'st \rangle$  and
  init-state ::  $\langle 'v \text{ clauses} \Rightarrow 'st \rangle$  and
   $\varrho$  ::  $\langle 'v \Rightarrow bool \rangle$  +
fixes
  update-additional-info ::  $\langle 'v \text{ cov} \times 'b \Rightarrow 'st \Rightarrow 'st \rangle$ 
assumes
  update-additional-info:
   $\langle \text{state } S = (M, N, U, C, \mathcal{M}) \Longrightarrow \text{state } (\text{update-additional-info } K' S) = (M, N, U, C, K') \rangle$  and
  weight-init-state:
   $\langle \bigwedge N :: 'v \text{ clauses. fst } (\text{additional-info } (\text{init-state } N)) = \{\#\} \rangle$ 
begin

definition update-weight-information ::  $\langle ('v, 'v \text{ clause}) \text{ ann-lits} \Rightarrow 'st \Rightarrow 'st \rangle$  where
   $\langle \text{update-weight-information } M S =$ 
     $\text{update-additional-info } (\text{add-mset } (\text{lit-of } \#\ \text{mset } M) (\text{fst } (\text{additional-info } S)), \text{snd } (\text{additional-info}$ 
   $S)) S \rangle$ 

lemma
  trail-update-additional-info[simp]:  $\langle \text{trail } (\text{update-additional-info } w S) = \text{trail } S \rangle$  and
  init-clss-update-additional-info[simp]:
   $\langle \text{init-clss } (\text{update-additional-info } w S) = \text{init-clss } S \rangle$  and
  learned-clss-update-additional-info[simp]:
   $\langle \text{learned-clss } (\text{update-additional-info } w S) = \text{learned-clss } S \rangle$  and
  backtrack-lvl-update-additional-info[simp]:
   $\langle \text{backtrack-lvl } (\text{update-additional-info } w S) = \text{backtrack-lvl } S \rangle$  and
  conflicting-update-additional-info[simp]:
   $\langle \text{conflicting } (\text{update-additional-info } w S) = \text{conflicting } S \rangle$  and
  clauses-update-additional-info[simp]:
   $\langle \text{clauses } (\text{update-additional-info } w S) = \text{clauses } S \rangle$ 
   $\langle \text{proof} \rangle$ 

