

# Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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# Contents

<b>1</b>	<b>Definition of Entailment</b>	<b>5</b>
1.1	Partial Herbrand Interpretation . . . . .	5
1.1.1	More Literals . . . . .	5
1.1.2	Clauses . . . . .	6
1.1.3	Partial Interpretations . . . . .	6
1.1.4	Subsumptions . . . . .	27
1.1.5	Removing Duplicates . . . . .	28
1.1.6	Set of all Simple Clauses . . . . .	28
1.1.7	Experiment: Expressing the Entailments as Locales . . . . .	32
1.1.8	Entailment to be extended . . . . .	33
1.2	Partial Annotated Herbrand Interpretation . . . . .	34
1.2.1	Decided Literals . . . . .	34
1.2.2	Backtracking . . . . .	40
1.2.3	Decomposition with respect to the First Decided Literals . . . . .	40
1.2.4	Negation of a Clause . . . . .	48
1.2.5	Other . . . . .	53
1.2.6	Extending Entailments to multisets . . . . .	55
1.2.7	More Lemmas . . . . .	56
1.2.8	Negation of annotated clauses . . . . .	56
1.3	Bridging of total and partial Herbrand interpretation . . . . .	59
<b>2</b>	<b>Normalisation</b>	<b>63</b>
2.1	Logics . . . . .	63
2.1.1	Definition and Abstraction . . . . .	63
2.1.2	Properties of the Abstraction . . . . .	64
2.1.3	Subformulas and Properties . . . . .	67
2.1.4	Positions . . . . .	70
2.2	Semantics over the Syntax . . . . .	73



# Chapter 1

## Definition of Entailment

This chapter defines various form of entailment.

end

### 1.1 Partial Herbrand Interpretation

```
theory Partial-Herbrand-Interpretation
  imports
    Weidenbach-Book-Base.WB-List-More
    Ordered-Resolution-Prover.Clausal-Logic
begin
```

#### 1.1.1 More Literals

The following lemma is very useful when in the goal appears an axioms like  $- L = K$ : this lemma allows the simplifier to rewrite L.

```
lemma in-image-uminus-uminus:  $\langle a \in \text{uminus } 'A \longleftrightarrow -a \in A \rangle$  for  $a :: \langle 'v \text{ literal} \rangle$   
  using uminus-lit-swap by auto
```

```
lemma uminus-lit-swap:  $- a = b \longleftrightarrow (a :: 'a \text{ literal}) = - b$   
  by auto
```

```
lemma atm-of-notin-atms-of-iff:  $\langle \text{atm-of } L \notin \text{atms-of } C' \longleftrightarrow L \notin \# C' \wedge -L \notin \# C' \rangle$  for  $L C'$   
  by (cases L) (auto simp: atm-iff-pos-or-neg-lit)
```

```
lemma atm-of-notin-atms-of-iff-Pos-Neg:  
   $\langle L \notin \text{atms-of } C' \longleftrightarrow \text{Pos } L \notin \# C' \wedge \text{Neg } L \notin \# C' \rangle$  for  $L C'$   
  by (auto simp: atm-iff-pos-or-neg-lit)
```

```
lemma atms-of-uminus[simp]:  $\langle \text{atms-of } (\text{uminus } \# C) = \text{atms-of } C \rangle$   
  by (auto simp: atms-of-def image-image)
```

```
lemma distinct-mset-atm-ofD:  
   $\langle \text{distinct-mset } (\text{atm-of } \# \text{ mset } xc) \implies \text{distinct } xc \rangle$   
  by (induction xc) auto
```

```
lemma atms-of-cong-set-mset:  
   $\langle \text{set-mset } D = \text{set-mset } D' \implies \text{atms-of } D = \text{atms-of } D' \rangle$   
  by (auto simp: atms-of-def)
```

**lemma** *lit-in-set-iff-atm*:

$\langle NO-MATCH (Pos\ x)\ l \implies NO-MATCH (Neg\ x)\ l \implies$   
 $l \in M \longleftrightarrow (\exists l'. (l = Pos\ l' \wedge Pos\ l' \in M) \vee (l = Neg\ l' \wedge Neg\ l' \in M)) \rangle$   
**by** (*cases l*) *auto*

We define here entailment by a set of literals. This is an Herbrand interpretation, but not the same as used for the resolution prover. Both has different properties. One key difference is that such a set can be inconsistent (i.e. containing both  $L$  and  $-L$ ).

Satisfiability is defined by the existence of a total and consistent model.

**lemma** *lit-eq-Neg-Pos-iff*:

$\langle x \neq Neg\ (atm-of\ x) \longleftrightarrow is-pos\ x \rangle$   
 $\langle x \neq Pos\ (atm-of\ x) \longleftrightarrow is-neg\ x \rangle$   
 $\langle -x \neq Neg\ (atm-of\ x) \longleftrightarrow is-neg\ x \rangle$   
 $\langle -x \neq Pos\ (atm-of\ x) \longleftrightarrow is-pos\ x \rangle$   
 $\langle Neg\ (atm-of\ x) \neq x \longleftrightarrow is-pos\ x \rangle$   
 $\langle Pos\ (atm-of\ x) \neq x \longleftrightarrow is-neg\ x \rangle$   
 $\langle Neg\ (atm-of\ x) \neq -x \longleftrightarrow is-neg\ x \rangle$   
 $\langle Pos\ (atm-of\ x) \neq -x \longleftrightarrow is-pos\ x \rangle$   
**by** (*cases x; auto; fail*) $+$

### 1.1.2 Clauses

Clauses are set of literals or (finite) multisets of literals.

**type-synonym** *'v clause-set = 'v clause set*

**type-synonym** *'v clauses = 'v clause multiset*

**lemma** *is-neg-neg-not-is-neg*:  $is-neg\ (-\ L) \longleftrightarrow \neg\ is-neg\ L$

**by** (*cases L*) *auto*

### 1.1.3 Partial Interpretations

**type-synonym** *'a partial-interp = 'a literal set*

**definition** *true-lit* :: *'a partial-interp*  $\Rightarrow$  *'a literal*  $\Rightarrow$  *bool* (**infix**  $\models_l$  50) **where**

$I \models_l L \longleftrightarrow L \in I$

**declare** *true-lit-def*[*simp*]

### Consistency

**definition** *consistent-interp* :: *'a literal set*  $\Rightarrow$  *bool* **where**

*consistent-interp*  $I \longleftrightarrow (\forall L. \neg(L \in I \wedge -\ L \in I))$

**lemma** *consistent-interp-empty*[*simp*]:

*consistent-interp*  $\{\}$  **unfolding** *consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-single*[*simp*]:

*consistent-interp*  $\{L\}$  **unfolding** *consistent-interp-def* **by** *auto*

**lemma** *Ex-consistent-interp*:  $\langle Ex\ consistent-interp \rangle$

**by** (*auto simp: consistent-interp-def*)

**lemma** *consistent-interp-subset*:

**assumes**

$A \subseteq B$  **and**

*consistent-interp B*  
**shows** *consistent-interp A*  
**using** *assms unfolding consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-change-insert*:  
 $a \notin A \implies -a \notin A \implies \text{consistent-interp } (\text{insert } (-a) A) \longleftrightarrow \text{consistent-interp } (\text{insert } a A)$   
**unfolding** *consistent-interp-def* **by** *fastforce*

**lemma** *consistent-interp-insert-pos[simp]*:  
 $a \notin A \implies \text{consistent-interp } (\text{insert } a A) \longleftrightarrow \text{consistent-interp } A \wedge -a \notin A$   
**unfolding** *consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-insert-not-in*:  
 $\text{consistent-interp } A \implies a \notin A \implies -a \notin A \implies \text{consistent-interp } (\text{insert } a A)$   
**unfolding** *consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-unionD*:  $\langle \text{consistent-interp } (I \cup I') \implies \text{consistent-interp } I' \rangle$   
**unfolding** *consistent-interp-def* **by** *auto*

**lemma** *consistent-interp-insert-iff*:  
 $\langle \text{consistent-interp } (\text{insert } L C) \longleftrightarrow \text{consistent-interp } C \wedge -L \notin C \rangle$   
**by** (*metis consistent-interp-def consistent-interp-insert-pos insert-absorb*)

**lemma** (*in -*) *distinct-consistent-distinct-atm*:  
 $\langle \text{distinct } M \implies \text{consistent-interp } (\text{set } M) \implies \text{distinct-mset } (\text{atm-of } \# \text{ mset } M) \rangle$   
**by** (*induction M*) (*auto simp: atm-of-eq-atm-of*)

## Atoms

We define here various lifting of *atm-of* (applied to a single literal) to set and multisets of literals.

**definition** *atms-of-ms* :: *'a clause set  $\Rightarrow$  'a set where*  
 $\text{atms-of-ms } \psi s = \bigcup (\text{atms-of } \psi s)$

**lemma** *atms-of-mmltiset[simp]*:  
 $\text{atms-of } (\text{mset } a) = \text{atm-of } \text{'set } a$   
**by** (*induct a*) *auto*

**lemma** *atms-of-ms-mset-unfold*:  
 $\text{atms-of-ms } (\text{mset } \text{' } b) = (\bigcup x \in b. \text{atm-of } \text{' } \text{set } x)$   
**unfolding** *atms-of-ms-def* **by** *simp*

**definition** *atms-of-s* :: *'a literal set  $\Rightarrow$  'a set where*  
 $\text{atms-of-s } C = \text{atm-of } \text{' } C$

**lemma** *atms-of-ms-empty-set[simp]*:  
 $\text{atms-of-ms } \{\} = \{\}$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-mempty[simp]*:  
 $\text{atms-of-ms } \{\{\#\}\} = \{\}$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-mono*:

$A \subseteq B \implies \text{atms-of-ms } A \subseteq \text{atms-of-ms } B$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-finite[simp]*:  
 $\text{finite } \psi s \implies \text{finite } (\text{atms-of-ms } \psi s)$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-union[simp]*:  
 $\text{atms-of-ms } (\psi s \cup \chi s) = \text{atms-of-ms } \psi s \cup \text{atms-of-ms } \chi s$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-insert[simp]*:  
 $\text{atms-of-ms } (\text{insert } \psi s \chi s) = \text{atms-of } \psi s \cup \text{atms-of-ms } \chi s$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-singleton[simp]*:  $\text{atms-of-ms } \{L\} = \text{atms-of } L$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-atms-of-ms-mono[simp]*:  
 $A \in \psi \implies \text{atms-of } A \subseteq \text{atms-of-ms } \psi$   
**unfolding** *atms-of-ms-def* **by** *fastforce*

**lemma** *atms-of-ms-remove-incl*:  
**shows**  $\text{atms-of-ms } (\text{Set.remove } a \psi) \subseteq \text{atms-of-ms } \psi$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *atms-of-ms-remove-subset*:  
 $\text{atms-of-ms } (\varphi - \psi) \subseteq \text{atms-of-ms } \varphi$   
**unfolding** *atms-of-ms-def* **by** *auto*

**lemma** *finite-atms-of-ms-remove-subset[simp]*:  
 $\text{finite } (\text{atms-of-ms } A) \implies \text{finite } (\text{atms-of-ms } (A - C))$   
**using** *atms-of-ms-remove-subset[of A C]* *finite-subset* **by** *blast*

**lemma** *atms-of-ms-empty-iff*:  
 $\text{atms-of-ms } A = \{\} \iff A = \{\{\#\}\} \vee A = \{\}$   
**apply** (*rule iffI*)  
**apply** (*metis (no-types, lifting) atms-empty-iff-empty atms-of-atms-of-ms-mono insert-absorb singleton-iff singleton-insert-inj-eq' subsetI subset-empty*)  
**apply** (*auto; fail*)  
**done**

**lemma** *in-implies-atm-of-on-atms-of-ms*:  
**assumes**  $L \in\# C$  **and**  $C \in N$   
**shows**  $\text{atm-of } L \in \text{atms-of-ms } N$   
**using** *atms-of-atms-of-ms-mono[of C N]* *assms* **by** (*simp add: atm-of-lit-in-atms-of subset-iff*)

**lemma** *in-plus-implies-atm-of-on-atms-of-ms*:  
**assumes**  $C + \{\#L\} \in N$   
**shows**  $\text{atm-of } L \in \text{atms-of-ms } N$   
**using** *in-implies-atm-of-on-atms-of-ms[of - C + \{\#L\}]* *assms* **by** *auto*

**lemma** *in-m-in-literals*:  
**assumes**  $\text{add-mset } A D \in \psi s$   
**shows**  $\text{atm-of } A \in \text{atms-of-ms } \psi s$   
**using** *assms* **by** (*auto dest: atms-of-atms-of-ms-mono*)



**lemma** *atms-of-s-union*[simp]:  
 $atms-of-s (Ia \cup Ib) = atms-of-s Ia \cup atms-of-s Ib$   
**unfolding** *atms-of-s-def* **by** *auto*

**lemma** *atms-of-s-single*[simp]:  
 $atms-of-s \{L\} = \{atm-of L\}$   
**unfolding** *atms-of-s-def* **by** *auto*

**lemma** *atms-of-s-insert*[simp]:  
 $atms-of-s (insert L Ib) = \{atm-of L\} \cup atms-of-s Ib$   
**unfolding** *atms-of-s-def* **by** *auto*

**lemma** *in-atms-of-s-decomp*[iff]:  
 $P \in atms-of-s I \longleftrightarrow (Pos P \in I \vee Neg P \in I)$  (**is**  $?P \longleftrightarrow ?Q$ )

**proof**

**assume**  $?P$

**then show**  $?Q$  **unfolding** *atms-of-s-def* **by** (*metis image-iff literal.exhaust-sel*)

**next**

**assume**  $?Q$

**then show**  $?P$  **unfolding** *atms-of-s-def* **by** *force*

**qed**

**lemma** *atm-of-in-atm-of-set-in-uminus*:  
 $atm-of L' \in atm-of 'B \implies L' \in B \vee - L' \in B$   
**using** *atms-of-s-def* **by** (*cases L'*) *fastforce+*

**lemma** *finite-atms-of-s*[simp]:  
 $\langle finite M \implies finite (atms-of-s M) \rangle$   
**by** (*auto simp: atms-of-s-def*)

**lemma**

*atms-of-s-empty* [simp]:

$\langle atms-of-s \{\} = \{\} \rangle$  **and**

*atms-of-s-empty-iff*[simp]:

$\langle atms-of-s x = \{\} \longleftrightarrow x = \{\} \rangle$

**by** (*auto simp: atms-of-s-def*)

## Totality

**definition** *total-over-set* :: 'a *partial-interp*  $\Rightarrow$  'a *set*  $\Rightarrow$  *bool* **where**  
 $total-over-set I S = (\forall l \in S. Pos l \in I \vee Neg l \in I)$

**definition** *total-over-m* :: 'a *literal set*  $\Rightarrow$  'a *clause set*  $\Rightarrow$  *bool* **where**  
 $total-over-m I \psi s = total-over-set I (atms-of-ms \psi s)$

**lemma** *total-over-set-empty*[simp]:  
 $total-over-set I \{\}$   
**unfolding** *total-over-set-def* **by** *auto*

**lemma** *total-over-m-empty*[simp]:  
 $total-over-m I \{\}$   
**unfolding** *total-over-m-def* **by** *auto*

**lemma** *total-over-set-single*[iff]:  
 $total-over-set I \{L\} \longleftrightarrow (Pos L \in I \vee Neg L \in I)$

**unfolding** *total-over-set-def* **by** *auto*

**lemma** *total-over-set-insert*[*iff*]:

*total-over-set I (insert L Ls)  $\longleftrightarrow$  ((Pos L  $\in$  I  $\vee$  Neg L  $\in$  I)  $\wedge$  total-over-set I Ls)*

**unfolding** *total-over-set-def* **by** *auto*

**lemma** *total-over-set-union*[*iff*]:

*total-over-set I (Ls  $\cup$  Ls')  $\longleftrightarrow$  (total-over-set I Ls  $\wedge$  total-over-set I Ls')*

**unfolding** *total-over-set-def* **by** *auto*

**lemma** *total-over-m-subset*:

*A  $\subseteq$  B  $\implies$  total-over-m I B  $\implies$  total-over-m I A*

**using** *atms-of-ms-mono*[*of A*] **unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*

**lemma** *total-over-m-sum*[*iff*]:

**shows** *total-over-m I {C + D}  $\longleftrightarrow$  (total-over-m I {C}  $\wedge$  total-over-m I {D})*

**unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*

**lemma** *total-over-m-union*[*iff*]:

*total-over-m I (A  $\cup$  B)  $\longleftrightarrow$  (total-over-m I A  $\wedge$  total-over-m I B)*

**unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*

**lemma** *total-over-m-insert*[*iff*]:

*total-over-m I (insert a A)  $\longleftrightarrow$  (total-over-set I (atms-of a)  $\wedge$  total-over-m I A)*

**unfolding** *total-over-m-def* *total-over-set-def* **by** *fastforce*

**lemma** *total-over-m-extension*:

**fixes** *I* :: 'v literal set **and** *A* :: 'v clause-set

**assumes** *total*: *total-over-m I A*

**shows**  $\exists I'$ . *total-over-m (I  $\cup$  I') (A  $\cup$  B)*

$\wedge (\forall x \in I'. \text{atm-of } x \in \text{atms-of-ms } B \wedge \text{atm-of } x \notin \text{atms-of-ms } A)$

**proof** –

**let**  $?I' = \{\text{Pos } v \mid v. v \in \text{atms-of-ms } B \wedge v \notin \text{atms-of-ms } A\}$

**have**  $\forall x \in ?I'. \text{atm-of } x \in \text{atms-of-ms } B \wedge \text{atm-of } x \notin \text{atms-of-ms } A$  **by** *auto*

**moreover have** *total-over-m (I  $\cup$  ?I') (A  $\cup$  B)*

**using** *total* **unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*

**ultimately show** *?thesis* **by** *blast*

**qed**

**lemma** *total-over-m-consistent-extension*:

**fixes** *I* :: 'v literal set **and** *A* :: 'v clause-set

**assumes**

*total*: *total-over-m I A* **and**

*cons*: *consistent-interp I*

**shows**  $\exists I'$ . *total-over-m (I  $\cup$  I') (A  $\cup$  B)*

$\wedge (\forall x \in I'. \text{atm-of } x \in \text{atms-of-ms } B \wedge \text{atm-of } x \notin \text{atms-of-ms } A) \wedge \text{consistent-interp (I  $\cup$  I')}$

**proof** –

**let**  $?I' = \{\text{Pos } v \mid v. v \in \text{atms-of-ms } B \wedge v \notin \text{atms-of-ms } A \wedge \text{Pos } v \notin I \wedge \text{Neg } v \notin I\}$

**have**  $\forall x \in ?I'. \text{atm-of } x \in \text{atms-of-ms } B \wedge \text{atm-of } x \notin \text{atms-of-ms } A$  **by** *auto*

**moreover have** *total-over-m (I  $\cup$  ?I') (A  $\cup$  B)*

**using** *total* **unfolding** *total-over-m-def* *total-over-set-def* **by** *auto*

**moreover have** *consistent-interp (I  $\cup$  ?I')*

**using** *cons* **unfolding** *consistent-interp-def* **by** (*intro allI*) (*rename-tac L, case-tac L, auto*)

**ultimately show** *?thesis* **by** *blast*

**qed**

**lemma** *total-over-set-atms-of-m[simp]*:  
*total-over-set Ia (atms-of-s Ia)*  
**unfolding** *total-over-set-def atms-of-s-def* **by** (*metis image-iff literal.exhaust-sel*)

**lemma** *total-over-set-literal-defined*:  
**assumes** *add-mset A D ∈ ψs*  
**and** *total-over-set I (atms-of-ms ψs)*  
**shows** *A ∈ I ∨ -A ∈ I*  
**using** *assms unfolding total-over-set-def* **by** (*metis (no-types) Neg-atm-of-iff in-m-in-literals literal.collapse(1) uminus-Neg uminus-Pos*)

**lemma** *tot-over-m-remove*:  
**assumes** *total-over-m (I ∪ {L}) {ψ}*  
**and** *L: L ∉ # ψ -L ∉ # ψ*  
**shows** *total-over-m I {ψ}*  
**unfolding** *total-over-m-def total-over-set-def*

**proof**

**fix** *l*

**assume** *l: l ∈ atms-of-ms {ψ}*

**then have** *Pos l ∈ I ∨ Neg l ∈ I ∨ l = atm-of L*

**using** *assms unfolding total-over-m-def total-over-set-def* **by** *auto*

**moreover have** *atm-of L ∉ atms-of-ms {ψ}*

**proof** (*rule ccontr*)

**assume**  $\neg$  *?thesis*

**then have** *atm-of L ∈ atms-of ψ* **by** *auto*

**then have** *Pos (atm-of L) ∈ # ψ ∨ Neg (atm-of L) ∈ # ψ*

**using** *atm-imp-pos-or-neg-lit* **by** *metis*

**then have** *L ∈ # ψ ∨ - L ∈ # ψ* **by** (*cases L*) *auto*

**then show** *False* **using** *L* **by** *auto*

**qed**

**ultimately show** *Pos l ∈ I ∨ Neg l ∈ I* **using** *l* **by** *metis*

**qed**

**lemma** *total-union*:  
**assumes** *total-over-m I ψ*  
**shows** *total-over-m (I ∪ I') ψ*  
**using** *assms unfolding total-over-m-def total-over-set-def* **by** *auto*

**lemma** *total-union-2*:  
**assumes** *total-over-m I ψ*  
**and** *total-over-m I' ψ'*  
**shows** *total-over-m (I ∪ I') (ψ ∪ ψ')*  
**using** *assms unfolding total-over-m-def total-over-set-def* **by** *auto*

**lemma** *total-over-m-alt-def*:  $\langle \text{total-over-m } I \ S \longleftrightarrow \text{atms-of-ms } S \subseteq \text{atms-of-s } I \rangle$   
**by** (*auto simp: total-over-m-def total-over-set-def*)

**lemma** *total-over-set-alt-def*:  $\langle \text{total-over-set } M \ A \longleftrightarrow A \subseteq \text{atms-of-s } M \rangle$   
**by** (*auto simp: total-over-set-def*)

## Interpretations

**definition** *true-cls* :: '*a partial-interp*  $\Rightarrow$  '*a clause*  $\Rightarrow$  *bool* (**infix**  $\models$  50) **where**  
 $I \models C \longleftrightarrow (\exists L \in \# C. I \models l \ L)$

**lemma** *true-cls-empty[iff]*:  $\neg I \models \{\#\}$

**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-singleton[iff]*:  $I \models \{\#L\# \} \longleftrightarrow I \models_l L$   
**unfolding** *true-cls-def* **by** (*auto split:if-split-asm*)

**lemma** *true-cls-add-mset[iff]*:  $I \models \text{add-mset } a \ D \longleftrightarrow a \in I \vee I \models D$   
**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-union[iff]*:  $I \models C + D \longleftrightarrow I \models C \vee I \models D$   
**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-mono-set-mset*:  $\text{set-mset } C \subseteq \text{set-mset } D \Longrightarrow I \models C \Longrightarrow I \models D$   
**unfolding** *true-cls-def subset-eq Bex-def* **by** *metis*

**lemma** *true-cls-mono-leD[dest]*:  $A \subseteq\# B \Longrightarrow I \models A \Longrightarrow I \models B$   
**unfolding** *true-cls-def* **by** *auto*

**lemma**

**assumes**  $I \models \psi$

**shows**

*true-cls-union-increase[simp]*:  $I \cup I' \models \psi$  **and**

*true-cls-union-increase'[simp]*:  $I' \cup I \models \psi$

**using** *assms* **unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-mono-set-mset-l*:

**assumes**  $A \models \psi$

**and**  $A \subseteq B$

**shows**  $B \models \psi$

**using** *assms* **unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-replicate-mset[iff]*:  $I \models \text{replicate-mset } n \ L \longleftrightarrow n \neq 0 \wedge I \models_l L$   
**by** (*induct n*) *auto*

**lemma** *true-cls-empty-entails[iff]*:  $\neg \{\} \models N$   
**by** (*auto simp add: true-cls-def*)

**lemma** *true-cls-not-in-remove*:

**assumes**  $L \notin\# \chi$  **and**  $I \cup \{L\} \models \chi$

**shows**  $I \models \chi$

**using** *assms* **unfolding** *true-cls-def* **by** *auto*

**definition** *true-clss* :: 'a *partial-interp*  $\Rightarrow$  'a *clause-set*  $\Rightarrow$  *bool* (**infix**  $\models_s$  50) **where**  
 $I \models_s CC \longleftrightarrow (\forall C \in CC. I \models C)$

**lemma** *true-clss-empty[simp]*:  $I \models_s \{\}$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-singleton[iff]*:  $I \models_s \{C\} \longleftrightarrow I \models C$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-empty-entails-empty[iff]*:  $\{\} \models_s N \longleftrightarrow N = \{\}$   
**unfolding** *true-clss-def* **by** (*auto simp add: true-cls-def*)

**lemma** *true-cls-insert-l [simp]*:

$M \models A \Longrightarrow \text{insert } L \ M \models A$

**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-clss-union*[*iff*]:  $I \models_s CC \cup DD \longleftrightarrow I \models_s CC \wedge I \models_s DD$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-insert*[*iff*]:  $I \models_s \text{insert } C \text{ } DD \longleftrightarrow I \models C \wedge I \models_s DD$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-mono*:  $DD \subseteq CC \implies I \models_s CC \implies I \models_s DD$   
**unfolding** *true-clss-def* **by** *blast*

**lemma** *true-clss-union-increase*[*simp*]:  
**assumes**  $I \models_s \psi$   
**shows**  $I \cup I' \models_s \psi$   
**using** *assms* **unfolding** *true-clss-def* **by** *auto*

**lemma** *true-clss-union-increase'*[*simp*]:  
**assumes**  $I' \models_s \psi$   
**shows**  $I \cup I' \models_s \psi$   
**using** *assms* **by** (*auto simp add: true-clss-def*)

**lemma** *true-clss-commute-l*:  
 $(I \cup I' \models_s \psi) \longleftrightarrow (I' \cup I \models_s \psi)$   
**by** (*simp add: Un-commute*)

**lemma** *model-remove*[*simp*]:  $I \models_s N \implies I \models_s \text{Set.remove } a \text{ } N$   
**by** (*simp add: true-clss-def*)

**lemma** *model-remove-minus*[*simp*]:  $I \models_s N \implies I \models_s N - A$   
**by** (*simp add: true-clss-def*)

**lemma** *notin-vars-union-true-cls-true-cls*:  
**assumes**  $\forall x \in I'. \text{atm-of } x \notin \text{atms-of-ms } A$   
**and**  $\text{atms-of } L \subseteq \text{atms-of-ms } A$   
**and**  $I \cup I' \models L$   
**shows**  $I \models L$   
**using** *assms* **unfolding** *true-cls-def true-lit-def Bex-def*  
**by** (*metis Un-iff atm-of-lit-in-atms-of contra-subsetD*)

**lemma** *notin-vars-union-true-clss-true-clss*:  
**assumes**  $\forall x \in I'. \text{atm-of } x \notin \text{atms-of-ms } A$   
**and**  $\text{atms-of-ms } L \subseteq \text{atms-of-ms } A$   
**and**  $I \cup I' \models_s L$   
**shows**  $I \models_s L$   
**using** *assms* **unfolding** *true-clss-def true-lit-def Ball-def*  
**by** (*meson atms-of-atms-of-ms-mono notin-vars-union-true-cls-true-cls subset-trans*)

**lemma** *true-cls-def-set-mset-eq*:  
 $\langle \text{set-mset } A = \text{set-mset } B \implies I \models A \longleftrightarrow I \models B \rangle$   
**by** (*auto simp: true-cls-def*)

**lemma** *true-cls-add-mset-strict*:  $\langle I \models \text{add-mset } L \text{ } C \longleftrightarrow L \in I \vee I \models (\text{removeAll-mset } L \text{ } C) \rangle$   
**using** *true-cls-mono-set-mset*[*of*  $\langle \text{removeAll-mset } L \text{ } C \rangle \text{ } C \text{ } I$ ]  
**apply** (*cases*  $\langle L \in \# \text{ } C \rangle$ )  
**apply** (*auto dest: multi-member-split simp: removeAll-notin*)  
**apply** (*metis (mono-tags, lifting) in-multiset-minus-notin-snd in-replicate-mset true-cls-def true-lit-def*)  
**done**

## Satisfiability

**definition** *satisfiable* :: 'a clause set  $\Rightarrow$  bool **where**

*satisfiable*  $CC \longleftrightarrow (\exists I. (I \models CC \wedge \text{consistent-interp } I \wedge \text{total-over-m } I \ CC))$

