

# Formalisation of Ground Resolution and CDCL in Isabelle/HOL

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July 17, 2023



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**theory** Prop-Abstract-Transformation

**imports** Entailment-Definition.Prop-Logic Weidenbach-Book-Base.Wellfounded-More

**begin**

This file is devoted to abstract properties of the transformations, like consistency preservation and lifting from terms to proposition.

## 0.1 Rewrite Systems and Properties

### 0.1.1 Lifting of Rewrite Rules

We can lift a rewrite relation  $r$  over a full1 formula: the relation  $r$  works on terms, while  $propo\text{-}rew\text{-}step$  works on formulas.

```
inductive propo-rew-step :: ('v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool)  $\Rightarrow$  'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool
  for r :: 'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool where
```

```

global-rel: r φ ψ ==> propo-rew-step r φ ψ |
propo-rew-one-step-lift: propo-rew-step r φ φ' ==> wf-conn c (ψs @ φ # ψs')
                         ==> propo-rew-step r (conn c (ψs @ φ # ψs')) (conn c (ψs @ φ' # ψs'))

```

Here is a more precise link between the lifting and the subformulas: if a rewriting takes place between  $\varphi$  and  $\varphi'$ , then there are two subformulas  $\psi$  in  $\varphi$  and  $\psi'$  in  $\varphi'$ ,  $\psi'$  is the result of the rewriting of  $r$  on  $\psi$ .

This lemma is only a health condition:

```

lemma propo-rew-step-subformula-imp:
shows propo-rew-step r φ φ' ==> ∃ ψ ψ'. ψ ⊢ φ ∧ ψ' ⊢ φ' ∧ r ψ ψ'
⟨proof⟩

```

The converse is moreover true: if there is a  $\psi$  and  $\psi'$ , then every formula  $\varphi$  containing  $\psi$ , can be rewritten into a formula  $\varphi'$ , such that it contains  $\varphi'$ .

```

lemma propo-rew-step-subformula-rec:
  fixes ψ ψ' φ :: 'v propo
  shows ψ ⊢ φ ==> r ψ ψ' ==> (∃ φ'. ψ' ⊢ φ' ∧ propo-rew-step r φ φ')
⟨proof⟩

```

```

lemma propo-rew-step-subformula:
  (∃ ψ ψ'. ψ ⊢ φ ∧ r ψ ψ') ←→ (∃ φ'. propo-rew-step r φ φ')
⟨proof⟩

```

```

lemma consistency-decompose-into-list:
  assumes wf: wf-conn c l and wf': wf-conn c l'
  and same: ∀ n. A ⊨ l ! n ←→ (A ⊨ l' ! n)
  shows A ⊨ conn c l ←→ A ⊨ conn c l'
⟨proof⟩

```

Relation between *propo-rew-step* and the rewriting we have seen before: *propo-rew-step r φ φ'* means that we rewrite  $\psi$  inside  $\varphi$  (ie at a path  $p$ ) into  $\psi'$ .

```

lemma propo-rew-step-rewrite:
  fixes φ φ' :: 'v propo and r :: 'v propo ⇒ 'v propo ⇒ bool
  assumes propo-rew-step r φ φ'
  shows ∃ ψ ψ' p. r ψ ψ' ∧ path-to p φ ψ ∧ replace-at p φ ψ' = φ'
⟨proof⟩

```

### 0.1.2 Consistency Preservation

We define *preserve-models*: it means that a relation preserves consistency.

```

definition preserve-models where
preserve-models r ←→ (∀ φ ψ. r φ ψ → (∀ A. A ⊨ φ ←→ A ⊨ ψ))

```

```

lemma propo-rew-step-preserve-val-explicit:
propo-rew-step r φ ψ ==> preserve-models r ==> propo-rew-step r φ ψ ==> (∀ A. A ⊨ φ ←→ A ⊨ ψ)
⟨proof⟩

```

```

lemma propo-rew-step-preserve-val':
  assumes preserve-models r
  shows preserve-models (propo-rew-step r)
⟨proof⟩

```

```

lemma preserve-models-OO[intro]:
  preserve-models f  $\implies$  preserve-models g  $\implies$  preserve-models (f OO g)
   $\langle proof \rangle$ 

```

```

lemma star-consistency-preservation-explicit:
  assumes (propo-rew-step r)  $\hat{\wedge}^{**}$   $\varphi \psi$  and preserve-models r
  shows  $\forall A. A \models \varphi \longleftrightarrow A \models \psi$ 
   $\langle proof \rangle$ 

```

```

lemma star-consistency-preservation:
  preserve-models r  $\implies$  preserve-models (propo-rew-step r)  $\hat{\wedge}^{**}$ 
   $\langle proof \rangle$ 

```

### 0.1.3 Full Lifting

In the previous a relation was lifted to a formula, now we define the relation such it is applied as long as possible. The definition is thus simply: it can be derived and nothing more can be derived.

```

lemma full-ropo-rew-step-preservers-val[simp]:
  preserve-models r  $\implies$  preserve-models (full (propo-rew-step r))
   $\langle proof \rangle$ 

```

```

lemma full-propo-rew-step-subformula:
  full (propo-rew-step r)  $\varphi' \varphi \implies \neg(\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi')$ 
   $\langle proof \rangle$ 

```

## 0.2 Transformation testing

### 0.2.1 Definition and first Properties

To prove correctness of our transformation, we create a *all-subformula-st* predicate. It tests recursively all subformulas. At each step, the actual formula is tested. The aim of this *test-symb* function is to test locally some properties of the formulas (i.e. at the level of the connective or at first level). This allows a clause description between the rewrite relation and the *test-symb*

```

definition all-subformula-st :: ('a propo  $\Rightarrow$  bool)  $\Rightarrow$  'a propo  $\Rightarrow$  bool where
  all-subformula-st test-symb  $\varphi \equiv \forall \psi. \psi \preceq \varphi \longrightarrow$  test-symb  $\psi$ 

```

```

lemma test-symb-imp-all-subformula-st[simp]:
  test-symb FT  $\implies$  all-subformula-st test-symb FT
  test-symb FF  $\implies$  all-subformula-st test-symb FF
  test-symb (FVar x)  $\implies$  all-subformula-st test-symb (FVar x)
   $\langle proof \rangle$ 

```

```

lemma all-subformula-st-test-symb-true-phi:
  all-subformula-st test-symb  $\varphi \implies$  test-symb  $\varphi$ 
   $\langle proof \rangle$ 

```

```

lemma all-subformula-st-decomp-imp:

```

```

wf-conn c l  $\implies$  (test-symb (conn c l)  $\wedge$  ( $\forall \varphi \in \text{set } l.$  all-subformula-st test-symb  $\varphi$ ))
 $\implies$  all-subformula-st test-symb (conn c l)
⟨proof⟩

```

To ease the finding of proofs, we give some explicit theorem about the decomposition.

**lemma** *all-subformula-st-decomp-rec*:

```

all-subformula-st test-symb (conn c l)  $\implies$  wf-conn c l
 $\implies$  (test-symb (conn c l)  $\wedge$  ( $\forall \varphi \in \text{set } l.$  all-subformula-st test-symb  $\varphi$ ))
⟨proof⟩

```

**lemma** *all-subformula-st-decomp*:

```

fixes c :: 'v connective and l :: 'v propo list
assumes wf-conn c l
shows all-subformula-st test-symb (conn c l)
 $\longleftrightarrow$  (test-symb (conn c l)  $\wedge$  ( $\forall \varphi \in \text{set } l.$  all-subformula-st test-symb  $\varphi$ ))
⟨proof⟩

```

**lemma** *helper-fact*:  $c \in \text{binary-connectives} \longleftrightarrow (c = COr \vee c = CAnd \vee c = CEq \vee c = CImp)$

⟨proof⟩

**lemma** *all-subformula-st-decomp-explicit[simp]*:

```

fixes  $\varphi \psi :: 'v propo$ 
shows all-subformula-st test-symb (FAnd  $\varphi \psi$ )
 $\longleftrightarrow$  (test-symb (FAnd  $\varphi \psi$ )  $\wedge$  all-subformula-st test-symb  $\varphi \wedge$  all-subformula-st test-symb  $\psi$ )
and all-subformula-st test-symb (FOr  $\varphi \psi$ )
 $\longleftrightarrow$  (test-symb (FOr  $\varphi \psi$ )  $\wedge$  all-subformula-st test-symb  $\varphi \wedge$  all-subformula-st test-symb  $\psi$ )
and all-subformula-st test-symb (FNot  $\varphi$ )
 $\longleftrightarrow$  (test-symb (FNot  $\varphi$ )  $\wedge$  all-subformula-st test-symb  $\varphi$ )
and all-subformula-st test-symb (FEq  $\varphi \psi$ )
 $\longleftrightarrow$  (test-symb (FEq  $\varphi \psi$ )  $\wedge$  all-subformula-st test-symb  $\varphi \wedge$  all-subformula-st test-symb  $\psi$ )
and all-subformula-st test-symb (FImp  $\varphi \psi$ )
 $\longleftrightarrow$  (test-symb (FImp  $\varphi \psi$ )  $\wedge$  all-subformula-st test-symb  $\varphi \wedge$  all-subformula-st test-symb  $\psi$ )
⟨proof⟩

```

As *all-subformula-st* tests recursively, the function is true on every subformula.

**lemma** *subformula-all-subformula-st*:

```

 $\psi \preceq \varphi \implies$  all-subformula-st test-symb  $\varphi \implies$  all-subformula-st test-symb  $\psi$ 
⟨proof⟩

```

The following theorem *no-test-symb-step-exists* shows the link between the *test-symb* function and the corresponding rewrite relation  $r$ : if we assume that if every time *test-symb* is true, then a  $r$  can be applied, finally as long as  $\neg$  *all-subformula-st test-symb*  $\varphi$ , then something can be rewritten in  $\varphi$ .

**lemma** *no-test-symb-step-exists*:

```

fixes r::'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool and test-symb::'v propo  $\Rightarrow$  bool and x::'v
and  $\varphi :: 'v propo$ 
assumes
  test-symb-false-nullary:  $\forall x.$  test-symb FF  $\wedge$  test-symb FT  $\wedge$  test-symb (FVar x) and
   $\forall \varphi'. \varphi' \preceq \varphi \longrightarrow (\neg \text{test-symb } \varphi') \longrightarrow (\exists \psi. r \varphi' \psi)$  and
   $\neg$  all-subformula-st test-symb  $\varphi$ 
shows  $\exists \psi \psi'. \psi \preceq \varphi \wedge r \psi \psi'$ 
⟨proof⟩

```

### 0.2.2 Invariant conservation

If two rewrite relation are independant (or at least independant enough), then the property characterizing the first relation *all-subformula-st test-symb* remains true. The next show the same property, with changes in the assumptions.