lemma
  trail-update-weight-information[simp]:
   $\langle \text{trail } (\text{update-weight-information } w S) = \text{trail } S \rangle$  and
  init-clss-update-weight-information[simp]:
   $\langle \text{init-clss } (\text{update-weight-information } w S) = \text{init-clss } S \rangle$  and
  learned-clss-update-weight-information[simp]:
   $\langle \text{learned-clss } (\text{update-weight-information } w S) = \text{learned-clss } S \rangle$  and
  backtrack-lvl-update-weight-information[simp]:
   $\langle \text{backtrack-lvl } (\text{update-weight-information } w S) = \text{backtrack-lvl } S \rangle$  and
  conflicting-update-weight-information[simp]:

```

$\langle \text{conflicting} (\text{update-weight-information } w \ S) = \text{conflicting } S \rangle$ **and**
 $\text{clauses-update-weight-information}[simp]:$
 $\langle \text{clauses} (\text{update-weight-information } w \ S) = \text{clauses } S \rangle$
 $\langle \text{proof} \rangle$

definition $\text{covering} :: \langle 'st \Rightarrow 'v \text{ cov} \rangle$ **where**
 $\langle \text{covering } S = \text{fst} (\text{additional-info } S) \rangle$

lemma

$\text{additional-info-update-additional-info}[simp]:$
 $\langle \text{additional-info} (\text{update-additional-info } w \ S) = w \rangle$
 $\langle \text{proof} \rangle$

lemma

$\text{covering-cons-trail2}[simp]: \langle \text{covering} (\text{cons-trail } L \ S) = \text{covering } S \rangle$ **and**
 $\text{clss-tl-trail2}[simp]: \langle \text{covering} (\text{tl-trail } S) = \text{covering } S \rangle$ **and**
 $\text{covering-add-learned-cls-unfolded}:$
 $\langle \text{covering} (\text{add-learned-cls } U \ S) = \text{covering } S \rangle$
and
 $\text{covering-update-conflicting2}[simp]: \langle \text{covering} (\text{update-conflicting } D \ S) = \text{covering } S \rangle$ **and**
 $\text{covering-remove-cls2}[simp]:$
 $\langle \text{covering} (\text{remove-cls } C \ S) = \text{covering } S \rangle$ **and**
 $\text{covering-add-learned-cls2}[simp]:$
 $\langle \text{covering} (\text{add-learned-cls } C \ S) = \text{covering } S \rangle$ **and**
 $\text{covering-update-covering-information2}[simp]:$
 $\langle \text{covering} (\text{update-weight-information } M \ S) = \text{add-mset} (\text{lit-of } \# \text{ mset } M) (\text{covering } S) \rangle$
 $\langle \text{proof} \rangle$

sublocale $\text{conflict-driven-clause-learning}_W$ **where**

$\text{state-eq} = \text{state-eq}$ **and**
 $\text{state} = \text{state}$ **and**
 $\text{trail} = \text{trail}$ **and**
 $\text{init-clss} = \text{init-clss}$ **and**
 $\text{learned-clss} = \text{learned-clss}$ **and**
 $\text{conflicting} = \text{conflicting}$ **and**
 $\text{cons-trail} = \text{cons-trail}$ **and**
 $\text{tl-trail} = \text{tl-trail}$ **and**
 $\text{add-learned-cls} = \text{add-learned-cls}$ **and**
 $\text{remove-cls} = \text{remove-cls}$ **and**
 $\text{update-conflicting} = \text{update-conflicting}$ **and**
 $\text{init-state} = \text{init-state}$
 $\langle \text{proof} \rangle$

sublocale $\text{conflict-driven-clause-learning-with-adding-init-clause-bnb}_W\text{-no-state}$ **where**

$\text{state} = \text{state}$ **and**
 $\text{trail} = \text{trail}$ **and**
 $\text{init-clss} = \text{init-clss}$ **and**
 $\text{learned-clss} = \text{learned-clss}$ **and**
 $\text{conflicting} = \text{conflicting}$ **and**
 $\text{cons-trail} = \text{cons-trail}$ **and**
 $\text{tl-trail} = \text{tl-trail}$ **and**
 $\text{add-learned-cls} = \text{add-learned-cls}$ **and**
 $\text{remove-cls} = \text{remove-cls}$ **and**

update-conflicting = *update-conflicting* **and**
init-state = *init-state* **and**
weight = *covering* **and**
update-weight-information = *update-weight-information* **and**
is-improving-int = *is-improving-int* **and**
conflicting-clauses = *conflicting-clauses*
 ⟨*proof*⟩

lemma *state-additional-info2'*:

⟨*state* $S = (\text{trail } S, \text{init-clss } S, \text{learned-clss } S, \text{conflicting } S, \text{covering } S, \text{additional-info}' S)$ ⟩
 ⟨*proof*⟩

lemma *state-update-weight-information*:

⟨*state* $S = (M, N, U, C, w, \text{other}) \implies$
 $\exists w'. \text{state } (\text{update-weight-information } T S) = (M, N, U, C, w', \text{other})$ ⟩
 ⟨*proof*⟩

lemma *conflicting-clss-incl-init-clss*:

⟨*atms-of-mm* (*conflicting-clss* S) \subseteq *atms-of-mm* (*init-clss* S)⟩
 ⟨*proof*⟩

lemma *conflict-clss-update-weight-no-alien*:

⟨*atms-of-mm* (*conflicting-clss* (*update-weight-information* $M S$))
 \subseteq *atms-of-mm* (*init-clss* S)⟩
 ⟨*proof*⟩

lemma *distinct-mset-mset-conflicting-clss2*: ⟨*distinct-mset-mset* (*conflicting-clss* S)⟩

⟨*proof*⟩

lemma *total-over-m-atms-incl*:

assumes ⟨*total-over-m* M (*set-mset* N)⟩
shows
 ⟨ $x \in \text{atms-of-mm } N \implies x \in \text{atms-of-s } M$ ⟩
 ⟨*proof*⟩

lemma *negate-ann-lits-simple-clss-iff*[*iff*]:

⟨*negate-ann-lits* $M \in \text{simple-clss } N \longleftrightarrow \text{lit-of } \# \text{ mset } M \in \text{simple-clss } N$ ⟩
 ⟨*proof*⟩

lemma *conflicting-clss-update-weight-information-in2*:

assumes ⟨*is-improving* $M M' S$ ⟩
shows ⟨*negate-ann-lits* $M' \in \# \text{ conflicting-clss } (\text{update-weight-information } M' S)$ ⟩
 ⟨*proof*⟩

lemma *is-improving-conflicting-clss-update-weight-information*: ⟨*is-improving* $M M' S \implies$

$\text{conflicting-clss } S \subseteq \# \text{ conflicting-clss } (\text{update-weight-information } M' S)$ ⟩
 ⟨*proof*⟩

sublocale *state_w-no-state*

where

state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**

learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*
 ⟨*proof*⟩

sublocale *state_W-no-state* **where**

state-eq = *state-eq* **and**
state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*
 ⟨*proof*⟩

sublocale *conflict-driven-clause-learning_W* **where**

state-eq = *state-eq* **and**
state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state*
 ⟨*proof*⟩

sublocale *conflict-driven-clause-learning-with-adding-init-clause-bnb_W-ops* **where**

state = *state* **and**
trail = *trail* **and**
init-clss = *init-clss* **and**
learned-clss = *learned-clss* **and**
conflicting = *conflicting* **and**
cons-trail = *cons-trail* **and**
tl-trail = *tl-trail* **and**
add-learned-cls = *add-learned-cls* **and**
remove-cls = *remove-cls* **and**
update-conflicting = *update-conflicting* **and**
init-state = *init-state* **and**
weight = *covering* **and**
update-weight-information = *update-weight-information* **and**
is-improving-int = *is-improving-int* **and**

conflicting-clauses = *conflicting-clauses*
 ⟨proof⟩

definition *covering-simple-cls* **where**

⟨*covering-simple-cls* $N S \iff (\text{set-mset } (\text{covering } S) \subseteq \text{simple-cls } (\text{atms-of-mm } N)) \wedge$
 $\text{distinct-mset } (\text{covering } S) \wedge$
 $(\forall M \in\# \text{ covering } S. \text{total-over-m } (\text{set-mset } M) (\text{set-mset } N))$ ⟩

lemma [*simp*]: ⟨*covering* (*init-state* N) = $\{\#\}$ ⟩
 ⟨proof⟩

lemma ⟨*covering-simple-cls* N (*init-state* N)⟩
 ⟨proof⟩

lemma *cdcl-bnb-covering-simple-cls*:

⟨*cdcl-bnb* $S T \implies \text{init-cls } S = N \implies \text{covering-simple-cls } N S \implies \text{covering-simple-cls } N T$ ⟩
 ⟨proof⟩

lemma *rtranclp-cdcl-bnb-covering-simple-cls*:

⟨*cdcl-bnb*** $S T \implies \text{init-cls } S = N \implies \text{covering-simple-cls } N S \implies \text{covering-simple-cls } N T$ ⟩
 ⟨proof⟩

lemma *wf-cdcl-bnb-fixed*:

⟨*wf* $\{(T, S). \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \wedge \text{cdcl-bnb } S T$
 $\wedge \text{covering-simple-cls } N S \wedge \text{init-cls } S = N\}$ ⟩
 ⟨proof⟩

lemma *can-always-improve*:

assumes

ent: ⟨*trail* $S \models_{asm} \text{clauses } S$ ⟩ **and**
total: ⟨*total-over-m* (*lits-of-l* (*trail* S)) (*set-mset* (*clauses* S))⟩ **and**
n-s: ⟨*no-step conflict-opt* S ⟩ **and**
confl: ⟨*conflicting* $S = \text{None}$ ⟩ **and**
all-struct: ⟨*cdcl*_W-*restart-mset.cdcl*_W-*all-struct-inv* (*abs-state* S)⟩

shows ⟨*Ex* (*improvep* S)⟩

⟨proof⟩

lemma *exists-model-with-true-lit-entails-conflicting*:

assumes

L-I: ⟨*Pos* $L \in I$ ⟩ **and**
L: ⟨ \emptyset L ⟩ **and**
L-in: ⟨ $L \in \text{atms-of-mm } (\text{init-cls } S)$ ⟩ **and**
ent: ⟨ $I \models_m \text{init-cls } S$ ⟩ **and**
cons: ⟨*consistent-interp* I ⟩ **and**
total: ⟨*total-over-m* I (*set-mset* N)⟩ **and**
no-L: ⟨ $\neg(\exists J \in\# \text{ covering } S. \text{Pos } L \in\# J)$ ⟩ **and**
cov: ⟨*covering-simple-cls* $N S$ ⟩ **and**
NS: ⟨*atms-of-mm* $N = \text{atms-of-mm } (\text{init-cls } S)$ ⟩

shows ⟨ $I \models_m \text{conflicting-cls } S$ ⟩ **and**

⟨ $I \models_m \text{CDCL-W-Abstract-State.init-cls } (\text{abs-state } S)$ ⟩

⟨proof⟩

lemma *exists-model-with-true-lit-still-model*:

assumes

L-I: ⟨*Pos* $L \in I$ ⟩ **and**

L: $\langle \varrho L \rangle$ **and**
L-in: $\langle L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**
ent: $\langle I \models_m \text{init-clss } S \rangle$ **and**
cons: $\langle \text{consistent-interp } I \rangle$ **and**
total: $\langle \text{total-over-m } I \text{ (set-mset } N) \rangle$ **and**
cdcl: $\langle \text{cdcl-bnb } S T \rangle$ **and**
no-L-T: $\langle \neg(\exists J \in \# \text{ covering } T. \text{Pos } L \in \# J) \rangle$ **and**
cov: $\langle \text{covering-simple-clss } N S \rangle$ **and**
NS: $\langle \text{atms-of-mm } N = \text{atms-of-mm } (\text{init-clss } S) \rangle$
shows $\langle I \models_m \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-exists-model-with-true-lit-still-model:*

assumes

L-I: $\langle \text{Pos } L \in I \rangle$ **and**
L: $\langle \varrho L \rangle$ **and**
L-in: $\langle L \in \text{atms-of-mm } (\text{init-clss } S) \rangle$ **and**
ent: $\langle I \models_m \text{init-clss } S \rangle$ **and**
cons: $\langle \text{consistent-interp } I \rangle$ **and**
total: $\langle \text{total-over-m } I \text{ (set-mset } N) \rangle$ **and**
cdcl: $\langle \text{cdcl-bnb}^{**} S T \rangle$ **and**
cov: $\langle \text{covering-simple-clss } N S \rangle$ **and**
 $\langle N = \text{init-clss } S \rangle$

shows $\langle I \models_m \text{CDCL-W-Abstract-State.init-clss } (\text{abs-state } T) \vee (\exists J \in \# \text{ covering } T. \text{Pos } L \in \# J) \rangle$
 $\langle \text{proof} \rangle$

lemma *is-dominating-nil[simp]:* $\langle \neg \text{is-dominating } \{\#\} x \rangle$
 $\langle \text{proof} \rangle$

lemma *atms-of-conflicting-clss-init-state:*

$\langle \text{atms-of-mm } (\text{conflicting-clss } (\text{init-state } N)) \subseteq \text{atms-of-mm } N \rangle$
 $\langle \text{proof} \rangle$

lemma *no-step-cdcl-bnb-stgy-empty-conflict2:*

assumes

n-s: $\langle \text{no-step cdcl-bnb } S \rangle$ **and**
all-struct: $\langle \text{cdcl}_W\text{-restart-mset.cdcl}_W\text{-all-struct-inv } (\text{abs-state } S) \rangle$ **and**
stgy-inv: $\langle \text{cdcl-bnb-stgy-inv } S \rangle$

shows $\langle \text{conflicting } S = \text{Some } \{\#\} \rangle$
 $\langle \text{proof} \rangle$

theorem *cdclcm-correctness:*

assumes

full: $\langle \text{full cdcl-bnb-stgy } (\text{init-state } N) T \rangle$ **and**
dist: $\langle \text{distinct-mset-mset } N \rangle$

shows

$\langle \text{Pos } L \in I \implies \varrho L \implies L \in \text{atms-of-mm } N \implies \text{total-over-m } I \text{ (set-mset } N) \implies \text{consistent-interp } I \implies I \models_m N \implies$
 $\exists J \in \# \text{ covering } T. \text{Pos } L \in \# J \rangle$
 $\langle \text{proof} \rangle$

end

Now we instantiate the previous with $\lambda\cdot$. *True:* This means that we aim at making all variables that appears at least ones true.

global-interpretation *cover-all-vars: covering-models* $\langle \lambda-. True \rangle$
 $\langle proof \rangle$

context *conflict-driven-clause-learning_W-covering-models*
begin

interpretation *cover-all-vars: conflict-driven-clause-learning_W-covering-models* **where**

$g = \langle \lambda-::'v. True \rangle$ **and**
 $state = state$ **and**
 $trail = trail$ **and**
 $init-clss = init-clss$ **and**
 $learned-clss = learned-clss$ **and**
 $conflicting = conflicting$ **and**
 $cons-trail = cons-trail$ **and**
 $tl-trail = tl-trail$ **and**
 $add-learned-cls = add-learned-cls$ **and**