**lemma** *satisfiable-single[simp]*:

*satisfiable*  $\{\{\#L\#\}\}$

**unfolding** *satisfiable-def* **by** *fastforce*

**lemma** *satisfiable-empty[simp]*:  $\langle \text{satisfiable } \{\} \rangle$

**by** (*auto simp: satisfiable-def Ex-consistent-interp*)

**abbreviation** *unsatisfiable* :: 'a clause set  $\Rightarrow$  bool **where**

*unsatisfiable*  $CC \equiv \neg \text{satisfiable } CC$

**lemma** *satisfiable-decreasing*:

**assumes** *satisfiable*  $(\psi \cup \psi')$

**shows** *satisfiable*  $\psi$

**using** *assms total-over-m-union* **unfolding** *satisfiable-def* **by** *blast*

**lemma** *satisfiable-def-min*:

*satisfiable*  $CC$

$\longleftrightarrow (\exists I. I \models CC \wedge \text{consistent-interp } I \wedge \text{total-over-m } I \ CC \wedge \text{atm-of } I = \text{atms-of-ms } CC)$

(**is** *?sat*  $\longleftrightarrow ?B$ )

**proof**

**assume** *?B* **then show** *?sat* **by** (*auto simp add: satisfiable-def*)

**next**

**assume** *?sat*

**then obtain** *I* **where**

*I-CC*:  $I \models CC$  **and**

*cons*: *consistent-interp* *I* **and**

*tot*: *total-over-m* *I*  $CC$

**unfolding** *satisfiable-def* **by** *auto*

**let**  $?I = \{P. P \in I \wedge \text{atm-of } P \in \text{atms-of-ms } CC\}$

**have** *I-CC*:  $?I \models CC$

**using** *I-CC in-implies-atm-of-on-atms-of-ms* **unfolding** *true-cls-def Ball-def true-cls-def*

*Bex-def true-lit-def*

**by** *blast*

**moreover have** *cons*: *consistent-interp*  $?I$

**using** *cons* **unfolding** *consistent-interp-def* **by** *auto*

**moreover have** *total-over-m*  $?I \ CC$

**using** *tot* **unfolding** *total-over-m-def total-over-set-def* **by** *auto*

**moreover**

**have** *atms-CC-incl*:  $\text{atms-of-ms } CC \subseteq \text{atm-of } I$

**using** *tot* **unfolding** *total-over-m-def total-over-set-def atms-of-ms-def*

**by** (*auto simp add: atms-of-def atms-of-s-def[symmetric]*)

**have** *atm-of*  $?I = \text{atms-of-ms } CC$

**using** *atms-CC-incl* **unfolding** *atms-of-ms-def* **by** *force*

**ultimately show** *?B* **by** *auto*

**qed**

**lemma** *satisfiable-carac*:

$(\exists I. \text{consistent-interp } I \wedge I \models \varphi) \longleftrightarrow \text{satisfiable } \varphi$  (**is**  $(\exists I. ?Q \ I) \longleftrightarrow ?S$ )

**proof**

**assume**  $?S$   
**then show**  $\exists I. ?Q I$  **unfolding** *satisfiable-def* **by** *auto*  
**next**  
**assume**  $\exists I. ?Q I$   
**then obtain**  $I$  **where** *cons: consistent-interp I* **and**  $I: I \models_s \varphi$  **by** *metis*  
**let**  $?I' = \{Pos\ v \mid v. v \notin \text{atms-of-}s\ I \wedge v \in \text{atms-of-}ms\ \varphi\}$   
**have** *consistent-interp (I  $\cup$  ?I')*  
**using** *cons unfolding consistent-interp-def* **by** (*intro allI (rename-tac L, case-tac L, auto)*)  
**moreover have** *total-over-m (I  $\cup$  ?I')  $\varphi$*   
**unfolding** *total-over-m-def total-over-set-def* **by** *auto*  
**moreover have**  $I \cup ?I' \models_s \varphi$   
**using** *I unfolding Ball-def true-clss-def true-cls-def* **by** *auto*  
**ultimately show**  $?S$  **unfolding** *satisfiable-def* **by** *blast*  
**qed**

**lemma** *satisfiable-carac[simp]: consistent-interp I  $\implies$  I  $\models_s \varphi \implies$  satisfiable  $\varphi$*   
**using** *satisfiable-carac* **by** *metis*

**lemma** *unsatisfiable-mono:*  
 $\langle N \subseteq N' \implies \text{unsatisfiable } N \implies \text{unsatisfiable } N' \rangle$   
**by** (*metis (full-types) satisfiable-decreasing subset-Un-eq*)

## Entailment for Multisets of Clauses

**definition** *true-cls-mset :: 'a partial-interp  $\Rightarrow$  'a clause multiset  $\Rightarrow$  bool (infix  $\models_m$  50)* **where**  
 $I \models_m CC \longleftrightarrow (\forall C \in \# CC. I \models C)$

**lemma** *true-cls-mset-empty[simp]: I  $\models_m$   $\{\#\}$*   
**unfolding** *true-cls-mset-def* **by** *auto*

**lemma** *true-cls-mset-singleton[iff]: I  $\models_m$   $\{\# C \#\} \longleftrightarrow I \models C$*   
**unfolding** *true-cls-mset-def* **by** (*auto split: if-split-asm*)

**lemma** *true-cls-mset-union[iff]: I  $\models_m$  CC + DD  $\longleftrightarrow$  I  $\models_m$  CC  $\wedge$  I  $\models_m$  DD*  
**unfolding** *true-cls-mset-def* **by** *fastforce*

**lemma** *true-cls-mset-add-mset[iff]: I  $\models_m$  add-mset C CC  $\longleftrightarrow$  I  $\models$  C  $\wedge$  I  $\models_m$  CC*  
**unfolding** *true-cls-mset-def* **by** *auto*

**lemma** *true-cls-mset-image-mset[iff]: I  $\models_m$  image-mset f A  $\longleftrightarrow$  ( $\forall x \in \# A. I \models f x$ )*  
**unfolding** *true-cls-mset-def* **by** *fastforce*

**lemma** *true-cls-mset-mono: set-mset DD  $\subseteq$  set-mset CC  $\implies$  I  $\models_m$  CC  $\implies$  I  $\models_m$  DD*  
**unfolding** *true-cls-mset-def subset-iff* **by** *auto*

**lemma** *true-clss-set-mset[iff]: I  $\models_s$  set-mset CC  $\longleftrightarrow$  I  $\models_m$  CC*  
**unfolding** *true-clss-def true-cls-mset-def* **by** *auto*

**lemma** *true-cls-mset-increasing-r[simp]:*  
 $I \models_m CC \implies I \cup J \models_m CC$   
**unfolding** *true-cls-mset-def* **by** *auto*

**theorem** *true-cls-remove-unused:*  
**assumes**  $I \models \psi$   
**shows**  $\{v \in I. \text{atm-of } v \in \text{atms-of } \psi\} \models \psi$   
**using** *assms unfolding true-cls-def atms-of-def* **by** *auto*

**theorem** *true-cls-remove-unused*:

**assumes**  $I \models_s \psi$

**shows**  $\{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\} \models_s \psi$

**unfolding** *true-cls-def atms-of-def Ball-def*

**proof** (*intro allI impI*)

**fix**  $x$

**assume**  $x \in \psi$

**then have**  $I \models x$

**using** *assms unfolding true-cls-def atms-of-def Ball-def* **by** *auto*

**then have**  $\{v \in I. \text{atm-of } v \in \text{atms-of } x\} \models x$

**by** (*simp only: true-cls-remove-unused[of I]*)

**moreover have**  $\{v \in I. \text{atm-of } v \in \text{atms-of } x\} \subseteq \{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\}$

**using**  $\langle x \in \psi \rangle$  **by** (*auto simp add: atms-of-ms-def*)

**ultimately show**  $\{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\} \models x$

**using** *true-cls-mono-set-mset-l* **by** *blast*

**qed**

A simple application of the previous theorem:

**lemma** *true-cls-union-decrease*:

**assumes**  $II': I \cup I' \models \psi$

**and**  $H: \forall v \in I'. \text{atm-of } v \notin \text{atms-of } \psi$

**shows**  $I \models \psi$

**proof** –

**let**  $?I = \{v \in I \cup I'. \text{atm-of } v \in \text{atms-of } \psi\}$

**have**  $?I \models \psi$  **using** *true-cls-remove-unused II'* **by** *blast*

**moreover have**  $?I \subseteq I$  **using**  $H$  **by** *auto*

**ultimately show** *?thesis* **using** *true-cls-mono-set-mset-l* **by** *blast*

**qed**

**lemma** *multiset-not-empty*:

**assumes**  $M \neq \{\#\}$

**and**  $x \in\# M$

**shows**  $\exists A. x = \text{Pos } A \vee x = \text{Neg } A$

**using** *assms literal.exhaust-sel* **by** *blast*

**lemma** *atms-of-ms-empty*:

**fixes**  $\psi :: 'v \text{ clause-set}$

**assumes**  $\text{atms-of-ms } \psi = \{\}$

**shows**  $\psi = \{\} \vee \psi = \{\#\}$

**using** *assms* **by** (*auto simp add: atms-of-ms-def*)

**lemma** *consistent-interp-disjoint*:

**assumes**  $\text{consI}: \text{consistent-interp } I$

**and**  $\text{disj}: \text{atms-of-s } A \cap \text{atms-of-s } I = \{\}$

**and**  $\text{consA}: \text{consistent-interp } A$

**shows**  $\text{consistent-interp } (A \cup I)$

**proof** (*rule ccontr*)

**assume**  $\neg ?thesis$

**moreover have**  $\bigwedge L. \neg (L \in A \wedge \neg L \in I)$

**using**  $\text{disj}$  **unfolding** *atms-of-s-def* **by** (*auto simp add: rev-image-eqI*)

**ultimately show** *False*

**using**  $\text{consA}$   $\text{consI}$  **unfolding** *consistent-interp-def* **by** (*metis (full-types) Un-iff literal.exhaust-sel uminus-Neg uminus-Pos*)

**qed**



**lemma** *total-remove-unused*:  
**assumes** *total-over-m*  $I \psi$   
**shows** *total-over-m*  $\{v \in I. \text{atm-of } v \in \text{atms-of-ms } \psi\} \psi$   
**using** *assms unfolding total-over-m-def total-over-set-def*  
**by** (*metis (lifting) literal.sel(1,2) mem-Collect-eq*)

**lemma** *true-cls-remove-hd-if-notin-vars*:  
**assumes** *insert*  $a M' \models D$   
**and** *atm-of*  $a \notin \text{atms-of } D$   
**shows**  $M' \models D$   
**using** *assms by (auto simp add: atm-of-lit-in-atms-of true-cls-def)*

**lemma** *total-over-set-atm-of*:  
**fixes**  $I :: 'v \text{ partial-interp}$  **and**  $K :: 'v \text{ set}$   
**shows** *total-over-set*  $I K \longleftrightarrow (\forall l \in K. l \in (\text{atm-of } 'I))$   
**unfolding** *total-over-set-def* **by** (*metis atms-of-s-def in-atms-of-s-decomp*)

**lemma** *true-cls-mset-true-clss-iff*:  
 $\langle \text{finite } C \implies I \models_m \text{mset-set } C \longleftrightarrow I \models_s C \rangle$   
 $\langle I \models_m D \longleftrightarrow I \models_s \text{set-mset } D \rangle$   
**by** (*auto simp: true-clss-def true-cls-mset-def Ball-def*  
*dest: multi-member-split*)

## Tautologies

We define tautologies as clause entailed by every total model and show later that is equivalent to containing a literal and its negation.

**definition** *tautology* ( $\psi :: 'v \text{ clause}$ )  $\equiv \forall I. \text{total-over-set } I (\text{atms-of } \psi) \longrightarrow I \models \psi$

**lemma** *tautology-Pos-Neg[intro]*:  
**assumes** *Pos*  $p \in\# A$  **and** *Neg*  $p \in\# A$   
**shows** *tautology*  $A$   
**using** *assms unfolding tautology-def total-over-set-def true-cls-def Bex-def*  
**by** (*meson atm-iff-pos-or-neg-lit true-lit-def*)

**lemma** *tautology-minus[simp]*:  
**assumes**  $L \in\# A$  **and**  $-L \in\# A$   
**shows** *tautology*  $A$   
**by** (*metis assms literal.exhaust tautology-Pos-Neg uminus-Neg uminus-Pos*)

**lemma** *tautology-exists-Pos-Neg*:  
**assumes** *tautology*  $\psi$   
**shows**  $\exists p. \text{Pos } p \in\# \psi \wedge \text{Neg } p \in\# \psi$

**proof** (*rule ccontr*)  
**assume**  $p: \neg (\exists p. \text{Pos } p \in\# \psi \wedge \text{Neg } p \in\# \psi)$   
**let**  $?I = \{-L \mid L. L \in\# \psi\}$   
**have** *total-over-set*  $?I (\text{atms-of } \psi)$   
**unfolding** *total-over-set-def* **using** *atm-imp-pos-or-neg-lit* **by** *force*  
**moreover** **have**  $\neg ?I \models \psi$   
**unfolding** *true-cls-def true-lit-def Bex-def* **apply** *clarify*  
**using**  $p$  **by** (*rename-tac x L, case-tac L*) *fastforce+*  
**ultimately show** *False* **using** *assms unfolding tautology-def* **by** *auto*  
**qed**

**lemma** *tautology-decomp*:

$\text{tautology } \psi \longleftrightarrow (\exists p. \text{Pos } p \in\# \psi \wedge \text{Neg } p \in\# \psi)$   
**using** *tautology-exists-Pos-Neg* **by** *auto*

**lemma** *tautology-union-add-iff[simp]*:

$\langle \text{tautology } (A \cup\# B) \longleftrightarrow \text{tautology } (A + B) \rangle$   
**by** (*auto simp: tautology-decomp*)

**lemma** *tautology-add-mset-union-add-iff[simp]*:

$\langle \text{tautology } (\text{add-mset } L (A \cup\# B)) \longleftrightarrow \text{tautology } (\text{add-mset } L (A + B)) \rangle$   
**by** (*auto simp: tautology-decomp*)

**lemma** *not-tautology-minus*:

$\langle \neg \text{tautology } A \implies \neg \text{tautology } (A - B) \rangle$   
**by** (*auto simp: tautology-decomp dest: in-diffD*)

**lemma** *tautology-false[simp]*:  $\neg \text{tautology } \{\#\}$

**unfolding** *tautology-def* **by** *auto*

**lemma** *tautology-add-mset*:

$\text{tautology } (\text{add-mset } a L) \longleftrightarrow \text{tautology } L \vee -a \in\# L$   
**unfolding** *tautology-decomp* **by** (*cases a*) *auto*

**lemma** *tautology-single[simp]*:  $\langle \neg \text{tautology } \{\#L\#\} \rangle$

**by** (*simp add: tautology-add-mset*)

**lemma** *tautology-union*:

$\langle \text{tautology } (A + B) \longleftrightarrow \text{tautology } A \vee \text{tautology } B \vee (\exists a. a \in\# A \wedge -a \in\# B) \rangle$   
**by** (*metis tautology-decomp tautology-minus uminus-Neg uminus-Pos union-iff*)

**lemma**

*tautology-poss[simp]*:  $\langle \neg \text{tautology } (\text{poss } A) \rangle$  **and**  
*tautology-negs[simp]*:  $\langle \neg \text{tautology } (\text{negs } A) \rangle$   
**by** (*auto simp: tautology-decomp*)

**lemma** *tautology-uminus[simp]*:

$\langle \text{tautology } (\text{uminus } \# w) \longleftrightarrow \text{tautology } w \rangle$   
**by** (*auto 5 5 simp: tautology-decomp add-mset-eq-add-mset eq-commute[of <Pos -> <->] eq-commute[of <Neg -> <->] simp flip: uminus-lit-swap dest!: multi-member-split*)

**lemma** *minus-interp-tautology*:

**assumes**  $\{-L \mid L. L \in\# \chi\} \models \chi$   
**shows** *tautology*  $\chi$

**proof** –

**obtain**  $L$  **where**  $L \in\# \chi \wedge -L \in\# \chi$   
**using** *assms unfolding true-cls-def* **by** *auto*

**then show** *?thesis* **using** *tautology-decomp literal.exhaust uminus-Neg uminus-Pos* **by** *metis qed*

**lemma** *remove-literal-in-model-tautology*:

**assumes**  $I \cup \{\text{Pos } P\} \models \varphi$   
**and**  $I \cup \{\text{Neg } P\} \models \varphi$   
**shows**  $I \models \varphi \vee \text{tautology } \varphi$   
**using** *assms unfolding true-cls-def* **by** *auto*

**lemma** *tautology-imp-tautology*:

**fixes**  $\chi \chi' :: 'v$  clause

**assumes**  $\forall I. \text{total-over-m } I \{ \chi \} \longrightarrow I \models \chi \longrightarrow I \models \chi'$  **and** *tautology*  $\chi$

**shows** *tautology*  $\chi'$  **unfolding** *tautology-def*

**proof** (*intro allI HOL.impI*)

**fix**  $I :: 'v$  literal set

**assume** *totI*: *total-over-set*  $I$  (*atms-of*  $\chi'$ )

**let**  $?I' = \{ \text{Pos } v \mid v. v \in \text{atms-of } \chi \wedge v \notin \text{atms-of-s } I \}$

**have** *totI'*: *total-over-m*  $(I \cup ?I')$   $\{ \chi \}$  **unfolding** *total-over-m-def total-over-set-def* **by** *auto*

**then have**  $\chi: I \cup ?I' \models \chi$  **using** *assms(2)* **unfolding** *total-over-m-def tautology-def* **by** *simp*

**then have**  $I \cup (?I' - I) \models \chi'$  **using** *assms(1)* *totI'* **by** *auto*

**moreover have**  $\bigwedge L. L \in \# \chi' \implies L \notin ?I'$

**using** *totI* **unfolding** *total-over-set-def* **by** (*auto dest: pos-lit-in-atms-of*)

**ultimately show**  $I \models \chi'$  **unfolding** *true-cls-def* **by** *auto*

**qed**

**lemma** *not-tautology-mono*:  $\langle D' \subseteq \# D \implies \neg \text{tautology } D \implies \neg \text{tautology } D' \rangle$

**by** (*meson tautology-imp-tautology true-cls-add-mset true-cls-mono-leD*)

**lemma** *tautology-decomp'*:

$\langle \text{tautology } C \longleftrightarrow (\exists L. L \in \# C \wedge \neg L \in \# C) \rangle$

**unfolding** *tautology-decomp*

**apply** *auto*

**apply** (*case-tac L*)

**apply** *auto*

**done**

**lemma** *consistent-interp-tautology*:

$\langle \text{consistent-interp } (\text{set } M') \longleftrightarrow \neg \text{tautology } (\text{mset } M') \rangle$

**by** (*auto simp: consistent-interp-def tautology-decomp lit-in-set-iff-atm*)

**lemma** *consistent-interp-tautology-mset-set*:

$\langle \text{finite } x \implies \text{consistent-interp } x \longleftrightarrow \neg \text{tautology } (\text{mset-set } x) \rangle$

**using** *ex-mset[of <mset-set x>]*

**by** (*auto simp: consistent-interp-tautology eq-commute[of <mset ->] mset-set-eq-mset-iff*

*mset-set-set*)

**lemma** *tautology-distinct-atm-iff*:

$\langle \text{distinct-mset } C \implies \text{tautology } C \longleftrightarrow \neg \text{distinct-mset } (\text{atm-of } \# C) \rangle$

**by** (*induction C*)

(*auto simp: tautology-add-mset atm-of-eq-atm-of*

*dest: multi-member-split*)

**lemma** *not-tautology-minusD*:

$\langle \text{tautology } (A - B) \implies \text{tautology } A \rangle$

**by** (*auto simp: tautology-decomp dest: in-diffD*)

**lemma** *tautology-length-ge2*:  $\langle \text{tautology } C \implies \text{size } C \geq 2 \rangle$

**by** (*auto simp: tautology-decomp add-mset-eq-add-mset dest!: multi-member-split*)

**lemma** *tautology-add-subset*:  $\langle A \subseteq \# Aa \implies \text{tautology } (A + Aa) \longleftrightarrow \text{tautology } Aa \rangle$  **for**  $A Aa$

**by** (*metis mset-subset-eqD subset-mset.add-diff-inverse tautology-minus tautology-union*)

## Entailment for clauses and propositions

We also need entailment of clauses by other clauses.

**definition** *true-cls-cls* :: 'a clause  $\Rightarrow$  'a clause  $\Rightarrow$  bool (**infix**  $\models_f$  49) **where**  
 $\psi \models_f \chi \longleftrightarrow (\forall I. \text{total-over-m } I (\{\psi\} \cup \{\chi\}) \longrightarrow \text{consistent-interp } I \longrightarrow I \models \psi \longrightarrow I \models \chi)$

**definition** *true-cls-clss* :: 'a clause  $\Rightarrow$  'a clause-set  $\Rightarrow$  bool (**infix**  $\models_{fs}$  49) **where**  
 $\psi \models_{fs} \chi \longleftrightarrow (\forall I. \text{total-over-m } I (\{\psi\} \cup \chi) \longrightarrow \text{consistent-interp } I \longrightarrow I \models \psi \longrightarrow I \models_s \chi)$

**definition** *true-clss-cls* :: 'a clause-set  $\Rightarrow$  'a clause  $\Rightarrow$  bool (**infix**  $\models_p$  49) **where**  
 $N \models_p \chi \longleftrightarrow (\forall I. \text{total-over-m } I (N \cup \{\chi\}) \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi)$

**definition** *true-clss-clss* :: 'a clause-set  $\Rightarrow$  'a clause-set  $\Rightarrow$  bool (**infix**  $\models_{ps}$  49) **where**  
 $N \models_{ps} N' \longleftrightarrow (\forall I. \text{total-over-m } I (N \cup N') \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models_s N')$

**lemma** *true-cls-cls-refl[simp]*:

$A \models_f A$

**unfolding** *true-cls-cls-def* **by** *auto*

**lemma** *true-clss-cls-empty-empty[iff]*:

$\langle \{\} \models_p \{\# \} \longleftrightarrow \text{False} \rangle$

**unfolding** *true-clss-cls-def consistent-interp-def* **by** *auto*

**lemma** *true-cls-cls-insert-l[simp]*:

$a \models_f C \Longrightarrow \text{insert } a \ A \models_p C$

**unfolding** *true-cls-cls-def true-clss-cls-def true-clss-def* **by** *fastforce*

**lemma** *true-cls-clss-empty[iff]*:

$N \models_{fs} \{\}$

**unfolding** *true-cls-clss-def* **by** *auto*

**lemma** *true-prop-true-clause[iff]*:

$\{\varphi\} \models_p \psi \longleftrightarrow \varphi \models_f \psi$

**unfolding** *true-cls-cls-def true-clss-cls-def* **by** *auto*

**lemma** *true-clss-clss-true-clss-cls[iff]*:

$N \models_{ps} \{\psi\} \longleftrightarrow N \models_p \psi$

**unfolding** *true-clss-clss-def true-clss-cls-def* **by** *auto*

**lemma** *true-clss-clss-true-cls-clss[iff]*:

$\{\chi\} \models_{ps} \psi \longleftrightarrow \chi \models_{fs} \psi$

**unfolding** *true-clss-clss-def true-cls-clss-def* **by** *auto*

**lemma** *true-clss-clss-empty[simp]*:

$N \models_{ps} \{\}$

**unfolding** *true-clss-clss-def* **by** *auto*

**lemma** *true-clss-cls-subset*:

$A \subseteq B \Longrightarrow A \models_p CC \Longrightarrow B \models_p CC$

**unfolding** *true-clss-cls-def total-over-m-union* **by** (*simp add: total-over-m-subset true-clss-mono*)

This version of  $\llbracket ?A \subseteq ?B; ?A \models_p ?CC \rrbracket \Longrightarrow ?B \models_p ?CC$  is useful as intro rule.

**lemma** (**in**  $-$ )*true-clss-cls-subsetI*:  $\langle I \models_p A \Longrightarrow I \subseteq I' \Longrightarrow I' \models_p A \rangle$

**by** (*simp add: true-clss-cls-subset*)

**lemma** *true-clss-cs-mono-l[simp]*:

$A \models_p CC \Longrightarrow A \cup B \models_p CC$

**by** (*auto intro: true-clss-cls-subset*)

**lemma** *true-clss-clss-mono-l2*[simp]:  
 $B \models_p CC \implies A \cup B \models_p CC$   
**by** (*auto intro: true-clss-clss-subset*)

**lemma** *true-clss-clss-mono-r*[simp]:  
 $A \models_p CC \implies A \models_p CC + CC'$   
**unfolding** *true-clss-clss-def total-over-m-union total-over-m-sum* **by** *blast*

**lemma** *true-clss-clss-mono-r'*[simp]:  
 $A \models_p CC' \implies A \models_p CC + CC'$   
**unfolding** *true-clss-clss-def total-over-m-union total-over-m-sum* **by** *blast*

**lemma** *true-clss-clss-mono-add-mset*[simp]:  
 $A \models_p CC \implies A \models_p \text{add-mset } L \ CC$   
**using** *true-clss-clss-mono-r*[of  $A \ CC \ \text{add-mset } L \ \{\#\}$ ] **by** *simp*

**lemma** *true-clss-clss-union-l*[simp]:  
 $A \models_{ps} CC \implies A \cup B \models_{ps} CC$   
**unfolding** *true-clss-clss-def total-over-m-union* **by** *fastforce*

**lemma** *true-clss-clss-union-l-r*[simp]:  
 $B \models_{ps} CC \implies A \cup B \models_{ps} CC$   
**unfolding** *true-clss-clss-def total-over-m-union* **by** *fastforce*

**lemma** *true-clss-clss-in*[simp]:  
 $CC \in A \implies A \models_p CC$   
**unfolding** *true-clss-clss-def true-clss-def total-over-m-union* **by** *fastforce*

**lemma** *true-clss-clss-insert-l*[simp]:  
 $A \models_p C \implies \text{insert } a \ A \models_p C$   
**unfolding** *true-clss-clss-def true-clss-def* **using** *total-over-m-union*  
**by** (*metis Un-iff insert-is-Un sup commute*)

**lemma** *true-clss-clss-insert-l'*[simp]:  
 $A \models_{ps} C \implies \text{insert } a \ A \models_{ps} C$   
**unfolding** *true-clss-clss-def true-clss-clss-def true-clss-def* **by** *blast*

**lemma** *true-clss-clss-union-and*[iff]:  
 $A \models_{ps} C \cup D \iff (A \models_{ps} C \wedge A \models_{ps} D)$

**proof**  
{  
**fix**  $A \ C \ D :: 'a \ \text{clause-set}$   
**assume**  $A: A \models_{ps} C \cup D$   
**have**  $A \models_{ps} C$   
**unfolding** *true-clss-clss-def true-clss-clss-def insert-def total-over-m-insert*  
**proof** (*intro allI impI*)  
**fix**  $I$   
**assume**  
*totAC: total-over-m I (A  $\cup$  C) and*  
*cons: consistent-interp I and*  
 $I: I \models_s A$   
**then have** *tot: total-over-m I A and tot': total-over-m I C* **by** *auto*  
**obtain**  $I'$  **where**  
*tot': total-over-m (I  $\cup$  I') (A  $\cup$  C  $\cup$  D) and*  
*cons': consistent-interp (I  $\cup$  I') and*  
 $H: \forall x \in I'. \text{atm-of } x \in \text{atms-of-ms } D \wedge \text{atm-of } x \notin \text{atms-of-ms } (A \cup C)$

**using** *total-over-m-consistent-extension*[*OF - cons, of A ∪ C*] *tot tot'* **by** *blast*  
**moreover have**  $I \cup I' \models_s A$  **using** *I* **by** *simp*  
**ultimately have**  $I \cup I' \models_s C \cup D$  **using** *A* **unfolding** *true-clss-clss-def* **by** *auto*  
**then have**  $I \cup I' \models_s C \cup D$  **by** *auto*  
**then show**  $I \models_s C$  **using** *notin-vars-union-true-clss-true-clss*[*of I'*] *H* **by** *auto*  
**qed**  
**}** **note**  $H = \text{this}$   
**assume**  $A \models_{ps} C \cup D$   
**then show**  $A \models_{ps} C \wedge A \models_{ps} D$  **using** *H*[*of A*] *Un-commute*[*of C D*] **by** *metis*  
**next**  
**assume**  $A \models_{ps} C \wedge A \models_{ps} D$   
**then show**  $A \models_{ps} C \cup D$   
**unfolding** *true-clss-clss-def* **by** *auto*  
**qed**

**lemma** *true-clss-clss-insert*[*iff*]:  
 $A \models_{ps} \text{insert } L \ Ls \iff (A \models_p L \wedge A \models_{ps} Ls)$   
**using** *true-clss-clss-union-and*[*of A {L} Ls*] **by** *auto*

**lemma** *true-clss-clss-subset*:  
 $A \subseteq B \implies A \models_{ps} CC \implies B \models_{ps} CC$   
**by** (*metis subset-Un-eq true-clss-clss-union-l*)

Better suited as intro rule:

**lemma** *true-clss-clss-subsetI*:  
 $A \models_{ps} CC \implies A \subseteq B \implies B \models_{ps} CC$   
**by** (*metis subset-Un-eq true-clss-clss-union-l*)