The assumption  $\forall \varphi' \psi. \varphi' \preceq \Phi \rightarrow r \varphi' \psi \rightarrow \text{all-subformula-st test-symb } \varphi' \rightarrow \text{all-subformula-st test-symb } \psi$  means that rewriting with  $r$  does not mess up the property we want to preserve locally.

The previous assumption is not enough to go from  $r$  to *propo-rew-step*  $r$ : we have to add the assumption that rewriting inside does not mess up the term:  $\forall c \in \varphi \xi' \varphi'. \varphi \preceq \Phi \rightarrow \text{propo-rew-step } r \varphi \varphi' \rightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \rightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \rightarrow \text{test-symb } \varphi' \rightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi'))$

#### Invariant while lifting of the Rewriting Relation

The condition  $\varphi \preceq \Phi$  (that will be used with  $\Phi = \varphi$  most of the time) is here to ensure that the recursive conditions on  $\Phi$  will moreover hold for the subterm we are rewriting. For example if there is no equivalence symbol in  $\Phi$ , we do not have to care about equivalence symbols in the two previous assumptions.

**lemma** *propo-rew-step-inv-stay'*:

```
fixes r:: 'v propo ⇒ 'v propo ⇒ bool and test-symb:: 'v propo ⇒ bool and x :: 'v
and φ ψ Φ:: 'v propo
assumes H: ∀φ' ψ. φ' ⊑ Φ → r φ' ψ → all-subformula-st test-symb φ'
→ all-subformula-st test-symb ψ
and H': ∀(c:: 'v connective) ξ φ ξ' φ'. φ ⊑ Φ → propo-rew-step r φ φ'
→ wf-conn c (ξ @ φ # ξ') → test-symb (conn c (ξ @ φ # ξ')) → test-symb φ'
→ test-symb (conn c (ξ @ φ' # ξ')) and
propo-rew-step r φ ψ and
φ ⊑ Φ and
all-subformula-st test-symb φ
shows all-subformula-st test-symb ψ
⟨proof⟩
```

The need for  $\varphi \preceq \Phi$  is not always necessary, hence we moreover have a version without inclusion.

**lemma** *propo-rew-step-inv-stay*:

```
fixes r:: 'v propo ⇒ 'v propo ⇒ bool and test-symb:: 'v propo ⇒ bool and x :: 'v
and φ ψ :: 'v propo
assumes
H: ∀φ' ψ. r φ' ψ → all-subformula-st test-symb φ' → all-subformula-st test-symb ψ and
H': ∀(c:: 'v connective) ξ φ ξ' φ'. wf-conn c (ξ @ φ # ξ') → test-symb (conn c (ξ @ φ # ξ'))
→ test-symb φ' → test-symb (conn c (ξ @ φ' # ξ')) and
propo-rew-step r φ ψ and
all-subformula-st test-symb φ
shows all-subformula-st test-symb ψ
⟨proof⟩
```

The lemmas can be lifted to *propo-rew-step*  $r^\downarrow$  instead of *propo-rew-step*

#### Invariant after all Rewriting

**lemma** *full-propo-rew-step-inv-stay-with-inc*:

```
fixes r:: 'v propo ⇒ 'v propo ⇒ bool and test-symb:: 'v propo ⇒ bool and x :: 'v
```

**and**  $\varphi \psi :: 'v \text{ propo}$

**assumes**

$H: \forall \varphi \psi. \text{propo-rew-step } r \varphi \psi \rightarrow \text{all-subformula-st test-symb } \varphi$   
 $\rightarrow \text{all-subformula-st test-symb } \psi \text{ and}$

$H': \forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \varphi \preceq \Phi \rightarrow \text{propo-rew-step } r \varphi \varphi'$   
 $\rightarrow \text{wf-conn } c (\xi @ \varphi \# \xi') \rightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \rightarrow \text{test-symb } \varphi'$   
 $\rightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi')) \text{ and}$

$\varphi \preceq \Phi \text{ and}$

**full:**  $\text{full} (\text{propo-rew-step } r) \varphi \psi \text{ and}$

**init:**  $\text{all-subformula-st test-symb } \varphi$

**shows**  $\text{all-subformula-st test-symb } \psi$

$\langle \text{proof} \rangle$

**lemma**  $\text{full-propo-rew-step-inv-stay}'$ :

**fixes**  $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool and test-symb} :: 'v \text{ propo} \Rightarrow \text{bool and } x :: 'v$

**and**  $\varphi \psi :: 'v \text{ propo}$

**assumes**

$H: \forall \varphi \psi. \text{propo-rew-step } r \varphi \psi \rightarrow \text{all-subformula-st test-symb } \varphi$   
 $\rightarrow \text{all-subformula-st test-symb } \psi \text{ and}$

$H': \forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{propo-rew-step } r \varphi \varphi' \rightarrow \text{wf-conn } c (\xi @ \varphi \# \xi')$   
 $\rightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi')) \rightarrow \text{test-symb } \varphi' \rightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi')) \text{ and}$

**full:**  $\text{full} (\text{propo-rew-step } r) \varphi \psi \text{ and}$

**init:**  $\text{all-subformula-st test-symb } \varphi$

**shows**  $\text{all-subformula-st test-symb } \psi$

$\langle \text{proof} \rangle$

**lemma**  $\text{full-propo-rew-step-inv-stay}:$

**fixes**  $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool and test-symb} :: 'v \text{ propo} \Rightarrow \text{bool and } x :: 'v$

**and**  $\varphi \psi :: 'v \text{ propo}$

**assumes**

$H: \forall \varphi \psi. r \varphi \psi \rightarrow \text{all-subformula-st test-symb } \varphi \rightarrow \text{all-subformula-st test-symb } \psi \text{ and}$

$H': \forall (c:: 'v \text{ connective}) \xi \varphi \xi' \varphi'. \text{wf-conn } c (\xi @ \varphi \# \xi') \rightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi \# \xi'))$   
 $\rightarrow \text{test-symb } \varphi' \rightarrow \text{test-symb } (\text{conn } c (\xi @ \varphi' \# \xi')) \text{ and}$

**full:**  $\text{full} (\text{propo-rew-step } r) \varphi \psi \text{ and}$

**init:**  $\text{all-subformula-st test-symb } \varphi$

**shows**  $\text{all-subformula-st test-symb } \psi$

$\langle \text{proof} \rangle$

**lemma**  $\text{full-propo-rew-step-inv-stay-conn}:$

**fixes**  $r:: 'v \text{ propo} \Rightarrow 'v \text{ propo} \Rightarrow \text{bool and test-symb} :: 'v \text{ propo} \Rightarrow \text{bool and } x :: 'v$

**and**  $\varphi \psi :: 'v \text{ propo}$

**assumes**

$H: \forall \varphi \psi. r \varphi \psi \rightarrow \text{all-subformula-st test-symb } \varphi \rightarrow \text{all-subformula-st test-symb } \psi \text{ and}$

$H': \forall (c:: 'v \text{ connective}) l l'. \text{wf-conn } c l \rightarrow \text{wf-conn } c l'$

$\rightarrow (\text{test-symb } (\text{conn } c l) \leftrightarrow \text{test-symb } (\text{conn } c l')) \text{ and}$

**full:**  $\text{full} (\text{propo-rew-step } r) \varphi \psi \text{ and}$

**init:**  $\text{all-subformula-st test-symb } \varphi$

**shows**  $\text{all-subformula-st test-symb } \psi$

$\langle \text{proof} \rangle$

**end**

**theory** Prop-Normalisation

**imports** Entailment-Definition.Prop-Logic Prop-Abstract-Transformation Nested-Multisets-Ordinals.Multiset-More  
**begin**

Given the previous definition about abstract rewriting and theorem about them, we now have the detailed rule making the transformation into CNF/DNF.

## 0.3 Rewrite Rules

The idea of Christoph Weidenbach's book is to remove gradually the operators: first equivalences, then implication, after that the unused true/false and finally the reorganizing the or/and. We will prove each transformation seperately.

### 0.3.1 Elimination of the Equivalences

The first transformation consists in removing every equivalence symbol.

```
inductive elim-equiv :: 'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool where
elim-equiv[simp]: elim-equiv (FEq  $\varphi$   $\psi$ ) (FAnd (FImp  $\varphi$   $\psi$ ) (FImp  $\psi$   $\varphi$ ))
```

```
lemma elim-equiv-transformation-consistent:
```

```
 $A \models \text{FEq } \varphi \psi \longleftrightarrow A \models \text{FAnd} (\text{FImp } \varphi \psi) (\text{FImp } \psi \varphi)$ 
⟨proof⟩
```

```
lemma elim-equiv-explicit: elim-equiv  $\varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$ 
⟨proof⟩
```

```
lemma elim-equiv-consistent: preserve-models elim-equiv
⟨proof⟩
```

```
lemma elimEquiv-lifted-consistant:
```

```
preserve-models (full (propo-rew-step elim-equiv))
⟨proof⟩
```

This function ensures that there is no equivalencies left in the formula tested by *no-equiv-symb*.

```
fun no-equiv-symb :: 'v propo  $\Rightarrow$  bool where
no-equiv-symb (FEq - -) = False |
no-equiv-symb - = True
```

Given the definition of *no-equiv-symb*, it does not depend on the formula, but only on the connective used.

```
lemma no-equiv-symb-conn-characterization[simp]:
fixes c :: 'v connective and l :: 'v propo list
assumes wf: wf-conn c l
shows no-equiv-symb (conn c l)  $\longleftrightarrow$  c  $\neq$  CEq
⟨proof⟩
```

```
definition no-equiv where no-equiv = all-subformula-st no-equiv-symb
```

```
lemma no-equiv-eq[simp]:
fixes  $\varphi \psi$  :: 'v propo
shows
 $\neg$ no-equiv (FEq  $\varphi \psi$ )
no-equiv FT
no-equiv FF
⟨proof⟩
```

The following lemma helps to reconstruct *no-equiv* expressions: this representation is easier to use than the set definition.

```
lemma all-subformula-st-decomp-explicit-no-equiv[iff]:
fixes  $\varphi \psi :: 'v \text{ propo}$ 
shows
  no-equiv ( $F\text{Not } \varphi$ )  $\longleftrightarrow$  no-equiv  $\varphi$ 
  no-equiv ( $F\text{And } \varphi \psi$ )  $\longleftrightarrow$  (no-equiv  $\varphi \wedge$  no-equiv  $\psi$ )
  no-equiv ( $F\text{Or } \varphi \psi$ )  $\longleftrightarrow$  (no-equiv  $\varphi \wedge$  no-equiv  $\psi$ )
  no-equiv ( $F\text{Imp } \varphi \psi$ )  $\longleftrightarrow$  (no-equiv  $\varphi \wedge$  no-equiv  $\psi$ )
  ⟨proof⟩
```

A theorem to show the link between the rewrite relation *elim-equiv* and the function *no-equiv-symb*. This theorem is one of the assumption we need to characterize the transformation.

```
lemma no-equiv-elim-equiv-step:
fixes  $\varphi :: 'v \text{ propo}$ 
assumes no-equiv:  $\neg$  no-equiv  $\varphi$ 
shows  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elim-equiv } \psi \psi'$ 
⟨proof⟩
```