 $remove-cls = remove-cls$ **and**
 $update-conflicting = update-conflicting$ **and**
 $init-state = init-state$
 $\langle proof \rangle$

lemma

$\langle cover-all-vars.model-is-dominated M M' \longleftrightarrow$
 $filter-mset (\lambda L. is-pos L) M \subseteq\# filter-mset (\lambda L. is-pos L) M' \rangle$
 $\langle proof \rangle$

lemma

$\langle cover-all-vars.conflicting-clauses N M =$
 $\{ \# C \in\# (mset-set (simple-clss (atms-of-mm N)))$
 $(pNeg 'a. a \in\# mset-set (simple-clss (atms-of-mm N)) \wedge$
 $(\exists M \in\# \mathcal{M}. \exists J. a \subseteq\# J \wedge cover-all-vars.model-is-dominated J M) \wedge$
 $atms-of a = atms-of-mm N \} \cup$
 $set-mset N) \models_p C\# \rangle$
 $\langle proof \rangle$

theorem *cdclcm-correctness-all-vars:*

assumes

$full: \langle full cover-all-vars.cdcl-bnb-stgy (init-state N) T \rangle$ **and**
 $dist: \langle distinct-mset-mset N \rangle$

shows

$\langle Pos L \in I \implies L \in atms-of-mm N \implies total-over-m I (set-mset N) \implies consistent-interp I \implies I$
 $\models_m N \implies$
 $\exists J \in\# covering T. Pos L \in\# J \rangle$
 $\langle proof \rangle$

end

end

theory *DPLL-W-BnB*

imports

CDCL-W-Optimal-Model
CDCL.DPLL-W

begin

lemma [*simp*]: $\langle backtrack-split M1 = (M', L \# M) \implies is-decided L \rangle$

⟨proof⟩

lemma *funpow-tl-append-skip-ge*:

⟨ $n \geq \text{length } M' \implies ((\text{tl } \overset{\sim}{\sim} n) (M' @ M)) = (\text{tl } \overset{\sim}{\sim} (n - \text{length } M')) M$ ⟩

⟨proof⟩

The following version is more suited than $\exists l \in \text{set } ?M. \text{ is-decided } l \implies \exists M' L' M''. \text{ backtrack-split } ?M = (M'', L' \# M')$ for direct use.

lemma *backtrack-split-some-is-decided-then-snd-has-hd'*:

⟨ $l \in \text{set } M \implies \text{ is-decided } l \implies \exists M' L' M''. \text{ backtrack-split } M = (M'', L' \# M')$ ⟩

⟨proof⟩

lemma *total-over-m-entailed-or-conflict*:

shows ⟨ $\text{total-over-m } M N \implies M \models_s N \vee (\exists C \in N. M \models_s \text{CNot } C)$ ⟩

⟨proof⟩

The locales on DPLL should eventually be moved to the DPLL theory, but currently it is only a discount version (in particular, we cheat and don't use $S \sim T$ in the transition system below, even if it would be cleaner to do as we do for CDCL).

locale *dpll-ops* =

fixes

trail :: ⟨ $'st \Rightarrow 'v \text{ dpll}_W\text{-ann-lits}$ ⟩ **and**

clauses :: ⟨ $'st \Rightarrow 'v \text{ clauses}$ ⟩ **and**

tl-trail :: ⟨ $'st \Rightarrow 'st$ ⟩ **and**

cons-trail :: ⟨ $'v \text{ dpll}_W\text{-ann-lit} \Rightarrow 'st \Rightarrow 'st$ ⟩ **and**

state-eq :: ⟨ $'st \Rightarrow 'st \Rightarrow \text{bool}$ ⟩ (**infix** ⟨ \sim ⟩ 50) **and**

state :: ⟨ $'st \Rightarrow 'v \text{ dpll}_W\text{-ann-lits} \times 'v \text{ clauses} \times 'b$ ⟩

begin

definition *additional-info* :: ⟨ $'st \Rightarrow 'b$ ⟩ **where**

⟨ $\text{additional-info } S = (\lambda(M, N, w). w) (\text{state } S)$ ⟩

definition *reduce-trail-to* :: ⟨ $'v \text{ dpll}_W\text{-ann-lits} \Rightarrow 'st \Rightarrow 'st$ ⟩ **where**

⟨ $\text{reduce-trail-to } M S = (\text{tl-trail } \overset{\sim}{\sim} (\text{length } (\text{trail } S) - \text{length } M)) S$ ⟩

end

locale *bnb-ops* =

fixes

trail :: ⟨ $'st \Rightarrow 'v \text{ dpll}_W\text{-ann-lits}$ ⟩ **and**

clauses :: ⟨ $'st \Rightarrow 'v \text{ clauses}$ ⟩ **and**

tl-trail :: ⟨ $'st \Rightarrow 'st$ ⟩ **and**

cons-trail :: ⟨ $'v \text{ dpll}_W\text{-ann-lit} \Rightarrow 'st \Rightarrow 'st$ ⟩ **and**

state-eq :: ⟨ $'st \Rightarrow 'st \Rightarrow \text{bool}$ ⟩ (**infix** ⟨ \sim ⟩ 50) **and**

state :: ⟨ $'st \Rightarrow 'v \text{ dpll}_W\text{-ann-lits} \times 'v \text{ clauses} \times 'a \times 'b$ ⟩ **and**

weight :: ⟨ $'st \Rightarrow 'a$ ⟩ **and**

update-weight-information :: ⟨ $'v \text{ dpll}_W\text{-ann-lits} \Rightarrow 'st \Rightarrow 'st$ ⟩ **and**

is-improving-int :: ⟨ $'v \text{ dpll}_W\text{-ann-lits} \Rightarrow 'v \text{ dpll}_W\text{-ann-lits} \Rightarrow 'v \text{ clauses} \Rightarrow 'a \Rightarrow \text{bool}$ ⟩ **and**

conflicting-clauses :: ⟨ $'v \text{ clauses} \Rightarrow 'a \Rightarrow 'v \text{ clauses}$ ⟩

begin

interpretation *dpll*: *dpll-ops* **where**

trail = *trail* **and**
clauses = *clauses* **and**
tl-trail = *tl-trail* **and**
cons-trail = *cons-trail* **and**
state-eq = *state-eq* **and**
state = *state*
 ⟨*proof*⟩

definition *conflicting-cls* :: ⟨'st ⇒ 'v literal multiset multiset⟩ **where**
 ⟨*conflicting-cls* *S* = *conflicting-clauses* (*clauses* *S*) (*weight* *S*)⟩

definition *abs-state* **where**
 ⟨*abs-state* *S* = (*trail* *S*, *clauses* *S* + *conflicting-cls* *S*)⟩

abbreviation *is-improving* **where**
 ⟨*is-improving* *M* *M'* *S* ≡ *is-improving-int* *M* *M'* (*clauses* *S*) (*weight* *S*)⟩

definition *state'* :: ⟨'st ⇒ 'v dpll_W-ann-lits × 'v clauses × 'a × 'v clauses⟩ **where**
 ⟨*state'* *S* = (*trail* *S*, *clauses* *S*, *weight* *S*, *conflicting-cls* *S*)⟩

definition *additional-info* :: ⟨'st ⇒ 'b⟩ **where**
 ⟨*additional-info* *S* = (λ(*M*, *N*, -, *w*). *w*) (*state* *S*)⟩

end

locale *dpll_W-state* =
dpll-ops *trail* *clauses*
tl-trail *cons-trail* *state-eq* *state*
for
trail :: ⟨'st ⇒ 'v dpll_W-ann-lits⟩ **and**
clauses :: ⟨'st ⇒ 'v clauses⟩ **and**
tl-trail :: ⟨'st ⇒ 'st⟩ **and**
cons-trail :: ⟨'v dpll_W-ann-lit ⇒ 'st ⇒ 'st⟩ **and**
state-eq :: ⟨'st ⇒ 'st ⇒ bool⟩ (**infix** ⟨~⟩ 50) **and**
state :: ⟨'st ⇒ 'v dpll_W-ann-lits × 'v clauses × 'b⟩ +
assumes
state-eq-ref[*simp*, *intro*]: ⟨*S* ~ *S*⟩ **and**
state-eq-sym: ⟨*S* ~ *T* ⟷ *T* ~ *S*⟩ **and**
state-eq-trans: ⟨*S* ~ *T* ⟹ *T* ~ *U'* ⟹ *S* ~ *U'*⟩ **and**
state-eq-state: ⟨*S* ~ *T* ⟹ *state* *S* = *state* *T*⟩ **and**

cons-trail:
 ∧*S'*. *state* *st* = (*M*, *S'*) ⟹
state (*cons-trail* *L* *st*) = (*L* # *M*, *S'*) **and**

tl-trail:
 ∧*S'*. *state* *st* = (*M*, *S'*) ⟹ *state* (*tl-trail* *st*) = (*tl* *M*, *S'*) **and**
state:
 ⟨*state* *S* = (*trail* *S*, *clauses* *S*, *additional-info* *S*)⟩

begin

lemma [*simp*]:
 ⟨*clauses* (*cons-trail* *uu* *S*) = *clauses* *S*⟩

$\langle \text{trail } (\text{cons-trail } uu \ S) = uu \ \# \ \text{trail } S \rangle$
 $\langle \text{trail } (\text{tl-trail } S) = \text{tl } (\text{trail } S) \rangle$
 $\langle \text{clauses } (\text{tl-trail } S) = \text{clauses } (S) \rangle$
 $\langle \text{additional-info } (\text{cons-trail } L \ S) = \text{additional-info } S \rangle$
 $\langle \text{additional-info } (\text{tl-trail } S) = \text{additional-info } S \rangle$
 $\langle \text{proof} \rangle$

lemma *state-simp[simp]*:

$\langle T \sim S \implies \text{trail } T = \text{trail } S \rangle$
 $\langle T \sim S \implies \text{clauses } T = \text{clauses } S \rangle$
 $\langle \text{proof} \rangle$

lemma *state-tl-trail*: $\langle \text{state } (\text{tl-trail } S) = (\text{tl } (\text{trail } S), \text{clauses } S, \text{additional-info } S) \rangle$

$\langle \text{proof} \rangle$

lemma *state-tl-trail-comp-pow*: $\langle \text{state } ((\text{tl-trail } \overset{\sim}{\sim} n) \ S) = ((\text{tl } \overset{\sim}{\sim} n) (\text{trail } S), \text{clauses } S, \text{additional-info } S) \rangle$

$\langle \text{proof} \rangle$

lemma *reduce-trail-to-simps[simp]*:

$\langle \text{backtrack-split } (\text{trail } S) = (M', L \ \# \ M) \implies \text{trail } (\text{reduce-trail-to } M \ S) = M \rangle$
 $\langle \text{clauses } (\text{reduce-trail-to } M \ S) = \text{clauses } S \rangle$
 $\langle \text{additional-info } (\text{reduce-trail-to } M \ S) = \text{additional-info } S \rangle$
 $\langle \text{proof} \rangle$

inductive *dpll-backtrack* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{dpll-backtrack } S \ T \rangle$

if

$\langle D \in \# \ \text{clauses } S \rangle$ **and**
 $\langle \text{trail } S \models_{\text{as}} \text{CNot } D \rangle$ **and**
 $\langle \text{backtrack-split } (\text{trail } S) = (M', L \ \# \ M) \rangle$ **and**
 $\langle T \sim \text{cons-trail } (\text{Propagated } (-\text{lit-of } L) \ ()) (\text{reduce-trail-to } M \ S) \rangle$

inductive *dpll-propagate* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{dpll-propagate } S \ T \rangle$

if

$\langle \text{add-mset } L \ D \in \# \ \text{clauses } S \rangle$ **and**
 $\langle \text{trail } S \models_{\text{as}} \text{CNot } D \rangle$ **and**
 $\langle \text{undefined-lit } (\text{trail } S) \ L \rangle$
 $\langle T \sim \text{cons-trail } (\text{Propagated } L \ ()) \ S \rangle$

inductive *dpll-decide* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{dpll-decide } S \ T \rangle$

if

$\langle \text{undefined-lit } (\text{trail } S) \ L \rangle$
 $\langle T \sim \text{cons-trail } (\text{Decided } L) \ S \rangle$
 $\langle \text{atm-of } L \in \text{atms-of-mm } (\text{clauses } S) \rangle$

inductive *dpll* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{dpll } S \ T \rangle$ **if** $\langle \text{dpll-decide } S \ T \rangle$ |
 $\langle \text{dpll } S \ T \rangle$ **if** $\langle \text{dpll-propagate } S \ T \rangle$ |
 $\langle \text{dpll } S \ T \rangle$ **if** $\langle \text{dpll-backtrack } S \ T \rangle$

lemma *dpll-is-dpll_W*:

$\langle \text{dpll } S \ T \implies \text{dpll}_W (\text{trail } S, \text{clauses } S) (\text{trail } T, \text{clauses } T) \rangle$

⟨proof⟩

end

locale *bnb* =

bnb-ops trail clauses

tl-trail cons-trail state-eq state weight update-weight-information is-improving-int conflicting-clauses

for

weight :: ⟨'st ⇒ 'a⟩ and

update-weight-information :: ⟨'v dpll_W-ann-lits ⇒ 'st ⇒ 'st⟩ and

is-improving-int :: ⟨'v dpll_W-ann-lits ⇒ 'v dpll_W-ann-lits ⇒ 'v clauses ⇒ 'a ⇒ bool⟩ and

trail :: ⟨'st ⇒ 'v dpll_W-ann-lits⟩ and

clauses :: ⟨'st ⇒ 'v clauses⟩ and

tl-trail :: ⟨'st ⇒ 'st⟩ and

cons-trail :: ⟨'v dpll_W-ann-lit ⇒ 'st ⇒ 'st⟩ and

state-eq :: ⟨'st ⇒ 'st ⇒ bool⟩ (infix ⟨~⟩ 50) and

conflicting-clauses :: ⟨'v clauses ⇒ 'a ⇒ 'v clauses⟩ and

state :: ⟨'st ⇒ 'v dpll_W-ann-lits × 'v clauses × 'a × 'b⟩ +

assumes

state-eq-ref[*simp, intro*]: ⟨ $S \sim S$ ⟩ and

state-eq-sym: ⟨ $S \sim T \longleftrightarrow T \sim S$ ⟩ and

state-eq-trans: ⟨ $S \sim T \Longrightarrow T \sim U' \Longrightarrow S \sim U'$ ⟩ and

state-eq-state: ⟨ $S \sim T \Longrightarrow \text{state } S = \text{state } T$ ⟩ and

cons-trail:

⟨ $\bigwedge S'. \text{state } st = (M, S') \Longrightarrow$
 $\text{state } (\text{cons-trail } L \text{ } st) = (L \# M, S')$ ⟩ and

tl-trail:

⟨ $\bigwedge S'. \text{state } st = (M, S') \Longrightarrow \text{state } (\text{tl-trail } st) = (\text{tl } M, S')$ ⟩ and

update-weight-information:

⟨ $\text{state } S = (M, N, w, \text{oth}) \Longrightarrow$
 $\exists w'. \text{state } (\text{update-weight-information } M' S) = (M, N, w', \text{oth})$ ⟩ and

conflicting-clss-update-weight-information-mono:

⟨ $\text{dpll}_W\text{-all-inv } (\text{abs-state } S) \Longrightarrow \text{is-improving } M M' S \Longrightarrow$
 $\text{conflicting-clss } S \subseteq \# \text{conflicting-clss } (\text{update-weight-information } M' S)$ ⟩ and

conflicting-clss-update-weight-information-in:

⟨ $\text{is-improving } M M' S \Longrightarrow \text{negate-ann-lits } M' \in \# \text{conflicting-clss } (\text{update-weight-information } M'$
 $S)$ ⟩ and

atms-of-conflicting-clss:

⟨ $\text{atms-of-mm } (\text{conflicting-clss } S) \subseteq \text{atms-of-mm } (\text{clauses } S)$ ⟩ and

state:

⟨ $\text{state } S = (\text{trail } S, \text{clauses } S, \text{weight } S, \text{additional-info } S)$ ⟩

begin

lemma [*simp*]: ⟨ $\text{DPLL-}W.\text{clauses } (\text{abs-state } S) = \text{clauses } S + \text{conflicting-clss } S$ ⟩

⟨ $\text{DPLL-}W.\text{trail } (\text{abs-state } S) = \text{trail } S$ ⟩

⟨proof⟩

lemma [*simp*]: ⟨ $\text{trail } (\text{update-weight-information } M' S) = \text{trail } S$ ⟩

⟨proof⟩

lemma [*simp*]:

$\langle \text{clauses } (\text{update-weight-information } M' S) = \text{clauses } S \rangle$
 $\langle \text{weight } (\text{cons-trail } uu S) = \text{weight } S \rangle$
 $\langle \text{clauses } (\text{cons-trail } uu S) = \text{clauses } S \rangle$
 $\langle \text{conflicting-clss } (\text{cons-trail } uu S) = \text{conflicting-clss } S \rangle$
 $\langle \text{trail } (\text{cons-trail } uu S) = uu \# \text{trail } S \rangle$