**lemma** *union-trus-clss-clss*[*simp*]:  $A \cup B \models_{ps} B$   
**unfolding** *true-clss-clss-def* **by** *auto*

**lemma** *true-clss-clss-remove*[*simp*]:  
 $A \models_{ps} B \implies A \models_{ps} B - C$   
**by** (*metis Un-Diff-Int true-clss-clss-union-and*)

**lemma** *true-clss-clss-subsetE*:  
 $N \models_{ps} B \implies A \subseteq B \implies N \models_{ps} A$   
**by** (*metis sup.orderE true-clss-clss-union-and*)

**lemma** *true-clss-clss-in-imp-true-clss-clss*:  
**assumes**  $N \models_{ps} U$   
**and**  $A \in U$   
**shows**  $N \models_p A$   
**using** *assms mk-disjoint-insert* **by** *fastforce*

**lemma** *all-in-true-clss-clss*:  $\forall x \in B. x \in A \implies A \models_{ps} B$   
**unfolding** *true-clss-clss-def true-clss-def* **by** *auto*

**lemma** *true-clss-clss-left-right*:  
**assumes**  $A \models_{ps} B$   
**and**  $A \cup B \models_{ps} M$   
**shows**  $A \models_{ps} M \cup B$   
**using** *assms* **unfolding** *true-clss-clss-def* **by** *auto*

**lemma** *true-clss-clss-generalise-true-clss-clss*:  
 $A \cup C \models_{ps} D \implies B \models_{ps} C \implies A \cup B \models_{ps} D$

**proof** –

**assume**  $a1: A \cup C \models_{ps} D$   
**assume**  $B \models_{ps} C$   
**then have**  $f2: \bigwedge M. M \cup B \models_{ps} C$   
  **by** (*meson true-clss-clss-union-l-r*)  
**have**  $\bigwedge M. C \cup (M \cup A) \models_{ps} D$   
  **using**  $a1$  **by** (*simp add: Un-commute sup-left-commute*)  
**then show** *?thesis*  
  **using**  $f2$  **by** (*metis (no-types) Un-commute true-clss-clss-left-right true-clss-clss-union-and*)  
**qed**

**lemma** *true-clss-clss-or-true-clss-clss-or-not-true-clss-clss-or*:

**assumes**  $D: N \models_p \text{add-mset } (-L) D$   
**and**  $C: N \models_p \text{add-mset } L C$   
**shows**  $N \models_p D + C$   
**unfolding** *true-clss-clss-def*

**proof** (*intro allI impI*)

**fix**  $I$

**assume**

*tot: total-over-m I (N  $\cup$  {D + C}) and*

*consistent-interp I and*

$I \models_s N$

{

**assume**  $L: L \in I \vee -L \in I$

**then have** *total-over-m I {D + {#- L#}}*

**using** *tot* **by** (*cases L*) *auto*

**then have**  $I \models D + \{\#- L\#}$  **using**  $D \langle I \models_s N \rangle \text{ tot } \langle \text{consistent-interp } I \rangle$

**unfolding** *true-clss-clss-def* **by** *auto*

**moreover**

**have** *total-over-m I {C + {#L#}}*

**using**  $L$  *tot* **by** (*cases L*) *auto*

**then have**  $I \models C + \{\#L\#}$

**using**  $C \langle I \models_s N \rangle \text{ tot } \langle \text{consistent-interp } I \rangle$  **unfolding** *true-clss-clss-def* **by** *auto*

**ultimately have**  $I \models D + C$  **using**  $\langle \text{consistent-interp } I \rangle$  *consistent-interp-def* **by** *fastforce*

}

**moreover** {

**assume**  $L: L \notin I \wedge -L \notin I$

**let**  $?I' = I \cup \{L\}$

**have** *consistent-interp ?I'* **using**  $L \langle \text{consistent-interp } I \rangle$  **by** *auto*

**moreover have** *total-over-m ?I' {add-mset (-L) D}*

**using** *tot* **unfolding** *total-over-m-def total-over-set-def* **by** (*auto simp add: atms-of-def*)

**moreover have** *total-over-m ?I' N* **using** *tot* **using** *total-union* **by** *blast*

**moreover have**  $?I' \models_s N$  **using**  $\langle I \models_s N \rangle$  **using** *true-clss-union-increase* **by** *blast*

**ultimately have**  $?I' \models \text{add-mset } (-L) D$

**using**  $D$  **unfolding** *true-clss-clss-def* **by** *blast*

**then have**  $?I' \models D$  **using**  $L$  **by** *auto*

**moreover**

**have** *total-over-set I (atms-of (D + C))* **using** *tot* **by** *auto*

**then have**  $L \notin \# D \wedge -L \notin \# D$

**using**  $L$  **unfolding** *total-over-set-def atms-of-def* **by** (*cases L*) *force+*

**ultimately have**  $I \models D + C$  **unfolding** *true-clss-def* **by** *auto*

}

**ultimately show**  $I \models D + C$  **by** *blast*

**qed**

**lemma** *true-clss-union-mset[iff]*:  $I \models C \cup \# D \iff I \models C \vee I \models D$

**unfolding** *true-cls-def* **by** *force*

**lemma** *true-clss-cls-sup-iff-add*:  $N \models_p C \cup\# D \longleftrightarrow N \models_p C + D$   
**by** (*auto simp: true-clss-cls-def*)

**lemma** *true-clss-cls-union-mset-true-clss-cls-or-not-true-clss-cls-or*:

**assumes**

$D: N \models_p \text{add-mset } (-L) D$  **and**

$C: N \models_p \text{add-mset } L C$

**shows**  $N \models_p D \cup\# C$

**using** *true-clss-cls-or-true-clss-cls-or-not-true-clss-cls-or* [*OF assms*]

**by** (*subst true-clss-cls-sup-iff-add*)

**lemma** *true-clss-cls-tautology-iff*:

$\langle \{\} \models_p a \longleftrightarrow \text{tautology } a \rangle$  (**is**  $\langle ?A \longleftrightarrow ?B \rangle$ )

**proof**

**assume**  $?A$

**then have**  $H: \langle \text{total-over-set } I (\text{atms-of } a) \implies \text{consistent-interp } I \implies I \models a \rangle$  **for**  $I$

**by** (*auto simp: true-clss-cls-def tautology-decomp add-mset-eq-add-mset*  
*dest!: multi-member-split*)

**show**  $?B$

**unfolding** *tautology-def*

**proof** (*intro allI impI*)

**fix**  $I$

**assume**  $\text{tot}: \langle \text{total-over-set } I (\text{atms-of } a) \rangle$

**let**  $?Iinter = \langle I \cap \text{uminus } 'I \rangle$

**let**  $?I = \langle I - ?Iinter \cup \text{Pos } ' \text{atm-of } ' ?Iinter \rangle$

**have**  $\langle \text{total-over-set } ?I (\text{atms-of } a) \rangle$

**using**  $\text{tot}$  **by** (*force simp: total-over-set-def image-image Clausal-Logic.uminus-lit-swap*  
*simp: image-iff*)

**moreover have**  $\langle \text{consistent-interp } ?I \rangle$

**unfolding** *consistent-interp-def image-iff*

**apply** *clarify*

**subgoal for**  $L$

**apply** (*cases L*)

**apply** (*auto simp: consistent-interp-def uminus-lit-swap image-iff*)

**apply** (*case-tac xa; auto; fail*)<sup>+</sup>

**done**

**done**

**ultimately have**  $\langle ?I \models a \rangle$

**using**  $H[\text{of } ?I]$  **by** *fast*

**moreover have**  $\langle ?I \subseteq I \rangle$

**apply** (*rule*)

**subgoal for**  $x$  **by** (*cases x; auto; rename-tac xb; case-tac xb; auto*)

**done**

**ultimately show**  $\langle I \models a \rangle$

**by** (*blast intro: true-cls-mono-set-mset-l*)

**qed**

**next**

**assume**  $?B$

**then show**  $\langle ?A \rangle$

**by** (*auto simp: true-clss-cls-def tautology-decomp add-mset-eq-add-mset*  
*dest!: multi-member-split*)

**qed**



**lemma** *true-cls-mset-empty-iff[simp]*:  $\langle \{\} \models_m C \longleftrightarrow C = \{\#\} \rangle$   
**by** (*cases C*) *auto*

**lemma** *true-clss-mono-left*:  
 $\langle I \models_s A \implies I \subseteq J \implies J \models_s A \rangle$   
**by** (*metis sup.orderE true-clss-union-increase'*)

**lemma** *true-cls-remove-alien*:  
 $\langle I \models N \longleftrightarrow \{L. L \in I \wedge \text{atm-of } L \in \text{atms-of } N\} \models N \rangle$   
**by** (*auto simp: true-cls-def dest: multi-member-split*)

**lemma** *true-clss-remove-alien*:  
 $\langle I \models_s N \longleftrightarrow \{L. L \in I \wedge \text{atm-of } L \in \text{atms-of-ms } N\} \models_s N \rangle$   
**by** (*auto simp: true-clss-def true-cls-def in-implies-atm-of-on-atms-of-ms dest: multi-member-split*)

**lemma** *true-clss-alt-def*:  
 $\langle N \models_p \chi \longleftrightarrow (\forall I. \text{atms-of-s } I = \text{atms-of-ms } (N \cup \{\chi\}) \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi) \rangle$   
**apply** (*rule iffI*)  
**subgoal**  
**unfolding** *total-over-set-alt-def true-clss-cls-def total-over-m-alt-def*  
**by** *auto*  
**subgoal**  
**unfolding** *total-over-set-alt-def true-clss-cls-def total-over-m-alt-def*  
**apply** (*intro conjI impI allI*)  
**subgoal for** *I*  
**using** *consistent-interp-subset[of \langle \{L \in I. \text{atm-of } L \in \text{atms-of-ms } (N \cup \{\chi\}) \} \rangle I]*  
*true-clss-mono-left[of \langle \{L \in I. \text{atm-of } L \in \text{atms-of-ms } N \} \rangle N*  
 $\langle \{L \in I. \text{atm-of } L \in \text{atms-of-ms } (N \cup \{\chi\}) \} \rangle$   
*true-clss-remove-alien[of I N]*  
**by** (*drule-tac x = \langle \{L \in I. \text{atm-of } L \in \text{atms-of-ms } (N \cup \{\chi\}) \} \rangle in spec*)  
*(auto dest: true-cls-mono-set-mset-l)*  
**done**  
**done**

**lemma** *true-clss-alt-def2*:  
**assumes**  $\langle \neg \text{tautology } \chi \rangle$   
**shows**  $\langle N \models_p \chi \longleftrightarrow (\forall I. \text{atms-of-s } I = \text{atms-of-ms } N \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi) \rangle$  (*is \langle ?A \longleftrightarrow ?B \rangle*)  
**proof** (*rule iffI*)  
**assume** *?A*  
**then have** *H*:  
 $\langle \bigwedge I. \text{atms-of-ms } (N \cup \{\chi\}) \subseteq \text{atms-of-s } I \longrightarrow \text{consistent-interp } I \longrightarrow I \models_s N \longrightarrow I \models \chi \rangle$   
**unfolding** *total-over-set-alt-def total-over-m-alt-def true-clss-cls-def* **by** *blast*  
**show** *?B*  
**unfolding** *total-over-set-alt-def total-over-m-alt-def true-clss-cls-def*  
**proof** (*intro conjI impI allI*)  
**fix** *I* ::  $\langle \text{'a literal set} \rangle$   
**assume**  
*atms: \langle \text{atms-of-s } I = \text{atms-of-ms } N \rangle* **and**  
*cons: \langle \text{consistent-interp } I \rangle* **and**  
 $\langle I \models_s N \rangle$   
**let** *?I1 = \langle I \cup \text{uminus } \{L \in \text{set-mset } \chi. \text{atm-of } L \notin \text{atms-of-s } I\} \rangle  
**have**  $\langle \text{atms-of-ms } (N \cup \{\chi\}) \subseteq \text{atms-of-s } ?I1 \rangle$*

```

    by (auto simp add: atms in-image-uminus-uminus atm-iff-pos-or-neg-lit)
  moreover have ‹consistent-interp ?I1›
    using cons assms by (auto simp: consistent-interp-def)
      (rename-tac x; case-tac x; auto; fail)+
  moreover have ‹?I1  $\models_s$  N›
    using ‹I  $\models_s$  N› by auto
  ultimately have ‹?I1  $\models$   $\chi$ ›
    using H[of ?I1] by auto
  then show ‹I  $\models$   $\chi$ ›
    using assms by (auto simp: true-cls-def)
qed
next
assume ?B
show ?A
  unfolding total-over-m-alt-def true-cls-alt-def
proof (intro conjI impI allI)
  fix I :: ‹'a literal set›
  assume
    atms: ‹atms-of-s I = atms-of-ms (N  $\cup$  { $\chi$ }› and
    cons: ‹consistent-interp I› and
    ‹I  $\models_s$  N›
  let ?I1 = ‹{L  $\in$  I. atm-of L  $\in$  atms-of-ms N}›
  have ‹atms-of-s ?I1 = atms-of-ms N›
    using atms by (auto simp add: in-image-uminus-uminus atm-iff-pos-or-neg-lit)
  moreover have ‹consistent-interp ?I1›
    using cons assms by (auto simp: consistent-interp-def)
  moreover have ‹?I1  $\models_s$  N›
    using ‹I  $\models_s$  N› by (subst (asm) true-cls-remove-alien)
  ultimately have ‹?I1  $\models$   $\chi$ ›
    using ‹?B› by auto
  then show ‹I  $\models$   $\chi$ ›
    using assms by (auto simp: true-cls-def)
qed
qed

lemma true-cls-restrict-iff:
  assumes ‹ $\neg$ tautology  $\chi$ ›
  shows ‹N  $\models_p$   $\chi$   $\longleftrightarrow$  N  $\models_p$  {#L  $\in$  #  $\chi$ . atm-of L  $\in$  atms-of-ms N#}› (is ‹?A  $\longleftrightarrow$  ?B›)
  apply (subst true-cls-alt-def2[OF assms])
  apply (subst true-cls-alt-def2)
  subgoal using not-tautology-mono[OF - assms] by (auto dest: not-tautology-minus)
  apply (rule HOL.iff-allI)
  apply (auto 5 5 simp: true-cls-def atms-of-s-def dest!: multi-member-split)
done

```

This is a slightly restrictive theorem, that encompasses most useful cases. The assumption  $\neg$  *tautology C* can be removed if the model *I* is total over the clause.

```

lemma true-cls-cls-true-cls-true-cls:
  assumes ‹N  $\models_p$  C›
    ‹I  $\models_s$  N› and
    cons: ‹consistent-interp I› and
    tauto: ‹ $\neg$ tautology C›
  shows ‹I  $\models$  C›
proof -
  let ?I = ‹I  $\cup$  uminus ‘ {L  $\in$  set-mset C. atm-of L  $\notin$  atms-of-s I}›
  let ?I2 = ‹?I  $\cup$  Pos ‘ {L  $\in$  atms-of-ms N. L  $\notin$  atms-of-s ?I}›

```

**have**  $\langle \text{total-over-}m \text{ ?}I2 (N \cup \{C\}) \rangle$   
**by** (*auto simp: total-over-}m-alt-def atms-of-def in-image-uminus-uminus*  
*dest!: multi-member-split*)  
**moreover have**  $\langle \text{consistent-interp } ?I2 \rangle$   
**using** *cons tauto unfolding consistent-interp-def*  
**apply** (*intro allI*)  
**apply** (*case-tac L*)  
**by** (*auto simp: uminus-lit-swap eq-commute[of  $\langle \text{Pos } \rightarrow \langle - \rightarrow \rangle$*   
*eq-commute[of  $\langle \text{Neg } \rightarrow \langle - \rightarrow \rangle$ ]*)  
**moreover have**  $\langle ?I2 \models_s N \rangle$   
**using**  $\langle I \models_s N \rangle$  **by** *auto*  
**ultimately have**  $\langle ?I2 \models C \rangle$   
**using** *assms(1) unfolding true-clss-cls-def by fast*  
**then show** *?thesis*  
**using** *tauto*  
**by** (*subst (asm) true-cls-remove-alien*)  
*(auto simp: true-cls-def in-image-uminus-uminus)*  
**qed**

### 1.1.4 Subsumptions

**lemma** *subsumption-total-over-}m:*

**assumes**  $A \subseteq\# B$   
**shows**  $\text{total-over-}m I \{B\} \implies \text{total-over-}m I \{A\}$   
**using** *assms unfolding subset-mset-def total-over-}m-def total-over-set-def*  
**by** (*auto simp add: mset-subset-eq-exists-conv*)

**lemma** *atms-of-}replicate-mset-}replicate-mset-uminus[simp]:*

*atms-of (D - replicate-mset (count D L) L - replicate-mset (count D (-L)) (-L))*  
 $= \text{atms-of } D - \{\text{atm-of } L\}$   
**by** (*auto simp: atm-of-eq-atm-of atms-of-def in-diff-count dest: in-diffD*)

**lemma** *subsumption-chained:*

**assumes**  
 $\forall I. \text{total-over-}m I \{D\} \longrightarrow I \models D \longrightarrow I \models \varphi$  **and**  
 $C \subseteq\# D$   
**shows**  $(\forall I. \text{total-over-}m I \{C\} \longrightarrow I \models C \longrightarrow I \models \varphi) \vee \text{tautology } \varphi$   
**using** *assms*

**proof** (*induct card {Pos v | v. v ∈ atms-of D ∧ v ∉ atms-of C} arbitrary: D*  
*rule: nat-less-induct-case*)

**case 0 note**  $n = \text{this}(1)$  **and**  $H = \text{this}(2)$  **and**  $\text{incl} = \text{this}(3)$   
**then have**  $\text{atms-of } D \subseteq \text{atms-of } C$  **by** *auto*  
**then have**  $\forall I. \text{total-over-}m I \{C\} \longrightarrow \text{total-over-}m I \{D\}$   
**unfolding** *total-over-}m-def total-over-set-def by auto*  
**moreover have**  $\forall I. I \models C \longrightarrow I \models D$  **using** *incl true-cls-mono-leD by blast*  
**ultimately show** *?case using H by auto*

**next**

**case (Suc n D) note**  $IH = \text{this}(1)$  **and**  $\text{card} = \text{this}(2)$  **and**  $H = \text{this}(3)$  **and**  $\text{incl} = \text{this}(4)$   
**let**  $?atms = \{\text{Pos } v \mid v. v \in \text{atms-of } D \wedge v \notin \text{atms-of } C\}$   
**have** *finite ?atms by auto*  
**then obtain**  $L$  **where**  $L: L \in ?atms$   
**using** *card by (metis (no-types, lifting) Collect-empty-eq card-0-eq mem-Collect-eq*  
*nat.simps(3))*  
**let**  $?D' = D - \text{replicate-mset (count D L) L} - \text{replicate-mset (count D (-L)) (-L)}$   
**have**  $\text{atms-of-}D: \text{atms-of-}ms \{D\} \subseteq \text{atms-of-}ms \{?D'\} \cup \{\text{atm-of } L\}$   
**using** *atms-of-}replicate-mset-}replicate-mset-uminus by force*

```

{
  fix I
  assume total-over-m I {?D'}
  then have tot: total-over-m (I ∪ {L}) {D}
    unfolding total-over-m-def total-over-set-def using atms-of-D by auto

  assume IDL: I ⊨ ?D'
  then have insert L I ⊨ D unfolding true-cls-def by (fastforce dest: in-diffD)
  then have insert L I ⊨ φ using H tot by auto

  moreover
  have tot': total-over-m (I ∪ {-L}) {D}
    using tot unfolding total-over-m-def total-over-set-def by auto
  have I ∪ {-L} ⊨ D using IDL unfolding true-cls-def by (force dest: in-diffD)
  then have I ∪ {-L} ⊨ φ using H tot' by auto
  ultimately have I ⊨ φ ∨ tautology φ
    using L remove-literal-in-model-tautology by force
} note H' = this

have L ∉# C and -L ∉# C using L atm-iff-pos-or-neg-lit by force+
then have C-in-D': C ⊆# ?D' using ⟨C ⊆# D⟩ by (auto simp: subseteq-mset-def not-in-iff)
have card {Pos v | v. v ∈ atms-of ?D' ∧ v ∉ atms-of C} <
  card {Pos v | v. v ∈ atms-of D ∧ v ∉ atms-of C}
  using L unfolding atms-of-replicate-mset-replicate-mset-uminus[of D L]
  by (auto intro!: psubset-card-mono)
then show ?case
  using IH C-in-D' H' unfolding card[symmetric] by blast
qed

```

### 1.1.5 Removing Duplicates

**lemma** *tautology-remdups-mset[iff]*:  
 $tautology (remdups-mset C) \longleftrightarrow tautology C$   
**unfolding** *tautology-decomp* **by** *auto*

**lemma** *atms-of-remdups-mset[simp]*:  $atms-of (remdups-mset C) = atms-of C$   
**unfolding** *atms-of-def* **by** *auto*

**lemma** *true-cls-remdups-mset[iff]*:  $I \models remdups-mset C \longleftrightarrow I \models C$   
**unfolding** *true-cls-def* **by** *auto*

**lemma** *true-cls-cls-remdups-mset[iff]*:  $A \models_p remdups-mset C \longleftrightarrow A \models_p C$   
**unfolding** *true-cls-cls-def total-over-m-def* **by** *auto*

### 1.1.6 Set of all Simple Clauses

A simple clause with respect to a set of atoms is such that

1. its atoms are included in the considered set of atoms;
2. it is not a tautology;
3. it does not contains duplicate literals.

It corresponds to the clauses that cannot be simplified away in a calculus without considering the other clauses.

**definition** *simple-clss* :: 'v set  $\Rightarrow$  'v clause set **where**  
*simple-clss* *atms* = {*C*. *atms-of* *C*  $\subseteq$  *atms*  $\wedge$   $\neg$ *tautology* *C*  $\wedge$  *distinct-mset* *C*}

**lemma** *simple-clss-empty*[*simp*]:  
*simple-clss* {} = {{#}}  
**unfolding** *simple-clss-def* **by** *auto*

**lemma** *simple-clss-insert*:  
**assumes**  $l \notin \textit{atms}$   
**shows** *simple-clss* (*insert* *l* *atms*) =  
 ((+) {#*Pos* *l*#}) ' (*simple-clss* *atms*)  
 $\cup$  ((+) {#*Neg* *l*#}) ' (*simple-clss* *atms*)  
 $\cup$  *simple-clss* *atms*(**is** ?*I* = ?*U*)

**proof** (*standard*; *standard*)

**fix** *C*

**assume**  $C \in ?I$

**then have**

*atms*: *atms-of* *C*  $\subseteq$  *insert* *l* *atms* **and**

*taut*:  $\neg$ *tautology* *C* **and**

*dist*: *distinct-mset* *C*

**unfolding** *simple-clss-def* **by** *auto*

**have** *H*:  $\bigwedge x. x \in \# C \implies \textit{atm-of } x \in \textit{insert } l \textit{ atms}$

**using** *atm-of-lit-in-atms-of atms* **by** *blast*

**consider**

(*Add*) *L* **where**  $L \in \# C$  **and**  $L = \textit{Neg } l \vee L = \textit{Pos } l$

| (*No*)  $\textit{Pos } l \notin \# C$   $\textit{Neg } l \notin \# C$

**by** *auto*

**then show**  $C \in ?U$

**proof** *cases*

**case** *Add*

**then have** *LCL*:  $L \notin \# C - \{\#L\# \}$

**using** *dist* **unfolding** *distinct-mset-def* **by** (*auto simp: not-in-iff*)

**have** *LC*:  $-L \notin \# C$

**using** *taut Add* **by** *auto*

**obtain** *aa* :: 'a **where**

*f4*: ( $aa \in \textit{atms-of } (\textit{remove1-mset } L C) \implies aa \in \textit{atms}$ )  $\implies \textit{atms-of } (\textit{remove1-mset } L C) \subseteq \textit{atms}$

**by** (*meson subset-iff*)

**obtain** *ll* :: 'a *literal* **where**

$aa \notin \textit{atm-of } \textit{set-mset } (\textit{remove1-mset } L C) \vee aa = \textit{atm-of } ll \wedge ll \in \# \textit{remove1-mset } L C$

**by** *blast*

**then have** *atms-of* ( $C - \{\#L\# \}$ )  $\subseteq$  *atms*

**using** *f4 Add LCL LC H* **unfolding** *atms-of-def* **by** (*metis H in-diffD insertE*

*literal.exhaust-sel uminus-Neg uminus-Pos*)

**moreover have**  $\neg$  *tautology* ( $C - \{\#L\# \}$ )

**using** *taut* **by** (*metis Add(1) insert-DiffM tautology-add-mset*)

**moreover have** *distinct-mset* ( $C - \{\#L\# \}$ )

**using** *dist* **by** *auto*

**ultimately have** ( $C - \{\#L\# \}$ )  $\in$  *simple-clss* *atms*

**using** *Add* **unfolding** *simple-clss-def* **by** *auto*

**moreover have**  $C = \{\#L\# \} + (C - \{\#L\# \})$

**using** *Add* **by** (*auto simp: multiset-eq-iff*)

**ultimately show** ?*thesis* **using** *Add* **by** *blast*

**next**

**case** *No*

**then have**  $C \in \textit{simple-clss } \textit{atms}$

**using** *taut atms dist* **unfolding** *simple-clss-def*

by (*auto simp: atm-iff-pos-or-neg-lit split: if-split-asm dest!: H*)  
 then show ?thesis by blast  
 qed  
 next  
 fix  $C$   
 assume  $C \in ?U$   
 then consider  
 (Add)  $L C'$  where  $C = \{\#L\# \} + C'$  and  $C' \in \text{simple-clss atms}$  and  
 $L = \text{Pos } l \vee L = \text{Neg } l$   
 | (No)  $C \in \text{simple-clss atms}$   
 by auto  
 then show  $C \in ?I$   
 proof cases  
 case No  
 then show ?thesis unfolding simple-clss-def by auto  
 next  
 case (Add  $L C'$ ) note  $C' = \text{this}(1)$  and  $C = \text{this}(2)$  and  $L = \text{this}(3)$   
 then have  
 atms:  $\text{atms-of } C' \subseteq \text{atms}$  and  
 taut:  $\neg \text{tautology } C'$  and  
 dist:  $\text{distinct-mset } C'$   
 unfolding simple-clss-def by auto  
 have  $\text{atms-of } C \subseteq \text{insert } l \text{ atms}$   
 using atms  $C' L$  by auto  
 moreover have  $\neg \text{tautology } C$   
 using taut  $C' L$  assms atms by (*metis union-mset-add-mset-left add.left-neutral  
 neg-lit-in-atms-of pos-lit-in-atms-of subsetCE tautology-add-mset  
 uminus-Neg uminus-Pos*)  
 moreover have  $\text{distinct-mset } C$   
 using dist  $C' L$  by (*metis union-mset-add-mset-left add.left-neutral assms atms  
 distinct-mset-add-mset neg-lit-in-atms-of pos-lit-in-atms-of subsetCE*)  
 ultimately show ?thesis unfolding simple-clss-def by blast  
 qed  
 qed

**lemma** *simple-clss-finite*:  
 fixes atms :: 'v set  
 assumes finite atms  
 shows finite (simple-clss atms)  
 using assms by (*induction rule: finite-induct*) (*auto simp: simple-clss-insert*)

**lemma** *simple-clssE*:  
 assumes  
 $x \in \text{simple-clss atms}$   
 shows  $\text{atms-of } x \subseteq \text{atms} \wedge \neg \text{tautology } x \wedge \text{distinct-mset } x$   
 using assms unfolding simple-clss-def by auto

**lemma** *cls-in-simple-clss*:  
 shows  $\{\#\} \in \text{simple-clss } s$   
 unfolding simple-clss-def by auto

**lemma** *simple-clss-card*:  
 fixes atms :: 'v set  
 assumes finite atms  
 shows  $\text{card } (\text{simple-clss atms}) \leq (3::\text{nat}) \wedge (\text{card atms})$   
 using assms