Given all the previous theorem and the characterization, once we have rewritten everything, there is no equivalence symbol any more.

```
lemma no-equiv-full-propo-rew-step-elim-equiv:
  full (propo-rew-step elim-equiv)  $\varphi \psi \implies$  no-equiv  $\psi$ 
  ⟨proof⟩
```

### 0.3.2 Eliminate Implication

After that, we can eliminate the implication symbols.

```
inductive elim-imp :: 'v propo  $\Rightarrow$  'v propo  $\Rightarrow$  bool where
[simp]: elim-imp ( $F\text{Imp } \varphi \psi$ ) ( $F\text{Or } (F\text{Not } \varphi) \psi$ )
```

```
lemma elim-imp-transformation-consistent:
   $A \models F\text{Imp } \varphi \psi \longleftrightarrow A \models F\text{Or } (F\text{Not } \varphi) \psi$ 
  ⟨proof⟩
```

```
lemma elim-imp-explicit: elim-imp  $\varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$ 
  ⟨proof⟩
```

```
lemma elim-imp-consistent: preserve-models elim-imp
  ⟨proof⟩
```

```
lemma elim-imp-lifted-consistant:
  preserve-models (full (propo-rew-step elim-imp))
  ⟨proof⟩
```

```
fun no-imp-symb where
  no-imp-symb ( $F\text{Imp } - -$ ) = False |
  no-imp-symb  $- =$  True
```

```
lemma no-imp-symb-conn-characterization:
  wf-conn  $c l \implies$  no-imp-symb (conn  $c l$ )  $\longleftrightarrow c \neq C\text{Imp}$ 
  ⟨proof⟩
```

```
definition no-imp where no-imp  $\equiv$  all-subformula-st no-imp-symb
```

```

declare no-imp-def[simp]

lemma no-imp-Imp[simp]:
   $\neg \text{no-imp } (\text{FImp } \varphi \psi)$ 
   $\text{no-imp } FT$ 
   $\text{no-imp } FF$ 
   $\langle \text{proof} \rangle$ 

lemma all-subformula-st-decomp-explicit-imp[simp]:
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  shows
     $\text{no-imp } (FNot \varphi) \longleftrightarrow \text{no-imp } \varphi$ 
     $\text{no-imp } (FAnd \varphi \psi) \longleftrightarrow (\text{no-imp } \varphi \wedge \text{no-imp } \psi)$ 
     $\text{no-imp } (FOr \varphi \psi) \longleftrightarrow (\text{no-imp } \varphi \wedge \text{no-imp } \psi)$ 
   $\langle \text{proof} \rangle$ 

```

Invariant of the *elim-imp* transformation

```

lemma elim-imp-no-equiv:
   $\text{elim-imp } \varphi \psi \implies \text{no-equiv } \varphi \implies \text{no-equiv } \psi$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma elim-imp-inv:
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes full (propo-rew-step elim-imp)  $\varphi \psi$  and no-equiv  $\varphi$ 
  shows no-equiv  $\psi$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma no-no-imp-elim-imp-step-exists:
  fixes  $\varphi :: 'v \text{ propo}$ 
  assumes no-equiv:  $\neg \text{no-imp } \varphi$ 
  shows  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elim-imp } \psi \psi'$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma no-imp-full-propo-rew-step-elim-imp: full (propo-rew-step elim-imp)  $\varphi \psi \implies \text{no-imp } \psi$ 
   $\langle \text{proof} \rangle$ 

```

### 0.3.3 Eliminate all the True and False in the formula

Contrary to the book, we have to give the transformation and the “commutative” transformation. The latter is implicit in the book.

```

inductive elimTB where
  ElimTB1: elimTB (FAnd  $\varphi$  FT)  $\varphi$  |
  ElimTB1': elimTB (FAnd FT  $\varphi$ )  $\varphi$  |

  ElimTB2: elimTB (FAnd  $\varphi$  FF) FF |
  ElimTB2': elimTB (FAnd FF  $\varphi$ ) FF |

  ElimTB3: elimTB (FOr  $\varphi$  FT) FT |
  ElimTB3': elimTB (FOr FT  $\varphi$ ) FT |

  ElimTB4: elimTB (FOr  $\varphi$  FF)  $\varphi$  |
  ElimTB4': elimTB (FOr FF  $\varphi$ )  $\varphi$  |

  ElimTB5: elimTB (FNot FT) FF |
  ElimTB6: elimTB (FNot FF) FT

```

**lemma** *elimTB-consistent: preserve-models elimTB*  
*(proof)*

**inductive** *no-T-F-symb :: 'v propo  $\Rightarrow$  bool where*  
*no-T-F-symb-comp:  $c \neq CF \Rightarrow c \neq CT \Rightarrow wf\text{-}conn\ c\ l \Rightarrow (\forall \varphi \in set\ l. \varphi \neq FT \wedge \varphi \neq FF) \Rightarrow no\text{-}T\text{-}F\text{-}symb\ (conn\ c\ l)$*

**lemma** *wf-conn-no-T-F-symb-iff[simp]:*  
*wf-conn c ψs  $\Rightarrow$  no-T-F-symb (conn c ψs)  $\longleftrightarrow$  ( $c \neq CF \wedge c \neq CT \wedge (\forall \psi \in set\ \psi s. \psi \neq FF \wedge \psi \neq FT)$ )  
*(proof)**

**lemma** *wf-conn-no-T-F-symb-iff-explicit[simp]:*  
*no-T-F-symb (FAnd φ ψ)  $\longleftrightarrow$  ( $\forall \chi \in set\ [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT$ )*  
*no-T-F-symb (FOr φ ψ)  $\longleftrightarrow$  ( $\forall \chi \in set\ [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT$ )*  
*no-T-F-symb (FEq φ ψ)  $\longleftrightarrow$  ( $\forall \chi \in set\ [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT$ )*  
*no-T-F-symb (FImp φ ψ)  $\longleftrightarrow$  ( $\forall \chi \in set\ [\varphi, \psi]. \chi \neq FF \wedge \chi \neq FT$ )  
*(proof)**

**lemma** *no-T-F-symb-false[simp]:*  
**fixes**  $c :: 'v$  connective  
**shows**  
 *$\neg$ no-T-F-symb (FT :: 'v propo)  
 $\neg$ no-T-F-symb (FF :: 'v propo)  
(i proof)*

**lemma** *no-T-F-symb-bool[simp]:*  
**fixes**  $x :: 'v$   
**shows** no-T-F-symb (FVar x)  
*(proof)*

**lemma** *no-T-F-symb-fnot-imp:*  
 *$\neg$ no-T-F-symb (FNot φ)  $\Rightarrow$  φ = FT  $\vee$  φ = FF  
(i proof)*

**lemma** *no-T-F-symb-fnot[simp]:*  
*no-T-F-symb (FNot φ)  $\longleftrightarrow$   $\neg(\varphi = FT \vee \varphi = FF)$   
(i proof)*

Actually it is not possible to remover every *FT* and *FF*: if the formula is equal to true or false, we can not remove it.

**inductive** *no-T-F-symb-except-toplevel where*  
*no-T-F-symb-except-toplevel-true[simp]: no-T-F-symb-except-toplevel FT |*  
*no-T-F-symb-except-toplevel-false[simp]: no-T-F-symb-except-toplevel FF |*  
*noTrue-no-T-F-symb-except-toplevel[simp]: no-T-F-symb φ  $\Rightarrow$  no-T-F-symb-except-toplevel φ*

**lemma** *no-T-F-symb-except-toplevel-bool:*  
**fixes**  $x :: 'v$   
**shows** no-T-F-symb-except-toplevel (FVar x)  
*(proof)*

```

lemma no-T-F-symb-except-toplevel-not-decom:
   $\varphi \neq FT \implies \varphi \neq FF \implies \text{no-T-F-symb-except-toplevel } (FNot \varphi)$ 
  ⟨proof⟩

```

```

lemma no-T-F-symb-except-toplevel-bin-decom:
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes  $\varphi \neq FT \text{ and } \varphi \neq FF \text{ and } \psi \neq FT \text{ and } \psi \neq FF$ 
  and  $c: c \in \text{binary-connectives}$ 
  shows no-T-F-symb-except-toplevel (conn c [ $\varphi, \psi$ ])
  ⟨proof⟩

```

```

lemma no-T-F-symb-except-toplevel-if-is-a-true-false:
  fixes  $l :: 'v \text{ propo list and } c :: 'v \text{ connective}$ 
  assumes corr: wf-conn c l
  and  $FT \in \text{set } l \vee FF \in \text{set } l$ 
  shows  $\neg \text{no-T-F-symb-except-toplevel } (\text{conn } c l)$ 
  ⟨proof⟩

```

```

lemma no-T-F-symb-except-top-level-false-example[simp]:
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes  $\varphi = FT \vee \psi = FT \vee \varphi = FF \vee \psi = FF$ 
  shows
     $\neg \text{no-T-F-symb-except-toplevel } (FAnd \varphi \psi)$ 
     $\neg \text{no-T-F-symb-except-toplevel } (FOr \varphi \psi)$ 
     $\neg \text{no-T-F-symb-except-toplevel } (FImp \varphi \psi)$ 
     $\neg \text{no-T-F-symb-except-toplevel } (FEq \varphi \psi)$ 
  ⟨proof⟩

```

```

lemma no-T-F-symb-except-top-level-false-not[simp]:
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes  $\varphi = FT \vee \varphi = FF$ 
  shows
     $\neg \text{no-T-F-symb-except-toplevel } (FNot \varphi)$ 
  ⟨proof⟩

```

This is the local extension of *no-T-F-symb-except-toplevel*.

**definition** no-T-F-except-top-level **where**  
 $\text{no-T-F-except-top-level} \equiv \text{all-subformula-st no-T-F-symb-except-toplevel}$

This is another property we will use. While this version might seem to be the one we want to prove, it is not since *FT* can not be reduced.

**definition** no-T-F **where**  
 $\text{no-T-F} \equiv \text{all-subformula-st no-T-F-symb}$

```

lemma no-T-F-except-top-level-false:
  fixes  $l :: 'v \text{ propo list and } c :: 'v \text{ connective}$ 
  assumes wf-conn c l
  and  $FT \in \text{set } l \vee FF \in \text{set } l$ 
  shows  $\neg \text{no-T-F-except-top-level } (\text{conn } c l)$ 
  ⟨proof⟩

```

```

lemma no-T-F-except-top-level-false-example[simp]:
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes  $\varphi = FT \vee \psi = FT \vee \varphi = FF \vee \psi = FF$ 

```

**shows**

- $\neg no\text{-}T\text{-}F\text{-}except\text{-}top\text{-}level (FAnd \varphi \psi)$
- $\neg no\text{-}T\text{-}F\text{-}except\text{-}top\text{-}level (FOr \varphi \psi)$
- $\neg no\text{-}T\text{-}F\text{-}except\text{-}top\text{-}level (FEq \varphi \psi)$
- $\neg no\text{-}T\text{-}F\text{-}except\text{-}top\text{-}level (FImp \varphi \psi)$

$\langle proof \rangle$

**lemma** *no-T-F-symb-except-toplevel-no-T-F-symb*:

- $no\text{-}T\text{-}F\text{-}symb\text{-}except\text{-}toplevel \varphi \implies \varphi \neq FF \implies \varphi \neq FT \implies no\text{-}T\text{-}F\text{-}symb \varphi$

$\langle proof \rangle$

The two following lemmas give the precise link between the two definitions.