 $\langle \text{trail } (\text{tl-trail } S) = \text{tl } (\text{trail } S) \rangle$
 $\langle \text{clauses } (\text{tl-trail } S) = \text{clauses } (S) \rangle$
 $\langle \text{weight } (\text{tl-trail } S) = \text{weight } (S) \rangle$
 $\langle \text{conflicting-clss } (\text{tl-trail } S) = \text{conflicting-clss } (S) \rangle$
 $\langle \text{additional-info } (\text{cons-trail } L S) = \text{additional-info } S \rangle$
 $\langle \text{additional-info } (\text{tl-trail } S) = \text{additional-info } S \rangle$
 $\langle \text{additional-info } (\text{update-weight-information } M' S) = \text{additional-info } S \rangle$
 $\langle \text{proof} \rangle$

lemma *state-simp*[*simp*]:

$\langle T \sim S \implies \text{trail } T = \text{trail } S \rangle$
 $\langle T \sim S \implies \text{clauses } T = \text{clauses } S \rangle$
 $\langle T \sim S \implies \text{weight } T = \text{weight } S \rangle$
 $\langle T \sim S \implies \text{conflicting-clss } T = \text{conflicting-clss } S \rangle$
 $\langle \text{proof} \rangle$

interpretation *dpll*: *dpll-ops trail clauses tl-trail cons-trail state-eq state*

$\langle \text{proof} \rangle$

interpretation *dpll*: *dpll_W-state trail clauses tl-trail cons-trail state-eq state*

$\langle \text{proof} \rangle$

lemma [*simp*]:

$\langle \text{conflicting-clss } (\text{dpll.reduce-trail-to } M S) = \text{conflicting-clss } S \rangle$
 $\langle \text{weight } (\text{dpll.reduce-trail-to } M S) = \text{weight } S \rangle$
 $\langle \text{proof} \rangle$

inductive *backtrack-opt* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

backtrack-opt: $\text{backtrack-split } (\text{trail } S) = (M', L \# M) \implies \text{is-decided } L \implies D \in \# \text{conflicting-clss } S$
 $\implies \text{trail } S \models_{\text{as}} \text{CNot } D$
 $\implies T \sim \text{cons-trail } (\text{Propagated } (-\text{lit-of } L) ()) (\text{dpll.reduce-trail-to } M S)$
 $\implies \text{backtrack-opt } S T$

In the definition below the *state'* $T = (\text{Propagated } L ()) \# \text{trail } S$, *clauses* S , *weight* S , *conflicting-clss* S) are not necessary, but avoids to change the DPLL formalisation with proper locales, as we did for CDCL.

The DPLL calculus looks slightly more general than the CDCL calculus because we can take any conflicting clause from *conflicting-clss* S . However, this does not make a difference for the trail, as we backtrack to the last decision independantly of the conflict.

inductive *dpll_W-core* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S T$ **where**

propagate: $\langle \text{dpll.dpll-propagate } S T \implies \text{dpll}_W\text{-core } S T \rangle$ |
decided: $\langle \text{dpll.dpll-decide } S T \implies \text{dpll}_W\text{-core } S T \rangle$ |
backtrack: $\langle \text{dpll.dpll-backtrack } S T \implies \text{dpll}_W\text{-core } S T \rangle$ |
backtrack-opt: $\langle \text{backtrack-opt } S T \implies \text{dpll}_W\text{-core } S T \rangle$

inductive-cases *dpll_W-coreE*: $\langle \text{dpll}_W\text{-core } S T \rangle$

inductive *dpll_W-bound* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

update-info:

$\langle \text{is-improving } M M' S \implies T \sim (\text{update-weight-information } M' S) \rangle$

$\implies \text{dpll}_W\text{-bound } S \ T \rangle$

inductive $\text{dpll}_W\text{-bnb} :: \langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

dpll :

$\langle \text{dpll}_W\text{-bnb } S \ T \rangle$

if $\langle \text{dpll}_W\text{-core } S \ T \rangle$ |

bnb :

$\langle \text{dpll}_W\text{-bnb } S \ T \rangle$

if $\langle \text{dpll}_W\text{-bound } S \ T \rangle$

inductive-cases $\text{dpll}_W\text{-bnbE}$: $\langle \text{dpll}_W\text{-bnb } S \ T \rangle$

lemma $\text{dpll}_W\text{-core-is-dpll}_W$:

$\langle \text{dpll}_W\text{-core } S \ T \implies \text{dpll}_W \ (\text{abs-state } S) \ (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

lemma $\text{dpll}_W\text{-core-abs-state-all-inv}$:

$\langle \text{dpll}_W\text{-core } S \ T \implies \text{dpll}_W\text{-all-inv } (\text{abs-state } S) \implies \text{dpll}_W\text{-all-inv } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

lemma $\text{dpll}_W\text{-core-same-weight}$:

$\langle \text{dpll}_W\text{-core } S \ T \implies \text{weight } S = \text{weight } T \rangle$

$\langle \text{proof} \rangle$

lemma $\text{dpll}_W\text{-bound-trail}$:

$\langle \text{dpll}_W\text{-bound } S \ T \implies \text{trail } S = \text{trail } T \rangle$ **and**

$\text{dpll}_W\text{-bound-clauses}$:

$\langle \text{dpll}_W\text{-bound } S \ T \implies \text{clauses } S = \text{clauses } T \rangle$ **and**

$\text{dpll}_W\text{-bound-conflicting-clss}$:

$\langle \text{dpll}_W\text{-bound } S \ T \implies \text{dpll}_W\text{-all-inv } (\text{abs-state } S) \implies \text{conflicting-clss } S \subseteq\# \text{conflicting-clss } T \rangle$

$\langle \text{proof} \rangle$

lemma $\text{dpll}_W\text{-bound-abs-state-all-inv}$:

$\langle \text{dpll}_W\text{-bound } S \ T \implies \text{dpll}_W\text{-all-inv } (\text{abs-state } S) \implies \text{dpll}_W\text{-all-inv } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

lemma $\text{dpll}_W\text{-bnb-abs-state-all-inv}$:

$\langle \text{dpll}_W\text{-bnb } S \ T \implies \text{dpll}_W\text{-all-inv } (\text{abs-state } S) \implies \text{dpll}_W\text{-all-inv } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

lemma $\text{rtranclp-dpll}_W\text{-bnb-abs-state-all-inv}$:

$\langle \text{dpll}_W\text{-bnb}^{**} \ S \ T \implies \text{dpll}_W\text{-all-inv } (\text{abs-state } S) \implies \text{dpll}_W\text{-all-inv } (\text{abs-state } T) \rangle$

$\langle \text{proof} \rangle$

lemma $\text{dpll}_W\text{-core-clauses}$:

$\langle \text{dpll}_W\text{-core } S \ T \implies \text{clauses } S = \text{clauses } T \rangle$

$\langle \text{proof} \rangle$

lemma $\text{dpll}_W\text{-bnb-clauses}$:

$\langle \text{dpll}_W\text{-bnb } S \ T \implies \text{clauses } S = \text{clauses } T \rangle$

$\langle \text{proof} \rangle$

lemma $\text{rtranclp-dpll}_W\text{-bnb-clauses}$:

$\langle \text{dpll}_W\text{-bnb}^{**} \ S \ T \implies \text{clauses } S = \text{clauses } T \rangle$

$\langle \text{proof} \rangle$

lemma *atms-of-clauses-conflicting-cls[simp]*:

$\langle \text{atms-of-mm } (\text{clauses } S) \cup \text{atms-of-mm } (\text{conflicting-cls } S) = \text{atms-of-mm } (\text{clauses } S) \rangle$
 $\langle \text{proof} \rangle$

lemma *wf-dpll_W-bnb-bnb*:

assumes *improve*: $\langle \bigwedge S T. \text{dpll}_W\text{-bound } S T \implies \text{clauses } S = N \implies (\nu (\text{weight } T), \nu (\text{weight } S)) \in R \rangle$ **and**

wf-R: $\langle \text{wf } R \rangle$

shows $\langle \text{wf } \{ (T, S). \text{dpll}_W\text{-all-inv } (\text{abs-state } S) \wedge \text{dpll}_W\text{-bnb } S T \wedge \text{clauses } S = N \} \rangle$

(**is** $\langle \text{wf } ?A \rangle$)

$\langle \text{proof} \rangle$

lemma [*simp*]:

$\langle \text{weight } ((\text{tl-trail } \overset{\sim}{\sim} n) S) = \text{weight } S \rangle$

$\langle \text{trail } ((\text{tl-trail } \overset{\sim}{\sim} n) S) = (\text{tl } \overset{\sim}{\sim} n) (\text{trail } S) \rangle$

$\langle \text{clauses } ((\text{tl-trail } \overset{\sim}{\sim} n) S) = \text{clauses } S \rangle$

$\langle \text{conflicting-cls } ((\text{tl-trail } \overset{\sim}{\sim} n) S) = \text{conflicting-cls } S \rangle$

$\langle \text{proof} \rangle$

lemma *dpll_W-core-Ex-propagate*:

$\langle \text{add-mset } L C \in \# \text{clauses } S \implies \text{trail } S \models_{\text{as}} \text{CNot } C \implies \text{undefined-lit } (\text{trail } S) L \implies$

$\text{Ex } (\text{dpll}_W\text{-core } S) \rangle$ **and**

dpll_W-core-Ex-decide:

$\text{undefined-lit } (\text{trail } S) L \implies \text{atm-of } L \in \text{atms-of-mm } (\text{clauses } S) \implies$

$\text{Ex } (\text{dpll}_W\text{-core } S)$ **and**

dpll_W-core-Ex-backtrack: $\text{backtrack-split } (\text{trail } S) = (M', L' \# M) \implies \text{is-decided } L' \implies D \in \# \text{clauses } S \implies$

$\text{trail } S \models_{\text{as}} \text{CNot } D \implies \text{Ex } (\text{dpll}_W\text{-core } S)$ **and**

dpll_W-core-Ex-backtrack-opt: $\text{backtrack-split } (\text{trail } S) = (M', L' \# M) \implies \text{is-decided } L' \implies D \in \# \text{conflicting-cls } S$

$\implies \text{trail } S \models_{\text{as}} \text{CNot } D \implies$

$\text{Ex } (\text{dpll}_W\text{-core } S)$

$\langle \text{proof} \rangle$

Unlike the CDCL case, we do not need assumptions on *improve*. The reason behind it is that we do not need any strategy on propagation and decisions.

lemma *no-step-dpll-bnb-dpll_W*:

assumes

ns: $\langle \text{no-step } \text{dpll}_W\text{-bnb } S \rangle$ **and**

struct-invs: $\langle \text{dpll}_W\text{-all-inv } (\text{abs-state } S) \rangle$

shows $\langle \text{no-step } \text{dpll}_W (\text{abs-state } S) \rangle$

$\langle \text{proof} \rangle$

context

assumes *can-always-improve*:

$\langle \bigwedge S. \text{trail } S \models_{\text{asm}} \text{clauses } S \implies (\forall C \in \# \text{conflicting-cls } S. \neg \text{trail } S \models_{\text{as}} \text{CNot } C) \implies$

$\text{dpll}_W\text{-all-inv } (\text{abs-state } S) \implies$

$\text{total-over-m } (\text{lits-of-l } (\text{trail } S)) (\text{set-mset } (\text{clauses } S)) \implies \text{Ex } (\text{dpll}_W\text{-bound } S) \rangle$

begin

lemma *no-step-dpll_W-bnb-conflict*:

assumes
ns: $\langle \text{no-step } dpll_W\text{-bnb } S \rangle$ **and**
invs: $\langle dpll_W\text{-all-inv } (\text{abs-state } S) \rangle$
shows $\exists C \in \# \text{ clauses } S + \text{ conflicting-clss } S. \text{ trail } S \models_{\text{as}} C \text{Not } C$ (**is ?A**) **and**
 $\langle \text{count-decided } (\text{trail } S) = 0 \rangle$ **and**
 $\langle \text{unsatisfiable } (\text{set-mset } (\text{clauses } S + \text{ conflicting-clss } S)) \rangle$
 $\langle \text{proof} \rangle$

end

inductive *dpll_W-core-stgy* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** *S T* **where**
propagate: $\langle dpll.dpll\text{-propagate } S T \Longrightarrow dpll_W\text{-core-stgy } S T \rangle$ |
decided: $\langle dpll.dpll\text{-decide } S T \Longrightarrow \text{no-step } dpll.dpll\text{-propagate } S \Longrightarrow dpll_W\text{-core-stgy } S T \rangle$ |
backtrack: $\langle dpll.dpll\text{-backtrack } S T \Longrightarrow dpll_W\text{-core-stgy } S T \rangle$ |
backtrack-opt: $\langle \text{backtrack-opt } S T \Longrightarrow dpll_W\text{-core-stgy } S T \rangle$

lemma *dpll_W-core-stgy-dpll_W-core*: $\langle dpll_W\text{-core-stgy } S T \Longrightarrow dpll_W\text{-core } S T \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-dpll_W-core-stgy-dpll_W-core*: $\langle dpll_W\text{-core-stgy}^{**} S T \Longrightarrow dpll_W\text{-core}^{**} S T \rangle$
 $\langle \text{proof} \rangle$

lemma *no-step-stgy-iff*: $\langle \text{no-step } dpll_W\text{-core-stgy } S \longleftrightarrow \text{no-step } dpll_W\text{-core } S \rangle$
 $\langle \text{proof} \rangle$

lemma *full-dpll_W-core-stgy-dpll_W-core*: $\langle \text{full } dpll_W\text{-core-stgy } S T \Longrightarrow \text{full } dpll_W\text{-core } S T \rangle$
 $\langle \text{proof} \rangle$

lemma *dpll_W-core-stgy-clauses*:
 $\langle dpll_W\text{-core-stgy } S T \Longrightarrow \text{clauses } T = \text{clauses } S \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-dpll_W-core-stgy-clauses*:
 $\langle dpll_W\text{-core-stgy}^{**} S T \Longrightarrow \text{clauses } T = \text{clauses } S \rangle$
 $\langle \text{proof} \rangle$

end

end

theory *DPLL-W-Optimal-Model*

imports

DPLL-W-BnB

begin

locale *dpll_W-state-optimal-weight* =
dpll_W-state *trail* *clauses*
tl-trail *cons-trail* *state-eq* *state* +
ocdcl-weight *ρ*
for
trail :: $\langle 'st \Rightarrow 'v \text{ } dpll_W\text{-ann-lits} \rangle$ **and**
clauses :: $\langle 'st \Rightarrow 'v \text{ clauses} \rangle$ **and**
tl-trail :: $\langle 'st \Rightarrow 'st \rangle$ **and**
cons-trail :: $\langle 'v \text{ } dpll_W\text{-ann-lit} \Rightarrow 'st \Rightarrow 'st \rangle$ **and**
state-eq :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ (**infix** $\langle \sim \rangle$ 50) **and**