**proof** (*induct atms rule: finite-induct*)  
**case** *empty*  
**then show** *?case by auto*  
**next**  
**case** (*insert l C*) **note** *fin = this(1)* **and** *l = this(2)* **and** *IH = this(3)*  
**have** *notin:*  
 $\wedge C'. \text{add-mset } (\text{Pos } l) C' \notin \text{simple-clss } C$   
 $\wedge C'. \text{add-mset } (\text{Neg } l) C' \notin \text{simple-clss } C$   
**using** *l unfolding simple-clss-def by auto*  
**have** *H:  $\wedge C' D. \{\# \text{Pos } l\} + C' = \{\# \text{Neg } l\} + D \implies D \in \text{simple-clss } C \implies \text{False}$*   
**proof** –  
**fix** *C' D*  
**assume** *C'D:  $\{\# \text{Pos } l\} + C' = \{\# \text{Neg } l\} + D$*  **and** *D:  $D \in \text{simple-clss } C$*   
**then have** *Pos l  $\in \#$  D*  
**by** (*auto simp: add-mset-eq-add-mset-ne*)  
**then have** *l  $\in$  atms-of D*  
**by** (*simp add: atm-iff-pos-or-neg-lit*)  
**then show** *False using D l unfolding simple-clss-def by auto*  
**qed**  
**let** *?P = ((+)  $\{\# \text{Pos } l\}$ ) ' (simple-clss C)*  
**let** *?N = ((+)  $\{\# \text{Neg } l\}$ ) ' (simple-clss C)*  
**let** *?O = simple-clss C*  
**have** *card (?P  $\cup$  ?N  $\cup$  ?O) = card (?P  $\cup$  ?N) + card ?O*  
**apply** (*subst card-Un-disjoint*)  
**using** *l fin by (auto simp: simple-clss-finite notin)*  
**moreover have** *card (?P  $\cup$  ?N) = card ?P + card ?N*  
**apply** (*subst card-Un-disjoint*)  
**using** *l fin H by (auto simp: simple-clss-finite notin)*  
**moreover**  
**have** *card ?P = card ?O*  
**using** *inj-on-iff-eq-card[of ?O (+)  $\{\# \text{Pos } l\}$ ]*  
**by** (*auto simp: fin simple-clss-finite inj-on-def*)  
**moreover have** *card ?N = card ?O*  
**using** *inj-on-iff-eq-card[of ?O (+)  $\{\# \text{Neg } l\}$ ]*  
**by** (*auto simp: fin simple-clss-finite inj-on-def*)  
**moreover have** *(3::nat) ^ card (insert l C) = 3 ^ (card C) + 3 ^ (card C) + 3 ^ (card C)*  
**using** *l by (simp add: fin mult-2-right numeral-3-eq-3)*  
**ultimately show** *?case using IH l by (auto simp: simple-clss-insert)*  
**qed**

**lemma** *simple-clss-mono:*  
**assumes** *incl: atms  $\subseteq$  atms'*  
**shows** *simple-clss atms  $\subseteq$  simple-clss atms'*  
**using** *assms unfolding simple-clss-def by auto*

**lemma** *distinct-mset-not-tautology-implies-in-simple-clss:*  
**assumes** *distinct-mset  $\chi$  and  $\neg$ tautology  $\chi$*   
**shows**  *$\chi \in \text{simple-clss (atms-of } \chi)$*   
**using** *assms unfolding simple-clss-def by auto*

**lemma** *simplified-in-simple-clss:*  
**assumes** *distinct-mset-set  $\psi$  and  $\forall \chi \in \psi. \neg$ tautology  $\chi$*   
**shows**  *$\psi \subseteq \text{simple-clss (atms-of-ms } \psi)$*   
**using** *assms unfolding simple-clss-def*  
**by** (*auto simp: distinct-mset-set-def atms-of-ms-def*)

**lemma** *simple-clss-element-mono*:  
 $\langle x \in \text{simple-clss } A \implies y \subseteq\# x \implies y \in \text{simple-clss } A \rangle$   
**by** (*auto simp: simple-clss-def atms-of-def intro: distinct-mset-mono*  
*dest: not-tautology-mono*)

### 1.1.7 Experiment: Expressing the Entailments as Locales

**locale** *entail* =  
**fixes** *entail* :: 'a set  $\Rightarrow$  'b  $\Rightarrow$  bool (**infix**  $\models_e$  50)  
**assumes** *entail-insert[simp]*:  $I \neq \{\} \implies \text{insert } L \ I \models_e x \longleftrightarrow \{L\} \models_e x \vee I \models_e x$   
**assumes** *entail-union[simp]*:  $I \models_e A \implies I \cup I' \models_e A$   
**begin**

**definition** *entails* :: 'a set  $\Rightarrow$  'b set  $\Rightarrow$  bool (**infix**  $\models_{es}$  50) **where**  
 $I \models_{es} A \longleftrightarrow (\forall a \in A. I \models_e a)$

**lemma** *entails-empty[simp]*:  
 $I \models_{es} \{\}$   
**unfolding** *entails-def* **by** *auto*

**lemma** *entails-single[iff]*:  
 $I \models_{es} \{a\} \longleftrightarrow I \models_e a$   
**unfolding** *entails-def* **by** *auto*

**lemma** *entails-insert-l[simp]*:  
 $M \models_{es} A \implies \text{insert } L \ M \models_{es} A$   
**unfolding** *entails-def* **by** (*metis Un-commute entail-union insert-is-Un*)

**lemma** *entails-union[iff]*:  $I \models_{es} CC \cup DD \longleftrightarrow I \models_{es} CC \wedge I \models_{es} DD$   
**unfolding** *entails-def* **by** *blast*

**lemma** *entails-insert[iff]*:  $I \models_{es} \text{insert } C \ DD \longleftrightarrow I \models_e C \wedge I \models_{es} DD$   
**unfolding** *entails-def* **by** *blast*

**lemma** *entails-insert-mono*:  $DD \subseteq CC \implies I \models_{es} CC \implies I \models_{es} DD$   
**unfolding** *entails-def* **by** *blast*

**lemma** *entails-union-increase[simp]*:  
**assumes**  $I \models_{es} \psi$   
**shows**  $I \cup I' \models_{es} \psi$   
**using** *assms* **unfolding** *entails-def* **by** *auto*

**lemma** *true-clss-commute-l*:  
 $I \cup I' \models_{es} \psi \longleftrightarrow I' \cup I \models_{es} \psi$   
**by** (*simp add: Un-commute*)

**lemma** *entails-remove[simp]*:  $I \models_{es} N \implies I \models_{es} \text{Set.remove } a \ N$   
**by** (*simp add: entails-def*)

**lemma** *entails-remove-minus[simp]*:  $I \models_{es} N \implies I \models_{es} N - A$   
**by** (*simp add: entails-def*)

**end**

**interpretation** *true-cls*: *entail true-cl*  
**by** *standard (auto simp add: true-cl-def)*



### 1.1.8 Entailment to be extended

In some cases we want a more general version of entailment to have for example  $\{\} \models \{\#L, -L\#$ . This is useful when the model we are building might not be total (the literal  $L$  might have been definitely removed from the set of clauses), but we still want to have a property of entailment considering that these removed literals are not important.

We can given a model  $I$  consider all the natural extensions:  $C$  is entailed by an extended  $I$ , if for all total extension of  $I$ , this model entails  $C$ .

**definition** *true-clss-ext* :: 'a literal set  $\Rightarrow$  'a clause set  $\Rightarrow$  bool (infix  $\models_{\text{sext}}$  49)

**where**

$I \models_{\text{sext}} N \iff (\forall J. I \subseteq J \longrightarrow \text{consistent-interp } J \longrightarrow \text{total-over-m } J N \longrightarrow J \models N)$

**lemma** *true-clss-imp-true-cls-ext*:

$I \models N \implies I \models_{\text{sext}} N$

**unfolding** *true-clss-ext-def* **by** (*metis sup.orderE true-clss-union-increase*)

**lemma** *true-clss-ext-decrease-right-remove-r*:

**assumes**  $I \models_{\text{sext}} N$

**shows**  $I \models_{\text{sext}} N - \{C\}$

**unfolding** *true-clss-ext-def*

**proof** (*intro allI impI*)

**fix**  $J$

**assume**

$I \subseteq J$  **and**

*cons*: *consistent-interp*  $J$  **and**

*tot*: *total-over-m*  $J (N - \{C\})$

**let**  $?J = J \cup \{\text{Pos } (\text{atm-of } P) \mid P. P \in \# C \wedge \text{atm-of } P \notin \text{atm-of } J\}$

**have**  $I \subseteq ?J$  **using**  $\langle I \subseteq J \rangle$  **by** *auto*

**moreover have** *consistent-interp*  $?J$

**using** *cons* **unfolding** *consistent-interp-def* **apply** (*intro allI*)

**by** (*rename-tac L, case-tac L*) (*fastforce simp add: image-iff*) $+$

**moreover have** *total-over-m*  $?J N$

**using** *tot* **unfolding** *total-over-m-def total-over-set-def atms-of-ms-def*

**apply** *clarify*

**apply** (*rename-tac l a, case-tac a  $\in N - \{C\}$* )

**apply** (*auto; fail*)

**using** *atms-of-s-def atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set*

**by** (*fastforce simp: atms-of-def*)

**ultimately have**  $?J \models N$

**using** *assms* **unfolding** *true-clss-ext-def* **by** *blast*

**then have**  $?J \models N - \{C\}$  **by** *auto*

**have**  $\{v \in ?J. \text{atm-of } v \in \text{atms-of-ms } (N - \{C\})\} \subseteq J$

**using** *tot* **unfolding** *total-over-m-def total-over-set-def*

**by** (*auto intro!: rev-image-eqI*)

**then show**  $J \models N - \{C\}$

**using** *true-clss-remove-unused[OF  $\langle ?J \models N - \{C\} \rangle$*  **unfolding** *true-clss-def*

**by** (*meson true-cls-mono-set-mset-l*)

**qed**

**lemma** *consistent-true-clss-ext-satisfiable*:

**assumes** *consistent-interp*  $I$  **and**  $I \models_{\text{sext}} A$

**shows** *satisfiable*  $A$

**by** (*metis Un-empty-left assms satisfiable-carac subset-Un-eq sup.left-idem*

*total-over-m-consistent-extension total-over-m-empty true-clss-ext-def*)

**lemma** *not-consistent-true-clss-ext*:  
**assumes**  $\neg$ *consistent-interp I*  
**shows**  $I \models_{\text{sect}} A$   
**by** (*meson assms consistent-interp-subset true-clss-ext-def*)

**lemma** *inj-on-Pos*:  $\langle \text{inj-on Pos } A \rangle$  **and**  
*inj-on-Neg*:  $\langle \text{inj-on Neg } A \rangle$   
**by** (*auto simp: inj-on-def*)

**lemma** *inj-on-uminus-lit*:  $\langle \text{inj-on uminus } A \rangle$  **for**  $A :: \langle \text{'a literal set} \rangle$   
**by** (*auto simp: inj-on-def*)

**end**

## 1.2 Partial Annotated Herbrand Interpretation

We here define decided literals (that will be used in both DPLL and CDCL) and the entailment corresponding to it.

**theory** *Partial-Annotated-Herbrand-Interpretation*  
**imports**  
*Partial-Herbrand-Interpretation*  
**begin**

### 1.2.1 Decided Literals

#### Definition

**datatype**  $\langle \text{'v, 'w, 'mark} \rangle$  *annotated-lit* =  
*is-decided*: *Decided* (*lit-dec*: 'v) |  
*is-proped*: *Propagated* (*lit-prop*: 'w) (*mark-of*: 'mark)

**type-synonym**  $\langle \text{'v, 'w, 'mark} \rangle$  *annotated-lits* =  $\langle \text{'v, 'w, 'mark} \rangle$  *annotated-lit list*

**type-synonym**  $\langle \text{'v, 'mark} \rangle$  *ann-lit* =  $\langle \text{'v literal, 'v literal, 'mark} \rangle$  *annotated-lit*

**lemma** *ann-lit-list-induct*[*case-names Nil Decided Propagated*]:

**assumes**  
 $\langle P [] \rangle$  **and**  
 $\langle \bigwedge L xs. P xs \implies P (\text{Decided } L \# xs) \rangle$  **and**  
 $\langle \bigwedge L m xs. P xs \implies P (\text{Propagated } L m \# xs) \rangle$   
**shows**  $\langle P xs \rangle$   
**using** *assms* **apply** (*induction xs, simp*)  
**by** (*rename-tac a xs, case-tac a*) *auto*

**lemma** *is-decided-ex-Decided*:  
 $\langle \text{is-decided } L \implies (\bigwedge K. L = \text{Decided } K \implies P) \implies P \rangle$   
**by** (*cases L*) *auto*

**lemma** *is-propedE*:  $\langle \text{is-proped } L \implies (\bigwedge K C. L = \text{Propagated } K C \implies P) \implies P \rangle$   
**by** (*cases L*) *auto*

**lemma** *is-decided-no-proped-iff*:  $\langle \text{is-decided } L \iff \neg \text{is-proped } L \rangle$   
**by** (*cases L*) *auto*

**lemma** *not-is-decidedE*:  
 $\langle \neg\text{-is-decided } E \implies (\bigwedge K C. E = \text{Propagated } K C \implies \text{thesis}) \implies \text{thesis} \rangle$   
**by** (cases E) auto

**type-synonym** (*v*, *m*) *ann-lits* =  $\langle ('v, 'm) \text{ ann-lit list} \rangle$

**fun** *lit-of* ::  $\langle ('a, 'a, 'mark) \text{ annotated-lit} \Rightarrow 'a \rangle$  **where**  
 $\langle \text{lit-of } (\text{Decided } L) = L \rangle$  |  
 $\langle \text{lit-of } (\text{Propagated } L \text{ -}) = L \rangle$

**definition** *lits-of* ::  $\langle ('a, 'b) \text{ ann-lit set} \Rightarrow 'a \text{ literal set} \rangle$  **where**  
 $\langle \text{lits-of } Ls = \text{lit-of } ' Ls \rangle$

**abbreviation** *lits-of-l* ::  $\langle ('a, 'b) \text{ ann-lits} \Rightarrow 'a \text{ literal set} \rangle$  **where**  
 $\langle \text{lits-of-l } Ls \equiv \text{lits-of } (\text{set } Ls) \rangle$

**lemma** *lits-of-l-empty[simp]*:  
 $\langle \text{lits-of } \{\} = \{\} \rangle$   
**unfolding** *lits-of-def* **by** auto

**lemma** *lits-of-insert[simp]*:  
 $\langle \text{lits-of } (\text{insert } L Ls) = \text{insert } (\text{lit-of } L) (\text{lits-of } Ls) \rangle$   
**unfolding** *lits-of-def* **by** auto

**lemma** *lits-of-l-Un[simp]*:  
 $\langle \text{lits-of } (l \cup l') = \text{lits-of } l \cup \text{lits-of } l' \rangle$   
**unfolding** *lits-of-def* **by** auto

**lemma** *finite-lits-of-def[simp]*:  
 $\langle \text{finite } (\text{lits-of-l } L) \rangle$   
**unfolding** *lits-of-def* **by** auto

**abbreviation** *unmark* **where**  
 $\langle \text{unmark} \equiv (\lambda a. \{\#\text{lit-of } a\#\}) \rangle$

**abbreviation** *unmark-s* **where**  
 $\langle \text{unmark-s } M \equiv \text{unmark } ' M \rangle$

**abbreviation** *unmark-l* **where**  
 $\langle \text{unmark-l } M \equiv \text{unmark-s } (\text{set } M) \rangle$

**lemma** *atms-of-ms-lambda-lit-of-is-atm-of-lit-of[simp]*:  
 $\langle \text{atms-of-ms } (\text{unmark-l } M') = \text{atm-of } ' \text{lits-of-l } M' \rangle$   
**unfolding** *atms-of-ms-def lits-of-def* **by** auto

**lemma** *lits-of-l-empty-is-empty[iff]*:  
 $\langle \text{lits-of-l } M = \{\} \iff M = [] \rangle$   
**by** (induct M) (auto simp: lits-of-def)

**lemma** *in-unmark-l-in-lits-of-l-iff*:  $\langle \{\#L\#\} \in \text{unmark-l } M \iff L \in \text{lits-of-l } M \rangle$   
**by** (induction M) auto

**lemma** *atm-lit-of-set-lits-of-l*:  
 $(\lambda l. \text{atm-of } (\text{lit-of } l)) ' \text{set } xs = \text{atm-of } ' \text{lits-of-l } xs$   
**unfolding** *lits-of-def* **by** auto

## Entailment

**definition**  $true\text{-annot} :: \langle ('a, 'm) \text{ ann-lits} \Rightarrow 'a \text{ clause} \Rightarrow bool \rangle$  (**infix**  $\models_a$  49) **where**  
 $\langle I \models_a C \longleftrightarrow (\text{lits-of-l } I) \models C \rangle$

**definition**  $true\text{-annots} :: \langle ('a, 'm) \text{ ann-lits} \Rightarrow 'a \text{ clause-set} \Rightarrow bool \rangle$  (**infix**  $\models_{as}$  49) **where**  
 $\langle I \models_{as} CC \longleftrightarrow (\forall C \in CC. I \models_a C) \rangle$

**lemma**  $true\text{-annot-empty-model[simp]}$ :  
 $\langle \neg[] \models_a \psi \rangle$   
**unfolding**  $true\text{-annot-def true-cls-def}$  **by**  $simp$

**lemma**  $true\text{-annot-empty[simp]}$ :  
 $\langle \neg I \models_a \{\#\} \rangle$   
**unfolding**  $true\text{-annot-def true-cls-def}$  **by**  $simp$

**lemma**  $empty\text{-true-annots-def[iff]}$ :  
 $\langle [] \models_{as} \psi \longleftrightarrow \psi = \{\} \rangle$   
**unfolding**  $true\text{-annots-def}$  **by**  $auto$

**lemma**  $true\text{-annots-empty[simp]}$ :  
 $\langle I \models_{as} \{\} \rangle$   
**unfolding**  $true\text{-annots-def}$  **by**  $auto$

**lemma**  $true\text{-annots-single-true-annot[iff]}$ :  
 $\langle I \models_{as} \{C\} \longleftrightarrow I \models_a C \rangle$   
**unfolding**  $true\text{-annots-def}$  **by**  $auto$

**lemma**  $true\text{-annot-insert-l[simp]}$ :  
 $\langle M \models_a A \Longrightarrow L \# M \models_a A \rangle$   
**unfolding**  $true\text{-annot-def}$  **by**  $auto$

**lemma**  $true\text{-annots-insert-l [simp]}$ :  
 $\langle M \models_{as} A \Longrightarrow L \# M \models_{as} A \rangle$   
**unfolding**  $true\text{-annots-def}$  **by**  $auto$

**lemma**  $true\text{-annots-union[iff]}$ :  
 $\langle M \models_{as} A \cup B \longleftrightarrow (M \models_{as} A \wedge M \models_{as} B) \rangle$   
**unfolding**  $true\text{-annots-def}$  **by**  $auto$

**lemma**  $true\text{-annots-insert[iff]}$ :  
 $\langle M \models_{as} \text{insert } a \ A \longleftrightarrow (M \models_a a \wedge M \models_{as} A) \rangle$   
**unfolding**  $true\text{-annots-def}$  **by**  $auto$

**lemma**  $true\text{-annot-append-l}$ :  
 $\langle M \models_a A \Longrightarrow M' @ M \models_a A \rangle$   
**unfolding**  $true\text{-annot-def}$  **by**  $auto$

**lemma**  $true\text{-annots-append-l}$ :  
 $\langle M \models_{as} A \Longrightarrow M' @ M \models_{as} A \rangle$   
**unfolding**  $true\text{-annots-def}$  **by** ( $auto \text{ simp: } true\text{-annot-append-l}$ )

Link between  $\models_{as}$  and  $\models_s$ :

**lemma**  $true\text{-annots-true-cls}$ :  
 $\langle I \models_{as} CC \longleftrightarrow \text{lits-of-l } I \models_s CC \rangle$   
**unfolding**  $true\text{-annots-def Ball-def true-annot-def true-clss-def}$  **by**  $auto$

**lemma** *in-lit-of-true-annot*:

$\langle a \in \text{lits-of-l } M \longleftrightarrow M \models_a \{\#a\# \} \rangle$

**unfolding** *true-annot-def lits-of-def* **by** *auto*

**lemma** *true-annot-lit-of-notin-skip*:

$\langle L \# M \models_a A \implies \text{lit-of } L \notin \# A \implies M \models_a A \rangle$

**unfolding** *true-annot-def true-clss-def* **by** *auto*

**lemma** *true-clss-singleton-lit-of-implies-incl*:

$\langle I \models_s \text{unmark-l } MLs \implies \text{lits-of-l } MLs \subseteq I \rangle$

**unfolding** *true-clss-def lits-of-def* **by** *auto*

**lemma** *true-annot-true-clss-clss*:

$\langle MLs \models_a \psi \implies \text{set } (\text{map unmark } MLs) \models_p \psi \rangle$

**unfolding** *true-annot-def true-clss-clss-def true-clss-def*

**by** (*auto dest: true-clss-singleton-lit-of-implies-incl*)

**lemma** *true-annot-true-clss-clss*:

$\langle MLs \models_{as} \psi \implies \text{set } (\text{map unmark } MLs) \models_{ps} \psi \rangle$

**by** (*auto*

*dest: true-clss-singleton-lit-of-implies-incl*

*simp add: true-clss-def true-annot-def true-annot-def lits-of-def true-clss-def*

*true-clss-clss-def*)

**lemma** *true-annot-true-clss-clss*[*iff*]:

$\langle \text{map Decided } M \models_{as} N \longleftrightarrow \text{set } M \models_s N \rangle$

**proof** –

**have** \*:  $\langle \text{lit-of } \text{‘ Decided ‘ set } M = \text{set } M \rangle$  **unfolding** *lits-of-def* **by** *force*

**show** *?thesis* **by** (*simp add: true-annot-true-clss \* lits-of-def*)

**qed**

**lemma** *true-annot-singleton*[*iff*]:  $\langle M \models_a \{\#L\# \} \longleftrightarrow L \in \text{lits-of-l } M \rangle$

**unfolding** *true-annot-def lits-of-def* **by** *auto*

**lemma** *true-annot-true-clss-clss*:

$\langle A \models_{as} \Psi \implies \text{unmark-l } A \models_{ps} \Psi \rangle$

**unfolding** *true-clss-clss-def true-annot-def true-clss-def*

**by** (*auto dest!: true-clss-singleton-lit-of-implies-incl*

*simp: lits-of-def true-annot-def true-clss-def*)

**lemma** *true-annot-commute*:

$\langle M @ M' \models_a D \longleftrightarrow M' @ M \models_a D \rangle$

**unfolding** *true-annot-def* **by** (*simp add: Un-commute*)

**lemma** *true-annot-commute*:

$\langle M @ M' \models_{as} D \longleftrightarrow M' @ M \models_{as} D \rangle$

**unfolding** *true-annot-def* **by** (*auto simp: true-annot-commute*)

**lemma** *true-annot-mono*[*dest*]:

$\langle \text{set } I \subseteq \text{set } I' \implies I \models_a N \implies I' \models_a N \rangle$

**using** *true-clss-mono-set-mset-l* **unfolding** *true-annot-def lits-of-def*

**by** (*metis (no-types) Un-commute Un-upper1 image-Un sup.orderE*)

**lemma** *true-annot-mono*:

$\langle \text{set } I \subseteq \text{set } I' \implies I \models_{as} N \implies I' \models_{as} N \rangle$

**unfolding** *true-annot-def* **by** *auto*

## Defined and Undefined Literals

We introduce the functions *defined-lit* and *undefined-lit* to know whether a literal is defined with respect to a list of decided literals (aka a trail in most cases).

Remark that *undefined* already exists and is a completely different Isabelle function.

**definition** *defined-lit* ::  $\langle ('a \text{ literal}, 'a \text{ literal}, 'm) \text{ annotated-lits} \Rightarrow 'a \text{ literal} \Rightarrow \text{bool} \rangle$

**where**

$\langle \text{defined-lit } I L \longleftrightarrow (\text{Decided } L \in \text{set } I) \vee (\exists P. \text{Propagated } L P \in \text{set } I) \vee (\text{Decided } (-L) \in \text{set } I) \vee (\exists P. \text{Propagated } (-L) P \in \text{set } I) \rangle$

**abbreviation** *undefined-lit* ::  $\langle ('a \text{ literal}, 'a \text{ literal}, 'm) \text{ annotated-lits} \Rightarrow 'a \text{ literal} \Rightarrow \text{bool} \rangle$

**where**  $\langle \text{undefined-lit } I L \equiv \neg \text{defined-lit } I L \rangle$

**lemma** *defined-lit-rev[simp]*:

$\langle \text{defined-lit } (\text{rev } M) L \longleftrightarrow \text{defined-lit } M L \rangle$

**unfolding** *defined-lit-def* **by** *auto*

**lemma** *atm-imp-decided-or-proped*:

**assumes**  $\langle x \in \text{set } I \rangle$

**shows**

$\langle (\text{Decided } (- \text{lit-of } x) \in \text{set } I) \vee (\text{Decided } (\text{lit-of } x) \in \text{set } I) \vee (\exists l. \text{Propagated } (- \text{lit-of } x) l \in \text{set } I) \vee (\exists l. \text{Propagated } (\text{lit-of } x) l \in \text{set } I) \rangle$

**using** *assms* **by** (*metis* (*full-types*) *lit-of.elims*)

**lemma** *literal-is-lit-of-decided*:

**assumes**  $\langle L = \text{lit-of } x \rangle$

**shows**  $\langle (x = \text{Decided } L) \vee (\exists l'. x = \text{Propagated } L l') \rangle$

**using** *assms* **by** (*cases* *x*) *auto*

**lemma** *true-annot-iff-decided-or-true-lit*:

$\langle \text{defined-lit } I L \longleftrightarrow (\text{lits-of-l } I \models L \vee \text{lits-of-l } I \models -L) \rangle$

**unfolding** *defined-lit-def* **by** (*auto simp add: lits-of-def rev-image-eqI dest!: literal-is-lit-of-decided*)

**lemma** *consistent-inter-true-annot-satisfiable*:

$\langle \text{consistent-interp } (\text{lits-of-l } I) \Longrightarrow I \models_{\text{as}} N \Longrightarrow \text{satisfiable } N \rangle$

**by** (*simp add: true-annot-true-cls*)

**lemma** *defined-lit-map*:

$\langle \text{defined-lit } Ls L \longleftrightarrow \text{atm-of } L \in (\lambda l. \text{atm-of } (\text{lit-of } l)) \text{ ` set } Ls \rangle$

**unfolding** *defined-lit-def* **apply** (*rule iffI*)

**using** *image-iff* **apply** *fastforce*

**by** (*fastforce simp add: atm-of-eq-atm-of dest: atm-imp-decided-or-proped*)

**lemma** *defined-lit-uminus[iff]*:

$\langle \text{defined-lit } I (-L) \longleftrightarrow \text{defined-lit } I L \rangle$

**unfolding** *defined-lit-def* **by** *auto*

**lemma** *Decided-Propagated-in-iff-in-lits-of-l*:

$\langle \text{defined-lit } I L \longleftrightarrow (L \in \text{lits-of-l } I \vee -L \in \text{lits-of-l } I) \rangle$

**unfolding** *lits-of-def* **by** (*metis lits-of-def true-annot-iff-decided-or-true-lit true-lit-def*)

**lemma** *consistent-add-undefined-lit-consistent*[simp]:  
**assumes**  
 ‹consistent-interp (lits-of-l Ls)› **and**  
 ‹undefined-lit Ls L›  
**shows** ‹consistent-interp (insert L (lits-of-l Ls))›  
**using** *assms unfolding consistent-interp-def* **by** (auto simp: Decided-Propagated-in-iff-in-lits-of-l)

**lemma** *decided-empty*[simp]:  
 ‹¬defined-lit [] L›  
**unfolding** *defined-lit-def* **by** *simp*

**lemma** *undefined-lit-single*[iff]:  
 ‹defined-lit [L] K ‹math›longleftrightarrow atm-of (lit-of L) = atm-of K›  
**by** (auto simp: defined-lit-map)

**lemma** *undefined-lit-cons*[iff]:  
 ‹undefined-lit (L # M) K ‹math›longleftrightarrow atm-of (lit-of L) ≠ atm-of K ‹math›∧ undefined-lit M K›  
**by** (auto simp: defined-lit-map)

**lemma** *undefined-lit-append*[iff]:  
 ‹undefined-lit (M @ M') K ‹math›longleftrightarrow undefined-lit M K ‹math›∧ undefined-lit M' K›  
**by** (auto simp: defined-lit-map)

**lemma** *defined-lit-cons*:  
 ‹defined-lit (L # M) K ‹math›longleftrightarrow atm-of (lit-of L) = atm-of K ‹math›∨ defined-lit M K›  
**by** (auto simp: defined-lit-map)

**lemma** *defined-lit-append*:  
 ‹defined-lit (M @ M') K ‹math›longleftrightarrow defined-lit M K ‹math›∨ defined-lit M' K›  
**by** (auto simp: defined-lit-map)

**lemma** *in-lits-of-l-defined-litD*: ‹L-max ‹math›∈ lits-of-l M ‹math›implies defined-lit M L-max›  
**by** (auto simp: Decided-Propagated-in-iff-in-lits-of-l)

**lemma** *undefined-notin*: ‹undefined-lit M (lit-of x) ‹math›implies x ‹math›notin set M› **for** x M  
**by** (metis in-lits-of-l-defined-litD insert-iff lits-of-insert mk-disjoint-insert)

**lemma** *uminus-lits-of-l-definedD*:  
 ‹¬x ‹math›∈ lits-of-l M ‹math›implies defined-lit M x›  
**by** (simp add: Decided-Propagated-in-iff-in-lits-of-l)

**lemma** *defined-lit-Neg-Pos-iff*:  
 ‹defined-lit M (Neg A) ‹math›longleftrightarrow defined-lit M (Pos A)›  
**by** (simp add: defined-lit-map)

**lemma** *defined-lit-Pos-atm-iff*[simp]:  
 ‹defined-lit M1 (Pos (atm-of x)) ‹math›longleftrightarrow defined-lit M1 x›  
**by** (cases x) (auto simp: defined-lit-Neg-Pos-iff)

**lemma** *defined-lit-mono*:  
 ‹defined-lit M2 L ‹math›implies set M2 ‹math›subseteq set M3 ‹math›implies defined-lit M3 L›  
**by** (auto simp: Decided-Propagated-in-iff-in-lits-of-l)

**lemma** *defined-lit-nth*:  
 ‹n < length M2 ‹math›implies defined-lit M2 (lit-of (M2 ! n))›

by (auto simp: Decided-Propagated-in-iff-in-lits-of-l lits-of-def)

### 1.2.2 Backtracking

**fun** *backtrack-split* ::  $\langle ('a, 'v, 'm)$  annotated-lits  
 $\Rightarrow ('a, 'v, 'm)$  annotated-lits  $\times ('a, 'v, 'm)$  annotated-lits  $\rangle$  **where**  
 $\langle$  *backtrack-split* [] = ([], [])  $\rangle$  |  
 $\langle$  *backtrack-split* (Propagated L P # mlits) = apfst ((#) (Propagated L P)) (backtrack-split mlits)  $\rangle$  |  
 $\langle$  *backtrack-split* (Decided L # mlits) = ([], Decided L # mlits)  $\rangle$

**lemma** *backtrack-split-fst-not-decided*:  $\langle a \in \text{set } (\text{fst } (\text{backtrack-split } l)) \implies \neg \text{is-decided } a \rangle$   
 by (induct l rule: ann-lit-list-induct) auto

**lemma** *backtrack-split-snd-hd-decided*:  
 $\langle \text{snd } (\text{backtrack-split } l) \neq [] \implies \text{is-decided } (\text{hd } (\text{snd } (\text{backtrack-split } l))) \rangle$   
 by (induct l rule: ann-lit-list-induct) auto

**lemma** *backtrack-split-list-eq*[simp]:  
 $\langle \text{fst } (\text{backtrack-split } l) @ (\text{snd } (\text{backtrack-split } l)) = l \rangle$   
 by (induct l rule: ann-lit-list-induct) auto

**lemma** *backtrack-snd-empty-not-decided*:  
 $\langle \text{backtrack-split } M = (M'', []) \implies \forall l \in \text{set } M. \neg \text{is-decided } l \rangle$   
 by (metis append-Nil2 backtrack-split-fst-not-decided backtrack-split-list-eq snd-conv)

**lemma** *backtrack-split-some-is-decided-then-snd-has-hd*:  
 $\langle \exists l \in \text{set } M. \text{is-decided } l \implies \exists M' L' M''. \text{backtrack-split } M = (M'', L' \# M') \rangle$   
 by (metis backtrack-snd-empty-not-decided list.exhaust prod.collapse)

Another characterisation of the result of *backtrack-split*. This view allows some simpler proofs, since *takeWhile* and *dropWhile* are highly automated:

**lemma** *backtrack-split-takeWhile-dropWhile*:  
 $\langle \text{backtrack-split } M = (\text{takeWhile } (\text{Not } o \text{ is-decided}) M, \text{dropWhile } (\text{Not } o \text{ is-decided}) M) \rangle$   
 by (induction M rule: ann-lit-list-induct) auto

### 1.2.3 Decomposition with respect to the First Decided Literals

In this section we define a function that returns a decomposition with the first decided literal. This function is useful to define the backtracking of DPLL.