**lemma** *no-T-F-symb-except-toplevel-all-subformula-st-no-T-F-symb*:

- $no\text{-}T\text{-}F\text{-}except\text{-}top\text{-}level \varphi \implies \varphi \neq FF \implies \varphi \neq FT \implies no\text{-}T\text{-}F \varphi$

$\langle proof \rangle$

**lemma** *no-T-F-no-T-F-except-top-level*:

- $no\text{-}T\text{-}F \varphi \implies no\text{-}T\text{-}F\text{-}except\text{-}top\text{-}level \varphi$

$\langle proof \rangle$

**lemma** *no-T-F-except-top-level-simp[simp]*: *no-T-F-except-top-level FF no-T-F-except-top-level FT*

$\langle proof \rangle$

**lemma** *no-T-F-no-T-F-except-top-level'[simp]*:

- $no\text{-}T\text{-}F\text{-}except\text{-}top\text{-}level \varphi \longleftrightarrow (\varphi = FF \vee \varphi = FT \vee no\text{-}T\text{-}F \varphi)$

$\langle proof \rangle$

**lemma** *no-T-F-bin-decomp[simp]*:

**assumes**  $c: c \in \text{binary-connectives}$

**shows** *no-T-F (conn c [\varphi, \psi]) \longleftrightarrow (no-T-F \varphi \wedge no-T-F \psi)*

$\langle proof \rangle$

**lemma** *no-T-F-bin-decomp-expanded[simp]*:

**assumes**  $c: c = CAnd \vee c = COr \vee c = CEq \vee c = CImp$

**shows** *no-T-F (conn c [\varphi, \psi]) \longleftrightarrow (no-T-F \varphi \wedge no-T-F \psi)*

$\langle proof \rangle$

**lemma** *no-T-F-comp-expanded-explicit[simp]*:

**fixes**  $\varphi \psi :: 'v \text{ propo}$

**shows**

- $no\text{-}T\text{-}F (FAnd \varphi \psi) \longleftrightarrow (no\text{-}T\text{-}F \varphi \wedge no\text{-}T\text{-}F \psi)$
- $no\text{-}T\text{-}F (FOr \varphi \psi) \longleftrightarrow (no\text{-}T\text{-}F \varphi \wedge no\text{-}T\text{-}F \psi)$
- $no\text{-}T\text{-}F (FEq \varphi \psi) \longleftrightarrow (no\text{-}T\text{-}F \varphi \wedge no\text{-}T\text{-}F \psi)$
- $no\text{-}T\text{-}F (FImp \varphi \psi) \longleftrightarrow (no\text{-}T\text{-}F \varphi \wedge no\text{-}T\text{-}F \psi)$

$\langle proof \rangle$

**lemma** *no-T-F-comp-not[simp]*:

**fixes**  $\varphi \psi :: 'v \text{ propo}$

**shows** *no-T-F (FNot \varphi) \longleftrightarrow no-T-F \varphi*

$\langle proof \rangle$

**lemma** *no-T-F-decomp*:

**fixes**  $\varphi \psi :: 'v \text{ propo}$

**assumes**  $\varphi: no\text{-}T\text{-}F (FAnd \varphi \psi) \vee no\text{-}T\text{-}F (FOr \varphi \psi) \vee no\text{-}T\text{-}F (FEq \varphi \psi) \vee no\text{-}T\text{-}F (FImp \varphi \psi)$

**shows** *no-T-F \psi and no-T-F \varphi*

$\langle proof \rangle$

**lemma** no-T-F-decomp-not:

**fixes**  $\varphi :: 'v \text{ propo}$   
   **assumes**  $\varphi: \text{no-T-F} (\text{FNot } \varphi)$   
   **shows** no-T-F  $\varphi$   
 $\langle proof \rangle$

**lemma** no-T-F-symb-except-toplevel-step-exists:

**fixes**  $\varphi \psi :: 'v \text{ propo}$   
   **assumes** no-equiv  $\varphi$  **and** no-imp  $\varphi$   
   **shows**  $\psi \preceq \varphi \implies \neg \text{no-T-F-symb-except-toplevel } \psi \implies \exists \psi'. \text{elimTB } \psi \psi'$   
 $\langle proof \rangle$

**lemma** no-T-F-except-top-level-rew:

**fixes**  $\varphi :: 'v \text{ propo}$   
   **assumes** noTB:  $\neg \text{no-T-F-except-top-level } \varphi$  **and** no-equiv: no-equiv  $\varphi$  **and** no-imp: no-imp  $\varphi$   
   **shows**  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elimTB } \psi \psi'$   
 $\langle proof \rangle$

**lemma** elimTB-inv:

**fixes**  $\varphi \psi :: 'v \text{ propo}$   
   **assumes** full (propo-rew-step elimTB)  $\varphi \psi$   
   **and** no-equiv  $\varphi$  **and** no-imp  $\varphi$   
   **shows** no-equiv  $\psi$  **and** no-imp  $\psi$   
 $\langle proof \rangle$

**lemma** elimTB-full-propo-rew-step:

**fixes**  $\varphi \psi :: 'v \text{ propo}$   
   **assumes** no-equiv  $\varphi$  **and** no-imp  $\varphi$  **and** full (propo-rew-step elimTB)  $\varphi \psi$   
   **shows** no-T-F-except-top-level  $\psi$   
 $\langle proof \rangle$

### 0.3.4 PushNeg

Push the negation inside the formula, until the litteral.

**inductive** pushNeg **where**

  PushNeg1[simp]: pushNeg (FNot (FAnd  $\varphi \psi$ )) (FOr (FNot  $\varphi$ ) (FNot  $\psi$ )) |  
   PushNeg2[simp]: pushNeg (FNot (FOr  $\varphi \psi$ )) (FAnd (FNot  $\varphi$ ) (FNot  $\psi$ )) |  
   PushNeg3[simp]: pushNeg (FNot (FNot  $\varphi$ ))  $\varphi$

**lemma** pushNeg-transformation-consistent:

$A \models FNot (FAnd \varphi \psi) \iff A \models (FOr (FNot \varphi) (FNot \psi))$   
    $A \models FNot (FOr \varphi \psi) \iff A \models (FAnd (FNot \varphi) (FNot \psi))$   
    $A \models FNot (FNot \varphi) \iff A \models \varphi$   
 $\langle proof \rangle$

**lemma** pushNeg-explicit: pushNeg  $\varphi \psi \implies \forall A. A \models \varphi \iff A \models \psi$   
 $\langle proof \rangle$

**lemma** pushNeg-consistent: preserve-models pushNeg  
 $\langle proof \rangle$

```

lemma pushNeg-lifted-consistant:
  preserve-models (full (propo-rew-step pushNeg))
  ⟨proof⟩

fun simple where
  simple FT = True |
  simple FF = True |
  simple (FVar -) = True |
  simple - = False

lemma simple-decomp:
  simple φ ←→ (φ = FT ∨ φ = FF ∨ (Ǝ x. φ = FVar x))
  ⟨proof⟩

lemma subformula-conn-decomp-simple:
  fixes φ ψ :: 'v propo
  assumes s: simple ψ
  shows φ ⊑ FNot ψ ←→ (φ = FNot ψ ∨ φ = ψ)
  ⟨proof⟩

lemma subformula-conn-decomp-explicit[simp]:
  fixes φ :: 'v propo and x :: 'v
  shows
    φ ⊑ FNot FT ←→ (φ = FNot FT ∨ φ = FT)
    φ ⊑ FNot FF ←→ (φ = FNot FF ∨ φ = FF)
    φ ⊑ FNot (FVar x) ←→ (φ = FNot (FVar x) ∨ φ = FVar x)
  ⟨proof⟩

fun simple-not-symb where
  simple-not-symb (FNot φ) = (simple φ) |
  simple-not-symb - = True

definition simple-not where
  simple-not = all-subformula-st simple-not-symb
  declare simple-not-def[simp]

lemma simple-not-Not[simp]:
  ¬ simple-not (FNot (FAnd φ ψ))
  ¬ simple-not (FNot (FOr φ ψ))
  ⟨proof⟩

lemma simple-not-step-exists:
  fixes φ ψ :: 'v propo
  assumes no-equiv φ and no-imp φ
  shows ψ ⊑ φ ⇒ ¬ simple-not-symb ψ ⇒ ∃ψ'. pushNeg ψ ψ'
  ⟨proof⟩

lemma simple-not-rew:
  fixes φ :: 'v propo
  assumes noTB: ¬ simple-not φ and no-equiv: no-equiv φ and no-imp: no-imp φ
  shows ∃ψ ψ'. ψ ⊑ φ ∧ pushNeg ψ ψ'
  ⟨proof⟩

lemma no-T-F-except-top-level-pushNeg1:

```

*no-T-F-except-top-level* ( $FNot(FAnd \varphi \psi)$ )  $\implies$  *no-T-F-except-top-level* ( $FOr(FNot \varphi) (FNot \psi)$ )  
*(proof)*

**lemma** *no-T-F-except-top-level-pushNeg2*:

*no-T-F-except-top-level* ( $FNot(FOr \varphi \psi)$ )  $\implies$  *no-T-F-except-top-level* ( $FAnd(FNot \varphi) (FNot \psi)$ )  
*(proof)*

**lemma** *no-T-F-symb-pushNeg*:

*no-T-F-symb* ( $FOr(FNot \varphi') (FNot \psi')$ )  
*no-T-F-symb* ( $FAnd(FNot \varphi') (FNot \psi')$ )  
*no-T-F-symb* ( $FNot(FNot \varphi')$ )  
*(proof)*

**lemma** *propo-rew-step-pushNeg-no-T-F-symb*:

*propo-rew-step pushNeg*  $\varphi \psi \implies$  *no-T-F-except-top-level*  $\varphi \implies$  *no-T-F-symb*  $\varphi \implies$  *no-T-F-symb*  $\psi$   
*(proof)*

**lemma** *propo-rew-step-pushNeg-no-T-F*:

*propo-rew-step pushNeg*  $\varphi \psi \implies$  *no-T-F*  $\varphi \implies$  *no-T-F*  $\psi$   
*(proof)*