```

state :: ⟨'st ⇒ 'v dpllW-ann-lits × 'v clauses × 'v clause option × 'b⟩ and
g :: ⟨'v clause ⇒ 'a :: {linorder}⟩ +
fixes
  update-additional-info :: ⟨'v clause option × 'b ⇒ 'st ⇒ 'st⟩
assumes
  update-additional-info:
    ⟨state S = (M, N, K) ⇒ state (update-additional-info K' S) = (M, N, K')⟩
begin

```

```

definition update-weight-information :: ⟨('v literal, 'v literal, unit) annotated-lits ⇒ 'st ⇒ 'st⟩ where
  ⟨update-weight-information M S =
    update-additional-info (Some (lit-of '# mset M), snd (additional-info S)) S⟩

```

```

lemma [simp]:
  ⟨trail (update-weight-information M' S) = trail S⟩
  ⟨clauses (update-weight-information M' S) = clauses S⟩
  ⟨clauses (update-additional-info c S) = clauses S⟩
  ⟨additional-info (update-additional-info (w, oth) S) = (w, oth)⟩
  ⟨proof⟩

```

```

lemma state-update-weight-information: ⟨state S = (M, N, w, oth) ⇒
  ∃ w'. state (update-weight-information M' S) = (M, N, w', oth)⟩
  ⟨proof⟩

```

```

definition weight where
  ⟨weight S = fst (additional-info S)⟩

```

```

lemma [simp]: ⟨(weight (update-weight-information M' S)) = Some (lit-of '# mset M')⟩
  ⟨proof⟩

```

We test here a slightly different decision. In the CDCL version, we renamed *additional-info* from the BNB version to avoid collisions. Here instead of renaming, we add the prefix *bnb.* to every name.

```

sublocale bnb: bnb-ops where
  trail = trail and
  clauses = clauses and
  tl-trail = tl-trail and
  cons-trail = cons-trail and
  state-eq = state-eq and
  state = state and
  weight = weight and
  conflicting-clauses = conflicting-clauses and
  is-improving-int = is-improving-int and
  update-weight-information = update-weight-information
  ⟨proof⟩

```

```

lemma atms-of-mm-conflicting-clss-incl-init-clauses:
  ⟨atms-of-mm (bnb.conflicting-clss S) ⊆ atms-of-mm (clauses S)⟩
  ⟨proof⟩

```

```

lemma is-improving-conflicting-clss-update-weight-information: ⟨bnb.is-improving M M' S ⇒
  bnb.conflicting-clss S ⊆# bnb.conflicting-clss (update-weight-information M' S)⟩
  ⟨proof⟩

```

lemma *conflicting-clss-update-weight-information-in2*:
assumes $\langle \text{bnb.is-improving } M \ M' \ S \rangle$
shows $\langle \text{negate-ann-lits } M' \in\# \ \text{bnb.conflicting-clss } (\text{update-weight-information } M' \ S) \rangle$
 $\langle \text{proof} \rangle$

lemma *state-additional-info'*:
 $\langle \text{state } S = (\text{trail } S, \text{clauses } S, \text{weight } S, \text{bnb.additional-info } S) \rangle$
 $\langle \text{proof} \rangle$

sublocale *bnb*: *bnb* **where**
trail = *trail* **and**
clauses = *clauses* **and**
tl-trail = *tl-trail* **and**
cons-trail = *cons-trail* **and**
state-eq = *state-eq* **and**
state = *state* **and**
weight = *weight* **and**
conflicting-clauses = *conflicting-clauses* **and**
is-improving-int = *is-improving-int* **and**
update-weight-information = *update-weight-information*
 $\langle \text{proof} \rangle$

lemma *improve-model-still-model*:
assumes
 $\langle \text{bnb.dpll}_W\text{-bound } S \ T \rangle$ **and**
all-struct: $\langle \text{dpll}_W\text{-all-inv } (\text{bnb.abs-state } S) \rangle$ **and**
ent: $\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } S \rangle$ $\langle \text{set-mset } I \models_{\text{sm}} \text{bnb.conflicting-clss } S \rangle$ **and**
dist: $\langle \text{distinct-mset } I \rangle$ **and**
cons: $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**
tot: $\langle \text{atms-of } I = \text{atms-of-mm } (\text{clauses } S) \rangle$ **and**
le: $\langle \text{Found } (\varrho \ I) < \varrho' (\text{weight } T) \rangle$
shows
 $\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } T \wedge \text{set-mset } I \models_{\text{sm}} \text{bnb.conflicting-clss } T \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl-bnb-still-model*:
assumes
 $\langle \text{bnb.dpll}_W\text{-bnb } S \ T \rangle$ **and**
all-struct: $\langle \text{dpll}_W\text{-all-inv } (\text{bnb.abs-state } S) \rangle$ **and**
ent: $\langle \text{set-mset } I \models_{\text{sm}} \text{clauses } S \rangle$ $\langle \text{set-mset } I \models_{\text{sm}} \text{bnb.conflicting-clss } S \rangle$ **and**
dist: $\langle \text{distinct-mset } I \rangle$ **and**
cons: $\langle \text{consistent-interp } (\text{set-mset } I) \rangle$ **and**
tot: $\langle \text{atms-of } I = \text{atms-of-mm } (\text{clauses } S) \rangle$
shows
 $\langle (\text{set-mset } I \models_{\text{sm}} \text{clauses } T \wedge \text{set-mset } I \models_{\text{sm}} \text{bnb.conflicting-clss } T) \vee \text{Found } (\varrho \ I) \geq \varrho' (\text{weight } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *cdcl-bnb-larger-still-larger*:
assumes
 $\langle \text{bnb.dpll}_W\text{-bnb } S \ T \rangle$
shows $\langle \varrho' (\text{weight } S) \geq \varrho' (\text{weight } T) \rangle$
 $\langle \text{proof} \rangle$

lemma *rtranclp-cdcl-bnb-still-model*:

assumes

st: $\langle \text{bnb.dpll}_W\text{-bnb}^{**} S T \rangle$ **and**

all-struct: $\langle \text{dpll}_W\text{-all-inv (bnb.abs-state } S) \rangle$ **and**

ent: $\langle (\text{set-mset } I \models_{sm} \text{clauses } S \wedge \text{set-mset } I \models_{sm} \text{bnb.conflicting-clss } S) \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } S) \rangle$ **and**

dist: $\langle \text{distinct-mset } I \rangle$ **and**

cons: $\langle \text{consistent-interp (set-mset } I) \rangle$ **and**

tot: $\langle \text{atms-of } I = \text{atms-of-mm (clauses } S) \rangle$

shows

$\langle (\text{set-mset } I \models_{sm} \text{clauses } T \wedge \text{set-mset } I \models_{sm} \text{bnb.conflicting-clss } T) \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$

$\langle \text{proof} \rangle$

lemma *simple-clss-entailed-by-too-heavy-in-conflicting*:

$\langle C \in \# \text{ mset-set (simple-clss (atms-of-mm (clauses } S)) \rangle \implies$

$\text{too-heavy-clauses (clauses } S) (\text{weight } S) \models_{pm}$

$(C) \implies C \in \# \text{ bnb.conflicting-clss } S \rangle$

$\langle \text{proof} \rangle$

lemma *can-always-improve*:

assumes

ent: $\langle \text{trail } S \models_{asm} \text{clauses } S \rangle$ **and**

total: $\langle \text{total-over-m (lits-of-l (trail } S)) (\text{set-mset (clauses } S)) \rangle$ **and**

n-s: $\langle (\forall C \in \# \text{ bnb.conflicting-clss } S. \neg \text{trail } S \models_{as} \text{CNot } C) \rangle$ **and**

all-struct: $\langle \text{dpll}_W\text{-all-inv (bnb.abs-state } S) \rangle$

shows $\langle \text{Ex (bnb.dpll}_W\text{-bound } S) \rangle$

$\langle \text{proof} \rangle$

lemma *no-step-dpll_W-bnb-conflict*:

assumes

ns: $\langle \text{no-step bnb.dpll}_W\text{-bnb } S \rangle$ **and**

invs: $\langle \text{dpll}_W\text{-all-inv (bnb.abs-state } S) \rangle$

shows $\langle \exists C \in \# \text{ clauses } S + \text{bnb.conflicting-clss } S. \text{trail } S \models_{as} \text{CNot } C \rangle$ **(is ?A) and**

$\langle \text{count-decided (trail } S) = 0 \rangle$ **and**

$\langle \text{unsatisfiable (set-mset (clauses } S + \text{bnb.conflicting-clss } S)) \rangle$

$\langle \text{proof} \rangle$

lemma *full-cdcl-bnb-stgy-larger-or-equal-weight*:

assumes

st: $\langle \text{full bnb.dpll}_W\text{-bnb } S T \rangle$ **and**

all-struct: $\langle \text{dpll}_W\text{-all-inv (bnb.abs-state } S) \rangle$ **and**

ent: $\langle (\text{set-mset } I \models_{sm} \text{clauses } S \wedge \text{set-mset } I \models_{sm} \text{bnb.conflicting-clss } S) \vee \text{Found } (\varrho I) \geq \varrho' (\text{weight } S) \rangle$ **and**

dist: $\langle \text{distinct-mset } I \rangle$ **and**

cons: $\langle \text{consistent-interp (set-mset } I) \rangle$ **and**

tot: $\langle \text{atms-of } I = \text{atms-of-mm (clauses } S) \rangle$

shows

$\langle \text{Found } (\varrho I) \geq \varrho' (\text{weight } T) \rangle$ **and**

$\langle \text{unsatisfiable (set-mset (clauses } T + \text{bnb.conflicting-clss } T)) \rangle$

$\langle \text{proof} \rangle$

end

```

end
theory DPLL-W-Partial-Encoding
imports
  DPLL-W-Optimal-Model
  CDCL-W-Partial-Encoding
begin

```

```

context optimal-encoding-ops
begin

```

We use the following list to generate an upper bound of the derived trails by ODPLL: using lists makes it possible to use recursion. Using *inductive-set* does not work, because it is not possible to recurse on the arguments of a predicate.

The idea is similar to an earlier definition of *simple-clss*, although in that case, we went for recursion over the set of literals directly, via a choice in the recursive call.