#### Definition

The pattern *get-all-ann-decomposition* [] = [([], [])] is necessary otherwise, we can call the *hd* function in the other pattern.

**fun** *get-all-ann-decomposition* ::  $\langle ('a, 'b, 'm)$  annotated-lits  
 $\Rightarrow (('a, 'b, 'm)$  annotated-lits  $\times ('a, 'b, 'm)$  annotated-lits) list  $\rangle$  **where**  
 $\langle$  *get-all-ann-decomposition* (Decided L # Ls) =  
 (Decided L # Ls, []) # *get-all-ann-decomposition* Ls  $\rangle$  |  
 $\langle$  *get-all-ann-decomposition* (Propagated L P # Ls) =  
 (apsnd ((#) (Propagated L P)) (hd (*get-all-ann-decomposition* Ls)))  
 # tl (*get-all-ann-decomposition* Ls)  $\rangle$  |  
 $\langle$  *get-all-ann-decomposition* [] = [([], [])]  $\rangle$

**value**  $\langle$  *get-all-ann-decomposition* [Propagated A5 B5, Decided C4, Propagated A3 B3],



*Propagated A2 B2, Decided C1, Propagated A0 B0*⟩

Now we can prove several simple properties about the function.

**lemma** *get-all-ann-decomposition-never-empty*[iff]:  
 ⟨*get-all-ann-decomposition*  $M = [] \longleftrightarrow \text{False}$ ⟩  
 by (*induct*  $M$ , *simp*) (*rename-tac*  $a$   $xs$ , *case-tac*  $a$ , *auto*)

**lemma** *get-all-ann-decomposition-never-empty-sym*[iff]:  
 ⟨ $[] = \text{get-all-ann-decomposition } M \longleftrightarrow \text{False}$ ⟩  
 using *get-all-ann-decomposition-never-empty*[of  $M$ ] by *presburger*

**lemma** *get-all-ann-decomposition-decomp*:  
 ⟨*hd* (*get-all-ann-decomposition*  $S$ ) =  $(a, c) \implies S = c @ a$ ⟩

**proof** (*induct*  $S$  *arbitrary*:  $a$   $c$ )  
 case *Nil*  
 then show ?*case* by *simp*  
 next  
 case (*Cons*  $x$   $A$ )  
 then show ?*case* by (*cases*  $x$ ; *cases* ⟨*hd* (*get-all-ann-decomposition*  $A$ )⟩) *auto*  
 qed

**lemma** *get-all-ann-decomposition-backtrack-split*:  
 ⟨*backtrack-split*  $S = (M, M') \longleftrightarrow \text{hd}$  (*get-all-ann-decomposition*  $S$ ) =  $(M', M)$ ⟩

**proof** (*induction*  $S$  *arbitrary*:  $M$   $M'$ )  
 case *Nil*  
 then show ?*case* by *auto*  
 next  
 case (*Cons*  $a$   $S$ )  
 then show ?*case* using *backtrack-split-takeWhile-dropWhile* by (*cases*  $a$ ) *force+*  
 qed

**lemma** *get-all-ann-decomposition-Nil-backtrack-split-snd-Nil*:  
 ⟨*get-all-ann-decomposition*  $S = [([], A)] \implies \text{snd}$  (*backtrack-split*  $S$ ) =  $[]$ ⟩  
 by (*simp* *add*: *get-all-ann-decomposition-backtrack-split* *sndI*)

This functions says that the first element is either empty or starts with a decided element of the list.

**lemma** *get-all-ann-decomposition-length-1-fst-empty-or-length-1*:  
 assumes ⟨*get-all-ann-decomposition*  $M = (a, b) \# []$ ⟩  
 shows ⟨ $a = [] \vee (\text{length } a = 1 \wedge \text{is-decided } (\text{hd } a) \wedge \text{hd } a \in \text{set } M)$ ⟩  
 using *assms*

**proof** (*induct*  $M$  *arbitrary*:  $a$   $b$  *rule*: *ann-lit-list-induct*)  
 case *Nil* then show ?*case* by *simp*  
 next  
 case (*Decided*  $L$  *mark*)  
 then show ?*case* by *simp*  
 next  
 case (*Propagated*  $L$  *mark*  $M$ )  
 then show ?*case* by (*cases* ⟨*get-all-ann-decomposition*  $M$ ⟩) *force+*  
 qed

**lemma** *get-all-ann-decomposition-fst-empty-or-hd-in-M*:  
 assumes ⟨*get-all-ann-decomposition*  $M = (a, b) \# l$ ⟩  
 shows ⟨ $a = [] \vee (\text{is-decided } (\text{hd } a) \wedge \text{hd } a \in \text{set } M)$ ⟩  
 using *assms*

```

proof (induct M arbitrary: a b rule: ann-lit-list-induct)
  case Nil
  then show ?case by auto
next
  case (Decided L ann xs)
  then show ?case by auto
next
  case (Propagated L m xs) note IH = this(1) and d = this(2)
  then show ?case
    using IH[of ⟨fst (hd (get-all-ann-decomposition xs))⟩ ⟨snd (hd (get-all-ann-decomposition xs))⟩]
    by (cases ⟨get-all-ann-decomposition xs⟩; cases a) auto
qed

```

```

lemma get-all-ann-decomposition-snd-not-decided:
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  and ⟨L ∈ set b⟩
  shows ⟨¬is-decided L⟩
  using assms apply (induct M arbitrary: a b rule: ann-lit-list-induct, simp)
  by (rename-tac L' xs a b, case-tac ⟨get-all-ann-decomposition xs⟩; fastforce)+

```

```

lemma tl-get-all-ann-decomposition-skip-some:
  assumes ⟨x ∈ set (tl (get-all-ann-decomposition M1))⟩
  shows ⟨x ∈ set (tl (get-all-ann-decomposition (M0 @ M1)))⟩
  using assms
  by (induct M0 rule: ann-lit-list-induct)
  (auto simp add: list.set-sel(2))

```

```

lemma hd-get-all-ann-decomposition-skip-some:
  assumes ⟨(x, y) = hd (get-all-ann-decomposition M1)⟩
  shows ⟨(x, y) ∈ set (get-all-ann-decomposition (M0 @ Decided K # M1))⟩
  using assms

```

```

proof (induction M0 rule: ann-lit-list-induct)
  case Nil
  then show ?case by auto
next
  case (Decided L M0)
  then show ?case by auto
next
  case (Propagated L C M0) note xy = this(1)[OF this(2-)] and hd = this(2)
  then show ?case
    by (cases ⟨get-all-ann-decomposition (M0 @ Decided K # M1)⟩)
    (auto dest!: get-all-ann-decomposition-decomp
      arg-cong[of ⟨get-all-ann-decomposition -⟩ - hd])
qed

```

```

lemma in-get-all-ann-decomposition-in-get-all-ann-decomposition-prepend:
  ⟨(a, b) ∈ set (get-all-ann-decomposition M') ⟹
  ∃ b'. (a, b' @ b) ∈ set (get-all-ann-decomposition (M @ M'))⟩
  apply (induction M rule: ann-lit-list-induct)
  apply (metis append-Nil)
  apply auto[]
  by (rename-tac L' m xs, case-tac ⟨get-all-ann-decomposition (xs @ M')⟩) auto

```

```

lemma in-get-all-ann-decomposition-decided-or-empty:
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  shows ⟨a = [] ∨ (is-decided (hd a))⟩

```

```

using assms
proof (induct M arbitrary: a b rule: ann-lit-list-induct)
  case Nil then show ?case by simp
next
  case (Decided l M)
  then show ?case by auto
next
  case (Propagated l mark M)
  then show ?case by (cases ⟨get-all-ann-decomposition M⟩) force+
qed

```

```

lemma get-all-ann-decomposition-remove-undecided-length:
  assumes  $\langle \forall l \in \text{set } M'. \neg \text{is-decided } l \rangle$ 
  shows  $\langle \text{length } (\text{get-all-ann-decomposition } (M' @ M'')) = \text{length } (\text{get-all-ann-decomposition } M'') \rangle$ 
  using assms by (induct M' arbitrary: M'' rule: ann-lit-list-induct) auto

```

```

lemma get-all-ann-decomposition-not-is-decided-length:
  assumes  $\langle \forall l \in \text{set } M'. \neg \text{is-decided } l \rangle$ 
  shows  $\langle 1 + \text{length } (\text{get-all-ann-decomposition } (\text{Propagated } (-L) P \# M)) = \text{length } (\text{get-all-ann-decomposition } (M' @ \text{Decided } L \# M)) \rangle$ 
  using assms get-all-ann-decomposition-remove-undecided-length by fastforce

```

```

lemma get-all-ann-decomposition-last-choice:
  assumes  $\langle \text{tl } (\text{get-all-ann-decomposition } (M' @ \text{Decided } L \# M)) \neq [] \rangle$ 
  and  $\langle \forall l \in \text{set } M'. \neg \text{is-decided } l \rangle$ 
  and  $\langle \text{hd } (\text{tl } (\text{get-all-ann-decomposition } (M' @ \text{Decided } L \# M))) = (M0', M0) \rangle$ 
  shows  $\langle \text{hd } (\text{get-all-ann-decomposition } (\text{Propagated } (-L) P \# M)) = (M0', \text{Propagated } (-L) P \# M0) \rangle$ 
  using assms by (induct M' rule: ann-lit-list-induct) auto

```

```

lemma get-all-ann-decomposition-except-last-choice-equal:
  assumes  $\langle \forall l \in \text{set } M'. \neg \text{is-decided } l \rangle$ 
  shows  $\langle \text{tl } (\text{get-all-ann-decomposition } (\text{Propagated } (-L) P \# M)) = \text{tl } (\text{tl } (\text{get-all-ann-decomposition } (M' @ \text{Decided } L \# M))) \rangle$ 
  using assms by (induct M' rule: ann-lit-list-induct) auto

```

```

lemma get-all-ann-decomposition-hd-hd:
  assumes  $\langle \text{get-all-ann-decomposition } Ls = (M, C) \# (M0, M0') \# l \rangle$ 
  shows  $\langle \text{tl } M = M0' @ M0 \wedge \text{is-decided } (\text{hd } M) \rangle$ 
  using assms

```

```

proof (induct Ls arbitrary: M C M0 M0' l)
  case Nil
  then show ?case by simp
next
  case (Cons a Ls M C M0 M0' l) note IH = this(1) and g = this(2)
  { fix L ann level
    assume a: ⟨a = Decided L⟩
    have  $\langle Ls = M0' @ M0 \rangle$ 
    using g a by (force intro: get-all-ann-decomposition-decomp)
    then have  $\langle \text{tl } M = M0' @ M0 \wedge \text{is-decided } (\text{hd } M) \rangle$  using g a by auto
  }
moreover {
  fix L P
  assume a: ⟨a = Propagated L P⟩
  have  $\langle \text{tl } M = M0' @ M0 \wedge \text{is-decided } (\text{hd } M) \rangle$ 
  using IH Cons.premis unfolding a by (cases ⟨get-all-ann-decomposition Ls⟩) auto

```

```

}
ultimately show ?case by (cases a) auto
qed

```

```

lemma get-all-ann-decomposition-exists-prepend[dest]:
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  shows ⟨∃ c. M = c @ b @ a⟩
  using assms apply (induct M rule: ann-lit-list-induct)
  apply simp
  by (rename-tac L' xs, case-tac ⟨get-all-ann-decomposition xs⟩;
    auto dest!: arg-cong[of ⟨get-all-ann-decomposition -⟩ - hd]
    get-all-ann-decomposition-decomp)+

```

```

lemma get-all-ann-decomposition-incl:
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  shows ⟨set b ⊆ set M⟩ and ⟨set a ⊆ set M⟩
  using assms get-all-ann-decomposition-exists-prepend by fastforce+

```

```

lemma get-all-ann-decomposition-exists-prepend':
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  obtains c where ⟨M = c @ b @ a⟩
  using assms apply (induct M rule: ann-lit-list-induct)
  apply auto[1]
  by (rename-tac L' xs, case-tac ⟨hd (get-all-ann-decomposition xs)⟩,
    auto dest!: get-all-ann-decomposition-decomp simp add: list.set-sel(2))+

```

```

lemma union-in-get-all-ann-decomposition-is-subset:
  assumes ⟨(a, b) ∈ set (get-all-ann-decomposition M)⟩
  shows ⟨set a ∪ set b ⊆ set M⟩
  using assms by force

```

```

lemma Decided-cons-in-get-all-ann-decomposition-append-Decided-cons:
  ⟨∃ c''. (Decided K # c, c'') ∈ set (get-all-ann-decomposition (c' @ Decided K # c))⟩
  apply (induction c' rule: ann-lit-list-induct)
  apply auto[2]
  apply (rename-tac L xs,
    case-tac ⟨hd (get-all-ann-decomposition (xs @ Decided K # c))⟩)
  apply (case-tac ⟨get-all-ann-decomposition (xs @ Decided K # c)⟩)
  by auto

```

```

lemma fst-get-all-ann-decomposition-prepend-not-decided:
  assumes ⟨∀ m ∈ set MS. ¬ is-decided m⟩
  shows ⟨set (map fst (get-all-ann-decomposition M))
    = set (map fst (get-all-ann-decomposition (MS @ M)))⟩
  using assms apply (induction MS rule: ann-lit-list-induct)
  apply auto[2]
  by (rename-tac L m xs; case-tac ⟨get-all-ann-decomposition (xs @ M)⟩) simp-all

```

```

lemma no-decision-get-all-ann-decomposition:
  ⟨∀ l ∈ set M. ¬ is-decided l ⟹ get-all-ann-decomposition M = [([], M)]⟩
  by (induction M rule: ann-lit-list-induct) auto

```

## Entailment of the Propagated by the Decided Literal

```

lemma get-all-ann-decomposition-snd-union:
  ⟨set M = ⋃ (set 'snd ' set (get-all-ann-decomposition M)) ∪ {L | L. is-decided L ∧ L ∈ set M}⟩

```

(is  $\langle ?M M = ?U M \cup ?Ls M \rangle$ )  
**proof** (induct  $M$  rule: *ann-lit-list-induct*)  
 case *Nil*  
 then show *?case by simp*  
**next**  
 case (*Decided L M*) **note**  $IH = this(1)$   
 then have  $\langle Decided L \in ?Ls (Decided L \# M) \rangle$  **by auto**  
 moreover have  $\langle ?U (Decided L \# M) = ?U M \rangle$  **by auto**  
 moreover have  $\langle ?M M = ?U M \cup ?Ls M \rangle$  **using IH by auto**  
 ultimately show *?case by auto*  
**next**  
 case (*Propagated L m M*)  
 then show *?case by (cases (get-all-ann-decomposition M)) auto*  
**qed**

**definition** *all-decomposition-implies* ::  $\langle 'a$  clause set  
 $\Rightarrow (( 'a, 'm) \text{ ann-lits} \times ( 'a, 'm) \text{ ann-lits}) \text{ list} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle all-decomposition-implies N S \longleftrightarrow (\forall (Ls, seen) \in \text{set } S. \text{unmark-l } Ls \cup N \models_{ps} \text{unmark-l } seen) \rangle$

**lemma** *all-decomposition-implies-empty*[iff]:  
 $\langle all-decomposition-implies N [] \rangle$  **unfolding** *all-decomposition-implies-def* **by auto**

**lemma** *all-decomposition-implies-single*[iff]:  
 $\langle all-decomposition-implies N [(Ls, seen)] \longleftrightarrow \text{unmark-l } Ls \cup N \models_{ps} \text{unmark-l } seen \rangle$   
**unfolding** *all-decomposition-implies-def* **by auto**

**lemma** *all-decomposition-implies-append*[iff]:  
 $\langle all-decomposition-implies N (S @ S') \longleftrightarrow (all-decomposition-implies N S \wedge all-decomposition-implies N S') \rangle$   
**unfolding** *all-decomposition-implies-def* **by auto**

**lemma** *all-decomposition-implies-cons-pair*[iff]:  
 $\langle all-decomposition-implies N ((Ls, seen) \# S') \longleftrightarrow (all-decomposition-implies N [(Ls, seen)] \wedge all-decomposition-implies N S') \rangle$   
**unfolding** *all-decomposition-implies-def* **by auto**

**lemma** *all-decomposition-implies-cons-single*[iff]:  
 $\langle all-decomposition-implies N (l \# S') \longleftrightarrow (\text{unmark-l } (fst l) \cup N \models_{ps} \text{unmark-l } (snd l) \wedge all-decomposition-implies N S') \rangle$   
**unfolding** *all-decomposition-implies-def* **by auto**

**lemma** *all-decomposition-implies-trail-is-IMPLIED*:  
**assumes**  $\langle all-decomposition-implies N (get-all-ann-decomposition M) \rangle$   
**shows**  $\langle N \cup \{ \text{unmark } L \mid L. \text{is-decided } L \wedge L \in \text{set } M \} \models_{ps} \text{unmark } \bigcup (\text{set } \text{snd } \text{set } (get-all-ann-decomposition M)) \rangle$   
**using** *assms*  
**proof** (induct  $\langle \text{length } (get-all-ann-decomposition M) \rangle$  arbitrary:  $M$ )  
 case 0  
 then show *?case by auto*  
**next**  
 case (*Suc n*) **note**  $IH = this(1)$  **and**  $\text{length} = this(2)$  **and**  $\text{decomp} = this(3)$   
**consider**  
 (le1)  $\langle \text{length } (get-all-ann-decomposition M) \leq 1 \rangle$

```

| (gt1) ⟨length (get-all-ann-decomposition M) > 1⟩
by arith
then show ?case
proof cases
case le1
then obtain a b where g: ⟨get-all-ann-decomposition M = (a, b) # []⟩
  by (cases ⟨get-all-ann-decomposition M⟩) auto
moreover {
  assume ⟨a = []⟩
  then have ?thesis using Suc.prem1 g by auto
}
moreover {
  assume l: ⟨length a = 1⟩ and m: ⟨is-decided (hd a)⟩ and hd: ⟨hd a ∈ set M⟩
  then have ⟨unmark (hd a) ∈ {unmark L | L. is-decided L ∧ L ∈ set M}⟩ by auto
  then have H: ⟨unmark-l a ∪ N ⊆ N ∪ {unmark L | L. is-decided L ∧ L ∈ set M}⟩
    using l by (cases a) auto
  have f1: ⟨unmark-l a ∪ N ⊨ps unmark-l b⟩
    using decomp unfolding all-decomposition-implies-def g by simp
  have ?thesis
    apply (rule true-cls-cls-subset) using f1 H g by auto
}
ultimately show ?thesis
using get-all-ann-decomposition-length-1-fst-empty-or-length-1 by blast
next
case gt1
then obtain Ls0 seen0 M' where
  Ls0: ⟨get-all-ann-decomposition M = (Ls0, seen0) # get-all-ann-decomposition M'⟩ and
  length': ⟨length (get-all-ann-decomposition M') = n⟩ and
  M'-in-M: ⟨set M' ⊆ set M⟩
  using length by (induct M rule: ann-lit-list-induct) (auto simp: subset-insertI2)
let ?d = ⟨⋃(set 'snd 'set (get-all-ann-decomposition M'))⟩
let ?unM = ⟨{unmark L | L. is-decided L ∧ L ∈ set M}⟩
let ?unM' = ⟨{unmark L | L. is-decided L ∧ L ∈ set M'}⟩
{
  assume ⟨n = 0⟩
  then have ⟨get-all-ann-decomposition M' = []⟩ using length' by auto
  then have ?thesis using Suc.prem1 unfolding all-decomposition-implies-def Ls0 by auto
}
moreover {
  assume n: ⟨n > 0⟩
  then obtain Ls1 seen1 l where
    Ls1: ⟨get-all-ann-decomposition M' = (Ls1, seen1) # l⟩
    using length' by (induct M' rule: ann-lit-list-induct) auto

  have ⟨all-decomposition-implies N (get-all-ann-decomposition M')⟩
    using decomp unfolding Ls0 by auto
  then have N: ⟨N ∪ ?unM' ⊨ps unmark-s ?d⟩
    using IH length' by auto
  have l: ⟨N ∪ ?unM' ⊆ N ∪ ?unM⟩
    using M'-in-M by auto
  from true-cls-cls-subset[OF this N]
  have ΨN: ⟨N ∪ ?unM ⊨ps unmark-s ?d⟩ by auto
  have ⟨is-decided (hd Ls0)⟩ and LS: ⟨tl Ls0 = seen1 @ Ls1⟩
    using get-all-ann-decomposition-hd-hd[of M] unfolding Ls0 Ls1 by auto

  have LSM: ⟨seen1 @ Ls1 = M'⟩ using get-all-ann-decomposition-decomp[of M] Ls1 by auto

```

```

have M': ⟨set M' = ?d ∪ {L | L. is-decided L ∧ L ∈ set M'}⟩
  using get-all-ann-decomposition-snd-union by auto

{
  assume ⟨Ls0 ≠ []⟩
  then have ⟨hd Ls0 ∈ set M⟩
    using get-all-ann-decomposition-fst-empty-or-hd-in-M Ls0 by blast
  then have ⟨N ∪ ?unM ⊨p unmark (hd Ls0)⟩
    using ⟨is-decided (hd Ls0)⟩ by (metis (mono-tags, lifting) UnCI mem-Collect-eq
      true-clss-clss-in)
} note hd-Ls0 = this

have l: ⟨unmark ' (?d ∪ {L | L. is-decided L ∧ L ∈ set M'}) = unmark-s ?d ∪ ?unM'⟩
  by auto
have ⟨N ∪ ?unM' ⊨ps unmark ' (?d ∪ {L | L. is-decided L ∧ L ∈ set M'})⟩
  unfolding l using N by (auto simp: all-in-true-clss-clss)
then have t: ⟨N ∪ ?unM' ⊨ps unmark-l (tl Ls0)⟩
  using M' unfolding LS LSM by auto
then have ⟨N ∪ ?unM ⊨ps unmark-l (tl Ls0)⟩
  using M'-in-M true-clss-clss-subset[OF - t, of ⟨N ∪ ?unM⟩] by auto
then have ⟨N ∪ ?unM ⊨ps unmark-l Ls0⟩
  using hd-Ls0 by (cases Ls0) auto

moreover have ⟨unmark-l Ls0 ∪ N ⊨ps unmark-l seen0⟩
  using decomp unfolding Ls0 by simp
moreover have ⟨∧M Ma. (M::'a clause set) ∪ Ma ⊨ps M⟩
  by (simp add: all-in-true-clss-clss)
ultimately have Ψ: ⟨N ∪ ?unM ⊨ps unmark-l seen0⟩
  by (meson true-clss-clss-left-right true-clss-clss-union-and true-clss-clss-union-l-r)

moreover have ⟨unmark ' (set seen0 ∪ ?d) = unmark-l seen0 ∪ unmark-s ?d⟩
  by auto
ultimately have ?thesis using Ψ N unfolding Ls0 by simp
}
ultimately show ?thesis by auto
qed

```

**lemma** *all-decomposition-implies-propagated-lits-are-implied:*

```

assumes ⟨all-decomposition-implies N (get-all-ann-decomposition M)⟩
shows ⟨N ∪ {unmark L | L. is-decided L ∧ L ∈ set M} ⊨ps unmark-l M⟩
  (is ⟨?I ⊨ps ?A⟩)

```

**proof** –

```

have ⟨?I ⊨ps unmark-s {L | L. is-decided L ∧ L ∈ set M}⟩
  by (auto intro: all-in-true-clss-clss)
moreover have ⟨?I ⊨ps unmark ' ∪(set ' snd ' set (get-all-ann-decomposition M))⟩
  using all-decomposition-implies-trail-is-implied assms by blast
ultimately have ⟨N ∪ {unmark m | m. is-decided m ∧ m ∈ set M}
  ⊨ps unmark ' ∪(set ' snd ' set (get-all-ann-decomposition M))
  ∪ unmark ' {m | m. is-decided m ∧ m ∈ set M}⟩
  by blast
then show ?thesis
  by (metis (no-types) get-all-ann-decomposition-snd-union[of M] image-Un)

```

**lemma** *all-decomposition-implies-insert-single:*

$\langle \text{all-decomposition-implies } N M \implies \text{all-decomposition-implies } (\text{insert } C N) M \rangle$   
**unfolding** *all-decomposition-implies-def* **by** *auto*

**lemma** *all-decomposition-implies-union*:

$\langle \text{all-decomposition-implies } N M \implies \text{all-decomposition-implies } (N \cup N') M \rangle$   
**unfolding** *all-decomposition-implies-def sup.assoc[symmetric]* **by** *(auto intro: true-clss-clss-union-l)*

**lemma** *all-decomposition-implies-mono*:

$\langle N \subseteq N' \implies \text{all-decomposition-implies } N M \implies \text{all-decomposition-implies } N' M \rangle$   
**by** *(metis all-decomposition-implies-union le-iff-sup)*

**lemma** *all-decomposition-implies-mono-right*:

$\langle \text{all-decomposition-implies } I (\text{get-all-ann-decomposition } (M' @ M)) \implies$   
 $\text{all-decomposition-implies } I (\text{get-all-ann-decomposition } M) \rangle$   
**apply** *(induction M' arbitrary: M rule: ann-lit-list-induct)*  
**subgoal by** *auto*  
**subgoal by** *auto*  
**subgoal for**  $L C M' M$   
**by** *(cases \langle \text{get-all-ann-decomposition } (M' @ M) \rangle) auto*  
**done**

## 1.2.4 Negation of a Clause

We define the negation of a *'a clause*: it converts a single clause to a set of clauses, where each clause is a single literal (whose negation is in the original clause).