**lemma** *pushNeg-inv*:

**fixes**  $\varphi \psi :: 'v propo$   
**assumes** *full (propo-rew-step pushNeg)*  $\varphi \psi$   
**and** *no-equiv*  $\varphi$  **and** *no-imp*  $\varphi$  **and** *no-T-F-except-top-level*  $\varphi$   
**shows** *no-equiv*  $\psi$  **and** *no-imp*  $\psi$  **and** *no-T-F-except-top-level*  $\psi$   
*(proof)*

**lemma** *pushNeg-full-propo-rew-step*:

**fixes**  $\varphi \psi :: 'v propo$   
**assumes**  
*no-equiv*  $\varphi$  **and**  
*no-imp*  $\varphi$  **and**  
*full (propo-rew-step pushNeg)*  $\varphi \psi$  **and**  
*no-T-F-except-top-level*  $\varphi$   
**shows** *simple-not*  $\psi$   
*(proof)*

### 0.3.5 Push Inside

**inductive** *push-conn-inside* ::  $'v connective \Rightarrow 'v connective \Rightarrow 'v propo \Rightarrow 'v propo \Rightarrow bool$

**for**  $c c' :: 'v connective$  **where**

*push-conn-inside-l[simp]*:  $c = CAnd \vee c = COr \implies c' = CAnd \vee c' = COr$   
 $\implies$  *push-conn-inside*  $c c'$  ( $conn c [conn c' [\varphi_1, \varphi_2], \psi]$ )  
 $(conn c' [conn c [\varphi_1, \psi], conn c [\varphi_2, \psi]])$  |  
*push-conn-inside-r[simp]*:  $c = CAnd \vee c = COr \implies c' = CAnd \vee c' = COr$   
 $\implies$  *push-conn-inside*  $c c'$  ( $conn c [\psi, conn c' [\varphi_1, \varphi_2]]$ )  
 $(conn c' [conn c [\psi, \varphi_1], conn c [\psi, \varphi_2]])$

**lemma** *push-conn-inside-explicit*: *push-conn-inside*  $c c' \varphi \psi \implies \forall A. A \models \varphi \longleftrightarrow A \models \psi$   
*(proof)*

**lemma** *push-conn-inside-consistent*: *preserve-models* (*push-conn-inside*  $c c'$ )

$\langle proof \rangle$

**lemma** *propo-rew-step-push-conn-inside*[simp]:

$\neg \text{propo-rew-step}(\text{push-conn-inside } c \ c') \text{ FT } \psi \neg \text{propo-rew-step}(\text{push-conn-inside } c \ c') \text{ FF } \psi$   
 $\langle proof \rangle$

**inductive** *not-c-in-c'-symb*:: '*v connective*  $\Rightarrow$  '*v propo*  $\Rightarrow$  *bool for c c'* **where**  
*not-c-in-c'-symb-l*[simp]:  $\text{wf-conn } c \ [\text{conn } c' [\varphi, \varphi'], \psi] \implies \text{wf-conn } c' [\varphi, \varphi']$   
 $\implies \text{not-c-in-c'-symb } c \ c' (\text{conn } c \ [\text{conn } c' [\varphi, \varphi'], \psi]) \mid$   
*not-c-in-c'-symb-r*[simp]:  $\text{wf-conn } c \ [\psi, \text{conn } c' [\varphi, \varphi']] \implies \text{wf-conn } c' [\varphi, \varphi']$   
 $\implies \text{not-c-in-c'-symb } c \ c' (\text{conn } c \ [\psi, \text{conn } c' [\varphi, \varphi']])$

**abbreviation** *c-in-c'-symb* *c c'*  $\varphi$   $\equiv \neg \text{not-c-in-c'-symb } c \ c' \varphi$

**lemma** *c-in-c'-symb-simp*:

$\neg \text{not-c-in-c'-symb } c \ c' \xi \implies \xi = \text{FF} \vee \xi = \text{FT} \vee \xi = \text{FVar } x \vee \xi = \text{FNot } \text{FF} \vee \xi = \text{FNot } \text{FT}$   
 $\vee \xi = \text{FNot } (\text{FVar } x) \implies \text{False}$   
 $\langle proof \rangle$

**lemma** *c-in-c'-symb-simp'*[simp]:

$\neg \text{not-c-in-c'-symb } c \ c' \text{FF}$   
 $\neg \text{not-c-in-c'-symb } c \ c' \text{FT}$   
 $\neg \text{not-c-in-c'-symb } c \ c' (\text{FVar } x)$   
 $\neg \text{not-c-in-c'-symb } c \ c' (\text{FNot } \text{FF})$   
 $\neg \text{not-c-in-c'-symb } c \ c' (\text{FNot } \text{FT})$   
 $\neg \text{not-c-in-c'-symb } c \ c' (\text{FNot } (\text{FVar } x))$   
 $\langle proof \rangle$

**definition** *c-in-c'-only where*

*c-in-c'-only* *c c'*  $\equiv \text{all-subformula-st } (\text{c-in-c'-symb } c \ c')$

**lemma** *c-in-c'-only-simp*[simp]:

*c-in-c'-only* *c c'*  $\text{FF}$   
*c-in-c'-only* *c c'*  $\text{FT}$   
*c-in-c'-only* *c c'*  $(\text{FVar } x)$   
*c-in-c'-only* *c c'*  $(\text{FNot } \text{FF})$   
*c-in-c'-only* *c c'*  $(\text{FNot } \text{FT})$   
*c-in-c'-only* *c c'*  $(\text{FNot } (\text{FVar } x))$   
 $\langle proof \rangle$

**lemma** *not-c-in-c'-symb-commute*:

$\neg \text{not-c-in-c'-symb } c \ c' \xi \implies \text{wf-conn } c \ [\varphi, \psi] \implies \xi = \text{conn } c \ [\varphi, \psi]$   
 $\implies \text{not-c-in-c'-symb } c \ c' (\text{conn } c \ [\psi, \varphi])$   
 $\langle proof \rangle$

**lemma** *not-c-in-c'-symb-commute'*:

$\text{wf-conn } c \ [\varphi, \psi] \implies \text{c-in-c'-symb } c \ c' (\text{conn } c \ [\varphi, \psi]) \longleftrightarrow \text{c-in-c'-symb } c \ c' (\text{conn } c \ [\psi, \varphi])$   
 $\langle proof \rangle$

**lemma** *not-c-in-c'-comm*:

**assumes** *wf*:  $\text{wf-conn } c \ [\varphi, \psi]$   
**shows** *c-in-c'-only* *c c'*  $(\text{conn } c \ [\varphi, \psi]) \longleftrightarrow \text{c-in-c'-only } c \ c' (\text{conn } c \ [\psi, \varphi])$  (**is**  $?A \longleftrightarrow ?B$ )  
 $\langle proof \rangle$

**lemma** *not-c-in-c'-simp*[simp]:  
**fixes**  $\varphi_1 \varphi_2 \psi :: 'v \text{ propo}$  **and**  $x :: 'v$   
**shows**  
*c-in-c'-symb c c' FT*  
*c-in-c'-symb c c' FF*  
*c-in-c'-symb c c' (FVar x)*  
*wf-conn c [conn c' [\varphi\_1, \varphi\_2], \psi] \implies wf-conn c' [\varphi\_1, \varphi\_2]*  
 $\implies \neg c\text{-in-}c'\text{-only } c \text{ c' (conn c [conn c' [\varphi_1, \varphi_2], \psi])}$   
*(proof)*

**lemma** *c-in-c'-symb-not*[simp]:  
**fixes**  $c c' :: 'v \text{ connective}$  **and**  $\psi :: 'v \text{ propo}$   
**shows** *c-in-c'-symb c c' (FNot \psi)*  
*(proof)*

**lemma** *c-in-c'-symb-step-exists*:  
**fixes**  $\varphi :: 'v \text{ propo}$   
**assumes**  $c: c = CAnd \vee c = COr$  **and**  $c': c' = CAnd \vee c' = COr$   
**shows**  $\psi \preceq \varphi \implies \neg c\text{-in-}c'\text{-symb } c \text{ c' } \psi \implies \exists \psi'. \text{push-conn-inside } c \text{ c' } \psi \psi'$   
*(proof)*

**lemma** *c-in-c'-symb-rew*:  
**fixes**  $\varphi :: 'v \text{ propo}$   
**assumes** *noTB: \neg c\text{-in-}c'\text{-only } c \text{ c' } \varphi*  
**and**  $c: c = CAnd \vee c = COr$  **and**  $c': c' = CAnd \vee c' = COr$   
**shows**  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{push-conn-inside } c \text{ c' } \psi \psi'$   
*(proof)*

**lemma** *push-conn-insidec-in-c'-symb-no-T-F*:  
**fixes**  $\varphi \psi :: 'v \text{ propo}$   
**shows** *propo-rew-step (push-conn-inside c c') \varphi \psi \implies no-T-F \varphi \implies no-T-F \psi*  
*(proof)*

**lemma** *simple-propo-rew-step-push-conn-inside-inv*:  
*propo-rew-step (push-conn-inside c c') \varphi \psi \implies simple \varphi \implies simple \psi*  
*(proof)*

**lemma** *simple-propo-rew-step-inv-push-conn-inside-simple-not*:  
**fixes**  $c c' :: 'v \text{ connective}$  **and**  $\varphi \psi :: 'v \text{ propo}$   
**shows** *propo-rew-step (push-conn-inside c c') \varphi \psi \implies simple-not \varphi \implies simple-not \psi*  
*(proof)*

**lemma** *propo-rew-step-push-conn-inside-simple-not*:  
**fixes**  $\varphi \varphi' :: 'v \text{ propo}$  **and**  $\xi \xi' :: 'v \text{ propo list}$  **and**  $c :: 'v \text{ connective}$   
**assumes**  
*propo-rew-step (push-conn-inside c c') \varphi \varphi' **and***  
*wf-conn c (\xi @ \varphi \# \xi')* **and**  
*simple-not-symb (conn c (\xi @ \varphi \# \xi')) **and***  
*simple-not-symb \varphi'*  
**shows** *simple-not-symb (conn c (\xi @ \varphi' \# \xi'))*  
*(proof)*

**lemma** *push-conn-inside-not-true-false*:

*push-conn-inside c c' φ ψ*  $\implies \psi \neq FT \wedge \psi \neq FF$   
*(proof)*

**lemma** *push-conn-inside-inv*:

**fixes**  $\varphi \psi :: 'v \text{ propo}$   
**assumes** *full (propo-rew-step (push-conn-inside c c'))*  $\varphi \psi$   
**and** *no-equiv φ and no-imp φ and no-T-F-except-top-level φ and simple-not φ*  
**shows** *no-equiv ψ and no-imp ψ and no-T-F-except-top-level ψ and simple-not ψ*  
*(proof)*