```

definition list-new-vars :: ⟨'v list⟩ where
⟨list-new-vars = (SOME v. set v = ΔΣ ∧ distinct v)⟩

```

```

lemma
  set-list-new-vars: ⟨set list-new-vars = ΔΣ⟩ and
  distinct-list-new-vars: ⟨distinct list-new-vars⟩ and
  length-list-new-vars: ⟨length list-new-vars = card ΔΣ⟩
  ⟨proof⟩

```

```

fun all-sound-trails where
  ⟨all-sound-trails [] = simple-clss (Σ - ΔΣ)⟩ |
  ⟨all-sound-trails (L # M) =
    all-sound-trails M ∪ add-mset (Pos (replacement-pos L)) ‘ all-sound-trails M
    ∪ add-mset (Pos (replacement-neg L)) ‘ all-sound-trails M⟩

```

```

lemma all-sound-trails-atms:
  ⟨set xs ⊆ ΔΣ ⟹
    C ∈ all-sound-trails xs ⟹
    atms-of C ⊆ Σ - ΔΣ ∪ replacement-pos ‘ set xs ∪ replacement-neg ‘ set xs⟩
  ⟨proof⟩

```

```

lemma all-sound-trails-distinct-mset:
  ⟨set xs ⊆ ΔΣ ⟹ distinct xs ⟹
    C ∈ all-sound-trails xs ⟹
    distinct-mset C⟩
  ⟨proof⟩

```

```

lemma all-sound-trails-tautology:
  ⟨set xs ⊆ ΔΣ ⟹ distinct xs ⟹
    C ∈ all-sound-trails xs ⟹
    ¬tautology C⟩
  ⟨proof⟩

```

```

lemma all-sound-trails-simple-clss:
  ⟨set xs ⊆ ΔΣ ⟹ distinct xs ⟹
    all-sound-trails xs ⊆ simple-clss (Σ - ΔΣ ∪ replacement-pos ‘ set xs ∪ replacement-neg ‘ set xs)⟩
  ⟨proof⟩

```

```

lemma in-all-sound-trails-inD:

```

$\langle \text{set } xs \subseteq \Delta\Sigma \implies \text{distinct } xs \implies a \in \Delta\Sigma \implies$
 $\text{add-mset } (\text{Pos } (a^{\rightarrow 0})) \text{ } xa \in \text{all-sound-trails } xs \implies a \in \text{set } xs \rangle$
 $\langle \text{proof} \rangle$

lemma *in-all-sound-trails-inD'*:

$\langle \text{set } xs \subseteq \Delta\Sigma \implies \text{distinct } xs \implies a \in \Delta\Sigma \implies$
 $\text{add-mset } (\text{Pos } (a^{\rightarrow 1})) \text{ } xa \in \text{all-sound-trails } xs \implies a \in \text{set } xs \rangle$
 $\langle \text{proof} \rangle$

context

assumes [*simp*]: $\langle \text{finite } \Sigma \rangle$

begin

lemma *all-sound-trails-finite*[*simp*]:

$\langle \text{finite } (\text{all-sound-trails } xs) \rangle$
 $\langle \text{proof} \rangle$

lemma *card-all-sound-trails*:

assumes $\langle \text{set } xs \subseteq \Delta\Sigma \rangle$ **and** $\langle \text{distinct } xs \rangle$
shows $\langle \text{card } (\text{all-sound-trails } xs) = \text{card } (\text{simple-clss } (\Sigma - \Delta\Sigma)) * 3^{\wedge} (\text{length } xs) \rangle$
 $\langle \text{proof} \rangle$

end

lemma *simple-clss-all-sound-trails*: $\langle \text{simple-clss } (\Sigma - \Delta\Sigma) \subseteq \text{all-sound-trails } ys \rangle$

$\langle \text{proof} \rangle$

lemma *all-sound-trails-decomp-in*:

assumes

$\langle C \subseteq \Delta\Sigma \rangle$ $\langle C' \subseteq \Delta\Sigma \rangle$ $\langle C \cap C' = \{\} \rangle$ $\langle C \cup C' \subseteq \text{set } xs \rangle$
 $\langle D \in \text{simple-clss } (\Sigma - \Delta\Sigma) \rangle$

shows

$\langle (\text{Pos } o \text{ replacement-pos}) \# \text{ mset-set } C + (\text{Pos } o \text{ replacement-neg}) \# \text{ mset-set } C' + D \in \text{all-sound-trails } xs \rangle$

$\langle \text{proof} \rangle$

lemma (**in** $-$)*image-union-subset-decomp*:

$\langle f '(C) \subseteq A \cup B \longleftrightarrow (\exists A' B'. f ' A' \subseteq A \wedge f ' B' \subseteq B \wedge C = A' \cup B' \wedge A' \cap B' = \{\}) \rangle$
 $\langle \text{proof} \rangle$

lemma *in-all-sound-trails*:

assumes

$\langle \bigwedge L. L \in \Delta\Sigma \implies \text{Neg } (\text{replacement-pos } L) \notin \# C \rangle$
 $\langle \bigwedge L. L \in \Delta\Sigma \implies \text{Neg } (\text{replacement-neg } L) \notin \# C \rangle$
 $\langle \bigwedge L. L \in \Delta\Sigma \implies \text{Pos } (\text{replacement-pos } L) \in \# C \implies \text{Pos } (\text{replacement-neg } L) \notin \# C \rangle$
 $\langle C \in \text{simple-clss } (\Sigma - \Delta\Sigma \cup \text{replacement-pos ' set } xs \cup \text{replacement-neg ' set } xs) \rangle$ **and**
 $xs: \langle \text{set } xs \subseteq \Delta\Sigma \rangle$

shows

$\langle C \in \text{all-sound-trails } xs \rangle$

$\langle \text{proof} \rangle$

end

locale *dpll-optimal-encoding-opt* =

dpll_W-state-optimal-weight trail clauses

```

    tl-trail cons-trail state-eq state  $\varrho$  update-additional-info +
    optimal-encoding-opt-ops  $\Sigma \Delta\Sigma$  new-vars
for
    trail :: ⟨'st ⇒ 'v dpllW-ann-lits⟩ and
    clauses :: ⟨'st ⇒ 'v clauses⟩ and
    tl-trail :: ⟨'st ⇒ 'st⟩ and
    cons-trail :: ⟨'v dpllW-ann-lit ⇒ 'st ⇒ 'st⟩ and
    state-eq :: ⟨'st ⇒ 'st ⇒ bool⟩ (infix ⟨~⟩ 50) and
    state :: ⟨'st ⇒ 'v dpllW-ann-lits × 'v clauses × 'v clause option × 'b⟩ and
    update-additional-info :: ⟨'v clause option × 'b ⇒ 'st ⇒ 'st⟩ and
     $\Sigma \Delta\Sigma$  :: ⟨'v set⟩ and
     $\varrho$  :: ⟨'v clause ⇒ 'a :: {linorder}⟩ and
    new-vars :: ⟨'v ⇒ 'v × 'v⟩
begin
end

```

```

locale dpll-optimal-encoding =
    dpll-optimal-encoding-opt trail clauses
    tl-trail cons-trail state-eq state
    update-additional-info  $\Sigma \Delta\Sigma$   $\varrho$  new-vars +
    optimal-encoding-ops
     $\Sigma \Delta\Sigma$ 
    new-vars  $\varrho$ 
for
    trail :: ⟨'st ⇒ 'v dpllW-ann-lits⟩ and
    clauses :: ⟨'st ⇒ 'v clauses⟩ and
    tl-trail :: ⟨'st ⇒ 'st⟩ and
    cons-trail :: ⟨'v dpllW-ann-lit ⇒ 'st ⇒ 'st⟩ and
    state-eq :: ⟨'st ⇒ 'st ⇒ bool⟩ (infix ⟨~⟩ 50) and
    state :: ⟨'st ⇒ 'v dpllW-ann-lits × 'v clauses × 'v clause option × 'b⟩ and
    update-additional-info :: ⟨'v clause option × 'b ⇒ 'st ⇒ 'st⟩ and
     $\Sigma \Delta\Sigma$  :: ⟨'v set⟩ and
     $\varrho$  :: ⟨'v clause ⇒ 'a :: {linorder}⟩ and
    new-vars :: ⟨'v ⇒ 'v × 'v⟩
begin

```