**definition** *CNot* ::  $\langle 'v \text{ clause} \Rightarrow 'v \text{ clause-set} \rangle$  **where**

$\langle \text{CNot } \psi = \{ \{ \# - L \# \} \mid L. L \in \# \psi \} \rangle$

**lemma** *finite-CNot[simp]*:  $\langle \text{finite } (\text{CNot } C) \rangle$

**by** *(auto simp: CNot-def)*

**lemma** *in-CNot-uminus[iff]*:

**shows**  $\langle \{ \# L \# \} \in \text{CNot } \psi \longleftrightarrow -L \in \# \psi \rangle$

**unfolding** *CNot-def* **by** *force*

**lemma**

**shows**

*CNot-add-mset[simp]*:  $\langle \text{CNot } (\text{add-mset } L \psi) = \text{insert } \{ \# - L \# \} (\text{CNot } \psi) \rangle$  **and**

*CNot-empty[simp]*:  $\langle \text{CNot } \{ \# \} = \{ \} \rangle$  **and**

*CNot-plus[simp]*:  $\langle \text{CNot } (A + B) = \text{CNot } A \cup \text{CNot } B \rangle$

**unfolding** *CNot-def* **by** *auto*

**lemma** *CNot-eq-empty[iff]*:

$\langle \text{CNot } D = \{ \} \longleftrightarrow D = \{ \# \} \rangle$

**unfolding** *CNot-def* **by** *(auto simp add: multiset-eqI)*

**lemma** *in-CNot-implies-uminus*:

**assumes**  $\langle L \in \# D \rangle$  **and**  $\langle M \models_{\text{as}} \text{CNot } D \rangle$

**shows**  $\langle M \models_{\text{a}} \{ \# - L \# \} \rangle$  **and**  $\langle -L \in \text{lits-of-l } M \rangle$

**using** *assms* **by** *(auto simp: true-annots-def true-annot-def CNot-def)*

**lemma** *CNot-remdups-mset[simp]*:

$\langle \text{CNot } (\text{remdups-mset } A) = \text{CNot } A \rangle$

**unfolding** *CNot-def* **by** *auto*



**lemma** *Ball-CNot-Ball-mset[simp]*:

$\langle (\forall x \in CNot\ D. P\ x) \longleftrightarrow (\forall L \in \# D. P\ \{\#-L\#}) \rangle$

**unfolding** *CNot-def* **by** *auto*

**lemma** *consistent-CNot-not*:

**assumes**  $\langle consistent\_interp\ I \rangle$

**shows**  $\langle I \models_s CNot\ \varphi \implies \neg I \models \varphi \rangle$

**using** *assms unfolding consistent-interp-def true-clss-def true-cls-def* **by** *auto*

**lemma** *total-not-true-cls-true-clss-CNot*:

**assumes**  $\langle total\_over\_m\ I\ \{\varphi\} \rangle$  **and**  $\langle \neg I \models \varphi \rangle$

**shows**  $\langle I \models_s CNot\ \varphi \rangle$

**using** *assms unfolding total-over-m-def total-over-set-def true-clss-def true-cls-def CNot-def*

**apply** *clarify*

**by**  $(rename\_tac\ x\ L, case\_tac\ L)$  *(force intro: pos-lit-in-atms-of neg-lit-in-atms-of)+*

**lemma** *total-not-CNot*:

**assumes**  $\langle total\_over\_m\ I\ \{\varphi\} \rangle$  **and**  $\langle \neg I \models_s CNot\ \varphi \rangle$

**shows**  $\langle I \models \varphi \rangle$

**using** *assms total-not-true-cls-true-clss-CNot* **by** *auto*

**lemma** *atms-of-ms-CNot-atms-of[simp]*:

$\langle atms\_of\_ms\ (CNot\ C) = atms\_of\ C \rangle$

**unfolding** *atms-of-ms-def atms-of-def CNot-def* **by** *fastforce*

**lemma** *true-clss-clss-contradiction-true-clss-cls-false*:

$\langle C \in D \implies D \models_{ps} CNot\ C \implies D \models_p \{\#\} \rangle$

**unfolding** *true-clss-clss-def true-clss-cls-def total-over-m-def*

**by**  $(metis\ Un\_commute\ atms\_of\_empty\ atms\_of\_ms\_CNot\_atms\_of\ atms\_of\_ms\_insert\ atms\_of\_ms\_union\ consistent\_CNot\_not\ insert\_absorb\ sup\_bot.left\_neutral\ true\_clss\_def)$

**lemma** *true-annots-CNot-all-atms-defined*:

**assumes**  $\langle M \models_{as} CNot\ T \rangle$  **and**  $a1: \langle L \in \# T \rangle$

**shows**  $\langle atm\_of\ L \in atm\_of\ 'lits\_of\_l\ M \rangle$

**by**  $(metis\ assms\ atm\_of\_uminus\ image\_eqI\ in\_CNot\_implies\_uminus(1)\ true\_annot\_singleton)$

**lemma** *true-annots-CNot-all-uminus-atms-defined*:

**assumes**  $\langle M \models_{as} CNot\ T \rangle$  **and**  $a1: \langle -L \in \# T \rangle$

**shows**  $\langle atm\_of\ L \in atm\_of\ 'lits\_of\_l\ M \rangle$

**by**  $(metis\ assms\ atm\_of\_uminus\ image\_eqI\ in\_CNot\_implies\_uminus(1)\ true\_annot\_singleton)$

**lemma** *true-clss-clss-false-left-right*:

**assumes**  $\langle \{\#\#L\#\} \cup B \models_p \{\#\} \rangle$

**shows**  $\langle B \models_{ps} CNot\ \{\#\#L\#\} \rangle$

**unfolding** *true-clss-clss-def true-clss-cls-def*

**proof**  $(intro\ allI\ impI)$

**fix** *I*

**assume**

*tot*:  $\langle total\_over\_m\ I\ (B \cup CNot\ \{\#\#L\#\}) \rangle$  **and**

*cons*:  $\langle consistent\_interp\ I \rangle$  **and**

*I*:  $\langle I \models_s B \rangle$

**have**  $\langle total\_over\_m\ I\ (\{\#\#L\#\} \cup B) \rangle$  **using** *tot* **by** *auto*

**then have**  $\langle \neg I \models_s insert\ \{\#\#L\#\}\ B \rangle$

**using** *assms cons unfolding true-clss-cls-def* **by** *simp*

**then show**  $\langle I \models_s CNot\ \{\#\#L\#\} \rangle$

**using** *tot I* **by**  $(cases\ L)\ auto$

qed

**lemma** *true-annots-true-cls-def-iff-negation-in-model*:

$\langle M \models_{as} CNot\ C \longleftrightarrow (\forall L \in \# C. -L \in lits\ of\ l\ M) \rangle$

**unfolding** *CNot-def true-annots-true-cls true-clss-def* **by** *auto*

**lemma** *true-clss-def-iff-negation-in-model*:

$\langle M \models_s CNot\ C \longleftrightarrow (\forall l \in \# C. -l \in M) \rangle$

**by** (*auto simp: CNot-def true-clss-def*)

**lemma** *true-annots-CNot-definedD*:

$\langle M \models_{as} CNot\ C \implies \forall L \in \# C. defined\ lit\ M\ L \rangle$

**unfolding** *true-annots-true-cls-def-iff-negation-in-model*

**by** (*auto simp: Decided-Propagated-in-iff-in-lits-of-l*)

**lemma** *true-annot-CNot-diff*:

$\langle I \models_{as} CNot\ C \implies I \models_{as} CNot\ (C - C') \rangle$

**by** (*auto simp: true-annots-true-cls-def-iff-negation-in-model dest: in-diffD*)

**lemma** *CNot-mset-replicate[simp]*:

$\langle CNot\ (mset\ (replicate\ n\ L)) = (if\ n = 0\ then\ \{\}\ else\ \{\#\ -L\#\}) \rangle$

**by** (*induction n*) *auto*

**lemma** *consistent-CNot-not-tautology*:

$\langle consistent\ interp\ M \implies M \models_s CNot\ D \implies \neg tautology\ D \rangle$

**by** (*metis atms-of-ms-CNot-atms-of consistent-CNot-not satisfiable-carac' satisfiable-def tautology-def total-over-m-def*)

**lemma** *atms-of-ms-CNot-atms-of-ms*:  $\langle atms\ of\ ms\ (CNot\ CC) = atms\ of\ ms\ \{CC\} \rangle$

**by** *simp*

**lemma** *total-over-m-CNot-toal-over-m[simp]*:

$\langle total\ over\ m\ I\ (CNot\ C) = total\ over\ set\ I\ (atms\ of\ C) \rangle$

**unfolding** *total-over-m-def total-over-set-def* **by** *auto*

**lemma** *true-clss-cls-plus-CNot*:

**assumes**

*CC-L*:  $\langle A \models_p add\ mset\ L\ CC \rangle$  **and**

*CNot-CC*:  $\langle A \models_{ps} CNot\ CC \rangle$

**shows**  $\langle A \models_p \{\#\ L\#\} \rangle$

**unfolding** *true-clss-clss-def true-clss-cls-def CNot-def total-over-m-def*

**proof** (*intro allI impI*)

**fix** *I*

**assume**

*tot*:  $\langle total\ over\ set\ I\ (atms\ of\ ms\ (A \cup \{\#\ L\#\})) \rangle$  **and**

*cons*:  $\langle consistent\ interp\ I \rangle$  **and**

*I*:  $\langle I \models_s A \rangle$

**let** *?I* =  $\langle I \cup \{Pos\ P \mid P \in atms\ of\ CC \wedge P \notin atm\ of\ 'I\} \rangle$

**have** *cons'*:  $\langle consistent\ interp\ ?I \rangle$

**using** *cons* **unfolding** *consistent-interp-def*

**by** (*auto simp: uminus-lit-swap atms-of-def rev-image-eqI*)

**have** *I'*:  $\langle ?I \models_s A \rangle$

**using** *I* *true-clss-union-increase* **by** *blast*

**have** *tot-CNot*:  $\langle total\ over\ m\ ?I\ (A \cup CNot\ CC) \rangle$

**using** *tot atms-of-s-def* **by** (*fastforce simp: total-over-m-def total-over-set-def*)

**then have**  $\text{tot-}I\text{-}A\text{-}CC\text{-}L$ :  $\langle \text{total-over-}m \ ?I \ (A \cup \{\text{add-mset } L \ CC\}) \rangle$   
**using**  $\text{tot unfolding total-over-}m\text{-}def \text{total-over-set-atm-of}$  **by**  $\text{auto}$   
**then have**  $\langle ?I \models \text{add-mset } L \ CC \rangle$  **using**  $CC\text{-}L \ \text{cons}' \ I'$  **unfolding**  $\text{true-clss-clss-def}$  **by**  $\text{blast}$   
**moreover**  
**have**  $\langle ?I \models CNot \ CC \rangle$  **using**  $CNot\text{-}CC \ \text{cons}' \ I' \ \text{tot-CNot}$  **unfolding**  $\text{true-clss-clss-def}$  **by**  $\text{auto}$   
**then have**  $\langle \neg A \models_p \ CC \rangle$   
**by**  $(\text{metis } (no\text{-types, lifting)} \ I' \ \text{atms-of-}ms\text{-}CNot\text{-atms-of-}ms \ \text{atms-of-}ms\text{-}union \ \text{cons}' \ \text{consistent-CNot-not tot-CNot total-over-}m\text{-}def \ \text{true-clss-clss-def})$   
**then have**  $\langle \neg ?I \models CC \rangle$  **using**  $\langle ?I \models CNot \ CC \rangle \ \text{cons}' \ \text{consistent-CNot-not}$  **by**  $\text{blast}$   
**ultimately have**  $\langle ?I \models \{\#L\# \} \rangle$  **by**  $\text{blast}$   
**then show**  $\langle I \models \{\#L\# \} \rangle$   
**by**  $(\text{metis } (no\text{-types, lifting)} \ \text{atms-of-}ms\text{-}union \ \text{cons}' \ \text{consistent-CNot-not tot total-not-CNot total-over-}m\text{-}def \ \text{total-over-set-union true-clss-union-increase})$   
**qed**

**lemma**  $\text{true-annots-CNot-lit-of-notin-skip}$ :

**assumes**  $LM$ :  $\langle L \# M \models_{as} CNot \ A \rangle$  **and**  $LA$ :  $\langle \text{lit-of } L \notin \# \ A \rangle \ \langle \neg \text{lit-of } L \notin \# \ A \rangle$   
**shows**  $\langle M \models_{as} CNot \ A \rangle$   
**using**  $LM$  **unfolding**  $\text{true-annots-def Ball-def}$

**proof**  $(\text{intro allI impI})$

**fix**  $l$

**assume**  $H$ :  $\langle \forall x. x \in CNot \ A \longrightarrow L \# M \models_a x \rangle$  **and**  $l$ :  $\langle l \in CNot \ A \rangle$

**then have**  $\langle L \# M \models_a l \rangle$  **by**  $\text{auto}$

**then show**  $\langle M \models_a l \rangle$  **using**  $LA \ l$  **by**  $(\text{cases } L) \ (\text{auto simp: } CNot\text{-def})$

**qed**

**lemma**  $\text{true-clss-clss-union-false-true-clss-clss-cnot}$ :

$\langle A \cup \{B\} \models_{ps} \{\{\#\}\} \longleftrightarrow A \models_{ps} CNot \ B \rangle$

**using**  $\text{total-not-CNot consistent-CNot-not unfolding total-over-}m\text{-}def \ \text{true-clss-clss-def}$   
**by**  $\text{fastforce}$

**lemma**  $\text{true-annot-remove-hd-if-notin-vars}$ :

**assumes**  $\langle a \# M' \models_a D \rangle$  **and**  $\langle \text{atm-of } (\text{lit-of } a) \notin \text{atms-of } D \rangle$

**shows**  $\langle M' \models_a D \rangle$

**using**  $\text{assms true-clss-remove-hd-if-notin-vars unfolding true-annot-def}$  **by**  $\text{auto}$

**lemma**  $\text{true-annot-remove-if-notin-vars}$ :

**assumes**  $\langle M @ M' \models_a D \rangle$  **and**  $\langle \forall x \in \text{atms-of } D. x \notin \text{atm-of } \text{'lits-of-}l \ M \rangle$

**shows**  $\langle M' \models_a D \rangle$

**using**  $\text{assms}$  **by**  $(\text{induct } M) \ (\text{auto dest: true-annot-remove-hd-if-notin-vars})$

**lemma**  $\text{true-annots-remove-if-notin-vars}$ :

**assumes**  $\langle M @ M' \models_{as} D \rangle$  **and**  $\langle \forall x \in \text{atms-of-}ms \ D. x \notin \text{atm-of } \text{'lits-of-}l \ M \rangle$

**shows**  $\langle M' \models_{as} D \rangle$  **unfolding**  $\text{true-annots-def}$

**using**  $\text{assms unfolding true-annots-def atms-of-}ms\text{-}def$

**by**  $(\text{force dest: true-annot-remove-if-notin-vars})$

**lemma**  $\text{all-variables-defined-not-imply-cnot}$ :

**assumes**

$\langle \forall s \in \text{atms-of-}ms \ \{B\}. s \in \text{atm-of } \text{'lits-of-}l \ A \rangle$  **and**

$\langle \neg A \models_a B \rangle$

**shows**  $\langle A \models_{as} CNot \ B \rangle$

**unfolding**  $\text{true-annot-def true-annots-def Ball-def CNot-def true-lit-def}$

**proof**  $(\text{clarify, rule ccontr})$

**fix**  $L$

**assume**  $LB: \langle L \in \# B \rangle$  **and**  $L\text{-false}: \langle \neg \text{lits-of-l } A \models \{\#\} \rangle$  **and**  $L\text{-A}: \langle - L \notin \text{lits-of-l } A \rangle$   
**then have**  $\langle \text{atm-of } L \in \text{atm-of } \text{'lits-of-l } A \rangle$   
**using**  $\text{assms}(1)$  **by**  $(\text{simp add: atm-of-lit-in-atms-of lits-of-def})$   
**then have**  $\langle L \in \text{lits-of-l } A \vee -L \in \text{lits-of-l } A \rangle$   
**using**  $\text{atm-of-in-atm-of-set-iff-in-set-or-uminus-in-set}$  **by**  $\text{metis}$   
**then have**  $\langle L \in \text{lits-of-l } A \rangle$  **using**  $L\text{-A}$  **by**  $\text{auto}$   
**then show**  $\text{False}$   
**using**  $LB$   $\text{assms}(2)$  **unfolding**  $\text{true-annot-def true-lit-def true-clss-def Bex-def}$   
**by**  $\text{blast}$   
**qed**

**lemma**  $C\text{Not-union-mset}[\text{simp}]$ :  
 $\langle C\text{Not } (A \cup \# B) = C\text{Not } A \cup C\text{Not } B \rangle$   
**unfolding**  $C\text{Not-def}$  **by**  $\text{auto}$

**lemma**  $\text{true-clss-clss-true-clss-clss-true-clss-clss}$ :  
**assumes**  
 $\langle A \models_{ps} \text{unmark-l } M \rangle$  **and**  $\langle M \models_{as} D \rangle$   
**shows**  $\langle A \models_{ps} D \rangle$   
**by**  $(\text{meson assms total-over-m-union true-annots-true-clss true-annots-true-clss-clss true-clss-clss-def true-clss-clss-left-right true-clss-clss-union-and true-clss-clss-union-l-r})$

**lemma**  $\text{true-clss-clss-CNot-true-clss-clss-unsatisfiable}$ :  
**assumes**  $\langle A \models_{ps} C\text{Not } D \rangle$  **and**  $\langle A \models_p D \rangle$   
**shows**  $\langle \text{unsatisfiable } A \rangle$   
**using**  $\text{assms}(2)$  **unfolding**  $\text{true-clss-clss-def true-clss-clss-def satisfiable-def}$   
**by**  $(\text{metis (full-types) Un-absorb Un-empty-right assms}(1) \text{atms-of-empty atms-of-ms-empty-set total-over-m-def total-over-m-insert total-over-m-union true-clss-clss-def true-clss-clss-generalise-true-clss-clss true-clss-clss-true-clss-clss true-clss-clss-union-false-true-clss-clss-cnot})$

**lemma**  $\text{true-clss-clss-neg}$ :  
 $\langle N \models_p I \iff N \cup (\lambda L. \{\#\text{-}L\#\}) \text{'set-mset } I \models_p \{\#\} \rangle$   
**proof** –  
**have**  $[\text{simp}]$ :  $\langle (\lambda L. \{\#\text{-}L\#\}) \text{'set-mset } I = C\text{Not } I \rangle$  **for**  $I$   
**by**  $(\text{auto simp: CNot-def})$   
**have**  $[\text{iff}]$ :  $\langle \text{total-over-m } I_a ((\lambda L. \{\#\text{-}L\#\}) \text{'set-mset } I) \iff \text{total-over-set } I_a (\text{atms-of } I) \rangle$  **for**  $I_a$   
**by**  $(\text{auto simp: total-over-m-def total-over-set-def atms-of-ms-def atms-of-def})$   
**show**  $?\text{thesis}$   
**by**  $(\text{auto simp: true-clss-clss-def consistent-CNot-not total-not-CNot})$

**qed**

**lemma**  $\text{all-decomposition-implies-conflict-DECO-clause}$ :  
**assumes**  $\langle \text{all-decomposition-implies } N (\text{get-all-ann-decomposition } M) \rangle$  **and**  
 $\langle M \models_{as} C\text{Not } C \rangle$  **and**  
 $\langle C \in N \rangle$   
**shows**  $\langle N \models_p (\text{uminus o lit-of}) \text{'\# (filter-mset is-decided (mset } M)) \rangle$   
 $(\text{is } \langle ?I \models_p ?A \rangle)$   
**proof** –  
**have**  $\langle \{\text{unmark } m \mid m. \text{is-decided } m \wedge m \in \text{set } M\} = \text{unmark-s } \{L \in \text{set } M. \text{is-decided } L\} \rangle$   
**by**  $\text{auto}$

**have**  $\langle N \cup \text{unmark-s } \{L \in \text{set } M. \text{ is-decided } L\} \models_p \{\#\} \rangle$   
**by** (*metis (mono-tags, lifting) UnCI*  
 $\langle \{\text{unmark } m \mid m. \text{ is-decided } m \wedge m \in \text{set } M\} = \text{unmark-s } \{L \in \text{set } M. \text{ is-decided } L\} \rangle$   
*all-decomposition-implies-propagated-lits-are-implied assms*  
*true-clss-clss-contradiction-true-clss-cls-false true-clss-clss-true-clss-cls-true-clss-clss*)  
**then show** *?thesis*  
**apply** (*subst true-clss-clss-neg*)  
**by** (*auto simp: image-image*)  
**qed**

## 1.2.5 Other

**definition**  $\langle \text{no-dup } L \equiv \text{distinct } (\text{map } (\lambda l. \text{atm-of } (\text{lits-of } l)) L) \rangle$

**lemma** *no-dup-nil[simp]*:

$\langle \text{no-dup } [] \rangle$

**by** (*auto simp: no-dup-def*)

**lemma** *no-dup-cons[simp]*:

$\langle \text{no-dup } (L \# M) \longleftrightarrow \text{undefined-lit } M (\text{lits-of } L) \wedge \text{no-dup } M \rangle$

**by** (*auto simp: no-dup-def defined-lit-map*)

**lemma** *no-dup-append-cons[iff]*:

$\langle \text{no-dup } (M @ L \# M') \longleftrightarrow \text{undefined-lit } (M @ M') (\text{lits-of } L) \wedge \text{no-dup } (M @ M') \rangle$

**by** (*auto simp: no-dup-def defined-lit-map*)

**lemma** *no-dup-append-append-cons[iff]*:

$\langle \text{no-dup } (M0 @ M @ L \# M') \longleftrightarrow \text{undefined-lit } (M0 @ M @ M') (\text{lits-of } L) \wedge \text{no-dup } (M0 @ M @ M') \rangle$

**by** (*auto simp: no-dup-def defined-lit-map*)

**lemma** *no-dup-rev[simp]*:

$\langle \text{no-dup } (\text{rev } M) \longleftrightarrow \text{no-dup } M \rangle$

**by** (*auto simp: rev-map[symmetric] no-dup-def*)

**lemma** *no-dup-appendD*:

$\langle \text{no-dup } (a @ b) \Longrightarrow \text{no-dup } b \rangle$

**by** (*auto simp: no-dup-def*)

**lemma** *no-dup-appendD1*:

$\langle \text{no-dup } (a @ b) \Longrightarrow \text{no-dup } a \rangle$

**by** (*auto simp: no-dup-def*)

**lemma** *no-dup-length-eq-card-atm-of-lits-of-l*:

**assumes**  $\langle \text{no-dup } M \rangle$

**shows**  $\langle \text{length } M = \text{card } (\text{atm-of } (\text{lits-of-l } M)) \rangle$

**using** *assms unfolding lits-of-def* **by** (*induct M*) (*auto simp add: image-image no-dup-def*)

**lemma** *distinct-consistent-interp*:

$\langle \text{no-dup } M \Longrightarrow \text{consistent-interp } (\text{lits-of-l } M) \rangle$

**proof** (*induct M*)

**case** *Nil*

**show** *?case* **by** *auto*

**next**

**case** (*Cons L M*)

**then have** *a1*:  $\langle \text{consistent-interp } (\text{lits-of-l } M) \rangle$  **by** *auto*

**have**  $\langle \text{undefined-lit } M \text{ (lit-of } L) \rangle$   
**using** *Cons.prem*s **by** *auto*  
**then show** *?case*  
**using** *a1* **by** *simp*  
**qed**

**lemma** *same-mset-no-dup-iff*:  
 $\langle \text{mset } M = \text{mset } M' \implies \text{no-dup } M \longleftrightarrow \text{no-dup } M' \rangle$   
**by** (*auto simp: no-dup-def same-mset-distinct-iff*)

**lemma** *distinct-get-all-ann-decomposition-no-dup*:  
**assumes**  $\langle (a, b) \in \text{set } (\text{get-all-ann-decomposition } M) \rangle$   
**and**  $\langle \text{no-dup } M \rangle$   
**shows**  $\langle \text{no-dup } (a @ b) \rangle$   
**using** *assms* **by** (*force simp: no-dup-def*)

**lemma** *true-annots-lit-of-notin-skip*:  
**assumes**  $\langle L \# M \models_{\text{as}} \text{CNot } A \rangle$   
**and**  $\langle \neg \text{lit-of } L \notin \# A \rangle$   
**and**  $\langle \text{no-dup } (L \# M) \rangle$   
**shows**  $\langle M \models_{\text{as}} \text{CNot } A \rangle$

**proof** –

**have**  $\langle \forall l \in \# A. \neg l \in \text{lits-of-l } (L \# M) \rangle$   
**using** *assms(1) in-CNot-implies-uminus(2)* **by** *blast*  
**moreover** {  
**have**  $\langle \text{undefined-lit } M \text{ (lit-of } L) \rangle$   
**using** *assms(3)* **by** *force*  
**then have**  $\langle \neg \text{lit-of } L \notin \text{lits-of-l } M \rangle$   
**by** (*simp add: Decided-Propagated-in-iff-in-lits-of-l*) }  
**ultimately have**  $\langle \forall l \in \# A. \neg l \in \text{lits-of-l } M \rangle$   
**using** *assms(2)* **by** (*metis insert-iff list.simps(15) lits-of-insert uminus-of-uminus-id*)  
**then show** *?thesis* **by** (*auto simp add: true-annots-def*)  
**qed**

**lemma** *no-dup-imp-distinct*:  $\langle \text{no-dup } M \implies \text{distinct } M \rangle$   
**by** (*induction M*) (*auto simp: defined-lit-map*)

**lemma** *no-dup-tlD*:  $\langle \text{no-dup } a \implies \text{no-dup } (\text{tl } a) \rangle$   
**unfolding** *no-dup-def* **by** (*cases a*) *auto*

**lemma** *defined-lit-no-dupD*:  
 $\langle \text{defined-lit } M1 \ L \implies \text{no-dup } (M2 @ M1) \implies \text{undefined-lit } M2 \ L \rangle$   
 $\langle \text{defined-lit } M1 \ L \implies \text{no-dup } (M2' @ M2 @ M1) \implies \text{undefined-lit } M2' \ L \rangle$   
 $\langle \text{defined-lit } M1 \ L \implies \text{no-dup } (M2' @ M2 @ M1) \implies \text{undefined-lit } M2 \ L \rangle$   
**by** (*auto simp: defined-lit-map no-dup-def*)

**lemma** *no-dup-consistentD*:  
 $\langle \text{no-dup } M \implies L \in \text{lits-of-l } M \implies \neg L \notin \text{lits-of-l } M \rangle$   
**using** *consistent-interp-def distinct-consistent-interp* **by** *blast*

**lemma** *no-dup-not-tautology*:  $\langle \text{no-dup } M \implies \neg \text{tautology } (\text{image-mset lit-of } (\text{mset } M)) \rangle$   
**by** (*induction M*) (*auto simp: tautology-add-mset uminus-lit-swap defined-lit-def*  
*dest: atm-imp-decided-or-proped*)

**lemma** *no-dup-distinct*:  $\langle \text{no-dup } M \implies \text{distinct-mset } (\text{image-mset lit-of } (\text{mset } M)) \rangle$   
**by** (*induction M*) (*auto simp: uminus-lit-swap defined-lit-def*)

*dest: atm-imp-decided-or-proped*)

**lemma** *no-dup-not-tautology-uminus*:  $\langle \text{no-dup } M \implies \neg \text{tautology } \{\# \text{-lit-of } L. L \in \# \text{ mset } M \# \} \rangle$   
**by** (*induction M*) (*auto simp: tautology-add-mset uminus-lit-swap defined-lit-def*)  
*dest: atm-imp-decided-or-proped*)

**lemma** *no-dup-distinct-uminus*:  $\langle \text{no-dup } M \implies \text{distinct-mset } \{\# \text{-lit-of } L. L \in \# \text{ mset } M \# \} \rangle$   
**by** (*induction M*) (*auto simp: uminus-lit-swap defined-lit-def*)  
*dest: atm-imp-decided-or-proped*)

**lemma** *no-dup-map-lit-of*:  $\langle \text{no-dup } M \implies \text{distinct } (\text{map lit-of } M) \rangle$   
**apply** (*induction M*)  
**apply** (*auto simp: dest: no-dup-imp-distinct*)  
**by** (*meson distinct.simps(2) no-dup-cons no-dup-imp-distinct*)

**lemma** *no-dup-alt-def*:  
 $\langle \text{no-dup } M \iff \text{distinct-mset } \{\# \text{atm-of } (\text{lit-of } x). x \in \# \text{ mset } M \# \} \rangle$   
**by** (*auto simp: no-dup-def simp flip: distinct-mset-mset-distinct*)

**lemma** *no-dup-append-in-atm-notin*:  
**assumes**  $\langle \text{no-dup } (M @ M') \rangle$  **and**  $\langle L \in \text{lits-of-l } M' \rangle$   
**shows**  $\langle \text{undefined-lit } M L \rangle$   
**using** *assms* **by** (*auto simp add: atm-lit-of-set-lits-of-l no-dup-def defined-lit-map*)

**lemma** *no-dup-uminus-append-in-atm-notin*:  
**assumes**  $\langle \text{no-dup } (M @ M') \rangle$  **and**  $\langle -L \in \text{lits-of-l } M' \rangle$   
**shows**  $\langle \text{undefined-lit } M L \rangle$   
**using** *Decided-Propagated-in-iff-in-lits-of-l assms defined-lit-no-dupD(1)* **by** *blast*

## 1.2.6 Extending Entailments to multisets

We have defined previous entailment with respect to sets, but we also need a multiset version depending on the context. The conversion is simple using the function *set-mset* (in this direction, there is no loss of information).