**lemma** *push-conn-inside-full-propo-rew-step*:

**fixes**  $\varphi \psi :: 'v \text{ propo}$   
**assumes**  
*no-equiv φ and*  
*no-imp φ and*  
*full (propo-rew-step (push-conn-inside c c'))*  $\varphi \psi$  **and**  
*no-T-F-except-top-level φ and*  
*simple-not φ and*  
*c = CAnd ∨ c = COr and*  
*c' = CAnd ∨ c' = COr*  
**shows** *c-in-c'-only c c' ψ*  
*(proof)*

### Only one type of connective in the formula (+ not)

**inductive** *only-c-inside-symb :: 'v connective  $\Rightarrow 'v \text{ propo} \Rightarrow \text{bool}$  for c :: 'v connective where*  
*simple-only-c-inside[simp]: simple φ  $\implies$  only-c-inside-symb c φ |*  
*simple-cnot-only-c-inside[simp]: simple φ  $\implies$  only-c-inside-symb c (FNot φ) |*  
*only-c-inside-into-only-c-inside: wf-conn c l  $\implies$  only-c-inside-symb c (conn c l)*

**lemma** *only-c-inside-symb-simp[simp]*:

*only-c-inside-symb c FF only-c-inside-symb c FT only-c-inside-symb c (FVar x)* *(proof)*

**definition** *only-c-inside* **where** *only-c-inside c = all-subformula-st (only-c-inside-symb c)*

**lemma** *only-c-inside-symb-decomp*:

*only-c-inside-symb c ψ  $\longleftrightarrow$  (simple ψ  
 $\vee (\exists \varphi'. \psi = FNot \varphi' \wedge simple \varphi')$   
 $\vee (\exists l. \psi = conn c l \wedge wf-conn c l))$*   
*(proof)*

**lemma** *only-c-inside-symb-decomp-not[simp]*:

**fixes**  $c :: 'v \text{ connective}$   
**assumes**  $c: c \neq CNot$   
**shows** *only-c-inside-symb c (FNot ψ)  $\longleftrightarrow$  simple ψ*  
*(proof)*

**lemma** *only-c-inside-decomp-not[simp]*:

**assumes**  $c: c \neq CNot$   
**shows** *only-c-inside c (FNot ψ)  $\longleftrightarrow$  simple ψ*  
*(proof)*

```

lemma only-c-inside-decomp:
  only-c-inside c φ  $\longleftrightarrow$ 
  ( $\forall \psi. \psi \preceq \varphi \longrightarrow (\text{simple } \psi \vee (\exists \varphi'. \psi = F\text{Not } \varphi' \wedge \text{simple } \varphi')$ 
    $\vee (\exists l. \psi = \text{conn } c l \wedge \text{wf-conn } c l))$ )
  ⟨proof⟩

lemma only-c-inside-c-c'-false:
  fixes c c' :: 'v connective and l :: 'v propo list and φ :: 'v propo
  assumes cc': c ≠ c' and c: c = CAnd ∨ c = COr and c': c' = CAnd ∨ c' = COr
  and only: only-c-inside c φ and incl: conn c' l ⊲ φ and wf: wf-conn c' l
  shows False
  ⟨proof⟩

lemma only-c-inside-implies-c-in-c'-symb:
  assumes δ: c ≠ c' and c: c = CAnd ∨ c = COr and c': c' = CAnd ∨ c' = COr
  shows only-c-inside c φ  $\implies$  c-in-c'-symb c c' φ
  ⟨proof⟩

lemma c-in-c'-symb-decomp-level1:
  fixes l :: 'v propo list and c c' ca :: 'v connective
  shows wf-conn ca l  $\implies$  ca ≠ c  $\implies$  c-in-c'-symb c c' (conn ca l)
  ⟨proof⟩

lemma only-c-inside-implies-c-in-c'-only:
  assumes δ: c ≠ c' and c: c = CAnd ∨ c = COr and c': c' = CAnd ∨ c' = COr
  shows only-c-inside c φ  $\implies$  c-in-c'-only c c' φ
  ⟨proof⟩

lemma c-in-c'-symb-c-implies-only-c-inside:
  assumes δ: c = CAnd ∨ c = COr c' = CAnd ∨ c' = COr c ≠ c' and wf: wf-conn c [φ, ψ]
  and inv: no-equiv (conn c l) no-imp (conn c l) simple-not (conn c l)
  shows wf-conn c l  $\implies$  c-in-c'-only c c' (conn c l)  $\implies$  ( $\forall \psi \in \text{set } l. \text{only-c-inside } c \psi$ )
  ⟨proof⟩

```

## Push Conjunction

**definition** pushConj **where** pushConj = push-conn-inside CAnd COr

**lemma** pushConj-consistent: preserve-models pushConj  
 ⟨proof⟩

**definition** and-in-or-symb **where** and-in-or-symb = c-in-c'-symb CAnd COr

**definition** and-in-or-only **where**  
 and-in-or-only = all-subformula-st (c-in-c'-symb CAnd COr)

**lemma** pushConj-inv:
 **fixes** φ ψ :: 'v propo
 **assumes** full (propo-rew-step pushConj) φ ψ
 **and** no-equiv φ **and** no-imp φ **and** no-T-F-except-top-level φ **and** simple-not φ
 **shows** no-equiv ψ **and** no-imp ψ **and** no-T-F-except-top-level ψ **and** simple-not ψ
 ⟨proof⟩

```

lemma pushConj-full-propo-rew-step:
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes
    no-equiv  $\varphi$  and
    no-imp  $\varphi$  and
    full (propo-rew-step pushConj)  $\varphi \psi$  and
    no-T-F-except-top-level  $\varphi$  and
    simple-not  $\varphi$ 
  shows and-in-or-only  $\psi$ 
  ⟨proof⟩

```

## Push Disjunction

```
definition pushDisj where pushDisj = push-conn-inside COr CAnd
```

```

lemma pushDisj-consistent: preserve-models pushDisj
  ⟨proof⟩

```

```
definition or-in-and-symb where or-in-and-symb = c-in-c'-symb COr CAnd
```

```

definition or-in-and-only where
  or-in-and-only = all-subformula-st (c-in-c'-symb COr CAnd)

```

```

lemma not-or-in-and-only-or-and[simp]:
  ~or-in-and-only (For (FAnd  $\psi_1 \psi_2$ )  $\varphi'$ )
  ⟨proof⟩

```

```

lemma pushDisj-inv:
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes full (propo-rew-step pushDisj)  $\varphi \psi$ 
  and no-equiv  $\varphi$  and no-imp  $\varphi$  and no-T-F-except-top-level  $\varphi$  and simple-not  $\varphi$ 
  shows no-equiv  $\psi$  and no-imp  $\psi$  and no-T-F-except-top-level  $\psi$  and simple-not  $\psi$ 
  ⟨proof⟩

```

```

lemma pushDisj-full-propo-rew-step:
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes
    no-equiv  $\varphi$  and
    no-imp  $\varphi$  and
    full (propo-rew-step pushDisj)  $\varphi \psi$  and
    no-T-F-except-top-level  $\varphi$  and
    simple-not  $\varphi$ 
  shows or-in-and-only  $\psi$ 
  ⟨proof⟩

```

## 0.4 The Full Transformations

### 0.4.1 Abstract Definition

The normal form is a super group of groups

```

inductive grouped-by :: 'a connective  $\Rightarrow$  'a propo  $\Rightarrow$  bool for c where
  simple-is-grouped[simp]: simple  $\varphi \Rightarrow$  grouped-by c  $\varphi$  |
  simple-not-is-grouped[simp]: simple  $\varphi \Rightarrow$  grouped-by c (FNot  $\varphi$ ) |

```

*connected-is-group[simp]: grouped-by c  $\varphi \implies \text{grouped-by } c \psi \implies \text{wf-conn } c [\varphi, \psi]$*   
 $\implies \text{grouped-by } c (\text{conn } c [\varphi, \psi])$

**lemma** *simple-clause[simp]:*

*grouped-by c FT  
grouped-by c FF  
grouped-by c (FVar x)  
grouped-by c (FNot FT)  
grouped-by c (FNot FF)  
grouped-by c (FNot (FVar x))  
⟨proof⟩*

**lemma** *only-c-inside-symb-c-eq-c':*

*only-c-inside-symb c (conn c' [\varphi\_1, \varphi\_2]) \implies c' = CAnd \vee c' = COr \implies \text{wf-conn } c' [\varphi\_1, \varphi\_2]*  
 $\implies c' = c$   
 $\langle\text{proof}\rangle$

**lemma** *only-c-inside-c-eq-c':*

*only-c-inside c (conn c' [\varphi\_1, \varphi\_2]) \implies c' = CAnd \vee c' = COr \implies \text{wf-conn } c' [\varphi\_1, \varphi\_2] \implies c = c'*  
 $\langle\text{proof}\rangle$

**lemma** *only-c-inside-imp-grouped-by:*

**assumes**  $c: c \neq CNot \text{ and } c': c' = CAnd \vee c' = COr$   
**shows** *only-c-inside c  $\varphi \implies \text{grouped-by } c \varphi$  (**is** ?O  $\varphi \implies ?G \varphi$ )*  
 $\langle\text{proof}\rangle$

**lemma** *grouped-by-false:*

*grouped-by c (conn c' [\varphi, \psi]) \implies c \neq c' \implies \text{wf-conn } c' [\varphi, \psi] \implies False*  
 $\langle\text{proof}\rangle$

Then the CNF form is a conjunction of clauses: every clause is in CNF form and two formulas in CNF form can be related by an and.

**inductive** *super-grouped-by:: 'a connective  $\Rightarrow$  'a connective  $\Rightarrow$  'a propo  $\Rightarrow$  bool for c c' where*  
*grouped-is-super-grouped[simp]: grouped-by c  $\varphi \implies \text{super-grouped-by } c c' \varphi$  |*  
*connected-is-super-group: super-grouped-by c c'  $\varphi \implies \text{super-grouped-by } c c' \psi \implies \text{wf-conn } c [\varphi, \psi]$*   
 $\implies \text{super-grouped-by } c c' (\text{conn } c' [\varphi, \psi])$

**lemma** *simple-cnf[simp]:*

*super-grouped-by c c' FT  
super-grouped-by c c' FF  
super-grouped-by c c' (FVar x)  
super-grouped-by c c' (FNot FT)  
super-grouped-by c c' (FNot FF)  
super-grouped-by c c' (FNot (FVar x))  
⟨proof⟩*

**lemma** *c-in-c'-only-super-grouped-by:*

**assumes**  $c: c = CAnd \vee c = COr \text{ and } c': c' = CAnd \vee c' = COr \text{ and } cc': c \neq c'$   
**shows** *no-equiv  $\varphi \implies \text{no-imp } \varphi \implies \text{simple-not } \varphi \implies \text{c-in-c'-only } c c' \varphi$*   
 $\implies \text{super-grouped-by } c c' \varphi$   
 $(\text{is } ?NE \varphi \implies ?NI \varphi \implies ?SN \varphi \implies ?C \varphi \implies ?S \varphi)$   
 $\langle\text{proof}\rangle$

## 0.4.2 Conjunctive Normal Form

### Definition

**definition** *is-conj-with-TF* **where** *is-conj-with-TF* == super-grouped-by COr CAnd

**lemma** *or-in-and-only-conjunction-in-disj*:

**shows** no-equiv  $\varphi \Rightarrow$  no-imp  $\varphi \Rightarrow$  simple-not  $\varphi \Rightarrow$  or-in-and-only  $\varphi \Rightarrow$  is-conj-with-TF  $\varphi$   
 $\langle proof \rangle$

**definition** *is-cnf* **where**

*is-cnf*  $\varphi \equiv$  *is-conj-with-TF*  $\varphi \wedge$  no-T-F-except-top-level  $\varphi$

### Full CNF transformation

The full1 CNF transformation consists simply in chaining all the transformation defined before.