```

inductive odecide :: ⟨'st ⇒ 'st ⇒ bool⟩ where
    odecide-noweight: ⟨odecide S T⟩
if
    ⟨undefined-lit (trail S) L⟩ and
    ⟨atm-of L ∈ atms-of-mm (clauses S)⟩ and
    ⟨T ~ cons-trail (Decided L) S⟩ and
    ⟨atm-of L ∈  $\Sigma - \Delta\Sigma$ ⟩ |
    odecide-replacement-pos: ⟨odecide S T⟩
if
    ⟨undefined-lit (trail S) (Pos (replacement-pos L))⟩ and
    ⟨T ~ cons-trail (Decided (Pos (replacement-pos L))) S⟩ and
    ⟨L ∈  $\Delta\Sigma$ ⟩ |
    odecide-replacement-neg: ⟨odecide S T⟩
if
    ⟨undefined-lit (trail S) (Pos (replacement-neg L))⟩ and
    ⟨T ~ cons-trail (Decided (Pos (replacement-neg L))) S⟩ and
    ⟨L ∈  $\Delta\Sigma$ ⟩

```

inductive-cases *odecideE*: $\langle \text{odecide } S \ T \rangle$

inductive *dpll-conflict* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **where**

$\langle \text{dpll-conflict } S \ S \rangle$

if $\langle C \in \# \text{ clauses } S \rangle$ **and**

$\langle \text{trail } S \models_{\text{as}} C \text{Not } C \rangle$

inductive *odpll_W-core-stgy* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S \ T$ **where**

propagate: $\langle \text{dpll-propagate } S \ T \Longrightarrow \text{odpll}_W\text{-core-stgy } S \ T \rangle \mid$

decided: $\langle \text{odecide } S \ T \Longrightarrow \text{no-step dpll-propagate } S \Longrightarrow \text{odpll}_W\text{-core-stgy } S \ T \rangle \mid$

backtrack: $\langle \text{dpll-backtrack } S \ T \Longrightarrow \text{odpll}_W\text{-core-stgy } S \ T \rangle \mid$

backtrack-opt: $\langle \text{bnb.backtrack-opt } S \ T \Longrightarrow \text{odpll}_W\text{-core-stgy } S \ T \rangle$

lemma *odpll_W-core-stgy-clauses*:

$\langle \text{odpll}_W\text{-core-stgy } S \ T \Longrightarrow \text{clauses } T = \text{clauses } S \rangle$

$\langle \text{proof} \rangle$

lemma *rtranclp-odpll_W-core-stgy-clauses*:

$\langle \text{odpll}_W\text{-core-stgy}^{**} S \ T \Longrightarrow \text{clauses } T = \text{clauses } S \rangle$

$\langle \text{proof} \rangle$

inductive *odpll_W-bnb-stgy* :: $\langle 'st \Rightarrow 'st \Rightarrow \text{bool} \rangle$ **for** $S \ T :: 'st$ **where**

dpll:

$\langle \text{odpll}_W\text{-bnb-stgy } S \ T \rangle$

if $\langle \text{odpll}_W\text{-core-stgy } S \ T \rangle \mid$

bnb:

$\langle \text{odpll}_W\text{-bnb-stgy } S \ T \rangle$

if $\langle \text{bnb.dpll}_W\text{-bound } S \ T \rangle$

lemma *odpll_W-bnb-stgy-clauses*:

$\langle \text{odpll}_W\text{-bnb-stgy } S \ T \Longrightarrow \text{clauses } T = \text{clauses } S \rangle$

$\langle \text{proof} \rangle$

lemma *rtranclp-odpll_W-bnb-stgy-clauses*:

$\langle \text{odpll}_W\text{-bnb-stgy}^{**} S \ T \Longrightarrow \text{clauses } T = \text{clauses } S \rangle$

$\langle \text{proof} \rangle$

lemma *odecide-dpll-decide-iff*:

assumes $\langle \text{clauses } S = \text{penc } N \rangle \langle \text{atms-of-mm } N = \Sigma \rangle$

shows $\langle \text{odecide } S \ T \Longrightarrow \text{dpll-decide } S \ T \rangle$

$\langle \text{dpll-decide } S \ T \Longrightarrow \text{Ex}(\text{odecide } S) \rangle$

$\langle \text{proof} \rangle$

lemma

assumes $\langle \text{clauses } S = \text{penc } N \rangle \langle \text{atms-of-mm } N = \Sigma \rangle$

shows

$\text{odpll}_W\text{-core-stgy-dpll}_W\text{-core-stgy: } \langle \text{odpll}_W\text{-core-stgy } S \ T \Longrightarrow \text{bnb.dpll}_W\text{-core-stgy } S \ T \rangle$

$\langle \text{proof} \rangle$

lemma

assumes $\langle \text{clauses } S = \text{penc } N \rangle \langle \text{atms-of-mm } N = \Sigma \rangle$

shows

$\text{odpll}_W\text{-bnb-stgy-dpll}_W\text{-bnb-stgy: } \langle \text{odpll}_W\text{-bnb-stgy } S \ T \Longrightarrow \text{bnb.dpll}_W\text{-bnb } S \ T \rangle$

$\langle \text{proof} \rangle$

lemma

assumes $\langle \text{clauses } S = \text{penc } N \rangle$ **and** $[simp]: \langle \text{atms-of-mm } N = \Sigma \rangle$

shows

$\langle \text{rtranclp-odpll}_W\text{-bnb-stgy-dpll}_W\text{-bnb-stgy: } \langle \text{odpll}_W\text{-bnb-stgy}^{**} S T \implies \text{bnb.dpll}_W\text{-bnb}^{**} S T \rangle$

$\langle \text{proof} \rangle$

lemma *no-step-odpll_W-core-stgy-no-step-dpll_W-core-stgy:*

assumes $\langle \text{clauses } S = \text{penc } N \rangle$ **and** $[simp]: \langle \text{atms-of-mm } N = \Sigma \rangle$

shows

$\langle \text{no-step odpll}_W\text{-core-stgy } S \longleftrightarrow \text{no-step bnb.dpll}_W\text{-core-stgy } S \rangle$

$\langle \text{proof} \rangle$

lemma *no-step-odpll_W-bnb-stgy-no-step-dpll_W-bnb:*

assumes $\langle \text{clauses } S = \text{penc } N \rangle$ **and** $[simp]: \langle \text{atms-of-mm } N = \Sigma \rangle$

shows

$\langle \text{no-step odpll}_W\text{-bnb-stgy } S \longleftrightarrow \text{no-step bnb.dpll}_W\text{-bnb } S \rangle$

$\langle \text{proof} \rangle$

lemma *full-odpll_W-core-stgy-full-dpll_W-core-stgy:*

assumes $\langle \text{clauses } S = \text{penc } N \rangle$ **and** $[simp]: \langle \text{atms-of-mm } N = \Sigma \rangle$

shows

$\langle \text{full odpll}_W\text{-bnb-stgy } S T \implies \text{full bnb.dpll}_W\text{-bnb } S T \rangle$

$\langle \text{proof} \rangle$

lemma *decided-cons-eq-append-decide-cons:*

$\langle \text{Decided } L \# Ms = M' @ \text{Decided } K \# M \longleftrightarrow$

$(L = K \wedge Ms = M \wedge M' = []) \vee$

$(\text{hd } M' = \text{Decided } L \wedge Ms = \text{tl } M' @ \text{Decided } K \# M \wedge M' \neq []) \rangle$

$\langle \text{proof} \rangle$

lemma *no-step-dpll-backtrack-iff:*

$\langle \text{no-step dpll-backtrack } S \longleftrightarrow (\text{count-decided } (\text{trail } S) = 0 \vee (\forall C \in \# \text{ clauses } S. \neg \text{trail } S \models_{\text{as}} \text{CNot } C)) \rangle$

$\langle \text{proof} \rangle$

lemma *no-step-dpll-conflict:*

$\langle \text{no-step dpll-conflict } S \longleftrightarrow (\forall C \in \# \text{ clauses } S. \neg \text{trail } S \models_{\text{as}} \text{CNot } C) \rangle$

$\langle \text{proof} \rangle$

definition *no-smaller-propa* :: $\langle 'st \Rightarrow \text{bool} \rangle$ **where**

no-smaller-propa ($S :: 'st$) \longleftrightarrow

$(\forall M K M' D L. \text{trail } S = M' @ \text{Decided } K \# M \longrightarrow \text{add-mset } L D \in \# \text{ clauses } S \longrightarrow \text{undefined-lit } M L \longrightarrow \neg M \models_{\text{as}} \text{CNot } D)$

lemma $[simp]: \langle T \sim S \implies \text{no-smaller-propa } T = \text{no-smaller-propa } S \rangle$

$\langle \text{proof} \rangle$

lemma *no-smaller-propa-cons-trail* $[simp]:$

$\langle \text{no-smaller-propa } (\text{cons-trail } (\text{Propagated } L C) S) \longleftrightarrow \text{no-smaller-propa } S \rangle$

$\langle \text{no-smaller-propa } (\text{update-weight-information } M' S) \longleftrightarrow \text{no-smaller-propa } S \rangle$

$\langle \text{proof} \rangle$

lemma *no-smaller-propa-cons-trail-decided* $[simp]:$

$\langle \text{no-smaller-propa } S \implies \text{no-smaller-propa } (\text{cons-trail } (\text{Decided } L) S) \longleftrightarrow (\forall L C. \text{add-mset } L C \in \#$

clauses S \longrightarrow *undefined-lit (trail S)L* \longrightarrow \neg *trail S* \models_{as} *CNot C*
 ⟨*proof*⟩

lemma *no-step-dpll-propagate-iff:*

⟨*no-step dpll-propagate S* \longleftrightarrow $(\forall L C. \text{add-mset } L C \in \# \text{ clauses } S \longrightarrow \text{undefined-lit (trail S)L} \longrightarrow \neg \text{trail S} \models_{as} \text{CNot } C)$ ⟩
 ⟨*proof*⟩

lemma *count-decided-0-no-smaller-propa:* ⟨*count-decided (trail S) = 0* \implies *no-smaller-propa S*⟩
 ⟨*proof*⟩

lemma *no-smaller-propa-backtrack-split:*

⟨*no-smaller-propa S* \implies
 backtrack-split (trail S) = (M', L # M) \implies
 no-smaller-propa (reduce-trail-to M S)⟩
 ⟨*proof*⟩

lemma *odpll_W-core-stgy-no-smaller-propa:*

⟨*odpll_W-core-stgy S T* \implies *no-smaller-propa S* \implies *no-smaller-propa T*⟩
 ⟨*proof*⟩

lemma *odpll_W-bound-stgy-no-smaller-propa:* ⟨*bnb.odpll_W-bound S T* \implies *no-smaller-propa S* \implies *no-smaller-propa T*⟩

⟨*proof*⟩

lemma *odpll_W-bnb-stgy-no-smaller-propa:*

⟨*odpll_W-bnb-stgy S T* \implies *no-smaller-propa S* \implies *no-smaller-propa T*⟩
 ⟨*proof*⟩

lemma *filter-disjount-union:*

⟨ $(\bigwedge x. x \in \text{set } xs \implies P x \implies \neg Q x) \implies$
 $\text{length (filter } P xs) + \text{length (filter } Q xs) =$
 $\text{length (filter } (\lambda x. P x \vee Q x) xs)$ ⟩
 ⟨*proof*⟩

lemma *Collect-req-remove1:*

⟨ $\{a \in A. a \neq b \wedge P a\} = (\text{if } P b \text{ then } \text{Set.remove } b \{a \in A. P a\} \text{ else } \{a \in A. P a\})$ ⟩ **and**
Collect-req-remove2:

⟨ $\{a \in A. b \neq a \wedge P a\} = (\text{if } P b \text{ then } \text{Set.remove } b \{a \in A. P a\} \text{ else } \{a \in A. P a\})$ ⟩
 ⟨*proof*⟩

lemma *card-remove:*

⟨*card (Set.remove a A)* = $(\text{if } a \in A \text{ then } \text{card } A - 1 \text{ else } \text{card } A)$ ⟩
 ⟨*proof*⟩

lemma *isabelle-should-do-that-automatically:* ⟨*Suc (a - Suc 0) = a* \longleftrightarrow $a \geq 1$ ⟩

⟨*proof*⟩

lemma *distinct-count-list-if:* ⟨*distinct xs* \implies *count-list xs x = (if x ∈ set xs then 1 else 0)*⟩

⟨*proof*⟩