**abbreviation** *true-annots-mset* (**infix**  $\models_{asm}$  50) **where**  
 $\langle I \models_{asm} C \equiv I \models_{as} (\text{set-mset } C) \rangle$

**abbreviation** *true-clss-clss-m* ::  $\langle 'v \text{ clause multiset} \Rightarrow 'v \text{ clause multiset} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_{psm}$  50)  
**where**  
 $\langle I \models_{psm} C \equiv \text{set-mset } I \models_{ps} (\text{set-mset } C) \rangle$

Analog of theorem *true-clss-clss-subsetE*

**lemma** *true-clss-clssm-subsetE*:  $\langle N \models_{psm} B \implies A \subseteq \# B \implies N \models_{psm} A \rangle$   
**using** *set-mset-mono true-clss-clss-subsetE* **by** *blast*

**abbreviation** *true-clss-clss-m*::  $\langle 'a \text{ clause multiset} \Rightarrow 'a \text{ clause} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_{pm}$  50) **where**  
 $\langle I \models_{pm} C \equiv \text{set-mset } I \models_p C \rangle$

**abbreviation** *distinct-mset-mset* ::  $\langle 'a \text{ multiset multiset} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{distinct-mset-mset } \Sigma \equiv \text{distinct-mset-set } (\text{set-mset } \Sigma) \rangle$

**abbreviation** *all-decomposition-implies-m* **where**  
 $\langle \text{all-decomposition-implies-m } A B \equiv \text{all-decomposition-implies } (\text{set-mset } A) B \rangle$

**abbreviation** *atms-of-mm* ::  $\langle 'a \text{ clause multiset} \Rightarrow 'a \text{ set} \rangle$  **where**  
 $\langle \text{atms-of-mm } U \equiv \text{atms-of-ms } (\text{set-mset } U) \rangle$

Other definition using *Union-mset*

**lemma** *atms-of-mm-alt-def*:  $\langle \text{atms-of-mm } U = \text{set-mset } (\sum \# (\text{image-mset } (\text{image-mset } \text{atm-of}) U)) \rangle$   
**unfolding** *atms-of-ms-def* **by** (*auto simp: atms-of-def*)

**abbreviation** *true-clss-m*::  $\langle 'a \text{ partial-interp} \Rightarrow 'a \text{ clause multiset} \Rightarrow \text{bool} \rangle$  (**infix**  $\models_{sm}$  50) **where**  
 $\langle I \models_{sm} C \equiv I \models \text{set-mset } C \rangle$

**abbreviation** *true-clss-ext-m* (**infix**  $\models_{sextm}$  49) **where**  
 $\langle I \models_{sextm} C \equiv I \models_{sext} \text{set-mset } C \rangle$

**lemma** *true-clss-cls-cong-set-mset*:

$\langle N \models_{pm} D \Longrightarrow \text{set-mset } D = \text{set-mset } D' \Longrightarrow N \models_{pm} D' \rangle$

**by** (*auto simp add: true-clss-cls-def true-cls-def atms-of-cong-set-mset[of D D']*)

### 1.2.7 More Lemmas

**lemma** *no-dup-cannot-not-lit-and-uminus*:

$\langle \text{no-dup } M \Longrightarrow - \text{lit-of } xa = \text{lit-of } x \Longrightarrow x \in \text{set } M \Longrightarrow xa \notin \text{set } M \rangle$

**by** (*metis atm-of-uminus distinct-map inj-on-eq-iff uminus-not-id' no-dup-def*)

**lemma** *atms-of-ms-single-atm-of[simp]*:

$\langle \text{atms-of-ms } \{\text{unmark } L \mid L. P L\} = \text{atm-of } \{ \text{lit-of } L \mid L. P L \} \rangle$

**unfolding** *atms-of-ms-def* **by** *force*

**lemma** *true-cls-mset-restrict*:

$\langle \{L \in I. \text{atm-of } L \in \text{atms-of-mm } N\} \models_m N \longleftrightarrow I \models_m N \rangle$

**by** (*auto simp: true-cls-mset-def true-cls-def*  
*dest!: multi-member-split*)

**lemma** *true-clss-restrict*:

$\langle \{L \in I. \text{atm-of } L \in \text{atms-of-mm } N\} \models_{sm} N \longleftrightarrow I \models_{sm} N \rangle$

**by** (*auto simp: true-clss-def true-cls-def*  
*dest!: multi-member-split*)

**lemma** *total-over-m-atms-incl*:

**assumes**  $\langle \text{total-over-m } M (\text{set-mset } N) \rangle$

**shows**

$\langle x \in \text{atms-of-mm } N \Longrightarrow x \in \text{atms-of-s } M \rangle$

**by** (*meson assms contra-subsetD total-over-m-alt-def*)

**lemma** *true-clss-restrict-iff*:

**assumes**  $\langle \neg \text{tautology } \chi \rangle$

**shows**  $\langle N \models_p \chi \longleftrightarrow N \models_p \{ \#L \in \# \chi. \text{atm-of } L \in \text{atms-of-ms } N \# \} \rangle$  (**is**  $\langle ?A \longleftrightarrow ?B \rangle$ )

**apply** (*subst true-clss-alt-def2[OF assms]*)

**apply** (*subst true-clss-alt-def2*)

**subgoal using** *not-tautology-mono[OF - assms]* **by** (*auto dest: not-tautology-minus*)

**apply** (*rule HOL.iff-allI*)

**apply** (*auto 5 5 simp: true-cls-def atm-of-s-def dest!: multi-member-split*)

**done**

### 1.2.8 Negation of annotated clauses

**definition** *negate-ann-lits* ::  $\langle ('v \text{ literal}, 'v \text{ literal}, 'mark) \text{ annotated-lits} \Rightarrow 'v \text{ literal multiset} \rangle$  **where**



$\langle \text{negate-ann-lits } M = (\lambda L. - \text{ lit-of } L) \text{ \# mset } M \rangle$

**lemma** *negate-ann-lits-empty[simp]*:  $\langle \text{negate-ann-lits } [] = \{\#\} \rangle$   
**by** (*auto simp: negate-ann-lits-def*)

**lemma** *entails-CNot-negate-ann-lits*:  
 $\langle M \models_{as} C \text{Not } D \iff \text{ set-mset } D \subseteq \text{ set-mset } (\text{negate-ann-lits } M) \rangle$   
**by** (*auto simp: true-annots-true-cls-def-iff-negation-in-model*  
*negate-ann-lits-def lits-of-def uminus-lit-swap*  
*dest!: multi-member-split*)

Pointwise negation of a clause:

**definition** *pNeg* ::  $\langle 'v \text{ clause} \Rightarrow 'v \text{ clause} \rangle$  **where**  
 $\langle pNeg C = \{\# - D. D \in \# C \# \} \rangle$

**lemma** *pNeg-simps*:  
 $\langle pNeg (\text{add-mset } A C) = \text{add-mset } (-A) (pNeg C) \rangle$   
 $\langle pNeg (C + D) = pNeg C + pNeg D \rangle$   
**by** (*auto simp: pNeg-def*)

**lemma** *atms-of-pNeg[simp]*:  $\langle \text{atms-of } (pNeg C) = \text{atms-of } C \rangle$   
**by** (*auto simp: pNeg-def atms-of-def image-image*)

**lemma** *negate-ann-lits-pNeg-lit-of*:  $\langle \text{negate-ann-lits } = pNeg \circ \text{ image-mset lit-of } \circ \text{ mset} \rangle$   
**by** (*intro ext*) (*auto simp: negate-ann-lits-def pNeg-def*)

**lemma** *negate-ann-lits-empty-iff*:  $\langle \text{negate-ann-lits } M \neq \{\#\} \iff M \neq [] \rangle$   
**by** (*auto simp: negate-ann-lits-def*)

**lemma** *atms-of-negate-ann-lits[simp]*:  $\langle \text{atms-of } (\text{negate-ann-lits } M) = \text{atm-of } (\text{ lits-of-l } M) \rangle$   
**unfolding** *negate-ann-lits-def lits-of-def atms-of-def* **by** (*auto simp: image-image*)

**lemma** *tautology-pNeg[simp]*:  
 $\langle \text{tautology } (pNeg C) \iff \text{tautology } C \rangle$   
**by** (*auto 5 5 simp: tautology-decomp pNeg-def*  
*uminus-lit-swap add-mset-eq-add-mset eq-commute[of \langle Neg - \rangle \langle - - \rangle] eq-commute[of \langle Pos - \rangle \langle - - \rangle]*  
*dest!: multi-member-split*)

**lemma** *pNeg-convolution[simp]*:  
 $\langle pNeg (pNeg C) = C \rangle$   
**by** (*auto simp: pNeg-def*)

**lemma** *pNeg-minus[simp]*:  $\langle pNeg (A - B) = pNeg A - pNeg B \rangle$   
**unfolding** *pNeg-def*  
**by** (*subst image-mset-minus-inj-on*) (*auto simp: inj-on-def*)

**lemma** *pNeg-empty[simp]*:  $\langle pNeg \{\#\} = \{\#\} \rangle$   
**unfolding** *pNeg-def*  
**by** (*auto simp: inj-on-def*)

**lemma** *pNeg-replicate-mset[simp]*:  $\langle pNeg (\text{replicate-mset } n L) = \text{replicate-mset } n (-L) \rangle$   
**unfolding** *pNeg-def* **by** *auto*

**lemma** *distinct-mset-pNeg-iff[iff]*:  $\langle \text{distinct-mset } (pNeg x) \iff \text{distinct-mset } x \rangle$   
**unfolding** *pNeg-def*  
**by** (*rule distinct-image-mset-inj*) (*auto simp: inj-on-def*)

**lemma** *pNeg-simple-clss-iff*[simp]:  
 $\langle pNeg\ M \in\ simple-clss\ N \longleftrightarrow M \in\ simple-clss\ N \rangle$   
**by** (*auto simp: simple-clss-def*)

**lemma** *atms-of-ms-pNeg*[simp]:  $\langle atms-of-ms\ (pNeg\ 'N) = atms-of-ms\ N \rangle$   
**unfolding** *atms-of-ms-def pNeg-def* **by** (*auto simp: image-image atms-of-def*)

**definition** *DECO-clause* ::  $\langle ('v, 'a)\ ann-lits \Rightarrow 'v\ clause \rangle$  **where**  
 $\langle DECO-clause\ M = (uminus\ o\ lit-of)\ \#\ (filter-mset\ is-decided\ (mset\ M)) \rangle$

**lemma**  
*DECO-clause-cons-Decide*[simp]:  
 $\langle DECO-clause\ (Decided\ L\ \#\ M) = add-mset\ (-L)\ (DECO-clause\ M) \rangle$  **and**  
*DECO-clause-cons-Proped*[simp]:  
 $\langle DECO-clause\ (Propagated\ L\ C\ \#\ M) = DECO-clause\ M \rangle$   
**by** (*auto simp: DECO-clause-def*)

**lemma** *no-dup-distinct-mset*[intro!]:  
**assumes** *n-d*:  $\langle no-dup\ M \rangle$   
**shows**  $\langle distinct-mset\ (negate-ann-lits\ M) \rangle$   
**unfolding** *negate-ann-lits-def no-dup-def*  
**proof** (*subst distinct-image-mset-inj*)  
**show**  $\langle inj-on\ (\lambda L.\ -\ lit-of\ L)\ (set-mset\ (mset\ M)) \rangle$   
**unfolding** *inj-on-def Ball-def*  
**proof** (*intro allI impI, rule ccontr*)  
**fix** *L L'*  
**assume**  
*L*:  $\langle L \in\ \#\ mset\ M \rangle$  **and**  
*L'*:  $\langle L' \in\ \#\ mset\ M \rangle$  **and**  
*lit*:  $\langle -\ lit-of\ L = -\ lit-of\ L' \rangle$  **and**  
*LL'*:  $\langle L \neq L' \rangle$   
**have**  $\langle atm-of\ (lit-of\ L) = atm-of\ (lit-of\ L') \rangle$   
**using** *lit* **by** *auto*  
**moreover have**  $\langle atm-of\ (lit-of\ L) \in\ \#\ (\lambda l.\ atm-of\ (lit-of\ l))\ \#\ mset\ M \rangle$   
**using** *L* **by** *auto*  
**moreover have**  $\langle atm-of\ (lit-of\ L') \in\ \#\ (\lambda l.\ atm-of\ (lit-of\ l))\ \#\ mset\ M \rangle$   
**using** *L'* **by** *auto*  
**ultimately show** *False*  
**using** *assms LL' L L'* **unfolding** *distinct-mset-mset-distinct[symmetric] mset-map no-dup-def*  
**apply** **– apply** (*rule distinct-image-mset-not-equal[of L L'  $\langle (\lambda l.\ atm-of\ (lit-of\ l)) \rangle$ ]*)  
**by** *auto*  
**qed**  
**next**  
**show**  $\langle distinct-mset\ (mset\ M) \rangle$   
**using** *no-dup-imp-distinct[OF n-d]* **by** *simp*  
**qed**

**lemma** *in-negate-trial-iff*:  $\langle L \in\ \#\ negate-ann-lits\ M \longleftrightarrow -\ L \in\ lits-of-l\ M \rangle$   
**unfolding** *negate-ann-lits-def lits-of-def* **by** (*auto simp: uminus-lit-swap*)

**lemma** *negate-ann-lits-cons*[simp]:  
 $\langle negate-ann-lits\ (L\ \#\ M) = add-mset\ (-\ lit-of\ L)\ (negate-ann-lits\ M) \rangle$   
**by** (*auto simp: negate-ann-lits-def*)

**lemma** *uminus-simple-cls-iff*[simp]:  
 ⟨*uminus* '#  $M \in \text{simple-cls } N \longleftrightarrow M \in \text{simple-cls } N$ ⟩  
 by (*metis pNeg-simple-cls-iff pNeg-def*)

**lemma** *pNeg-mono*: ⟨ $C \subseteq\# C' \implies \text{pNeg } C \subseteq\# \text{pNeg } C'$ ⟩  
 by (*auto simp: image-mset-subseteq-mono pNeg-def*)

**end**

**theory** *Partial-And-Total-Herbrand-Interpretation*

**imports** *Partial-Herbrand-Interpretation*

*Ordered-Resolution-Prover.Herbrand-Interpretation*

**begin**

### 1.3 Bridging of total and partial Herbrand interpretation

This theory has mostly be written as a sanity check between the two entailment notion.

**definition** *partial-model-of* :: ⟨'a *interp*  $\implies$  'a *partial-interp*⟩ **where**  
 ⟨*partial-model-of*  $I = \text{Pos } ' I \cup \text{Neg } '\{x. x \notin I\}$ ⟩

**definition** *total-model-of* :: ⟨'a *partial-interp*  $\implies$  'a *interp*⟩ **where**  
 ⟨*total-model-of*  $I = \{x. \text{Pos } x \in I\}$ ⟩

**lemma** *total-over-set-partial-model-of*:  
 ⟨*total-over-set* (*partial-model-of*  $I$ ) *UNIV*⟩  
**unfolding** *total-over-set-def*  
 by (*auto simp: partial-model-of-def*)

**lemma** *consistent-interp-partial-model-of*:  
 ⟨*consistent-interp* (*partial-model-of*  $I$ )⟩  
**unfolding** *consistent-interp-def*  
 by (*auto simp: partial-model-of-def*)

**lemma** *consistent-interp-alt-def*:  
 ⟨*consistent-interp*  $I \longleftrightarrow (\forall L. \neg(\text{Pos } L \in I \wedge \text{Neg } L \in I))$ ⟩  
**unfolding** *consistent-interp-def*  
 by (*metis literal.exhaust uminus-Neg uminus-of-uminus-id*)

**context**

**fixes**  $I ::$  ⟨'a *partial-interp*⟩

**assumes** *cons*: ⟨*consistent-interp*  $I$ ⟩

**begin**

**lemma** *partial-implies-total-true-cls-total-model-of*:  
**assumes** ⟨*Partial-Herbrand-Interpretation.true-cls*  $I C$ ⟩  
**shows** ⟨*Herbrand-Interpretation.true-cls* (*total-model-of*  $I$ )  $C$ ⟩  
**using** *assms cons* **apply** –  
**unfolding** *total-model-of-def Partial-Herbrand-Interpretation.true-cls-def*  
*Herbrand-Interpretation.true-cls-def consistent-interp-alt-def*  
**by** (*rule bexE, assumption*)  
 (*case-tac x; auto*)

**lemma** *total-implies-partial-true-cls-total-model-of*:  
**assumes** ⟨*Herbrand-Interpretation.true-cls* (*total-model-of*  $I$ )  $C$ ⟩ **and**

$\langle \text{total-over-set } I \text{ (atms-of } C \rangle$   
**shows**  $\langle \text{Partial-Herbrand-Interpretation.true-cls } I \ C \rangle$   
**using** *assms cons*  
**unfolding** *total-model-of-def Partial-Herbrand-Interpretation.true-cls-def*  
*Herbrand-Interpretation.true-cls-def consistent-interp-alt-def*  
*total-over-m-def total-over-set-def*  
**by** (*auto simp: atms-of-def dest: multi-member-split*)

**lemma** *partial-implies-total-true-cls-total-model-of:*  
**assumes**  $\langle \text{Partial-Herbrand-Interpretation.true-cls } I \ C \rangle$   
**shows**  $\langle \text{Herbrand-Interpretation.true-cls } (\text{total-model-of } I) \ C \rangle$   
**using** *assms cons*  
**unfolding** *Partial-Herbrand-Interpretation.true-cls-def*  
*Herbrand-Interpretation.true-cls-def*  
**by** (*auto simp: partial-implies-total-true-cls-total-model-of*)

**lemma** *total-implies-partial-true-cls-total-model-of:*  
**assumes**  $\langle \text{Herbrand-Interpretation.true-cls } (\text{total-model-of } I) \ C \rangle$  **and**  
 $\langle \text{total-over-m } I \ C \rangle$   
**shows**  $\langle \text{Partial-Herbrand-Interpretation.true-cls } I \ C \rangle$   
**using** *assms cons mk-disjoint-insert*  
**unfolding** *Partial-Herbrand-Interpretation.true-cls-def*  
*Herbrand-Interpretation.true-cls-def*  
*total-over-set-def*  
**by** (*fastforce simp: total-implies-partial-true-cls-total-model-of*  
*dest: multi-member-split*)

**end**

**lemma** *total-implies-partial-true-cls-partial-model-of:*  
**assumes**  $\langle \text{Herbrand-Interpretation.true-cls } I \ C \rangle$   
**shows**  $\langle \text{Partial-Herbrand-Interpretation.true-cls } (\text{partial-model-of } I) \ C \rangle$   
**using** *assms apply* –  
**unfolding** *partial-model-of-def Partial-Herbrand-Interpretation.true-cls-def*  
*Herbrand-Interpretation.true-cls-def consistent-interp-alt-def*  
**by** (*rule bexE, assumption*)  
*(case-tac x; auto)*

**lemma** *total-implies-partial-true-cls-partial-model-of:*  
**assumes**  $\langle \text{Herbrand-Interpretation.true-cls } I \ C \rangle$   
**shows**  $\langle \text{Partial-Herbrand-Interpretation.true-cls } (\text{partial-model-of } I) \ C \rangle$   
**using** *assms*  
**unfolding** *Partial-Herbrand-Interpretation.true-cls-def*  
*Herbrand-Interpretation.true-cls-def*  
**by** (*auto simp: total-implies-partial-true-cls-partial-model-of*)

**lemma** *partial-total-satisfiable-iff:*  
 $\langle \text{Partial-Herbrand-Interpretation.satisfiable } N \iff \text{Herbrand-Interpretation.satisfiable } N \rangle$   
**by** (*meson consistent-interp-partial-model-of partial-implies-total-true-cls-total-model-of*  
*satisfiable-carac total-implies-partial-true-cls-partial-model-of*)

**end**

**theory** *Prop-Logic*  
**imports** *Main*

begin



# Chapter 2

## Normalisation

We define here the normalisation from formula towards conjunctive and disjunctive normal form, including normalisation towards multiset of multisets to represent CNF.

### 2.1 Logics

In this section we define the syntax of the formula and an abstraction over it to have simpler proofs. After that we define some properties like subformula and rewriting.

#### 2.1.1 Definition and Abstraction

The propositional logic is defined inductively. The type parameter is the type of the variables.

```
datatype 'v propo =  
  FT | FF | FVar 'v | FNot 'v propo | FAnd 'v propo 'v propo | FOr 'v propo 'v propo  
  | FImp 'v propo 'v propo | FEq 'v propo 'v propo
```

We do not define any notation for the formula, to distinguish properly between the formulas and Isabelle's logic.

To ease the proofs, we will write the the formula on a homogeneous manner, namely a connecting argument and a list of arguments.

```
datatype 'v connective = CT | CF | CVar 'v | CNot | CAnd | COr | CImp | CEq
```

**abbreviation** *nullary-connective*  $\equiv \{CF\} \cup \{CT\} \cup \{CVar\ x \mid x. True\}$

**definition** *binary-connectives*  $\equiv \{CAnd, COr, CImp, CEq\}$

We define our own induction principal: instead of distinguishing every constructor, we group them by arity.

**lemma** *propo-induct-arity*[*case-names nullary unary binary*]:

```
  fixes  $\varphi \psi :: 'v propo$   
  assumes nullary:  $\bigwedge \varphi x. \varphi = FF \vee \varphi = FT \vee \varphi = FVar\ x \implies P\ \varphi$   
  and unary:  $\bigwedge \psi. P\ \psi \implies P\ (FNot\ \psi)$   
  and binary:  $\bigwedge \varphi \psi1\ \psi2. P\ \psi1 \implies P\ \psi2 \implies \varphi = FAnd\ \psi1\ \psi2 \vee \varphi = FOr\ \psi1\ \psi2 \vee \varphi = FImp\ \psi1$   
   $\psi2$   
   $\vee \varphi = FEq\ \psi1\ \psi2 \implies P\ \varphi$   
  shows  $P\ \psi$   
  apply (induct rule: propo.induct)  
  using assms by metis+
```

The function *conn* is the interpretation of our representation (connective and list of arguments). We define any thing that has no sense to be false

```

fun conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  'v propo where
conn CT [] = FT |
conn CF [] = FF |
conn (CVar v) [] = FVar v |
conn CNot [ $\varphi$ ] = FNot  $\varphi$  |
conn CAnd ( $\varphi$  # [ $\psi$ ]) = FAnd  $\varphi$   $\psi$  |
conn COr ( $\varphi$  # [ $\psi$ ]) = FOr  $\varphi$   $\psi$  |
conn CImp ( $\varphi$  # [ $\psi$ ]) = FImp  $\varphi$   $\psi$  |
conn CEq ( $\varphi$  # [ $\psi$ ]) = FEq  $\varphi$   $\psi$  |
conn - - = FF

```

We will often use case distinction, based on the arity of the 'v connective, thus we define our own splitting principle.

```

lemma connective-cases-arity[case-names nullary binary unary]:
assumes nullary:  $\bigwedge x. c = CT \vee c = CF \vee c = CVar x \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
and unary:  $c = CNot \implies P$ 
shows P
using assms by (cases c) (auto simp: binary-connectives-def)

```

```

lemma connective-cases-arity-2[case-names nullary unary binary]:
assumes nullary:  $c \in \text{nullary-connective} \implies P$ 
and unary:  $c = CNot \implies P$ 
and binary:  $c \in \text{binary-connectives} \implies P$ 
shows P
using assms by (cases c, auto simp add: binary-connectives-def)

```

Our previous definition is not necessary correct (connective and list of arguments), so we define an inductive predicate.

```

inductive wf-conn :: 'v connective  $\Rightarrow$  'v propo list  $\Rightarrow$  bool for c :: 'v connective where
wf-conn-nullary[simp]:  $(c = CT \vee c = CF \vee c = CVar v) \implies \text{wf-conn } c [] |$ 
wf-conn-unary[simp]:  $c = CNot \implies \text{wf-conn } c [\psi] |$ 
wf-conn-binary[simp]:  $c \in \text{binary-connectives} \implies \text{wf-conn } c (\psi \# \psi' \# [])$ 
thm wf-conn.induct

```

```

lemma wf-conn-induct[consumes 1, case-names CT CF CVar CNot COr CAnd CImp CEq]:
assumes wf-conn c x and
 $\bigwedge v. c = CT \implies P []$  and
 $\bigwedge v. c = CF \implies P []$  and
 $\bigwedge v. c = CVar v \implies P []$  and
 $\bigwedge \psi. c = CNot \implies P [\psi]$  and
 $\bigwedge \psi \psi'. c = COr \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CAnd \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CImp \implies P [\psi, \psi']$  and
 $\bigwedge \psi \psi'. c = CEq \implies P [\psi, \psi']$ 
shows P x
using assms by induction (auto simp: binary-connectives-def)

```

## 2.1.2 Properties of the Abstraction

First we can define simplification rules.

```

lemma wf-conn-conn[simp]:

```



$wf\text{-conn } CT \ l \implies conn \ CT \ l = FT$   
 $wf\text{-conn } CF \ l \implies conn \ CF \ l = FF$   
 $wf\text{-conn } (CVar \ x) \ l \implies conn \ (CVar \ x) \ l = FVar \ x$   
**apply** (*simp-all add: wf-conn.simps*)  
**unfolding** *binary-connectives-def* **by** *simp-all*

**lemma** *wf-conn-list-decomp*[*simp*]:

$wf\text{-conn } CT \ l \longleftrightarrow l = []$   
 $wf\text{-conn } CF \ l \longleftrightarrow l = []$   
 $wf\text{-conn } (CVar \ x) \ l \longleftrightarrow l = []$   
 $wf\text{-conn } CNot \ (\xi \ @ \ \varphi \ \# \ \xi') \longleftrightarrow \xi = [] \ \wedge \ \xi' = []$   
**apply** (*simp-all add: wf-conn.simps*)  
**unfolding** *binary-connectives-def* **apply** *simp-all*  
**by** (*metis append-Nil append-is-Nil-conv list.distinct(1) list.sel(3) tl-append2*)

**lemma** *wf-conn-list*:

$wf\text{-conn } c \ l \implies conn \ c \ l = FT \longleftrightarrow (c = CT \ \wedge \ l = [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FF \longleftrightarrow (c = CF \ \wedge \ l = [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FVar \ x \longleftrightarrow (c = CVar \ x \ \wedge \ l = [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FAnd \ a \ b \longleftrightarrow (c = CAnd \ \wedge \ l = a \ \# \ b \ \# \ [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FOr \ a \ b \longleftrightarrow (c = COr \ \wedge \ l = a \ \# \ b \ \# \ [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FEq \ a \ b \longleftrightarrow (c = CEq \ \wedge \ l = a \ \# \ b \ \# \ [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FImp \ a \ b \longleftrightarrow (c = CImp \ \wedge \ l = a \ \# \ b \ \# \ [])$   
 $wf\text{-conn } c \ l \implies conn \ c \ l = FNot \ a \longleftrightarrow (c = CNot \ \wedge \ l = a \ \# \ [])$   
**apply** (*induct l rule: wf-conn.induct*)  
**unfolding** *binary-connectives-def* **by** *auto*

In the binary connective cases, we will often decompose the list of arguments (of length 2) into two elements.

**lemma** *list-length2-decomp*:  $length \ l = 2 \implies (\exists \ a \ b. \ l = a \ \# \ b \ \# \ [])$

**apply** (*induct l, auto*)  
**by** (*rename-tac l, case-tac l, auto*)

*wf-conn* for binary operators means that there are two arguments.