**definition** *cnf-rew* **where** *cnf-rew* ==  
 $(full (propo-rew-step elim-equiv)) OO$   
 $(full (propo-rew-step elim-imp)) OO$   
 $(full (propo-rew-step elimTB)) OO$   
 $(full (propo-rew-step pushNeg)) OO$   
 $(full (propo-rew-step pushDisj))$

**lemma** *cnf-rew-equivalent*: preserve-models *cnf-rew*  
 $\langle proof \rangle$

**lemma** *cnf-rew-is-cnf*: *cnf-rew*  $\varphi \varphi' \Rightarrow$  *is-cnf*  $\varphi'$   
 $\langle proof \rangle$

## 0.4.3 Disjunctive Normal Form

### Definition

**definition** *is-disj-with-TF* **where** *is-disj-with-TF* == super-grouped-by CAnd COr

**lemma** *and-in-or-only-conjunction-in-disj*:

**shows** no-equiv  $\varphi \Rightarrow$  no-imp  $\varphi \Rightarrow$  simple-not  $\varphi \Rightarrow$  and-in-or-only  $\varphi \Rightarrow$  is-disj-with-TF  $\varphi$   
 $\langle proof \rangle$

**definition** *is-dnf* :: 'a propo  $\Rightarrow$  bool **where**

*is-dnf*  $\varphi \longleftrightarrow$  *is-disj-with-TF*  $\varphi \wedge$  no-T-F-except-top-level  $\varphi$

### Full DNF transform

The full1 DNF transformation consists simply in chaining all the transformation defined before.

**definition** *dnf-rew* **where** *dnf-rew* ==  
 $(full (propo-rew-step elim-equiv)) OO$   
 $(full (propo-rew-step elim-imp)) OO$   
 $(full (propo-rew-step elimTB)) OO$   
 $(full (propo-rew-step pushNeg)) OO$   
 $(full (propo-rew-step pushConj))$

**lemma** *dnf-rew-consistent*: preserve-models *dnf-rew*  
 $\langle proof \rangle$

**theorem** *dnf-transformation-correction*:

*dnf-rew*  $\varphi \varphi' \implies \text{is-dnf } \varphi'$

*(proof)*

## 0.5 More aggressive simplifications: Removing true and false at the beginning

### 0.5.1 Transformation

We should remove *FT* and *FF* at the beginning and not in the middle of the algorithm. To do this, we have to use more rules (one for each connective):

**inductive** *elimTBFULL* **where**

*ElimTBFULL1* [*simp*]: *elimTBFULL* (*FAnd*  $\varphi$  *FT*)  $\varphi$  |  
*ElimTBFULL1*' [*simp*]: *elimTBFULL* (*FAnd* *FT*  $\varphi$ )  $\varphi$  |

*ElimTBFULL2* [*simp*]: *elimTBFULL* (*FAnd*  $\varphi$  *FF*) *FF* |  
*ElimTBFULL2*' [*simp*]: *elimTBFULL* (*FAnd* *FF*  $\varphi$ ) *FF* |

*ElimTBFULL3* [*simp*]: *elimTBFULL* (*For*  $\varphi$  *FT*) *FT* |  
*ElimTBFULL3*' [*simp*]: *elimTBFULL* (*For* *FT*  $\varphi$ ) *FT* |

*ElimTBFULL4* [*simp*]: *elimTBFULL* (*For*  $\varphi$  *FF*)  $\varphi$  |  
*ElimTBFULL4*' [*simp*]: *elimTBFULL* (*For* *FF*  $\varphi$ )  $\varphi$  |

*ElimTBFULL5* [*simp*]: *elimTBFULL* (*FNot* *FT*) *FF* |  
*ElimTBFULL5*' [*simp*]: *elimTBFULL* (*FNot* *FF*) *FT* |

*ElimTBFULL6-l* [*simp*]: *elimTBFULL* (*FImp* *FT*  $\varphi$ )  $\varphi$  |  
*ElimTBFULL6-l*' [*simp*]: *elimTBFULL* (*FImp* *FF*  $\varphi$ ) *FT* |  
*ElimTBFULL6-r* [*simp*]: *elimTBFULL* (*FImp*  $\varphi$  *FT*) *FT* |  
*ElimTBFULL6-r*' [*simp*]: *elimTBFULL* (*FImp*  $\varphi$  *FF*) (*FNot*  $\varphi$ ) |

*ElimTBFULL7-l* [*simp*]: *elimTBFULL* (*FEq* *FT*  $\varphi$ )  $\varphi$  |  
*ElimTBFULL7-l*' [*simp*]: *elimTBFULL* (*FEq* *FF*  $\varphi$ ) (*FNot*  $\varphi$ ) |  
*ElimTBFULL7-r* [*simp*]: *elimTBFULL* (*FEq*  $\varphi$  *FT*)  $\varphi$  |  
*ElimTBFULL7-r*' [*simp*]: *elimTBFULL* (*FEq*  $\varphi$  *FF*) (*FNot*  $\varphi$ ) |

The transformation is still consistent.

**lemma** *elimTBFULL-consistent*: *preserve-models* *elimTBFULL*  
*(proof)*

Contrary to the theorem *no-T-F-symb-except-toplevel-step-exists*, we do not need the assumption *no-equiv*  $\varphi$  and *no-imp*  $\varphi$ , since our transformation is more general.

**lemma** *no-T-F-symb-except-toplevel-step-exists*:

**fixes**  $\varphi :: 'v \text{ prop}$   
**shows**  $\psi \preceq \varphi \implies \neg \text{no-T-F-symb-except-toplevel } \psi \implies \exists \psi'. \text{elimTBFULL } \psi \psi'$   
*(proof)*

The same applies here. We do not need the assumption, but the deep link between  $\neg \text{no-T-F-except-top-level } \varphi$  and the existence of a rewriting step, still exists.

**lemma** *no-T-F-except-top-level-rew*:

**fixes**  $\varphi :: 'v \text{ prop}$   
**assumes** *noTB*:  $\neg \text{no-T-F-except-top-level } \varphi$

**shows**  $\exists \psi \psi'. \psi \preceq \varphi \wedge \text{elimTBFULL } \psi \psi'$   
 $\langle \text{proof} \rangle$

```
lemma elimTBFULL-full-propo-rew-step:
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes full (propo-rew-step elimTBFULL)  $\varphi \psi$ 
  shows no-T-F-except-top-level  $\psi$ 
   $\langle \text{proof} \rangle$ 
```

### 0.5.2 More invariants

As the aim is to use the transformation as the first transformation, we have to show some more invariants for *elim-equiv* and *elim-imp*. For the other transformation, we have already proven it.

**lemma** propo-rew-step-ElimEquiv-no-T-F: propo-rew-step elim-equiv  $\varphi \psi \implies$  no-T-F  $\varphi \implies$  no-T-F  $\psi$   
 $\langle \text{proof} \rangle$

```
lemma elim-equiv-inv':
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes full (propo-rew-step elim-equiv)  $\varphi \psi$  and no-T-F-except-top-level  $\varphi$ 
  shows no-T-F-except-top-level  $\psi$ 
   $\langle \text{proof} \rangle$ 
```

**lemma** propo-rew-step-ElimImp-no-T-F: propo-rew-step elim-imp  $\varphi \psi \implies$  no-T-F  $\varphi \implies$  no-T-F  $\psi$   
 $\langle \text{proof} \rangle$

```
lemma elim-imp-inv':
  fixes  $\varphi \psi :: 'v \text{ propo}$ 
  assumes full (propo-rew-step elim-imp)  $\varphi \psi$  and no-T-F-except-top-level  $\varphi$ 
  shows no-T-F-except-top-level  $\psi$ 
   $\langle \text{proof} \rangle$ 
```

### 0.5.3 The new CNF and DNF transformation

The transformation is the same as before, but the order is not the same.

```
definition dnf-rew' :: 'a propo  $\Rightarrow$  'a propo  $\Rightarrow$  bool where
dnf-rew' =
  (full (propo-rew-step elimTBFULL)) OO
  (full (propo-rew-step elim-equiv)) OO
  (full (propo-rew-step elim-imp)) OO
  (full (propo-rew-step pushNeg)) OO
  (full (propo-rew-step pushConj))
```

**lemma** dnf-rew'-consistent: preserve-models dnf-rew'  
 $\langle \text{proof} \rangle$

```
theorem cnf-transformation-correction:
  dnf-rew'  $\varphi \varphi' \implies$  is-dnf  $\varphi'$ 
   $\langle \text{proof} \rangle$ 
```

Given all the lemmas before the CNF transformation is easy to prove:

```

definition cnf-rew' :: 'a propo  $\Rightarrow$  'a propo  $\Rightarrow$  bool where
cnf-rew' =
  (full (propo-rew-step elimTBFULL)) OO
  (full (propo-rew-step elim-equiv)) OO
  (full (propo-rew-step elim-imp)) OO
  (full (propo-rew-step pushNeg)) OO
  (full (propo-rew-step pushDisj))

lemma cnf-rew'-consistent: preserve-models cnf-rew'
  ⟨proof⟩

theorem cnf'-transformation-correction:
  cnf-rew'  $\varphi$   $\varphi'$   $\implies$  is-cnf  $\varphi'$ 
  ⟨proof⟩

end
theory Prop-Logic-Multiset
imports Nested-Multisets-Ordinals.Multiset-More Prop-Normalisation
  Entailment-Definition.Partial-Herbrand-Interpretation
begin

```

## 0.6 Link with Multiset Version

### 0.6.1 Transformation to Multiset

```

fun mset-of-conj :: 'a propo  $\Rightarrow$  'a literal multiset where
mset-of-conj (FOr  $\varphi$   $\psi$ ) = mset-of-conj  $\varphi$  + mset-of-conj  $\psi$  |
mset-of-conj (FVar  $v$ ) = {# Pos  $v$  #} |
mset-of-conj (FNot (FVar  $v$ )) = {# Neg  $v$  #} |
mset-of-conj FF = {#}

fun mset-of-formula :: 'a propo  $\Rightarrow$  'a literal multiset set where
mset-of-formula (FAnd  $\varphi$   $\psi$ ) = mset-of-formula  $\varphi$   $\cup$  mset-of-formula  $\psi$  |
mset-of-formula (FOr  $\varphi$   $\psi$ ) = {mset-of-conj (FOr  $\varphi$   $\psi$ )} |
mset-of-formula (FVar  $\psi$ ) = {mset-of-conj (FVar  $\psi$ )} |
mset-of-formula (FNot  $\psi$ ) = {mset-of-conj (FNot  $\psi$ )} |
mset-of-formula FF = {{#}} |
mset-of-formula FT = {}