abbreviation (*input*) *cut-and-complete-trail* :: $\langle 'st \Rightarrow \rightarrow \rangle$ **where**

⟨*cut-and-complete-trail S* \equiv *trail S*⟩

inductive $odpll_W\text{-core-stgy-count} :: \langle 'st \times - \Rightarrow 'st \times - \Rightarrow bool \rangle$ **where**
propagate: $\langle dpll\text{-propagate } S T \Longrightarrow odpll_W\text{-core-stgy-count } (S, C) (T, C) \rangle |$
decided: $\langle odecide S T \Longrightarrow no\text{-step } dpll\text{-propagate } S \Longrightarrow odpll_W\text{-core-stgy-count } (S, C) (T, C) \rangle |$
backtrack: $\langle dpll\text{-backtrack } S T \Longrightarrow odpll_W\text{-core-stgy-count } (S, C) (T, add\text{-mset } (cut\text{-and-complete-trail } S) C) \rangle |$
backtrack-opt: $\langle bnb.backtrack\text{-opt } S T \Longrightarrow odpll_W\text{-core-stgy-count } (S, C) (T, add\text{-mset } (cut\text{-and-complete-trail } S) C) \rangle$

inductive $odpll_W\text{-bnb-stgy-count} :: \langle 'st \times - \Rightarrow 'st \times - \Rightarrow bool \rangle$ **where**

dpll:
 $\langle odpll_W\text{-bnb-stgy-count } S T \rangle$
if $\langle odpll_W\text{-core-stgy-count } S T \rangle |$
bnb:
 $\langle odpll_W\text{-bnb-stgy-count } (S, C) (T, C) \rangle$
if $\langle bnb.dpll_W\text{-bound } S T \rangle$

lemma $odpll_W\text{-core-stgy-countD}$:

$\langle odpll_W\text{-core-stgy-count } S T \Longrightarrow odpll_W\text{-core-stgy } (fst S) (fst T) \rangle$
 $\langle odpll_W\text{-core-stgy-count } S T \Longrightarrow snd S \subseteq\# snd T \rangle$
 $\langle proof \rangle$

lemma $odpll_W\text{-bnb-stgy-countD}$:

$\langle odpll_W\text{-bnb-stgy-count } S T \Longrightarrow odpll_W\text{-bnb-stgy } (fst S) (fst T) \rangle$
 $\langle odpll_W\text{-bnb-stgy-count } S T \Longrightarrow snd S \subseteq\# snd T \rangle$
 $\langle proof \rangle$

lemma $rtranclp\text{-}odpll_W\text{-bnb-stgy-countD}$:

$\langle odpll_W\text{-bnb-stgy-count}^{**} S T \Longrightarrow odpll_W\text{-bnb-stgy}^{**} (fst S) (fst T) \rangle$
 $\langle odpll_W\text{-bnb-stgy-count}^{**} S T \Longrightarrow snd S \subseteq\# snd T \rangle$
 $\langle proof \rangle$

lemmas $odpll_W\text{-core-stgy-count-induct} = odpll_W\text{-core-stgy-count.induct}[of \langle (S, n) \rangle \langle (T, m) \rangle$ **for** $S n T m$, *split-format(complete)*, *OF dpll-optimal-encoding-axioms*, *consumes 1*]

definition $conflict\text{-clauses-are-entailed} :: \langle 'st \times - \Rightarrow bool \rangle$ **where**

$\langle conflict\text{-clauses-are-entailed} =$
 $(\lambda(S, Cs). \forall C \in\# Cs. (\exists M' K M M''. trail S = M' @ Propagated K () \# M \wedge C = M'' @ Decided$
 $(-K) \# M)) \rangle$

definition $conflict\text{-clauses-are-entailed2} :: \langle 'st \times ('v \text{ literal}, 'v \text{ literal}, unit) \text{ annotated-lits multiset} \Rightarrow bool \rangle$ **where**

$\langle conflict\text{-clauses-are-entailed2} =$
 $(\lambda(S, Cs). \forall C \in\# Cs. \forall C' \in\# remove1\text{-mset } C Cs. (\exists L. Decided L \in set C \wedge Propagated (-L) ()$
 $\in set C') \vee$
 $(\exists L. Propagated (L) () \in set C \wedge Decided (-L) \in set C')) \rangle$

lemma $propagated\text{-cons-eq-append-propagated-cons}$:

$\langle Propagated L () \# M = M' @ Propagated K () \# Ma \longleftrightarrow$
 $(M' = [] \wedge K = L \wedge M = Ma) \vee$
 $(M' \neq [] \wedge hd M' = Propagated L () \wedge M = tl M' @ Propagated K () \# Ma) \rangle$
 $\langle proof \rangle$

lemma *odpll_W-core-stgy-count-conflict-clauses-are-entailed:*

assumes

⟨*odpll_W-core-stgy-count* S T ⟩ **and**

⟨*conflict-clauses-are-entailed* S ⟩

shows

⟨*conflict-clauses-are-entailed* T ⟩

⟨*proof*⟩

lemma *odpll_W-bnb-stgy-count-conflict-clauses-are-entailed:*

assumes

⟨*odpll_W-bnb-stgy-count* S T ⟩ **and**

⟨*conflict-clauses-are-entailed* S ⟩

shows

⟨*conflict-clauses-are-entailed* T ⟩

⟨*proof*⟩

lemma *odpll_W-core-stgy-count-no-dup-clss:*

assumes

⟨*odpll_W-core-stgy-count* S T ⟩ **and**

⟨ $\forall C \in \# \text{snd } S. \text{no-dup } C$ ⟩ **and**

invs: ⟨*dpll_W-all-inv* (*bnb.abs-state* (*fst* S))⟩

shows

⟨ $\forall C \in \# \text{snd } T. \text{no-dup } C$ ⟩

⟨*proof*⟩

lemma *odpll_W-bnb-stgy-count-no-dup-clss:*

assumes

⟨*odpll_W-bnb-stgy-count* S T ⟩ **and**

⟨ $\forall C \in \# \text{snd } S. \text{no-dup } C$ ⟩ **and**

invs: ⟨*dpll_W-all-inv* (*bnb.abs-state* (*fst* S))⟩

shows

⟨ $\forall C \in \# \text{snd } T. \text{no-dup } C$ ⟩

⟨*proof*⟩

lemma *backtrack-split-conflict-clauses-are-entailed-itself:*

assumes

⟨*backtrack-split* (*trail* S) = (M' , $L \# M$)⟩ **and**

invs: ⟨*dpll_W-all-inv* (*bnb.abs-state* S)⟩

shows ⟨ \neg *conflict-clauses-are-entailed*

(S , *add-mset* (*trail* S) C)⟩ (**is** $\langle \neg ?A \rangle$)

⟨*proof*⟩

lemma *odpll_W-core-stgy-count-distinct-mset:*

assumes

⟨*odpll_W-core-stgy-count* S T ⟩ **and**

⟨*conflict-clauses-are-entailed* S ⟩ **and**

⟨*distinct-mset* (*snd* S)⟩ **and**

invs: ⟨*dpll_W-all-inv* (*bnb.abs-state* (*fst* S))⟩

shows

⟨*distinct-mset* (*snd* T)⟩

⟨*proof*⟩

lemma *odpll_W-bnb-stgy-count-distinct-mset*:
assumes
 ‹*odpll_W-bnb-stgy-count S T*› **and**
 ‹*conflict-clauses-are-entailed S*› **and**
 ‹*distinct-mset (snd S)*› **and**
invs: ‹*dpll_W-all-inv (bnb.abs-state (fst S))*›
shows
 ‹*distinct-mset (snd T)*›
 ‹*proof*›

lemma *odpll_W-core-stgy-count-conflict-clauses-are-entailed2*:
assumes
 ‹*odpll_W-core-stgy-count S T*› **and**
 ‹*conflict-clauses-are-entailed S*› **and**
 ‹*conflict-clauses-are-entailed2 S*› **and**
 ‹*distinct-mset (snd S)*› **and**
invs: ‹*dpll_W-all-inv (bnb.abs-state (fst S))*›
shows
 ‹*conflict-clauses-are-entailed2 T*›
 ‹*proof*›

lemma *odpll_W-bnb-stgy-count-conflict-clauses-are-entailed2*:
assumes
 ‹*odpll_W-bnb-stgy-count S T*› **and**
 ‹*conflict-clauses-are-entailed S*› **and**
 ‹*conflict-clauses-are-entailed2 S*› **and**
 ‹*distinct-mset (snd S)*› **and**
invs: ‹*dpll_W-all-inv (bnb.abs-state (fst S))*›
shows
 ‹*conflict-clauses-are-entailed2 T*›
 ‹*proof*›

definition *no-complement-set-lit* :: ‹*v dpll_W-ann-lits ⇒ bool*› **where**
 ‹*no-complement-set-lit M* ‹ \longleftrightarrow
 (‹ $\forall L \in \Delta\Sigma. \text{Decided } (\text{Pos } (\text{replacement-pos } L)) \in \text{set } M \longrightarrow \text{Decided } (\text{Pos } (\text{replacement-neg } L)) \notin \text{set } M$ ›) ‹ \wedge
 (‹ $\forall L \in \Delta\Sigma. \text{Decided } (\text{Neg } (\text{replacement-pos } L)) \notin \text{set } M$ ›) ‹ \wedge
 (‹ $\forall L \in \Delta\Sigma. \text{Decided } (\text{Neg } (\text{replacement-neg } L)) \notin \text{set } M$ ›) ‹ \wedge
 atm-of ‹*lits-of-l M* ‹ $\subseteq \Sigma - \Delta\Sigma \cup \text{replacement-pos } \langle \Delta\Sigma \cup \text{replacement-neg } \langle \Delta\Sigma \rangle$ ››

definition *no-complement-set-lit-st* :: ‹*st × v dpll_W-ann-lits multiset ⇒ bool*› **where**
 ‹*no-complement-set-lit-st =* (‹ $\lambda(S, Cs). (\forall C \in \#Cs. \text{no-complement-set-lit } C) \wedge \text{no-complement-set-lit } (\text{trail } S)$ ›)›

lemma *backtrack-no-complement-set-lit*: ‹*no-complement-set-lit (trail S) ⇒*
 ‹*backtrack-split (trail S) = (M', L # M) ⇒*
 ‹*no-complement-set-lit (Propagated (- lit-of L) () # M)*›
 ‹*proof*›

lemma *odpll_W-core-stgy-count-no-complement-set-lit-st*:
assumes
 ‹*odpll_W-core-stgy-count S T*› **and**
 ‹*conflict-clauses-are-entailed S*› **and**
 ‹*conflict-clauses-are-entailed2 S*› **and**

‹distinct-mset (snd S)› **and**
 ‹dpll_W-all-inv (bnb.abs-state (fst S))› **and**
 ‹no-complement-set-lit-st S› **and**
 ‹atms: ‹clauses (fst S) = penc N› ‹atms-of-mm N = Σ› **and**
 ‹no-smaller-propa (fst S)›
shows
 ‹no-complement-set-lit-st T›
 ‹proof›

lemma *odpll_W-bnb-stgy-count-no-complement-set-lit-st:*

assumes
 ‹odpll_W-bnb-stgy-count S T› **and**
 ‹conflict-clauses-are-entailed S› **and**
 ‹conflict-clauses-are-entailed2 S› **and**
 ‹distinct-mset (snd S)› **and**
 ‹dpll_W-all-inv (bnb.abs-state (fst S))› **and**
 ‹no-complement-set-lit-st S› **and**
 ‹atms: ‹clauses (fst S) = penc N› ‹atms-of-mm N = Σ› **and**
 ‹no-smaller-propa (fst S)›
shows
 ‹no-complement-set-lit-st T›
 ‹proof›

definition *stgy-invs* :: ‹'v clauses ⇒ 'st × - ⇒ bool› **where**

‹stgy-invs N S ‹math display="block">\longleftrightarrow
 ‹no-smaller-propa (fst S) ∧
 ‹conflict-clauses-are-entailed S ∧
 ‹conflict-clauses-are-entailed2 S ∧
 ‹distinct-mset (snd S) ∧
 ‹(∀ C ∈# snd S. no-dup C) ∧
 ‹dpll_W-all-inv (bnb.abs-state (fst S)) ∧
 ‹no-complement-set-lit-st S ∧
 ‹clauses (fst S) = penc N ∧
 ‹atms-of-mm N = Σ
 ›

lemma *odpll_W-bnb-stgy-count-stgy-invs:*

assumes
 ‹odpll_W-bnb-stgy-count S T› **and**
 ‹stgy-invs N S›
shows ‹stgy-invs N T›
 ‹proof›

lemma *stgy-invs-size-le:*

assumes ‹stgy-invs N S›
shows ‹size (snd S) ≤ 3 ^ (card Σ)›
 ‹proof›

lemma *rtranclp-odpll_W-bnb-stgy-count-stgy-invs:* ‹odpll_W-bnb-stgy-count** S T ⇒ stgy-invs N S ⇒ stgy-invs N T›

‹proof›

theorem

assumes ‹clauses S = penc N› ‹atms-of-mm N = Σ› **and**
 ‹odpll_W-bnb-stgy-count** (S, {#}) (T, D)› **and**
 ‹tr: ‹trail S = []›

shows $\langle \text{size } D \leq 3 \wedge (\text{card } \Sigma) \rangle$
 $\langle \text{proof} \rangle$

end

end