**lemma** *wf-conn-bin-list-length*:

**fixes**  $l :: 'v \ propo \ list$   
**assumes**  $conn: c \in \text{binary-connectives}$   
**shows**  $length \ l = 2 \longleftrightarrow wf\text{-conn } c \ l$

**proof**

**assume**  $length \ l = 2$   
**then show**  $wf\text{-conn } c \ l$  **using** *wf-conn-binary list-length2-decomp* **using** *conn* **by** *metis*

**next**

**assume**  $wf\text{-conn } c \ l$

**then show**  $length \ l = 2$  (**is**  $?P \ l$ )

**proof** (*cases rule: wf-conn.induct*)

**case** *wf-conn-nullary*

**then show**  $?P \ []$  **using** *conn binary-connectives-def*

**using** *connective.distinct(11) connective.distinct(13) connective.distinct(9)* **by** *blast*

**next**

**fix**  $\psi :: 'v \ propo$

**case** *wf-conn-unary*

**then show**  $?P \ [\psi]$  **using** *conn binary-connectives-def*

**using** *connective.distinct* **by** *blast*

```

next
  fix  $\psi \psi'$  :: 'v propo
  show ?P [ $\psi, \psi'$ ] by auto
qed
qed

```

```

lemma wf-conn-not-list-length[iff]:
  fixes  $l$  :: 'v propo list
  shows wf-conn CNot  $l \longleftrightarrow \text{length } l = 1$ 
  apply auto
  apply (metis append-Nil connective.distinct(5,17,27) length-Cons list.size(3) wf-conn.simps
    wf-conn-list-decomp(4))
  by (simp add: length-Suc-conv wf-conn.simps)

```

Decomposing the Not into an element is moreover very useful.

```

lemma wf-conn-Not-decomp:
  fixes  $l$  :: 'v propo list and  $a$  :: 'v
  assumes corr: wf-conn CNot  $l$ 
  shows  $\exists a. l = [a]$ 
  by (metis (no-types, lifting) One-nat-def Suc-length-conv corr length-0-conv
    wf-conn-not-list-length)

```

The *wf-conn* remains correct if the length of list does not change. This lemma is very useful when we do one rewriting step

```

lemma wf-conn-no-arity-change:
  length  $l = \text{length } l' \implies \text{wf-conn } c \ l \longleftrightarrow \text{wf-conn } c \ l'$ 
proof -
  {
    fix  $l \ l'$ 
    have length  $l = \text{length } l' \implies \text{wf-conn } c \ l \implies \text{wf-conn } c \ l'$ 
      apply (cases c l rule: wf-conn.induct, auto)
      by (metis wf-conn-bin-list-length)
  }
  then show length  $l = \text{length } l' \implies \text{wf-conn } c \ l = \text{wf-conn } c \ l'$  by metis
qed

```

```

lemma wf-conn-no-arity-change-helper:
  length ( $\xi @ \varphi \# \xi'$ ) = length ( $\xi @ \varphi' \# \xi'$ )
  by auto

```

The injectivity of *conn* is useful to prove equality of the connectives and the lists.

```

lemma conn-inj-not:
  assumes correct: wf-conn  $c \ l$ 
  and conn: conn  $c \ l = FNot \ \psi$ 
  shows  $c = CNot$  and  $l = [\psi]$ 
  apply (cases c l rule: wf-conn.cases)
  using correct conn unfolding binary-connectives-def apply auto
  apply (cases c l rule: wf-conn.cases)
  using correct conn unfolding binary-connectives-def by auto

```

```

lemma conn-inj:
  fixes  $c \ ca$  :: 'v connective and  $l \ \psi s$  :: 'v propo list
  assumes corr: wf-conn  $ca \ l$ 
  and corr': wf-conn  $c \ \psi s$ 

```

```

and eq: conn ca l = conn c  $\psi$ s
shows ca = c  $\wedge$   $\psi$ s = l
using corr
proof (cases ca l rule: wf-conn.cases)
  case (wf-conn-nullary v)
  then show ca = c  $\wedge$   $\psi$ s = l using assms
    by (metis conn.simps(1) conn.simps(2) conn.simps(3) wf-conn-list(1-3))
next
  case (wf-conn-unary  $\psi$ ')
  then have *: FNot  $\psi'$  = conn c  $\psi$ s using conn-inj-not eq assms by auto
  then have c = ca by (metis conn-inj-not(1) corr' wf-conn-unary(2))
  moreover have  $\psi$ s = l using * conn-inj-not(2) corr' wf-conn-unary(1) by force
  ultimately show ca = c  $\wedge$   $\psi$ s = l by auto
next
  case (wf-conn-binary  $\psi'$   $\psi''$ )
  then show ca = c  $\wedge$   $\psi$ s = l
    using eq corr' unfolding binary-connectives-def apply (cases ca, auto simp add: wf-conn-list)
    using wf-conn-list(4-7) corr' by metis+
qed

```

### 2.1.3 Subformulas and Properties

A characterization using sub-formulas is interesting for rewriting: we will define our relation on the sub-term level, and then lift the rewriting on the term-level. So the rewriting takes place on a subformula.

**inductive** *subformula* :: *'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool (infix  $\preceq$  45)* **for**  *$\varphi$*  **where**  
*subformula-refl[simp]:  $\varphi \preceq \varphi$  |*  
*subformula-into-subformula:  $\psi \in \text{set } l \implies \text{wf-conn } c \ l \implies \varphi \preceq \psi \implies \varphi \preceq \text{conn } c \ l$*

On the *subformula-into-subformula*, we can see why we use our *conn* representation: one case is enough to express the subformulas property instead of listing all the cases.

This is an example of a property related to subformulas.

**lemma** *subformula-in-subformula-not*:  
**shows** *b*: *FNot  $\varphi \preceq \psi \implies \varphi \preceq \psi$*   
**apply** (*induct rule: subformula.induct*)  
**using** *subformula-into-subformula wf-conn-unary subformula-refl list.set-intros(1) subformula-refl*  
**by** (*fastforce intro: subformula-into-subformula*)**+**

**lemma** *subformula-in-binary-conn*:  
**assumes** *conn: c  $\in$  binary-connectives*  
**shows** *f  $\preceq$  conn c [f, g]*  
**and** *g  $\preceq$  conn c [f, g]*  
**proof** –  
**have** *a*: *wf-conn c (f# [g])* **using** *conn wf-conn-binary binary-connectives-def* **by** *auto*  
**moreover have** *b*: *f  $\preceq$  f* **using** *subformula-refl* **by** *auto*  
**ultimately show** *f  $\preceq$  conn c [f, g]*  
**by** (*metis append-Nil in-set-conv-decomp subformula-into-subformula*)  
**next**  
**have** *a*: *wf-conn c ([f] @ [g])* **using** *conn wf-conn-binary binary-connectives-def* **by** *auto*  
**moreover have** *b*: *g  $\preceq$  g* **using** *subformula-refl* **by** *auto*  
**ultimately show** *g  $\preceq$  conn c [f, g]* **using** *subformula-into-subformula* **by** *force*  
**qed**

**lemma** *subformula-trans*:

$\psi \preceq \psi' \implies \varphi \preceq \psi \implies \varphi \preceq \psi'$   
**apply** (*induct*  $\psi'$  *rule*: *subformula.inducts*)  
**by** (*auto simp*: *subformula-into-subformula*)

**lemma** *subformula-leaf*:  
**fixes**  $\varphi \psi :: 'v \text{ propo}$   
**assumes** *incl*:  $\varphi \preceq \psi$   
**and** *simple*:  $\psi = FT \vee \psi = FF \vee \psi = FVar x$   
**shows**  $\varphi = \psi$   
**using** *incl simple*  
**by** (*induct rule*: *subformula.induct*, *auto simp*: *wf-conn-list*)

**lemma** *subformula-not-incl-eq*:  
**assumes**  $\varphi \preceq \text{conn } c \ l$   
**and** *wf-conn*  $c \ l$   
**and**  $\forall \psi. \psi \in \text{set } l \longrightarrow \neg \varphi \preceq \psi$   
**shows**  $\varphi = \text{conn } c \ l$   
**using** *assms apply* (*induction conn c l rule*: *subformula.induct*, *auto*)  
**using** *conn-inj* **by** *blast*

**lemma** *wf-subformula-conn-cases*:  
 $\text{wf-conn } c \ l \implies \varphi \preceq \text{conn } c \ l \iff (\varphi = \text{conn } c \ l \vee (\exists \psi. \psi \in \text{set } l \wedge \varphi \preceq \psi))$   
**apply** *standard*  
**using** *subformula-not-incl-eq apply metis*  
**by** (*auto simp add*: *subformula-into-subformula*)

**lemma** *subformula-decomp-explicit[simp]*:  
 $\varphi \preceq FAnd \ \psi \ \psi' \iff (\varphi = FAnd \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$  (**is**  $?P \ FAnd$ )  
 $\varphi \preceq FOr \ \psi \ \psi' \iff (\varphi = FOr \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$   
 $\varphi \preceq FEq \ \psi \ \psi' \iff (\varphi = FEq \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$   
 $\varphi \preceq FImp \ \psi \ \psi' \iff (\varphi = FImp \ \psi \ \psi' \vee \varphi \preceq \psi \vee \varphi \preceq \psi')$

**proof** –

**have** *wf-conn*  $CAnd \ [\psi, \psi']$  **by** (*simp add*: *binary-connectives-def*)  
**then have**  $\varphi \preceq \text{conn } CAnd \ [\psi, \psi'] \iff$   
 $(\varphi = \text{conn } CAnd \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** *wf-subformula-conn-cases* **by** *metis*  
**then show**  $?P \ FAnd$  **by** *auto*

**next**

**have** *wf-conn*  $COr \ [\psi, \psi']$  **by** (*simp add*: *binary-connectives-def*)  
**then have**  $\varphi \preceq \text{conn } COr \ [\psi, \psi'] \iff$   
 $(\varphi = \text{conn } COr \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** *wf-subformula-conn-cases* **by** *metis*  
**then show**  $?P \ FOr$  **by** *auto*

**next**

**have** *wf-conn*  $CEq \ [\psi, \psi']$  **by** (*simp add*: *binary-connectives-def*)  
**then have**  $\varphi \preceq \text{conn } CEq \ [\psi, \psi'] \iff$   
 $(\varphi = \text{conn } CEq \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** *wf-subformula-conn-cases* **by** *metis*  
**then show**  $?P \ FEq$  **by** *auto*

**next**

**have** *wf-conn*  $CImp \ [\psi, \psi']$  **by** (*simp add*: *binary-connectives-def*)  
**then have**  $\varphi \preceq \text{conn } CImp \ [\psi, \psi'] \iff$   
 $(\varphi = \text{conn } CImp \ [\psi, \psi'] \vee (\exists \psi''. \psi'' \in \text{set } [\psi, \psi'] \wedge \varphi \preceq \psi''))$   
**using** *wf-subformula-conn-cases* **by** *metis*  
**then show**  $?P \ FImp$  **by** *auto*

**qed**

**lemma** *wf-conn-helper-facts*[*iff*]:  
*wf-conn* *CNot* [ $\varphi$ ]  
*wf-conn* *CT* []  
*wf-conn* *CF* []  
*wf-conn* (*CVar*  $x$ ) []  
*wf-conn* *CAnd* [ $\varphi$ ,  $\psi$ ]  
*wf-conn* *COr* [ $\varphi$ ,  $\psi$ ]  
*wf-conn* *CImp* [ $\varphi$ ,  $\psi$ ]  
*wf-conn* *CEq* [ $\varphi$ ,  $\psi$ ]  
**using** *wf-conn.intros* **unfolding** *binary-connectives-def* **by** *fastforce+*

**lemma** *exists-c-conn*:  $\exists c l. \varphi = \text{conn } c l \wedge \text{wf-conn } c l$   
**by** (*cases*  $\varphi$ ) *force+*

**lemma** *subformula-conn-decomp*[*simp*]:  
**assumes** *wf*: *wf-conn*  $c l$   
**shows**  $\varphi \preceq \text{conn } c l \longleftrightarrow (\varphi = \text{conn } c l \vee (\exists \psi \in \text{set } l. \varphi \preceq \psi))$  (**is**  $?A \longleftrightarrow ?B$ )

**proof** (*rule iffI*)

{  
**fix**  $\xi$   
**have**  $\varphi \preceq \xi \implies \xi = \text{conn } c l \implies \text{wf-conn } c l \implies \forall x::'a \text{ propo} \in \text{set } l. \neg \varphi \preceq x \implies \varphi = \text{conn } c l$   
**apply** (*induct rule: subformula.induct*)  
**apply** *simp*  
**using** *conn-inj* **by** *blast*

}  
**moreover assume**  $?A$   
**ultimately show**  $?B$  **using** *wf* **by** *metis*

**next**

**assume**  $?B$   
**then show**  $\varphi \preceq \text{conn } c l$  **using** *wf* *wf-subformula-conn-cases* **by** *blast*  
**qed**

**lemma** *subformula-leaf-explicit*[*simp*]:

$\varphi \preceq FT \longleftrightarrow \varphi = FT$   
 $\varphi \preceq FF \longleftrightarrow \varphi = FF$   
 $\varphi \preceq FVar x \longleftrightarrow \varphi = FVar x$   
**apply** *auto*  
**using** *subformula-leaf* **by** *metis* +

The variables inside the formula gives precisely the variables that are needed for the formula.

**primrec** *vars-of-prop*::  $'v \text{ propo} \Rightarrow 'v \text{ set}$  **where**

*vars-of-prop* *FT* = {} |  
*vars-of-prop* *FF* = {} |  
*vars-of-prop* (*FVar*  $x$ ) = { $x$ } |  
*vars-of-prop* (*FNot*  $\varphi$ ) = *vars-of-prop*  $\varphi$  |  
*vars-of-prop* (*FAnd*  $\varphi \psi$ ) = *vars-of-prop*  $\varphi \cup \text{vars-of-prop } \psi$  |  
*vars-of-prop* (*FOr*  $\varphi \psi$ ) = *vars-of-prop*  $\varphi \cup \text{vars-of-prop } \psi$  |  
*vars-of-prop* (*FImp*  $\varphi \psi$ ) = *vars-of-prop*  $\varphi \cup \text{vars-of-prop } \psi$  |  
*vars-of-prop* (*FEq*  $\varphi \psi$ ) = *vars-of-prop*  $\varphi \cup \text{vars-of-prop } \psi$

**lemma** *vars-of-prop-incl-conn*:

**fixes**  $\xi \xi' :: 'v \text{ propo list}$  **and**  $\psi :: 'v \text{ propo}$  **and**  $c :: 'v \text{ connective}$

**assumes** *corr*: *wf-conn*  $c l$  **and** *incl*:  $\psi \in \text{set } l$

**shows** *vars-of-prop*  $\psi \subseteq \text{vars-of-prop } (\text{conn } c l)$

**proof** (*cases c rule: connective-cases-arity-2*)

```

case nullary
then have False using corr incl by auto
then show vars-of-prop  $\psi \subseteq$  vars-of-prop (conn c l) by blast
next
case binary note c = this
then obtain a b where ab: l = [a, b]
  using wf-conn-bin-list-length list-length2-decomp corr by metis
then have  $\psi = a \vee \psi = b$  using incl by auto
then show vars-of-prop  $\psi \subseteq$  vars-of-prop (conn c l)
  using ab c unfolding binary-connectives-def by auto
next
case unary note c = this
fix  $\varphi :: 'v$  propo
have l = [ $\psi$ ] using corr c incl split-list by force
then show vars-of-prop  $\psi \subseteq$  vars-of-prop (conn c l) using c by auto
qed

```

The set of variables is compatible with the subformula order.

```

lemma subformula-vars-of-prop:
 $\varphi \preceq \psi \implies$  vars-of-prop  $\varphi \subseteq$  vars-of-prop  $\psi$ 
apply (induct rule: subformula.induct)
apply simp
using vars-of-prop-incl-conn by blast

```

#### 2.1.4 Positions

Instead of 1 or 2 we use  $L$  or  $R$

```

datatype sign = L | R

```

We use  $nil$  instead of  $\varepsilon$ .

```

fun pos :: 'v propo  $\Rightarrow$  sign list set where
pos FF = {[]} |
pos FT = {[]} |
pos (FVar x) = {[]} |
pos (FAnd  $\varphi \psi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }  $\cup$  { R # p | p. p  $\in$  pos  $\psi$  } |
pos (FOr  $\varphi \psi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }  $\cup$  { R # p | p. p  $\in$  pos  $\psi$  } |
pos (FEq  $\varphi \psi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }  $\cup$  { R # p | p. p  $\in$  pos  $\psi$  } |
pos (FImp  $\varphi \psi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }  $\cup$  { R # p | p. p  $\in$  pos  $\psi$  } |
pos (FNot  $\varphi$ ) = {[]}  $\cup$  { L # p | p. p  $\in$  pos  $\varphi$  }

```

```

lemma finite-pos: finite (pos  $\varphi$ )
by (induct  $\varphi$ , auto)

```

```

lemma finite-inj-comp-set:
fixes s :: 'v set
assumes finite: finite s
and inj: inj f
shows card ({f p | p. p  $\in$  s}) = card s
using finite
proof (induct s rule: finite-induct)
show card {f p | p. p  $\in$  {}} = card {} by auto
next
fix x :: 'v and s: 'v set
assume f: finite s and notin: x  $\notin$  s
and IH: card {f p | p. p  $\in$  s} = card s

```

**have**  $f'$ : *finite*  $\{f\ p \mid p. p \in \text{insert } x\ s\}$  **using**  $f$  **by** *auto*  
**have** *notin'*:  $f\ x \notin \{f\ p \mid p. p \in s\}$  **using** *notin inj injD* **by** *fastforce*  
**have**  $\{f\ p \mid p. p \in \text{insert } x\ s\} = \text{insert } (f\ x)\ \{f\ p \mid p. p \in s\}$  **by** *auto*  
**then have**  $\text{card } \{f\ p \mid p. p \in \text{insert } x\ s\} = 1 + \text{card } \{f\ p \mid p. p \in s\}$   
**using** *finite card-insert-disjoint f' notin'* **by** *auto*  
**moreover have**  $\dots = \text{card } (\text{insert } x\ s)$  **using** *notin f IH* **by** *auto*  
**finally show**  $\text{card } \{f\ p \mid p. p \in \text{insert } x\ s\} = \text{card } (\text{insert } x\ s)$  .  
**qed**

**lemma** *cons-inject*:

*inj ((#) s)*  
**by** (*meson injI list.inject*)

**lemma** *finite-insert-nil-cons*:

*finite s*  $\implies \text{card } (\text{insert } []\ \{L\ \#\ p \mid p. p \in s\}) = 1 + \text{card } \{L\ \#\ p \mid p. p \in s\}$   
**using** *card-insert-disjoint* **by** *auto*

**lemma** *card-not[simp]*:

$\text{card } (\text{pos } (FNot\ \varphi)) = 1 + \text{card } (\text{pos } \varphi)$   
**by** (*simp add: cons-inject finite-inj-comp-set finite-pos*)

**lemma** *card-seperate*:

**assumes** *finite s1 and finite s2*  
**shows**  $\text{card } (\{L\ \#\ p \mid p. p \in s1\} \cup \{R\ \#\ p \mid p. p \in s2\}) = \text{card } (\{L\ \#\ p \mid p. p \in s1\})$   
 $+ \text{card } (\{R\ \#\ p \mid p. p \in s2\})$  (**is**  $\text{card } (?L \cup ?R) = \text{card } ?L + \text{card } ?R$ )

**proof** –

**have** *finite ?L* **using** *assms* **by** *auto*  
**moreover have** *finite ?R* **using** *assms* **by** *auto*  
**moreover have**  $?L \cap ?R = \{\}$  **by** *blast*  
**ultimately show** *?thesis* **using** *assms card-Un-disjoint* **by** *blast*

**qed**

**definition** *prop-size* **where** *prop-size*  $\varphi = \text{card } (\text{pos } \varphi)$

**lemma** *prop-size-vars-of-prop*:

**fixes**  $\varphi :: 'v\ \text{propo}$   
**shows**  $\text{card } (\text{vars-of-prop } \varphi) \leq \text{prop-size } \varphi$

**unfolding** *prop-size-def* **apply** (*induct*  $\varphi$ , *auto simp add: cons-inject finite-inj-comp-set finite-pos*)

**proof** –

**fix**  $\varphi1\ \varphi2 :: 'v\ \text{propo}$   
**assume** *IH1*:  $\text{card } (\text{vars-of-prop } \varphi1) \leq \text{card } (\text{pos } \varphi1)$   
**and** *IH2*:  $\text{card } (\text{vars-of-prop } \varphi2) \leq \text{card } (\text{pos } \varphi2)$   
**let**  $?L = \{L\ \#\ p \mid p. p \in \text{pos } \varphi1\}$   
**let**  $?R = \{R\ \#\ p \mid p. p \in \text{pos } \varphi2\}$   
**have**  $\text{card } (?L \cup ?R) = \text{card } ?L + \text{card } ?R$   
**using** *card-seperate finite-pos* **by** *blast*  
**moreover have**  $\dots = \text{card } (\text{pos } \varphi1) + \text{card } (\text{pos } \varphi2)$   
**by** (*simp add: cons-inject finite-inj-comp-set finite-pos*)  
**moreover have**  $\dots \geq \text{card } (\text{vars-of-prop } \varphi1) + \text{card } (\text{vars-of-prop } \varphi2)$  **using** *IH1 IH2* **by** *arith*  
**then have**  $\dots \geq \text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2)$  **using** *card-Un-le le-trans* **by** *blast*  
**ultimately**  
**show**  $\text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2) \leq \text{Suc } (\text{card } (?L \cup ?R))$   
 $\text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2) \leq \text{Suc } (\text{card } (?L \cup ?R))$   
 $\text{card } (\text{vars-of-prop } \varphi1 \cup \text{vars-of-prop } \varphi2) \leq \text{Suc } (\text{card } (?L \cup ?R))$

```

      card (vars-of-prop  $\varphi 1 \cup$  vars-of-prop  $\varphi 2$ )  $\leq$  Suc (card (?L  $\cup$  ?R))
    by auto
  qed

```

```

value pos (FImp (FAnd (FVar P) (FVar Q)) (FOr (FVar P) (FVar Q)))

```

```

inductive path-to :: sign list  $\Rightarrow$  'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool where
  path-to-refl[intro]: path-to []  $\varphi$   $\varphi$  |
  path-to-l:  $c \in$  binary-connectives  $\vee$   $c =$  CNot  $\Longrightarrow$  wf-conn  $c$  ( $\varphi \# l$ )  $\Longrightarrow$  path-to  $p$   $\varphi$   $\varphi' \Longrightarrow$ 
    path-to ( $L \# p$ ) (conn  $c$  ( $\varphi \# l$ ))  $\varphi'$  |
  path-to-r:  $c \in$  binary-connectives  $\Longrightarrow$  wf-conn  $c$  ( $\psi \# \varphi \# []$ )  $\Longrightarrow$  path-to  $p$   $\varphi$   $\varphi' \Longrightarrow$ 
    path-to ( $R \# p$ ) (conn  $c$  ( $\psi \# \varphi \# []$ ))  $\varphi'$ 

```

There is a deep link between subformulas and pathes: a (correct) path leads to a subformula and a subformula is associated to a given path.

**lemma** path-to-subformula:

```

  path-to  $p$   $\varphi$   $\varphi' \Longrightarrow \varphi' \preceq \varphi$ 

```

```

apply (induct rule: path-to.induct)

```

```

  apply simp

```

```

  apply (metis list.set-intros(1) subformula-into-subformula)

```

```

using subformula-trans subformula-in-binary-conn(2) by metis

```

**lemma** subformula-path-exists:

```

  fixes  $\varphi$   $\varphi'$ :: 'v propo

```

```

  shows  $\varphi' \preceq \varphi \Longrightarrow \exists p. \text{path-to } p \varphi \varphi'$ 

```

**proof** (induct rule: subformula.induct)

```

  case subformula-refl

```

```

  have path-to []  $\varphi'$   $\varphi'$  by auto

```

```

  then show  $\exists p. \text{path-to } p \varphi' \varphi'$  by metis

```

**next**

```

  case (subformula-into-subformula  $\psi$   $l$   $c$ )

```

```

  note wf = this(2) and IH = this(4) and  $\psi =$  this(1)

```

```

  then obtain  $p$  where  $p: \text{path-to } p \psi \varphi'$  by metis

```

```

  {

```

```

    fix  $x ::$  'v

```

```

    assume  $c =$  CT  $\vee$   $c =$  CF  $\vee$   $c =$  CVar  $x$ 

```

```

    then have False using subformula-into-subformula by auto

```

```

    then have  $\exists p. \text{path-to } p$  (conn  $c$   $l$ )  $\varphi'$  by blast

```

```

  }

```

```

moreover {

```

```

  assume  $c: c =$  CNot

```

```

  then have  $l = [\psi]$  using wf  $\psi$  wf-conn-Not-decomp by fastforce

```

```

  then have path-to ( $L \# p$ ) (conn  $c$   $l$ )  $\varphi'$  by (metis  $c$  wf-conn-unary  $p$  path-to- $l$ )

```

```

  then have  $\exists p. \text{path-to } p$  (conn  $c$   $l$ )  $\varphi'$  by blast

```

```

}

```

```

moreover {

```

```

  assume  $c: c \in$  binary-connectives

```

```

  obtain  $a$   $b$  where  $ab: [a, b] = l$  using subformula-into-subformula  $c$  wf-conn-bin-list-length
    list-length2-decomp by metis

```

```

  then have  $a = \psi \vee b = \psi$  using  $\psi$  by auto

```

```

  then have path-to ( $L \# p$ ) (conn  $c$   $l$ )  $\varphi' \vee$  path-to ( $R \# p$ ) (conn  $c$   $l$ )  $\varphi'$  using  $c$  path-to- $l$ 
    path-to- $r$   $p$   $ab$  by (metis wf-conn-binary)

```

```

  then have  $\exists p. \text{path-to } p$  (conn  $c$   $l$ )  $\varphi'$  by blast

```

```

}

```

```

ultimately show  $\exists p. \text{path-to } p$  (conn  $c$   $l$ )  $\varphi'$  using connective-cases-arity by metis

```

**qed**



```

fun replace-at :: sign list ⇒ 'v propo ⇒ 'v propo ⇒ 'v propo where
replace-at [] - ψ = ψ |
replace-at (L # l) (FAnd φ φ') ψ = FAnd (replace-at l φ ψ) φ' |
replace-at (R # l) (FAnd φ φ') ψ = FAnd φ (replace-at l φ' ψ) |
replace-at (L # l) (FOr φ φ') ψ = FOr (replace-at l φ ψ) φ' |
replace-at (R # l) (FOr φ φ') ψ = FOr φ (replace-at l φ' ψ) |
replace-at (L # l) (FEq φ φ') ψ = FEq (replace-at l φ ψ) φ' |
replace-at (R # l) (FEq φ φ') ψ = FEq φ (replace-at l φ' ψ) |
replace-at (L # l) (FImp φ φ') ψ = FImp (replace-at l φ ψ) φ' |
replace-at (R # l) (FImp φ φ') ψ = FImp φ (replace-at l φ' ψ) |
replace-at (L # l) (FNot φ) ψ = FNot (replace-at l φ ψ)

```

## 2.2 Semantics over the Syntax

Given the syntax defined above, we define a semantics, by defining an evaluation function *eval*. This function is the bridge between the logic as we define it here and the built-in logic of Isabelle.

```

fun eval :: ('v ⇒ bool) ⇒ 'v propo ⇒ bool (infix |= 50) where
A |= FT = True |
A |= FF = False |
A |= FVar v = (A v) |
A |= FNot φ = (¬(A|= φ)) |
A |= FAnd φ1 φ2 = (A|=φ1 ∧ A|=φ2) |
A |= FOr φ1 φ2 = (A|=φ1 ∨ A|=φ2) |
A |= FImp φ1 φ2 = (A|=φ1 → A|=φ2) |
A |= FEq φ1 φ2 = (A|=φ1 ↔ A|=φ2)

```

```

definition evalf (infix |=f 50) where
evalf φ ψ = (∀ A. A |= φ → A |= ψ)

```

The deduction rule is in the book. And the proof looks like to the one of the book.

**theorem** *deduction-theorem*:

$$\varphi \models \psi \iff (\forall A. A \models \text{FImp } \varphi \ \psi)$$

**proof**

assume *H*:  $\varphi \models \psi$

{

fix *A*

have  $A \models \text{FImp } \varphi \ \psi$

proof (*cases*  $A \models \varphi$ )

case *True*

then have  $A \models \psi$  using *H* unfolding *evalf-def* by *metis*

then show  $A \models \text{FImp } \varphi \ \psi$  by *auto*

next

case *False*

then show  $A \models \text{FImp } \varphi \ \psi$  by *auto*

qed

}

then show  $\forall A. A \models \text{FImp } \varphi \ \psi$  by *blast*

next

assume *A*:  $\forall A. A \models \text{FImp } \varphi \ \psi$

show  $\varphi \models \psi$

proof (*rule ccontr*)

assume  $\neg \varphi \models \psi$

then obtain *A* where  $A \models \varphi$  and  $\neg A \models \psi$  using *evalf-def* by *metis*

```

    then have  $\neg A \models FImp \varphi \psi$  by auto
    then show False using A by blast
qed

```

A shorter proof:

```

lemma  $\varphi \models_f \psi \iff (\forall A. A \models FImp \varphi \psi)$ 
  by (simp add: evalf-def)

```

```

definition same-over-set:: ('v  $\Rightarrow$  bool)  $\Rightarrow$  ('v  $\Rightarrow$  bool)  $\Rightarrow$  'v set  $\Rightarrow$  bool where
same-over-set A B S = ( $\forall c \in S. A c = B c$ )

```

If two mapping *A* and *B* have the same value over the variables, then the same formula are satisfiable.

```

lemma same-over-set-eval:
  assumes same-over-set A B (vars-of-prop  $\varphi$ )
  shows  $A \models \varphi \iff B \models \varphi$ 
  using assms unfolding same-over-set-def by (induct  $\varphi$ , auto)

```

```

end

```