```

### 0.6.2 Equisatisfiability of the two Versions

```

lemma is-conj-with-TF-FNot:
  is-conj-with-TF (FNot  $\varphi$ )  $\longleftrightarrow$  ( $\exists v.$   $\varphi = FVar v \vee \varphi = FF \vee \varphi = FT$ )
  ⟨proof⟩

```

```

lemma grouped-by-COr-FNot:
  grouped-by COr (FNot  $\varphi$ )  $\longleftrightarrow$  ( $\exists v.$   $\varphi = FVar v \vee \varphi = FF \vee \varphi = FT$ )
  ⟨proof⟩

```

```

lemma
  shows no-T-F-FF[simp]:  $\neg$ no-T-F FF and
    no-T-F-FT[simp]:  $\neg$ no-T-F FT
  ⟨proof⟩

```

```

lemma grouped-by-CAnd-FAnd:
  grouped-by CAnd (FAnd  $\varphi_1$   $\varphi_2$ )  $\longleftrightarrow$  grouped-by CAnd  $\varphi_1 \wedge$  grouped-by CAnd  $\varphi_2$ 

```

$\langle proof \rangle$

**lemma** grouped-by-COr-FOr:

grouped-by COr (FOr  $\varphi_1 \varphi_2$ )  $\longleftrightarrow$  grouped-by COr  $\varphi_1 \wedge$  grouped-by COr  $\varphi_2$   
 $\langle proof \rangle$

**lemma** grouped-by-COr-FAnd[simp]:  $\neg$  grouped-by COr (FAnd  $\varphi_1 \varphi_2$ )  
 $\langle proof \rangle$

**lemma** grouped-by-COr-FEq[simp]:  $\neg$  grouped-by COr (FEq  $\varphi_1 \varphi_2$ )  
 $\langle proof \rangle$

**lemma** [simp]:  $\neg$  grouped-by COr (FImp  $\varphi \psi$ )  
 $\langle proof \rangle$

**lemma** [simp]:  $\neg$  is-conj-with-TF (FImp  $\varphi \psi$ )  
 $\langle proof \rangle$

**lemma** [simp]:  $\neg$  is-conj-with-TF (FEq  $\varphi \psi$ )  
 $\langle proof \rangle$

**lemma** is-conj-with-TF-FAnd:

is-conj-with-TF (FAnd  $\varphi_1 \varphi_2$ )  $\implies$  is-conj-with-TF  $\varphi_1 \wedge$  is-conj-with-TF  $\varphi_2$   
 $\langle proof \rangle$

**lemma** is-conj-with-TF-FOr:

is-conj-with-TF (FOr  $\varphi_1 \varphi_2$ )  $\implies$  grouped-by COr  $\varphi_1 \wedge$  grouped-by COr  $\varphi_2$   
 $\langle proof \rangle$

**lemma** grouped-by-COr-mset-of-formula:

grouped-by COr  $\varphi \implies$  mset-of-formula  $\varphi = (\text{if } \varphi = FT \text{ then } \{\} \text{ else } \{\text{mset-of-conj } \varphi\})$   
 $\langle proof \rangle$

When a formula is in CNF form, then there is equisatisfiability between the multiset version and the CNF form. Remark that the definition for the entailment are slightly different: ( $\models$ ) uses a function assigning *True* or *False*, while ( $\models_s$ ) uses a set where being in the list means entailment of a literal.

**theorem** cnf-eval-true-clss:

fixes  $\varphi :: 'v \text{ prop}$   
assumes is-cnf  $\varphi$   
shows eval A  $\varphi \longleftrightarrow$  Partial-Herbrand-Interpretation.true-clss ( $\{\text{Pos } v | v. A \ v\} \cup \{\text{Neg } v | v. \neg A \ v\}$ )  
(mset-of-formula  $\varphi$ )  
 $\langle proof \rangle$

**function** formula-of-mset :: 'a clause  $\Rightarrow$  'a propo where

formula-of-mset  $\varphi =$   
(if  $\varphi = \{\#\}$  then FF  
else  
let  $v = (\text{SOME } v. v \in \# \varphi)$ ;  
 $v' = (\text{if is-pos } v \text{ then FVar (atm-of } v) \text{ else FNot (FVar (atm-of } v))$  in  
if remove1-mset  $v \varphi = \{\#\}$  then  $v'$   
else FOr  $v'$  (formula-of-mset (remove1-mset  $v \varphi$ )))  
 $\langle proof \rangle$

**termination**

$\langle proof \rangle$

**lemma** *formula-of-mset-empty*[simp]:  $\langle formula\text{-}of\text{-}mset \{\#\} = FF \rangle$   
 $\langle proof \rangle$

**lemma** *formula-of-mset-empty-iff*[iff]:  $\langle formula\text{-}of\text{-}mset \varphi = FF \longleftrightarrow \varphi = \{\#\} \rangle$   
 $\langle proof \rangle$

**declare** *formula-of-mset.simps*[simp del]

**function** *formula-of-msets* :: 'a literal multiset set  $\Rightarrow$  'a propo **where**

$\langle formula\text{-}of\text{-}msets \varphi s =$   
(if  $\varphi s = \{\}$   $\vee$  infinite  $\varphi s$  then FT  
else  
let  $v = (SOME v. v \in \varphi s)$ ;  
 $v' = formula\text{-}of\text{-}mset v$  in  
if  $\varphi s - \{v\} = \{\}$  then  $v'$   
else  $FAnd v' (formula\text{-}of\text{-}msets (\varphi s - \{v\}))$ )  
 $\rangle$

$\langle proof \rangle$

**termination**

$\langle proof \rangle$

**declare** *formula-of-msets.simps*[simp del]

**lemma** *remove1-mset-empty-iff*:  
 $\langle remove1\text{-}mset } v \varphi = \{\#} \longleftrightarrow (\varphi = \{\#\} \vee \varphi = \{\#v\#}) \rangle$   
 $\langle proof \rangle$

**definition** *fun-of-set* **where**

$\langle fun\text{-}of\text{-}set A x = (if Pos x \in A \text{ then True} \text{ else if Neg } x \in A \text{ then False} \text{ else undefined}) \rangle$

**lemma** *grouped-by-COr-formula-of-mset*:  $\langle grouped\text{-}by COr (formula\text{-}of\text{-}mset \varphi) \rangle$   
 $\langle proof \rangle$

**lemma** *no-T-F-formula-of-mset*:  $\langle no\text{-}T\text{-}F (formula\text{-}of\text{-}mset \varphi) \rangle$  **if**  $\langle formula\text{-}of\text{-}mset \varphi \neq FF \rangle$  **for**  $\varphi$   
 $\langle proof \rangle$

**lemma** *mset-of-conj-formula-of-mset*[simp]:  $\langle mset\text{-}of\text{-}conj (formula\text{-}of\text{-}mset \varphi) = \varphi \rangle$  **for**  $\varphi$   
 $\langle proof \rangle$

**lemma** *mset-of-formula-formula-of-mset* [simp]:  $\langle mset\text{-}of\text{-}formula (formula\text{-}of\text{-}mset \varphi) = \{\varphi\} \rangle$  **for**  $\varphi$   
 $\langle proof \rangle$

**lemma** *formula-of-mset-is-cnf*:  $\langle is\text{-}cnf (formula\text{-}of\text{-}mset \varphi) \rangle$   
 $\langle proof \rangle$

**lemma** *eval-clss-iff*:  
**assumes**  $\langle consistent\text{-}interp A \rangle$  **and**  $\langle total\text{-}over\text{-}set A UNIV \rangle$   
**shows**  $\langle eval (fun\text{-}of\text{-}set A) (formula\text{-}of\text{-}mset \varphi) \longleftrightarrow Partial\text{-}Herbrand\text{-}Interpretation.true\text{-}clss A \{\varphi\} \rangle$   
 $\langle proof \rangle$

**lemma** *is-conj-with-TF-Fand-iff*:  
 $is\text{-}conj\text{-}with\text{-}TF (FAnd \varphi_1 \varphi_2) \longleftrightarrow is\text{-}conj\text{-}with\text{-}TF \varphi_1 \wedge is\text{-}conj\text{-}with\text{-}TF \varphi_2$   
 $\langle proof \rangle$

**lemma** *is-CNF-Fand*:  
 $\langle is\text{-}cnf (FAnd \varphi \psi) \longleftrightarrow (is\text{-}cnf \varphi \wedge no\text{-}T\text{-}F \varphi) \wedge is\text{-}cnf \psi \wedge no\text{-}T\text{-}F \psi \rangle$

$\langle proof \rangle$

**lemma** *no-T-F-formula-of-mset-iff*:  $\langle no\text{-}T\text{-}F (formula\text{-}of\text{-}mset \varphi) \longleftrightarrow \varphi \neq \{\#\} \rangle$   
 $\langle proof \rangle$

**lemma** *no-T-F-formula-of-msets*:  
  **assumes**  $\langle finite \varphi \rangle$  **and**  $\langle \{\#\} \notin \varphi \rangle$  **and**  $\langle \varphi \neq \{\} \rangle$   
  **shows**  $\langle no\text{-}T\text{-}F (formula\text{-}of\text{-}msets (\varphi)) \rangle$   
 $\langle proof \rangle$

**lemma** *is-cnf-formula-of-msets*:  
  **assumes**  $\langle finite \varphi \rangle$  **and**  $\langle \{\#\} \notin \varphi \rangle$   
  **shows**  $\langle is\text{-}cnf (formula\text{-}of\text{-}msets \varphi) \rangle$   
 $\langle proof \rangle$

**lemma** *mset-of-formula-formula-of-msets*:  
  **assumes**  $\langle finite \varphi \rangle$   
  **shows**  $\langle mset\text{-}of\text{-}formula (formula\text{-}of\text{-}msets \varphi) = \varphi \rangle$   
 $\langle proof \rangle$

**lemma**  
  **assumes**  $\langle consistent\text{-}interp A \rangle$  **and**  $\langle total\text{-}over\text{-}set A UNIV \rangle$  **and**  $\langle finite \varphi \rangle$  **and**  $\langle \{\#\} \notin \varphi \rangle$   
  **shows**  $\langle eval (fun\text{-}of\text{-}set A) (formula\text{-}of\text{-}msets \varphi) \longleftrightarrow Partial\text{-}Herbrand\text{-}Interpretation.true\text{-}clss A \varphi \rangle$   
 $\langle proof \rangle$

**end**